**3GPP TSG-RAN WG 4 Meeting #95-e *R4-200xxxx***

**Online, 25th May – 5th June, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-1** | **CR** | **0325** | **rev** | **1** | **Current version:** | **16.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | CR on blocking requirements for n91 n92 n93 and n94 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_FDD\_bands\_varduplex-Core | | | | |  | ***Date:*** | | | 2020-05-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Inband blocking requirements are missing for n91, n92, n93 and n94.  Include the endorsed contents in R4-2004397,  Need to account for OOB blocking requirements for bands that share the same frequency ranges | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add n91, n92, n93 and n94 in the table of in-band blocking requirements.  Include the endorsed contents in R4-2004397,  Refeference OOB blocking ranges from n75 DL\_low edge and n76 DL\_high edge. Added note in Table 7.6.3-2. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The requirements are incomplete. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 7.6.2 and 7.6.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 38.521-1 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## **<<Start of Change1>>**

### 7.6.2 In-band blocking

For NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be ≥ 95 % of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3, A.3.2 and A.3.3 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.2-1 and Table 7.6.2-2. The relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.2-1), the requirements only apply for carriers assigned in the paired part.

Table 7.6.2-1: In-band blocking parameters for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| RX parameter | Units | Channel bandwidth | | | | |
| 5 MHz | 10 MHz | 15 MHz | 20 MHz | 25 MHz |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | | | | |
| dB | 6 | 6 | 7 | 9 | 10 |
| BWinterferer | MHz | 5 | | | | |
| FIoffset, case 1 | MHz | 7.5 | | | | |
| FIoffset, case 2 | MHz | 12.5 | | | | |
| RX parameter | Units | Channel bandwidth | | | | |
| 30 MHz | 40 MHz | 50 MHz | 60 MHz | 80 MHz |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | | | | |
| dB | 11 | 12 | 13 | 14 | 15 |
| BWinterferer | MHz | 5 | | | | |
| FIoffset, case 1 | MHz | 7.5 | | | | |
| FIoffset, case 2 | MHz | 12.5 | | | | |
| RX parameter | Units | Channel bandwidth | | | | |
| 90 MHz | 100 MHz |  |  |  |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | |  |  |  |
|  | dB | 15.5 | 16 |  |  |  |
| BWinterferer | MHz | 5 | |  |  |  |
| FIoffset, case 1 | MHz | 7.5 | |  |  |  |
| FIoffset, case 2 | MHz | 12.5 | |  |  |  |
| NOTE 1: The transmitter shall be set to 4 dB below PCMAX\_L,f,c at the minimum UL configuration specified in Table 7.3.2-3 with PCMAX\_L,f,c defined in clause 6.2.4.  NOTE 2: The interferer consists of the RMC specified in Annexes A.3.2.2 and A.3.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1 and 15 kHz SCS. | | | | | | |

Table 7.6.2-2: In-band blocking for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| NR band | Parameter | Unit | Case 1 | Case 2 | Case 3 | Case 4 |
| Pinterferer | dBm | -56 | -44 | -15 | -38 |
| Finterferer (offset) | MHz | -CBW/2 –  FIoffset, case 1  and  CBW/2 +  FIoffset, case 1 | ≤ -CBW/2 –  FIoffset, case 2  and  ≥ CBW/2 +  FIoffset, case 2 |  | -CBW/2-11 |
| n1, n2, n3, n5, n7, n8, n12, n14, n18, n20, n25, n26, n28,n34, n38,n39, n40, n41, n483, n50, n51, n53, n65, n66, n70, n74, n75, n76, n91, n92, n93, n94 | Finterferer | MHz | NOTE 2 | FDL\_low – 15  to  FDL\_high + 15 |  |  |
| n30 | Finterferer | MHz | NOTE 2 | FDL\_low – 15  to  FDL\_high + 15 |  | FDL\_low – 11 |
| n71 | Finterferer | MHz | NOTE 2 | FDL\_low – 12 to FDL\_high + 15 | FDL\_low – 12 |  |
| NOTE 1: The absolute value of the interferer offset Finterferer (offset) shall be further adjusted to MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with 15 kHz SCS.  NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: -CBW/2 – FIoffset, case 1; b: CBW/2 + FIoffset, case 1  NOTE 3: n48 follows the requirement in this frequency range according to the general requirement defined in Clause 7.1. | | | | | | |

## **<<End of Change1>>**

## **<<Start of Change2>>**

### 7.6.3 Out-of-band blocking

For NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be ≥ 95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3, A.3.2 and A.3.3 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-1 and Table 7.6.3-2. The relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.2-1), the requirements only apply for carriers assigned in the paired part.

Table 7.6.3-1: Out-of-band blocking parameters for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| RX parameter | Units | Channel bandwidth | | | | |
| 5 MHz | 10 MHz | 15 MHz | 20 MHz | 25 MHz |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel specific value below | | | | |
| dB | 6 | 6 | 7 | 9 | 10 |
| RX parameter | Units | Channel bandwidth | | | | |
| 30 MHz | 40 MHz | 50 MHz | 60 MHz | 80 MHz |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | | | | |
| dB | 11 | 12 | 13 | 14 | 15 |
| RX parameter | Units | Channel bandwidth | | | | |
| 90 MHz | 100 MHz |  |  |  |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | |  |  |  |
| dB | 15.5 | 16 |  |  |  |
| NOTE: The transmitter shall be set to 4 dB below PCMAX\_L,f,c at the minimum UL configuration specified in Table 7.3.2-3 with PCMAX\_L,f,c defined in clause 6.2.4. | | | | | | |

Table 7.6.3-2: Out of-band blocking for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NR band | Parameter | Unit | Range 1 | Range 2 | Range 3 |
| n1, n2, n3, n5, n7, n8, n12, n14, n18, n20, n25, n28, n30,  n34, n38,  n39, n40, n41, n50, n51, n65, n66, n70, n71, n74, n75, n76, n91, n92, n93, n94 | Pinterferer | dBm | -44 | -30 | -15 |
| Finterferer (CW) | MHz | -60 < f – FDL\_low < -15  or  15 < f – FDL\_high < 60 | -85 < f – FDL\_low ≤ -60  or  60 ≤ f – FDL\_high < 85 | 1 ≤ f ≤ FDL\_low – 85  or  FDL\_high + 85 ≤ f  ≤ 12750 |
| NOTE 1: The power level of the interferer (PInterferer) for Range 3 shall be modified to -20 dBm for FInterferer > 6000 MHz.  NOTE 2: For band 51 the FDL\_high of band 50 is applied as FDL\_high for band 51. For band 50, the FDL\_low of band 51 is applied as FDL\_low for band 50.  NOTE 3: For band 76 the FDL\_high of band 75 is applied as FDL\_high for band 76. For band 75, the FDL\_low of band 76 is applied as FDL\_low for band 75.  NOTE 4: For UEs supporting both bands 38 and 41, the FDL\_high and FDL\_low of band 41 is applied as FDL\_high and FDL\_low for band 38.  NOTE 5: For bands 91 and 93 the FDL\_high of bands 92 and 94 are applied as FDL\_high for bands 91 and 93. For bands 92 and 94, the FDL\_low of bands 91 and 93 are applied as FDL\_low for bands 92 and 94. | | | | | |

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-2, a maximum of



exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  MHz withthe number of resource blocks in the downlink transmission bandwidth configuration, *CBW* the bandwidth of the frequency channel in MHz and *n* = 1, 2, 3 for SCS = 15, 30, 60 kHz, respectively. For these exceptions, the requirements in clause 7.7 apply.

For NR bands with FDL\_low ≥ 3300 MHz and FUL\_low ≥ 3300 MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range up to 3CBW below or from 3CBW above the UE receive band, where CBW is the channel bandwidth. The throughput of the wanted signal shall be ≥ 95 % of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3, A.3.2 and A.3.3 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-3 and Table 7.6.3-4. The relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

Table 7.6.3-3: Out-of-band blocking parameters for NR bands with FDL\_low ≥ 3300 MHz and FUL\_low ≥ 3300 MHz

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| RX parameter | Units | Channel bandwidth | | | | |
| 10 MHz | 15 MHz | 20 MHz | 25 MHz | 30 MHz |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | | | | |
| dB | 6 | 7 | 9 | 9 | 9 |
| RX parameter | Units | Channel bandwidth | | | | |
| 40 MHz | 50 MHz | 60 MHz | 70 MHz | 80 MHz |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | | | | |
| dB | 9 | 9 | 9 | 9 | 9 |
| RX parameter | Units | Channel bandwidth | | | | |
| 90 MHz | 100 MHz |  |  |  |
| Power in transmission bandwidth configuration | dBm | REFSENS + channel bandwidth specific value below | |  |  |  |
| dB | 9 | 9 |  |  |  |
| NOTE: The transmitter shall be set to 4 dB below PCMAX\_L,f,c at the minimum UL configuration specified in Table 7.3.2-3 with PCMAX\_L,f,c defined in clause 6.2.4. | | | | | | |

Table 7.6.3-4: Out of-band blocking for NR bands with FDL\_low ≥ 3300 MHz and FUL\_low ≥ 3300 MHz

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NR band | Parameter | Unit | Range1 | Range 2 | Range 3 |
| n48, n77, n78  (NOTE 3) | Pinterferer | dBm | -44 | -30 | -15 |
| Finterferer (CW) | MHz | -60 < f – FDL\_low ≤ -3CBW  or  3CBW ≤ f – FDL\_high < 60 | -200 < f – FDL\_low ≤ -MAX(60,3CBW)  or  MAX(60,3CBW) ≤ f – FDL\_high < 200 | 1 ≤ f ≤ FDL\_low – MAX(200,3CBW)  or  FDL\_high + MAX(200,3CBW)  ≤ f ≤ 12750 |
| n79  (NOTE 4) | Finterferer (CW) | MHz | N/A | -150 < f – FDL\_low ≤ -MAX(60,3CBW)  or  MAX(60,3CBW) ≤ f – FDL\_high < 150 | 1 ≤ f ≤ FDL\_low – MAX(150,3CBW)  or  FDL\_high + MAX(150,3CBW)  ≤ f ≤ 12750 |
| NOTE 1: The power level of the interferer (PInterferer) for Range 3 shall be modified to -20 dBm for FInterferer > 6000 MHz.  NOTE 2: CBW denotes the channel bandwidth of the wanted signal  NOTE 3: The power level of the interferer (PInterferer) for Range 3 shall be modified to -20 dBm, for FInterferer > 2700 MHz and FInterferer < 4800 MHz. For CBW > 15 MHz, the requirement for Range 1 is not applicable and Range 2 applies from the frequency offset of 3CBW from the band edge. For CBW larger than 60 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.  NOTE 4: The power level of the interferer (PInterferer) for Range 3 shall be modified to -20 dBm, for FInterferer > 3650 MHz and FInterferer < 5750 MHz. For CBW ≥ 40 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge. | | | | | |

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-4, a maximum of



exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  MHz withthe number of resource blocks in the downlink transmission bandwidth configuration, *CBW* the bandwidth of the frequency channel in MHz and *n* = 1, 2, 3 for SCS = 15, 30, 60 kHz, respectively. For these exceptions, the requirements in clause 7.7 apply.

## **<<End of Change2>>**