**3GPP TSG RAN WG4 Meeting #102-e R4-2206942**

**E-meeting, 21 Feb. 2022 – 03 Mar. 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **-** | **rev** | **1** | **Current version:** | **17.4.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Draft CR on TRP specific BFR and BFR with two CORESET | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_feMIMO-Core | | | | |  | ***Date:*** | | | 2022-02-14 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RAN4 introduced TRP specific BFR in Rel-17 and corresponding requirements needs to be specified.  When a CORESET with two active TCI states are configured for HST-SFN, RLM/BFD behaviour shall be specifed. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introducing the TRP specific BFR requirements for FeMIMO.  Introdcuing RLM/BFD behaviour when a CORESET with two active TCI states are configured for HST-SFN. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | TRP specific BFR requirements will be missing in spec.  When a CORESET with two active TCI states are configured for HST-SFN, RLM/BFD behaviour will be missing in spec. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.5, 8.1, new (8.5B) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<Start of Change 1>

## 8.5B TRP specific Link Recovery Procedures

### 8.5B.1 Introduction

The UE shall assess the downlink radio link quality of a serving cell and cell with different PCI based on the reference signal provided in the two sets and as specified in TS 38.213 [3] in order to detect beam failure on:

- PCell in SA, NR-DC, or NE-DC operation mode,

- PSCell in NR-DC and EN-DC operation mode,

- SCell in SA, NR-DC, NE-DC or EN-DC operation mode.

The RS resource configurations in the two sets and on PCell or PSCell can be periodic CSI-RS resources and/or SSBs. RS resource configuration in the two sets and on SCell shall be periodic CSI-RS. UE is not required to perform beam failure detection outside the active DL BWP. UE is not required to meet the requirements in clause 8.5.2 and 8.5.3 if UE does not have configured sets and . UE is not required to perform beam failure detection on a deactivated SCell, and also not required to perform beam failure detection on resources which is implicitly configured for a deactivated SCell. UE is not required to perform beam failure detection on a SCell on which and is not configured.

On each RS resource configuration in the two sets and , the UE shall estimate the radio link quality and compare it to the threshold Qout\_LR for the purpose of accessing downlink radio link quality of the serving cell and cell with different PCI beams.

The threshold Qout\_LR is defined as the level at which the downlink radio level link of a given resource configuration on set two sets and cannot be reliably received and shall correspond to the BLERout = 10% block error rate of a hypothetical PDCCH transmission. For SSB based beam failure detection, Qout\_LR\_SSB is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5B.2.1-1. For CSI-RS based beam failure detection, Qout\_LR\_CSI-RS is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5B.3.1-1.

Upon request the UE shall deliver configuration indexes from the two sets and as specified in TS 38.213 [3] , to higher layers, and the corresponding L1-RSRP measurement provided that the measured L1-RSRP is equal to or better than the threshold Qin\_LR, which is indicated by higher layer parameter *rsrp-ThresholdSSB*. The UE applies the Qin\_LR threshold to the L1-RSRP measurement obtained from an SSB. The UE applies the Qin\_LR threshold to the L1-RSRP measurement obtained for a CSI-RS resource after scaling a respective CSI-RS reception power with a value provided by higher layer parameter *powerControlOffsetSS*. The RS resource configurations in the two sets and can be periodic CSI-RS resources or SSBs or both SSB and CSI-RS resources. UE is not required to perform candidate beam detection outside the active DL BWP. UE is not required to perform candidate beam detection on a SCell on which and is not configured.8.5.2A Requirements for TRP specific SSB based beam failure detection

#### 8.5B.2.1 Introduction

The requirements in this clause apply for each SSB resource in the set two sets and configured for a serving cell and cell with different PCI, provided that the SSB configured for beam failure detection is actually transmitted within the UE active DL BWP during the entire evaluation period specified in clause 8.5B.2.2.

Table 8.5B.2.1-1: PDCCH transmission parameters for beam failure instance

|  |  |
| --- | --- |
| Attribute | Value for BLER |
| DCI format | 1-0 |
| Number of control OFDM symbols | 2 |
| Aggregation level (CCE) | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 0dB |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 0dB |
| Bandwidth (PRBs) | 24 |
| Sub-carrier spacing (kHz) | Same as the SCS of RMSI CORESET |
| DMRS precoder granularity | REG bundle size |
| REG bundle size | 6 |
| CP length | Normal |
| Mapping from REG to CCE | Distributed |

#### 8.5B.2.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured SSB resource in two sets and estimated over the last TEvaluate\_BFD\_SSB ms period becomes worse than the threshold Qout\_LR\_SSB within TEvaluate\_BFD\_SSB ms period.

The value of TEvaluate\_BFD\_SSB is defined in Table 8.5.2.2-1 for FR1.

The value of TEvaluate\_BFD\_SSB is defined in Table 8.5.2.2-2 for FR2 with scaling factor N=8

For FR1,

- , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB.

- P=1 when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- , when BFD-RS resource is not overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod).

- P = Psharing factor, when the BFD-RS resource is not overlapped with measurement gap and the BFD-RS resource is fully overlapped with SMTC period (TSSB = TSMTCperiod).

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and

- TSMTCperiod ≠ MGRP or

- TSMTCperiod = MGRP and TSSB < 0.5\*TSMTCperiod

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and TSMTCperiod = MGRP and TSSB = 0.5\*TSMTCperiod

- , when the BFD-RS resource is partially overlapped with measurement gap (TSSB <MGRP) and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is partially or fully overlapped with measurement gap.

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is fully overlapped with SMTC occasion (TSSB = TSMTCperiod) and SMTC occasion is partially overlapped with measurement gap (TSMTCperiod < MGRP)

- Psharing factor = 1, if the BFD-RS resource outside measurement gap is

- not overlapped with the SSB symbols indicated by SSB-ToMeasure and 1 data symbol before each consecutive SSB symbols indicated by SSB-ToMeasure and 1 data symbol after each consecutive SSB symbols indicated by SSB-ToMeasure, given that SSB-ToMeasure is configured, where the *SSB-ToMeasure* is the union set of *SSB-ToMeasure* from all the configured measurement objects merged on the same serving carrier, and;

- not overlapped with the RSSI symbols indicated by ss-RSSI-Measurement and 1 data symbol before each RSSI symbol indicated by ss-RSSI-Measurement and 1 data symbol after each RSSI symbol indicated by ss-RSSI-Measurement, given that ss-RSSI-Measurement is configured.- Psharing factor = 3, otherwise.

where,

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, TSMTCperiod corresponds to the value of higher layer parameter *smtc2*; Otherwise TSMTCperiod corresponds to the value of higher layer parameter *smtc1*. TSMTCperiod is the shortest SMTC period among all CCs in the same FR2 band, given the SMTC offset of all CCs in FR2 provided the same offset.

Longer evaluation period would be expected if the combination of BFD-RS resource, SMTC occasion and measurement gap configurations does not meet pervious conditions.

For either an FR1 or FR2 serving cell/cell with different PCI, longer evaluation period would be expected during the period Tidentify\_CGI when the UE is requested to decode an NR CGI.

For either an FR1 or FR2 serving cell/cell with different PCI, longer BFD evaluation period would be expected during the period Tidentify\_CGI,E-UTRAN when the UE is requested to decode an LTE CGI.

The values of PTRP defined in table 8.5B.2.2-2 is defined as [2] if SSB/CSI-RS resource in the two sets and are received in the same OFDM symbol and using different QCL type-D else it is 1. When two sets and are failed at the same time, an UE shall be able to evaluate BFR-RS resource in the set with the values of PTRP defines as 1.

Table 8.5B.2.2-1: Evaluation period TEvaluate\_BFD\_SSB for FR1

|  |  |
| --- | --- |
| Configuration | TEvaluate\_BFD\_SSB (ms) |
| no DRX | Max(50, Ceil(5 × P) × TSSB) |
| DRX cycle ≤ 320ms | Max(50, Ceil(7.5 × P) × Max(TDRX,TSSB)) |
| DRX cycle > 320ms | Ceil(5 × P) × TDRX |
| Note: TSSB is the periodicity of SSB in the two sets and . TDRX is the DRX cycle length. | |

Table 8.5B.2.2-2: Evaluation period TEvaluate\_BFD\_SSB for FR2

|  |  |
| --- | --- |
| Configuration | TEvaluate\_BFD\_SSB (ms) |
| no DRX | Max(50, Ceil(5 × P × N\*PTRP) × TSSB) |
| DRX cycle ≤ 320ms | Max(50, Ceil(7.5 × P × N\*PTRP) × Max(TDRX,TSSB)) |
| DRX cycle > 320ms | Ceil(5 × P × N\*PTRP) × TDRX |
| Note: TSSB is the periodicity of SSB in the two sets and . TDRX is the DRX cycle length. | |

#### 8.5B.2.3 Measurement restriction for SSB based beam failure detection

The UE is required to be capable of measuring SSB for BFD without measurement gaps. The UE is required to perform the SSB measurements with measurement restrictions as described in the following scenarios.

For FR1, when the SSB for BFD measurement is in the same OFDM symbol as CSI-RS for RLM, BFD, CBD or L1-RSRP measurement,

- If SSB and CSI-RS have same SCS, UE shall be able to measure the SSB for BFD measurement without any restriction;

- If SSB and CSI-RS have different SCS,

- If UE supports *simultaneousRxDataSSB-DiffNumerology*, UE shall be able to measure the SSB for BFD measurement without any restriction.

- If UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both SSB for BFD measurement and CSI-RS. Longer measurement period for SSB based BFD measurement is expected, and no requirements are defined.

For FR2, when the SSB for BFD measurement on one CC is in the same OFDM symbol as CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band, UE is required to measure one of but not both SSB for BFD measurement and CSI-RS. Longer measurement period for SSB based BFD measurement is expected, and no requirements are defined.

For FR2, if the network configures same or mixed numerology between SSB for BFD measurement on one FR2 band and CSI-RS for RLM, BFD, CBD, L1-RSRP or L1-SINR measurement on the other FR2 band, UE shall be able to perform the related SSB based measurements in one band without any measurement restrictions on the other band, provided that UE is capable of independent beam management on this FR2 band pair.

### 8.5B.3 Requirements for CSI-RS based beam failure detection

#### 8.5B.3.1 Introduction

The requirements in this clause apply for each CSI-RS resource in the two sets and of resource configurations for a serving cell or cell with different PCI, provided that the CSI-RS resource(s) in two sets and for beam failure detection are actually transmitted within the UE active DL BWP during the entire evaluation period specified in clause 8.5.3.2. UE is not expected to perform beam failure detection measurements on the CSI-RS configured for BFD if the CSI-RS is not QCL-ed, with QCL-TypeD when applicable, with the RS in the active TCI state of any CORESET configured in the UE active BWP.

Table 8.5B.3.1-1: PDCCH transmission parameters for beam failure instance

|  |  |
| --- | --- |
| Attribute | Value for BLER |
| DCI format | 1-0 |
| Number of control OFDM symbols | 2 |
| Aggregation level (CCE) | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | 0dB |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | 0dB |
| Bandwidth (PRBs) | 48 |
| Sub-carrier spacing (kHz) | SCS of the active DL BWP |
| DMRS precoder granularity | REG bundle size |
| REG bundle size | 6 |
| CP length | Normal |
| Mapping from REG to CCE | Distributed |

#### 8.5B.3.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the CSI-RS resource in two sets and estimated over the last TEvaluate\_BFD\_CSI-RS ms period becomes worse than the threshold Qout\_LR\_CSI-RS within TEvaluate\_BFD\_CSI-RS ms period.

The value of TEvaluate\_BFD\_CSI-RS is defined in Table 8.5B.3.2-1 for FR1.

The value of TEvaluate\_BFD\_CSI-RS is defined in Table 8.5B.3.2-2 for FR2 with N=1. The requirements of TEvaluate\_BFD\_CSI-RS apply provided that the CSI-RS for BFD is not in a resource set configured with repetition ON. The requirements shall not apply when the CSI-RS resource in the active TCI state of CORESET is the same CSI-RS resource for BFD and the TCI state information of the CSI-RS resource is not given, wherein the TCI state information means QCL Type-D to SSB for L1-RSRP or CSI-RS with repetition ON.

For FR1,

- , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the CSI-RS.

- P = 1 when in the monitored cell there are no measurement gaps overlapping with any occasion of the CSI-RS.

For FR2,

- P = 1, when the BFD-RS resource is not overlapped with measurement gap and also not overlapped with SMTC occasion.

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is not overlapped with SMTC occasion (TCSI-RS < MGRP)

- , when the BFD-RS resource is not overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod).

- P = Psharing factor, when the BFD-RS resource is not overlapped with measurement gap and the BFD-RS resource is fully overlapped with SMTC occasion (TCSI-RS = TSMTCperiod).

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and

- TSMTCperiod ≠ MGRP or

- TSMTCperiod = MGRP and TCSI-RS < 0.5 × TSMTCperiod

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and TSMTCperiod = MGRP and TCSI-RS = 0.5 × TSMTCperiod

- , when the BFD-RS resource is partially overlapped with measurement gap (TCSI-RS < MGRP) and the BFD-RS resource is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod) and SMTC occasion is partially or fully overlapped with measurement gap.

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is fully overlapped with SMTC occasion (TCSI-RS = TSMTCperiod) and SMTC occasion is partially overlapped with measurement gap (TSMTCperiod < MGRP)

- Psharing factor = 1, if the BFD-RS resource outside measurement gap is

- not overlapped with the SSB symbols indicated by *SSB-ToMeasure* and 1 data symbol before each consecutive SSB symbols indicated by *SSB-ToMeasure* and 1 data symbol after each consecutive SSB symbols indicated by *SSB-ToMeasure*, given that *SSB-ToMeasure* is configured, where the *SSB-ToMeasure* is the union set of *SSB-ToMeasure* from all the configured measurement objects merged on the same serving carrier, and;

- not overlapped with the RSSI symbols indicated by *ss-RSSI-Measurement* and 1 data symbol before each RSSI symbol indicated by *ss-RSSI-Measurement* and 1 data symbol after each RSSI symbol indicated by *ss-RSSI-Measurement*, given that *ss-RSSI-Measurement* is configured,

- Psharing factor = 3, otherwise.

where,

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, TSMTCperiod corresponds to the value of higher layer parameter *smtc2*; Otherwise TSMTCperiod corresponds to the value of higher layer parameter *smtc1*. TSMTCperiod is the shortest SMTC period among all CCs in the same FR2 band, provided the SMTC offset of all CCs in FR2 have the same offset.

Note: The overlap between CSI-RS for BFD and SMTC means that CSI-RS for BFD is within the SMTC window duration.

Longer evaluation period would be expected if the combination of the BFD-RS resource, SMTC occasion and measurement gap configurations does not meet pervious conditions.

For either an FR1 or FR2 serving cell/cell with different PCI, longer evaluation period would be expected during the period Tidentify\_CGI when the UE is requested to decode an NR CGI.

For either an FR1 or FR2 serving cell/cell with different PCI, longer BFD evaluation period would be expected during the period Tidentify\_CGI,E-UTRAN when the UE is requested to decode an LTE CGI.

The values of MBFD used in Table 8.5.3.2-1 and Table 8.5.3.2-2 are defined as

- MBFD = 10, if the CSI-RS resource(s) in the two sets and used for BFD is transmitted with Density = 3 and over the bandwidth ≥ 24 PRBs.

The values of PBFD used in Table 8.5.3.2-1 and Table 8.5.3.2-2 are defined as

For each CSI-RS resource in the two sets and configured for PCell or PSCell in EN-DC or NE-DC or SA; or PCell in NR-DC

- PBFD = 1.

For each CSI-RS resource in the two sets and configured for PSCell in NR-DC

PBFD = 2 if UE is configured for beam failure detection on SCell, 1 otherwise.

For each CSI-RS resource in the two sets and configured for a SCell

- PBFD = Z in EN-DC or NE-DC or SA.

- PBFD = 2\* Z in NR-DC.

Where Z is the number of band(s) on which UE is performing beam failure detection only for SCell.

The values of PTRP define in table 8.5B.3.2-2 is defined as [2] if SSB/CSI-RS resource in the two sets and are received using different QCL type-D else it is 1. When two sets and are failed at the same time, an UE shall be able to evaluate BFR-RS resource in the set with the values of PTRP defines as 1.

**Table 8.5B.3.2-1: Evaluation period TEvaluate\_BFD\_CSI-RS for FR1**

|  |  |
| --- | --- |
| Configuration | TEvaluate\_BFD\_CSI-RS (ms) |
| no DRX | Max(50, Ceil(MBFD × P × PBFD) × TCSI-RS) |
| DRX cycle ≤ 320ms | Max(50, Ceil(1.5 × MBFD × P × PBFD) × Max(TDRX, TCSI-RS)) |
| DRX cycle > 320ms | Ceil(MBFD × P × PBFD) × TDRX |
| Note: TCSI-RS is the periodicity of CSI-RS resource in the two sets and . TDRX is the DRX cycle length. | |

**Table 8.5B.3.2-2: Evaluation period TEvaluate\_BFD\_CSI-RS for FR2**

|  |  |
| --- | --- |
| Configuration | TEvaluate\_BFD\_CSI-RS (ms) |
| no DRX | Max(50, Ceil(MBFD × P × N × PBFD\*PTRP) × TCSI-RS) |
| DRX cycle ≤ 320ms | Max(50, Ceil(1.5 × MBFD × P × N × PBFD\*PTRP) × Max(TDRX, TCSI-RS)) |
| DRX cycle > 320ms | Ceil(MBFD × P × N × PBFD\*PTRP) × TDRX |
| Note: TCSI-RS is the periodicity of CSI-RS resource in the two sets and . TDRX is the DRX cycle length. | |

#### 8.5B.3.3 Measurement restrictions for CSI-RS beam failure detection

The UE is required to be capable of measuring CSI-RS for BFD without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following scenarios.

For both FR1 and FR2, when the CSI-RS for BFD measurement is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS measurement without restrictions.

- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for BFD measurement is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as SSB for RLM, BFD or L1-RSRP measurement on the same CC or different CCs in the same band, or in the same symbol as SSB for CBD measurement on the same CC or different CCs in the same band when beam failure is detected, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band,

- In the following cases, UE is required to measure one of but not both CSI-RS for BFD measurement and the other CSI-RS. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

- The CSI-RS for BFD measurement or the other CSI-RS in a resource set configured with repetition ON, or

- The other CSI-RS is configured in two sets and and beam failure is detected, or

- The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,

- Otherwise, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

<End of Change 1>

<Start of Change 2>

## 8.1 Radio Link Monitoring

### 8.1.1 Introduction

The requirements in clause 8.1 apply for radio link monitoring on:

- PCell in SA NR, NR-DC and NE-DC operation mode,

- PSCell in NR-DC and EN-DC operation mode.

The UE shall monitor the downlink radio link quality based on the reference signal configured as RLM-RS resource(s) in order to detect the downlink radio link quality of the PCell and PSCell as specified in TS 38.213 [3]. The configured RLM-RS resources can be all SSBs, or all CSI-RSs, or a mix of SSBs and CSI-RSs. UE is not required to perform RLM outside the active DL BWP.

On each RLM-RS resource, the UE shall estimate the downlink radio link quality and compare it to the thresholds Qout and Qin for the purpose of monitoring downlink radio link quality of the cell.

When a CORESET that the UE uses for monitoring PDCCH includes two TCI states and the UE is provided *sfnSchemePdcch* set to 'sfnSchemeA' or 'sfnSchemeB', the UE shall estimate the downlink radio link quality and compare it to the single thresholds Qout and Qin for the purpose of monitoring downlink radio link quality of the cell(s). How to compute the single hypothetical PDCCH SNR based on two active TCI states is upto UE implementation.

The threshold Qout is defined as the level at which the downlink radio link cannot be reliably received and shall correspond to the out-of-sync block error rate (BLERout) as defined in Table 8.1.1-1. For SSB based radio link monitoring, Qout\_SSB is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.2.1-1. For CSI-RS based radio link monitoring, Qout\_CSI-RS is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.3.1-1.

The threshold Qin is defined as the level at which the downlink radio link quality can be received with significantly higher reliability than at Qout and shall correspond to the in-sync block error rate (BLERin) as defined in Table 8.1.1-1. For SSB based radio link monitoring, Qin\_SSB is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.2.1-2. For CSI-RS based radio link monitoring, Qin\_CSI-RS is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.3.1-2.

The out-of-sync block error rate (BLERout) and in-sync block error rate (BLERin) are determined from the network configuration via parameter *rlmInSyncOutOfSyncThreshold* signalled by higher layers. When UE is not configured with *rlmInSyncOutOfSyncThreshold* from the network, UE determines out-of-sync and in-sync block error rates from Configuration #0 in Table 8.1.1-1 by default. All requirements in clause 8.1 are applicable for BLER Configuration #0 in Table 8.1.1-1.

Table 8.1.1-1: Out-of-sync and in-sync block error rates

|  |  |  |
| --- | --- | --- |
| Configuration | BLERout | BLERin |
| 0 | 10% | 2% |

UE shall be able to monitor up to NRLM RLM-RS resources of the same or different types in each corresponding carrier frequency range, depending on a maximum number  of SSBs per half frame according to TS 38.213 [3], where NRLM is specified in Table 8.1.1-2 according TS 38.213 [3], and meet the requirements as specified in clause 8.1. UE is not required to meet the requirements in clause 8.1 if RLM-RS is not configured and no TCI state for PDCCH is activated.

Table 8.1.1-2: Maximum number of RLM-RS resources NRLM

|  |  |  |
| --- | --- | --- |
| Carrier frequency range of PCell/PSCell |  | Maximum number of RLM-RS resources, NRLM |
| FR1, ≤ 3 GHzNote | 4 | 2 |
| FR1, > 3 GHzNote | 8 | 4 |
| FR2 | 64 | 8 |
| NOTE: For unpaired spectrum operation with Case C - 30 kHz SCS, 3GHz is replaced by 1.88GHz, as specified in clause 4.1 in TS 38.213 [3]. | | |

### 8.1.2 Requirements for SSB based radio link monitoring

#### 8.1.2.1 Introduction

The requirements in this clause apply for each SSB based RLM-RS resource configured for PCell or PSCell, provided that the SSB configured for RLM is actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.1.2.2.

<End of Change 2>

<Start of Change 3>

## 8.5 Link Recovery Procedures

### 8.5.1 Introduction

The UE shall assess the downlink radio link quality of a serving cell based on the reference signal in the set  as specified in TS 38.213 [3] in order to detect beam failure on:

- PCell in SA, NR-DC, or NE-DC operation mode,

- PSCell in NR-DC and EN-DC operation mode,

- SCell in SA, NR-DC, NE-DC or EN-DC operation mode.

The RS resource configurations in the set  on PCell or PSCell can be periodic CSI-RS resources and/or SSBs. RS resource configuration in the set  on SCell shall be periodic CSI-RS. UE is not required to perform beam failure detection outside the active DL BWP. UE is not required to meet the requirements in clause 8.5.2 and 8.5.3 if UE does not have set . UE is not required to perform beam failure detection on a deactivated SCell, and also not required to perform beam failure detection on resources which is implicitly configured for a deactivated SCell. When more than 2 periodic CSI-RS resources on a CC are configured in the set  for current SCell or implicitly configured in the set  for other SCell, it is up to UE implementation to select two of CSI-RS resources in active BWP in current CC to perform beam failure detection. UE is not required to perform beam failure detection on a SCell on which  is not configured.

On each RS resource configuration in the set , the UE shall estimate the radio link quality and compare it to the threshold Qout\_LR for the purpose of accessing downlink radio link quality of the serving cell beams.

When a CORESET that the UE uses for monitoring PDCCH includes two TCI states and the UE is provided *sfnSchemePdcch* set to 'sfnSchemeA' or 'sfnSchemeB', the UE shall estimate the downlink radio link quality and compare it to the single thresholds Qout\_LR for the purpose of accessing downlink radio link quality of the serving cell beams. How to compute the single hypothetical PDCCH SNR based on two active TCI states is upto UE implementation.

The threshold Qout\_LR is defined as the level at which the downlink radio level link of a given resource configuration on set  cannot be reliably received and shall correspond to the BLERout = 10% block error rate of a hypothetical PDCCH transmission. For SSB based beam failure detection, Qout\_LR\_SSB is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5.2.1-1. For CSI-RS based beam failure detection, Qout\_LR\_CSI-RS is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5.3.1-1.

Upon request the UE shall deliver configuration indexes from the set as specified in TS 38.213 [3] , to higher layers, and the corresponding L1-RSRP measurement provided that the measured L1-RSRP is equal to or better than the threshold Qin\_LR, which is indicated by higher layer parameter *rsrp-ThresholdSSB*. The UE applies the Qin\_LR threshold to the L1-RSRP measurement obtained from an SSB. The UE applies the Qin\_LR threshold to the L1-RSRP measurement obtained for a CSI-RS resource after scaling a respective CSI-RS reception power with a value provided by higher layer parameter *powerControlOffsetSS*. The RS resource configurations in the set  can be periodic CSI-RS resources or SSBs or both SSB and CSI-RS resources. UE is not required to perform candidate beam detection outside the active DL BWP. UE is not required to perform candidate beam detection on a SCell on which  is not configured.

### 8.5.2 Requirements for SSB based beam failure detection

#### 8.5.2.1 Introduction

The requirements in this clause apply for each SSB resource in the set  configured for a serving cell, provided that the SSB configured for beam failure detection is actually transmitted within the UE active DL BWP during the entire evaluation period specified in clause 8.5.2.2. The requirements in this clause could not be applicable if UE is required to perform beam failure detection on more than 1 serving cell per band.

<End of Change 3>