**3GPP TSG-RAN WG3 Meeting #115**  **R3-222912**

**E-meeting, 21 Feb. – 3 Mar. 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **37.340** | **CR** | **-** | **rev** | **-** | **Current version:** | **16.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | (BLCR to 37.340) Addition of SON features enhancement | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Samsung, Nokia, Nokia Shanghai Bell, Ericsson, Huawei, ZTE, CMCC | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_ENDC\_SON\_MDT\_enh-Core | | | | |  | ***Date:*** | | | 2022-3-4 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | *Rel-17* |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | To support SON functions for DC. | | | | | | | | |
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| ***Summary of change:*** | | Add the definition of the MRO for PScell Change Failure.  Add the description on SCG UE history information | | | | | | | | |
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| ***Consequences if not approved:*** | | SON for DC is not supported. | | | | | | | | |
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| ***Clauses affected:*** | | 10.6, 10.xx(new), 13.x(new) | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS38.300  TS38.423 CR0517  TS38.413 CR0530  TS38.473 CR0710  TS38.401 CR0165 | | |
| ***affected:*** | |  | **X** | Test specifications | | | |  | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | |  | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev on 20220128: Add the agreed TP in R3-221282  Rev on 20220304: Add the agreed TP in R3-222820 | | | | | | | | |

============ Next Change ==============

10.6 PSCell change

In MR-DC, a PSCell change does not always require a security key change.

If a security key change is required, this is performed through a synchronous SCG reconfiguration procedure towards the UE involving random access on PSCell and a security key change, during which the MAC entity configured for SCG is reset and RLC configured for SCG is re-established regardless of the bearer type(s) established on SCG. For SN terminated bearers, PDCP is re-established. In all MR-DC options, to perform this procedure within the same SN, the SN Modification procedure as described in clause 10.3 is used, setting the *PDCP Change Indication* to indicate that a S-KgNB (for EN-DC, NGEN-DC and NR-DC) or S-KeNB (for NE-DC) update is required when the procedure is initiated by the SN or including the *SgNB Security Key* / *SN Security Key* when the procedure is initiated by the MN. In all MR-DC options, to perform a PSCell change between different SN nodes, the SN Change procedure as described in clause 10.5 is used.

If a security key change is not required (only possible in EN-DC, NGEN-DC and NR-DC), this is performed through a synchronous SCG reconfiguration procedure without security key change towards the UE involving random access on PSCell, during which the MAC entity configured for SCG is reset and RLC configured for SCG is re-established regardless of the bearer type(s) established on SCG. For DRBs using RLC AM mode PDCP data recovery applies, and for DRBs using RLC UM no action is performed in PDCP. For SRB3 PDCP may discard all stored SDUs and PDUs. Unless MN terminated SCG or split bearers are configured, this does not require MN involvement. In this case, if location information was requested for the UE, the SN informs the MN about the PSCell change (as part of location information) using the SN initiated SN modification procedure independently from the reconfiguration of the UE. In case of MN terminated SCG or split bearers, the SN initiated SN Modification procedure as described in clause 10.3 is used, setting the *PDCP Change Indication* to indicate that a PDCP data recovery is required. If the MN subscribes to PSCell changes to retrieve the SCG UE history information, the SN informs the MN about the SCG UE history information using the SN initiated SN modification procedure.

A Conditional PSCell Change (CPC) is defined as a PSCell change that is executed by the UE when execution condition(s) is met. The UE starts evaluating the execution condition(s) upon receiving the CPC configuration, and stops evaluating the execution condition(s) once PSCell change is triggered. Only intra-SN CPC without MN involvement is supported.

The following principles apply to CPC:

- The CPC configuration contains the configuration of CPC candidate PSCell(s) and execution condition(s) generated by the SN.

- An execution condition may consist of one or two trigger condition(s) (CPC events A3/A5, as defined in TS 38.331 [4]). Only single RS type is supported and at most two different trigger quantities (e.g. RSRP and RSRQ, RSRP and SINR, etc.) can be configured simultaneously for the evalution of CPC execution condition of a single candidate PSCell.

- Before any CPC execution condition is satisfied, upon reception of PSCell change command or PCell change command, the UE executes the PSCell change procedure as described in clause 10.3 and 10.5 or the PCell change procedure as described in clause 9.2.3.2 in TS 38.300[3] or clause 10.1.2.1 in TS 36.300 [2], regardless of any previously received CPC configuration. Upon the successful completion of PSCell change procedure or PCell change procedure, the UE releases all stored CPC configurations.

- While executing CPC, the UE is not required to continue evaluating the execution condition of other candidate PSCell(s).

- Once the CPC procedure is executed successfully, the UE releases all stored CPC configurations.

- Upon the release of SCG, the UE releases the stored CPC configurations.

CPC configuration in HO command, PSCell change command or conditional reconfiguration is not supported.

============ Next Change ==============

10.xx Self-optimisation for PSCell change

### 10.xx.1 General

For analysis of PSCell change failure, the UE makes the SCG Failure Information available to the MN.

MN performs initial analysis to identify the node that caused the failure. If the failure is caused by a SN, the MN forwards the SCG Failure Information to the SN. The SN performs the final root cause analysis. The details of the solution, including the description in this paragraph are FFS.

### 10.xx.2 PSCell change failure

One of the functions of self-optimization for PSCell change is to detect PSCell change failures that occur due to Too late PSCell change or Too early PSCell change, or Triggering PSCell change to wrong PSCell. These problems are defined as follows:

* Too late PSCell change: an SCG failure occurs after the UE has stayed for a long period of time in the PSCell; a suitable different PSCell is found based on the measurements reported from the UE.
* Too early PSCell change: an SCG failure occurs shortly after a successful PSCell change from a source PSCell to a target PSCell or a PSCell change failure occurs during the PSCell change procedure; source PSCell is still the suitable PSCell based on the measurements reported from the UE.
* Triggering PSCell change to wrong PSCell: an SCG failure occurs shortly after a successful PSCell change from a source PSCell to a target PSCell or a PSCell change failure occurs during the PSCell change procedure; a suitable PSCell different with source PSCell or target PSCell is found based on the measurements reported from the UE.

In the definition above, the "successful PSCell change" refers to the UE state, namely the successful completion of the RA procedure.

============ Next Change ==============

## 13.x SCG UE history information

The MN stores and correlates the UE History Information from MN and SN(s) as long as the UE stays in MR-DC, forwards UE History Information and optional UE History Information from the UE to its connected SNs. The resulting information is then used by SN in subsequent handover preparation. The SN is in charge of collecting SCG UE history information and providing the collected information to the MN based on MN request or MN subscription on the PSCell change.

The MN may retrieve the SCG UE history information via the SN Addition and SN Modification procedures. SN shall provide the SCG UE history information, if available, in the SN Addition, SN Modification, SN Release, and SN initiated SN Change procedures.

============ End of Change ==============