**3GPP TSG-RAN WG4 Meeting # 106 R4-2301278**

**Athens, Greece, February 27 – March 3, 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-1** | **CR** | **1377** | **rev** |  | **Current version:** | **18.0.0** |  |
|  | | | | | | | | |
| *For* ***[HELP](http://www.3gpp.org/3G_Specs/CRs.htm)*** *on using this form: comprehensive instructions can be found at  <http://www.3gpp.org/Change-Requests>.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Big CR to reflect the completed 4Rx support for NR FR1 bands (2.6GHz) into TS 38.101-1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | ZTE Corporation, China Telecom | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 4Rx\_NR\_bands\_R18-Core | | | | |  | | ***Date:*** | | 2023-03-08 |
|  |  | | | |  | | |  | |  |
| ***Category:*** | **B** |  | | | | | | ***Release:*** | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17)* *Rel-18 (Release 18)*  *Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | This big CR is to reflect the completed 4Rx support for NR FR1 bands (2.6GHz) into TS 38.101-1 from RAN4 #106 meetings. | | | | | | | | |
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| ***Summary of change:*** | | The 4Rx support for NR FR1 bands (2.6GHz) into TS 38.101-1 completed in the following contributions are added from RAN4 #106 meeting.  The endorsed draft CRs in RAN4 #106 meeting are listed:  1. R4-2301255 draft CR to TS38.101-1: 4Rx for n5  2. R4-2302447 Draft CR for 38.101-1: 4Rx for n25 and n85 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | 4Rx support for new NR FR1 bands (2.6GHz) are not supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 7.3.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | |  | | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | TS/TR ... CR ... | | | |
| ***affected:*** | | **X** |  | Test specifications | | | TS38.521-1 | | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | TS/TR ... CR ... | | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

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| --- | --- |
| ***This CR's revision history:*** |  |

## << Start of change >>

### 7.3.2 Reference sensitivity power level

The throughput shall be ≥ 95 % of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2.2, A3.2 and A.3.3 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3.2-1a, Table 7.3.2-1b, Table 7.3.2-1c, Table 7.3.2-1d and Table 7.3.2-2.

Table 7.3.2-1a: Two antenna port reference sensitivity QPSK PREFSENS for FDD bands

| Operating band / SCS / Channel bandwidth | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Operating Band | SCS kHz | 5  MHz (dBm) | 10  MHz (dBm) | 15  MHz (dBm) | 20  MHz (dBm) | 25  MHz (dBm) | 30 MHz (dBm) | 35 MHz (dBm) | 40  MHz (dBm) | 45 MHz (dBm) | 50  MHz (dBm) |
| n1 | 15 | -100.0 | -96.8 | -95.0 | -93.8 | -92.7 | -91.9 |  | -90.6 | -90.1 | -89.6 |
| 30 |  | -97.1 | -95.1 | -94.0 | -92.8 | -92.0 |  | -90.7 | -90.2 | -89.7 |
| 60 |  | -97.5 | -95.4 | -94.2 | -93.0 | -92.1 |  | -90.9 | -90.3 | -89.7 |
| n2 | 15 | -98 | -94.8 | -93 | -91.8 | -90.7 | -84.1 | -83.6 | -81.5 |  |  |
| 30 |  | -95.1 | -93.1 | -92 | -90.8 | -84.2 | -83.7 | -81.6 |  |  |
| 60 |  | -95.5 | -93.4 | -92.2 | -90.9 | -84.3 | -83.8 | -81.7 |  |  |
| n3 | 15 | -97.0 | -93.8 | -92.0 | -90.8 | -89.7 | -88.9 | -86.2 | -82.3 | -81.3 | -79.7 |
| 30 |  | -94.1 | -92.1 | -91.0 | -89.8 | -89.0 | -86.3 | -82.4 | -81.4 | -79.8 |
| 60 |  | -94.5 | -92.4 | -91.2 | -90.0 | -89.1 | -86.4 | -82.6 | -81.5 | -79.9 |
| n5 | 15 | -98.0 | -94.8 | -93.0 | -86.8 | -84.8 |  |  |  |  |  |
| 30 |  | -95.1 | -93.1 | -88.6 | -84.9 |  |  |  |  |  |
| n71 | 15 | -98.0 | -94.8 | -93.0 | -91.8 | -90.7 | -89.9 | -89.2 | -88.6 |  | -81.5 |
| 30 |  | -95.1 | -93.1 | -92.0 | -90.8 | -90.0 | -89.3 | -88.7 |  | -81.5 |
| 60 |  | -95.5 | -93.4 | -92.2 | -91.0 | -90.1 | -89.4 | -88.9 |  | -81.5 |
| n8 | 15 | -97.0 | -93.8 | -91.4 | -85.8 |  |  | -78.4 |  |  |  |
| 30 |  | -94.1 | -91.7 | -87.2 |  |  | -78.5 |  |  |  |
| n12 | 15 | -97.0 | -93.8 | -84.0 |  |  |  |  |  |  |  |
| 30 |  | -94.1 | -84.1 |  |  |  |  |  |  |  |
| n13 | 15 | -97.0 | -93.8 |  |  |  |  |  |  |  |  |
| 30 |  | -94.1 |  |  |  |  |  |  |  |  |
| n14 | 15 | -97.0 | -93.8 |  |  |  |  |  |  |  |  |
| 30 |  | -94.1 |  |  |  |  |  |  |  |  |
| n18 | 15 | -100.0 | -96.8 | -95.0 |  |  |  |  |  |  |  |
| 30 |  | -97.1 | -95.1 |  |  |  |  |  |  |  |
| n20 | 15 | -97.0 | -93.8 | -91.0 | -89.8 |  |  |  |  |  |  |
| 30 |  | -94.1 | -91.1 | -90.0 |  |  |  |  |  |  |
| n24 | 15 | -100.0 | -96.8 |  |  |  |  |  |  |  |  |
| 30 |  | -97.1 |  |  |  |  |  |  |  |  |
| 60 |  | -97.5 |  |  |  |  |  |  |  |  |
| n25 | 15 | -96.5 | -93.3 | -91.5 | -90.3 | -89.3 | -82.2 | -81.7 | -79.5 | -77.6 |  |
| 30 |  | -93.6 | -91.6 | -90.5 | -89.4 | -82.3 | -81.8 | -79.6 | -77.7 |  |
| 60 |  | -94.0 | -91.9 | -90.7 | -89.6 | -82.4 | -81.9 | -79.7 | -77.8 |  |
| n26 | 15 | -97.56 | -94.56 | -92.76 | -87.6 | -84.5 | -81.7 |  |  |  |  |
| 30 |  | -94.86 | -92.76 | -87.7 | -84.6 | -81.8 |  |  |  |  |
| n28 | 15 | -98.5 | -95.5 | -93.5 | -90.8 | -84.2 | -78.5 |  |  |  |  |
| 30 |  | -95.6 | -93.6 | -91.0 | -84.2 | -78.6 |  |  |  |  |
| n30 | 15 | -99.0 | -95.8 |  |  |  |  |  |  |  |  |
| 30 |  | -96.1 |  |  |  |  |  |  |  |  |
| n65 | 15 | -99.5 | -96.3 | -94.5 | -93.3 |  |  |  |  |  | -89.2 |
| 30 |  | -96.6 | -94.6 | -93.5 |  |  |  |  |  | -89.3 |
| 60 |  | -97.0 | -94.9 | -93.7 |  |  |  |  |  | -89.4 |
| n66 | 15 | -99.5 | -96.3 | -94.5 | -93.3 | -92.2 | -91.4 | -90.7 | -90.1 | -89.6 |  |
| 30 |  | -96.6 | -94.6 | -93.5 | -92.3 | -91.5 | -90.8 | -90.2 | -89.7 |  |
| 60 |  | -97.0 | -94.9 | -93.7 | -92.5 | -91.6 | -90.9 | -90.4 | -89.8 |  |
|  | 15 | -100.0 | -96.8 | -95.0 | -93.8 | -92.7 |  |  |  |  |  |
| n70 | 30 |  | -97.1 | -95.1 | -94.0 | -92.8 |  |  |  |  |  |
|  | 60 |  | -97.5 | -95.4 | -94.2 | -93.0 |  |  |  |  |  |
| n71 | 15 | -97.2 | -94.0 | -91.6 | -86.0 | -84.1 | -82.5 | -80.7 |  |  |  |
| 30 |  | -94.3 | -91.9 | -87.4 | -84.2 | -82.6 | -80.8 |  |  |  |
| n74 | 15 | -99.53 | -96.33 | -94.53 | -89.33 |  |  |  |  |  |  |
| 30 |  | -96.63 | -94.63 | -89.53 |  |  |  |  |  |  |
| 60 |  | -97.03 | -94.93 | -89.63 |  |  |  |  |  |  |
| n85 | 15 | -97.0 | -93.8 | -84.0 |  |  |  |  |  |  |  |
|  | 30 |  | -94.1 | -84.1 |  |  |  |  |  |  |  |
| n100 | 15 | -100 |  |  |  |  |  |  |  |  |  |
| n105 | 15 | -97.28 | -94.0 | -91.6 | -86.9 | -85.1 | -83.8 | -82.5 |  |  |  |
|  | 30 |  | -94.3 | -91.9 | -87.9 | -85.5 | -84.3 | -82.6 |  |  |  |
| NOTE 1: Four Rx antenna ports shall be the baseline for this operating band except for two Rx vehicular UE. Four Rx antenna ports for RedCap UE is not supported for this operating band.  NOTE 2: The transmitter shall be set to PUMAX as defined in clause 6.2.4  NOTE 3: The requirement is modified by -0.5 dB when the assigned NR channel bandwidth is confined within 1475.9 - 1510.9 MHz.  NOTE 4: Void  NOTE 5: Void  NOTE 6: Values are modified by -0.5dB when carrier channel BW is between 865MHz and 894MHz.  NOTE 7: Void.  NOTE 8: DL channels overlapping the 612-617MHz range have 0.5dB added to the REFSENS | | | | | | | | | | | |

**Table 7.3.2-1b: Two antenna port reference sensitivity QPSK PREFSENS for TDD, SDL and FDD with variable duplex operation bands**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Operating band / SCS / Channel bandwidth / REFSENS** | | | | |
| **Operating band** | **SCS**  **kHz** | **Channel bandwidth (MHz)** | **REFSENS (dBm)8** | **Duplex Mode** |
| n297 | 15 | 5,10 | -97 + 10log10(NRB/25) | SDL |
| 30 | 10 | -94.1 + 10log10(NRB/24) |
| n34 | 15 | 5, 10, 15 | -100 + 10log10(NRB/25) | TDD |
| 30 | 10, 15 | -97.1 + 10log10(NRB/24) |
| 60 | 10, 15 | -97.5 + 10log10(NRB/11) |
| n381 | 15 | 5, 10, 15, 20, 25, 30, 40 | -100 + 10log10(NRB/25) | TDD |
| 30 | 10, 15, 20, 25, 30, 40 | -97.1 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 25, 30, 40 | -97.5 + 10log10(NRB/11) |
| n39 | 15 | 5, 10, 15, 20, 25, 30, 40 | -100 + 10log10(NRB/25) | TDD |
| 30 | 10, 15, 20, 25, 30, 40 | -97.1 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 25, 30, 40 | -97.5 + 10log10(NRB/11) |
| n40 | 15 | 5, 10, 15, 20, 25, 30, 40, 50 | -100 + 10log10(NRB/25) | TDD |
| 30 | 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | -97.1 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | -97.5 + 10log10(NRB/11) |
| n411, n901 | 15 | 5, 10, 15, 20, 25, 30, 35, 40, 45, 50 | -94.8 + 10log10(NRB/52) | TDD |
| 30 | 10, 15, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100 | -95.1 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100 | -95.5 + 10log10(NRB/11) |
| n481 | 15 | 5, 10, 15, 20, 30, 40, 505 | -99 + 10log10(NRB/25) | TDD |
| 30 | 10, 15, 20, 30, 40, 505, 605, 705, 805, 905, 1005 | -96.1 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 30, 40, 505, 605, 705, 805, 905, 1005 | -96.5 + 10log10(NRB/11) |
| n50 | 15 | 5, 10, 15, 20, 30, 40, 50 | -100 + 10log10(NRB/25) | TDD |
| 30 | 10, 15, 20, 30, 40, 50, 60, 80 | -97.1 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 30, 40, 50, 60, 80 | -97.5 + 10log10(NRB/11) |
| n51 | 15 | 5 | -100 | TDD |
| n53 | 15 | 5, 10 | -100 + 10log10(NRB/25) | TDD |
| 30 | 10 | -97.1 |
| 60 | 10 | -97.5 |
| n677 | 15 | 5, 10, 15, 20 | -100 + 10log10(NRB/25) | SDL |
|  | 30 | 10, 15, 20 | -97.1 + 10log10(NRB/24) |  |
| n757 | 15 | 5,10,15,20,25,30,40,50 | -100 + 10log10(NRB/25) | SDL |
| 30 | 10,15,20,25,30,40,50 | -97.1 + 10log10(NRB/24) |
| 60 | 10,15,20,25,30,40,50 | -97.5 + 10log10(NRB/11) |
| n767 | 15 | 5 | -95.3 + 10log10(NRB/52) | SDL |
| n771,4 | 15 | 10, 15, 20, 25, 30, 40, 50 | -95.3 + 10log10(NRB/52) | TDD |
| 30 | 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | -95.6 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | -96.0 + 10log10(NRB/11) |
| n781 | 15 | 10, 15, 20, 25, 30, 40, 50 | -95.8 + 10log10(NRB/52) | TDD |
| 30 | 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | -96.1 + 10log10(NRB/24) |
| 60 | 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | -96.5 + 10log10(NRB/11) |
| n791 | 15 | 10, 20, 30, 40, 50 | -95.8 + 10log10(NRB/52) | TDD |
| 30 | 10, 20, 30, 40, 50, 60, 70, 80, 90, 100 | -96.1 + 10log10(NRB/24) |
| 60 | 10, 20, 30, 40, 50, 60, 70, 80, 90, 100 | -96.5 + 10log10(NRB/11) |
| n91 | 15 | 5 | -100 | FDD |
| n92 | 15 | 5,10,15,20 | -100 + 10log10(NRB/25) | FDD |
| 30 | 10,15,20 | -97.1 + 10log10(NRB/24) |
| n93 | 15 | 5 | -100 | FDD |
| n94 | 15 | 5,10,15,20 | -100 + 10log10(NRB/25) | FDD |
|  | 30 | 10,15,20 | -97.1 + 10log10(NRB/24) |
| n101 | 15 | 5, 10 | -100 + 10log10(NRB/25) | TDD |
|  | 30 | 10 | -97.1 + 10log10(NRB/24) |  |
| n1041,10 | 15 | 20, 30, 40, 50 | -90.7 + 10log10(NRB/106) | TDD |
|  | 30 | 20, 30, 40, 50, 60, 70, 80, 90, 100 | -90.8 + 10log10(NRB/51) |  |
|  | 60 | 20, 30, 40, 50, 60, 70, 80, 90, 100 | -91.1 + 10log10(NRB/24) |  |
| NOTE 1: Four Rx antenna ports shall be the baseline for this operating band except for two Rx vehicular UE. Four Rx antenna ports for RedCap UE is not supported for this operating band.  NOTE 2: The transmitter shall be set to PUMAX as defined in clause 6.2.4.  NOTE 3: Void  NOTE 4: The requirement is modified by -0.5 dB when the assigned UE channel bandwidth is confined within 3300 - 3800 MHz.  NOTE 5: For these bandwidths, the minimum requirements are restricted to operation when carrier is configured as a downlink carrier part of CA configuration.  NOTE 6: Void  NOTE 7: For SDL bands, the reference sensitivity requirements shall be verified by inter-band CA combinations with SDL band, which are supported by UE.  NOTE 8: The REFSENS value is rounded to the nearest number down to one decimal point. “NRB” in REFSENS formula is the maximum transmission bandwidth configuration as defined in Table 5.3.2-1.  NOTE 9: Void.  NOTE 10: A UE may implement two RX antenna ports for band n104 when conditions are met. The exact conditions are FFS. | | | | |

For power class 2 UEs, certain degradation of the reference sensitivity in Table 7.3.2-1a is allowed. The maximum amount of degradation is specified in Table 7.3.2-1c, and in Table 7.3.2-1d for a UE that indicates *txDiversity-r16* [15].

**Table 7.3.2-1c Reference Sensitivity Degradation from PC3 to PC2 for FDD bands for UE not supporting Tx Diversity**

| Operating Band | 5  MHz (dB) | 10  MHz (dB) | 15  MHz (dB) | 20  MHz (dB) | 25  MHz (dB) | 30 MHz (dB) | 35 MHz (dB) | 40  MHz (dB) | 45 MHz (dB) | 50  MHz (dB) |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| n1 | 0 | 0 | 0 | 0 | 0 | 0 | - | 0 | 0 | 0 |
| n3 | 0.5 | 0.5 | 0.5 | 0.5 | 0.6 | 0.8 | 1.1 | 1.5 | 2.3 | 2.8 |
| NOTE 1: The transmitter shall be set to PUMAX as defined in clause 6.2.4 | | | | | | | | | | |

**Table 7.3.2-1d Reference Sensitivity Degradation from PC3 to PC2 for FDD bands for UE supporting Tx Diversity**

| Operating Band | 5  MHz (dB) | 10  MHz (dB) | 15  MHz (dB) | 20  MHz (dB) | 25  MHz (dB) | 30 MHz (dB) | 35 MHz (dB) | 40  MHz (dB) | 45 MHz (dB) | 50  MHz (dB) |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| n1 | 0 | 0 | 0 | 0 | 0 | 0 | - | 0 | 0 | 0 |
| n3 | 1.4 | 1.5 | 1.5 | 1.5 | 1.6 | 1.7 | 2.8 | 5 | 5.5 | 6.0 |
| NOTE 1: The transmitter shall be set to PUMAX as defined in clause 6.2G.4 | | | | | | | | | | |

For UE(s) equipped with 4 Rx antenna ports, reference sensitivity for 2Rx antenna ports in Table 7.3.2-1a and in Table 7.3.2-1b shall be modified by the amount given in ΔRIB,4R in Table 7.3.2-2 for the applicable operating bands.

Table 7.3.2-2: Four antenna port reference sensitivity allowance ΔRIB,4R

|  |  |
| --- | --- |
| Operating band | ΔRIB,4R (dB) |
| n5, n8, n28, n71, n85, n105 | -2.71 |
| n1, n2, n3, n25, n30, n40, n7, n34, n38, n39, n41, n66, n70 | -2.7 |
| n48, n77, n78, n79, n104 | -2.2 |
| NOTE 1: 4 Rx operation is targeted for FWA form factor | |

*<Unchanged texts are omitted>*

## << End change >>