**3GPP TSG-RAN WG4 Meeting #95-e *R4-2008625***

**Electronic Meeting, 25 May – 5 June, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **0792** | **rev** | **1** | **Current version:** | **16.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | CR on DL interruption Tx switching between two uplink carriers | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_RF\_FR1 | | | | |  | ***Date:*** | | | 2020-05-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | Draft CR [R4-2005421] was endorsed in RAN4#94e-bis meeting.  Based on the endorsed CR, the further changes are made:   1. concrete interruption values are added.   Considering the interruption uncertainty due to MRTD and TA adjustment accuracy, the interruption length is expressed by:  *ceil((switching period+2\*TA adjustment uncertainty+6us-CP length)/symbol duration)+1*  2. the description of UE dynamic Tx switches between two uplink carriers is changed according to the RAN1 and RAN4 RF progress. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Draft CR [R4-2005421] was endorsed in RAN4#94e-bis meeting.  Based on the endorsed CR, the concrete interruption values are added. The description of UE dynamic Tx switches between two uplink carriers is changed according to the RAN1 and RAN4 RF progress. | | | | | | | | |
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| ***Consequences if not approved:*** | | The specification is not completed. | | | | | | | | |
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| ***Clauses affected:*** | | 8.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS38.533 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<Start of Change 1>

## 8.2 Interruption

### 8.2.1 EN-DC Interruption

#### 8.2.1.1 Introduction

This clause contains the requirements related to the interruptions on PSCell, and SCell, when

E-UTRA PCell transitions between active and non-active during DRX, or

E-UTRA PCell transitions from non-DRX to DRX, or

E-UTRA SCell in MCG or SCell in SCG is added or released, or

E-UTRA SCell in MCG or SCell in SCG is activated or deactivated, or

measurements on SCC with deactivated SCell in either E-UTRA MCG or NR SCG, or

a supplementary UL carrier or an UL carrier is configured or de-configured, or

UL/DL BWP is switched on PSCell or SCell in SCG, or

UE dynamic Tx switches between two uplink carriers.

The requirements shall apply for E-UTRA-NR DC with an E-UTRA PCell.

This clause contains interruptions where victim cell is PSCell or SCell belonging to SCG. Requirements for interruptions requirements when the victim cell is E-UTRA PCell or E-UTRA SCell belonging to MCG are specified in TS 36.133 [15].

For a UE which does not support per-FR measurement gaps, interruptions to the PSCell or activated SCG SCells may be caused by EUTRA PCell, EUTRA SCells or SCells on any frequency range. For UE which support per-FR gaps, interruptions to the PSCell or activated SCG SCells may be caused by EUTRA PCell, EUTRA SCells or SCells on the same frequency range as the victim cell.

<End of Change 1>

<Start of Change 2>

##### 8.2.1.2.10 DL Interruptions at switching between two uplink carriers

The DL interruption requirements at dynamic switching between two uplink carriers specified in this sub-clause are applicable for an uplink band pair of an inter-band EN-DC configuration when the capability *uplinkTxSwitchingPeriod* is present, and is only applicable for uplink switching mechanism specified in sub-clause 6.1.0 of TS 38.214 [26], where E-UTRA UL carrier is capable of one transmit antenna connector and NR UL carrier is capable of two transmit antenna connectors, and the two uplink carriers are in different bands with different carrier frequencies.

When dynamic switching between two uplink carriers is conducted, UE is allowed to cause DL interruption of X OFDM symbols in NR downlink carrier(s) as indicated by *uplinkTxSwitching-DL-Interruption* [2], which fully or partially overlap with the UL switching period located in NR carrier. The DL interruption lengths of X for NR carrier(s) are defined in Table 8.2.1.2.10-1.

No DL interruption is allowed in the NR downlink carrier(s) which is not indicated by *uplinkTxSwitching-DL-Interruption*.

Table 8.2.1.2.10-1: DL interruption length on NR carrier(s) in the unit of OFDM symbols (X) for switching between two uplink carriers

|  |  |  |  |
| --- | --- | --- | --- |
|  | NR Slot length (ms) | Uplink Tx switching period Note1 | |
| 35us | 140us |
| 0 | 1 | 2 | 3 |
| 1 | 0.5 | 3 | 6 |
| 2 | 0.25 | 4 | 10 |
| Note 1: Uplink Tx switching period depends on UE capability *uplinkTxSwitchingPeriod.* | | | |

<End of Change 2>

<Start of Change 3>

### 8.2.2 SA: Interruptions with Standalone NR Carrier Aggregation

#### 8.2.2.1 Introduction

This clause contains the requirements related to the interruptions on PCell and activated SCell if configured, when

up to 7 SCells are configured, de-configured, activated or deactivated, or

a supplementary UL carrier or an UL carrier is configured or de-configured, or

measurements on SCC with deactivated SCell in NR SCG, or

UL/DL BWP is switched on PCell or SCell, or

UE dynamic Tx switches between two uplink carriers.

Note: interruptions at SCell addition/release, activation/deactivation and during measurements on SCC may not be required by all UEs.

Editor’s Note: The interruptions shall not interrupt RRC signalling or ACK/NACKs related to RRC reconfiguration procedure [2] for SCell addition/release or MAC control signalling [17] for SCell activation/deactivation command.

This clause additionally contains requirements related to interruptions at inter-frequency SFTD between PCell in FR1 and neighbour cell in FR2.

For a UE which does not support per-FR measurement gaps, interruptions to the PCell and activated SCell may be caused by SCells on any frequency range. For UE which support per-FR gaps, interruptions to PCell and activated SCell may be caused by SCells on the same frequency range as the victim cell.

In addition to standalone NR carrier aggregation when no CCA is configured, the requirements in clause 8.2.2. and all subclauses of 8.2.2 apply when the UE is configured with

-A PCell not using CCA in downlink and one or more SCells using CCA in downlink or

-A PCell and one or more SCells using CCA in downlink

<End of Change 3>

<Start of Change 4>

##### 8.2.2.2.7 DL Interruptions at UE switching between two uplink carriers

The DL interruption requirements at dynamic switching between two uplink carreirs specified in this sub-clause are applicable for an uplink band pair of an inter-band UL CA configuration when the capability *uplinkTxSwitchingPeriod* is present, and is only applicable for uplink switching mechanism specified in sub-clause 6.1.0 of TS 38.214 [26], where NR uplink carrier 1 is capable of one transmit antenna connector and NR uplink carrier 2 is capable of two transmit antenna connectors, and the two uplink carriers are in different bands with different carrier frequencies.

When dynamic switching between two uplink carriers is conducted, UE is allowed to cause DL interruption of X OFDM symbols in NR downlink carrier(s) as indicated by *uplinkTxSwitching-DL-Interruption* [2], which fully or partially overlap with the UL switching period located in either NR carrier 1 or carrier 2 as indicated in RRC signalling [2]. The DL interruption lengths of X are defined in Table 8.2.2.2.7-1.

No DL interruption is allowed in the NR downlink carrier(s) which is not indicated by *uplinkTxSwitching-DL-Interruption*.

Table 8.2.2.2.7-1: DL interruption length on NR carrier(s) in the unit of OFDM symbols (X) for switching between two uplink carriers

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | NR Slot length (ms) | Uplink Tx switching period Note1 | | |
| 35us | 140us | 210us |
| 0 | 1 | 2 | 3 | 4 |
| 1 | 0.5 | 3 | 6 | 7 |
| 2 | 0.25 | 4 | 10 | 14 |
| Note 1: Uplink Tx switching period depends on UE capability *uplinkTxSwitchingPeriod* | | | | |

<End of Change 4>