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Technical Specification

**3rd Generation Partnership Project (3GPP);
Technical Specification Group (TSG) RAN**

UTRAN Iur Interface RNSAP Signalling

[UMTS 25.423]



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Intellectual Property Rights

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project, Technical Specification Group RAN.

The contents of this TS may be subject to continuing work within the 3GPP and may change following formal TSG approval. Should the TSG modify the contents of this TS, it will be re-released with an identifying change of release date and an increase in version number as follows:

Version m.t.e

where:

- m indicates [major version number]
- x the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- y the third digit is incremented when editorial only changes have been incorporated into the specification.

4 Scope

The present document specifies the radio network layer signalling procedures between RNCs in UTRAN.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.

[1] UMTS 25.931, UTRAN Functions, Examples on Signalling Procedures

~~[2]~~ UMTS 25.426, UTRAN Iur and Iub Interface Data Transport & Transport Layer Signalling for DCH Data Streams

~~[3]~~ UMTS xx.yyy, Specification containing different Identifiers for UMTS (to be identified)

~~[4]~~ UMTS 25.215, Physical layer – Measurements (FDD)

~~[Editor's note:~~

~~The reference ~~[3]~~ needs to be identified. Until then the description of the parameters CN PS Domain Identifier, CN CS Domain Identifier, and CRNC ID contains more information than otherwise may be needed.]~~

3 Definitions, Symbols and Abbreviations

3.1 Definitions

For the purposes of the present document, the [following] terms and definitions [given in ... and the following] apply.

<defined term>: <definition>.

example: text used to clarify abstract rules by applying them literally.

3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> <Explanation>

3.3 Abbreviations

AAL2	ATM Adaptation Layer type 2
ASN.1	Abstract Syntax Notation One
ATM	Asynchronous Transfer Mode
BCCH	Broadcast Control Channel
BID	Binding Identity
CCPCH	Common Control Physical Channel
CFN	Connection Frame Number
CN	Core Network
CRNC	Controlling RNC
DCH	Dedicated Channel
DL	Downlink
DPCH	Dedicated Physical Channel
DRNC	Drift RNC
DRNS	Drift RNS
DRX	Discontinuous Reception
FN	Frame Number
FP	Frame Protocol
MAC	Medium Access Control
PDU	Protocol Data Unit
QoS	Quality of Service
RAB	Radio Access Bearer
RL	Radio Link
RNS	Radio Network Subsystem
RNSAP	Radio Network Subsystem Application Part
RNTI	Radio Network Temporary Identifier
RRC	Radio Resource Control
SFN	System Frame Number
SRNC	Serving RNC
SRNS	Serving RNS
TFCI	Transport Format Combination Indicator
TFCS	Transport Format Combination Set
TFS	Transport Format Set
UARFCN	UMTS Absolute Radio Frequency Channel Number
UARFN	UMTS Absolute Radio Frequency Number
UE	User Equipment
UL	Uplink
URA	UTRAN Registration Area
UTRAN	UMTS Terrestrial Radio Access Network

4 General

[Editor's note: This chapter should describe requirements on RNSAP forward/backward compatibility, error handling principles, message coding principles etc.]

The sender of an RNSAP message shall include the Source Signalling Address, i.e. the Signalling Address of the sending node.

The issue of the transport layer address is FFS.

5 RNSAP Services

The RNSAP offers the following services:

5.1 RNSAP Procedure Modules

The Iur interface RNSAP procedures are divided into four modules as follows:

1. RNSAP Basic Mobility Procedures
2. RNSAP DCH Procedures
3. RNSAP Common Transport Channel Procedures
4. RNSAP Global Procedures

The Basic Procedures module contains procedures used to handle the mobility within UTRAN.

The DCH Procedures module contains procedures that are used to handle DCHs between two RNSs. If procedures from this module are not used in a specific Iur, then the usage of DCH traffic between corresponding RNSs is not possible.

The Common Transport Channel Procedures module contains procedures that are used to control common transport channel data streams over Iur interface.

The Global Procedures module contains procedures that are not related to a specific UE. The procedures in this module are in contrast to the above modules involving two peer CRNCs.

5.2 Parallel Transactions

There can only be one RNSAP procedure for a specific UE active at one instance of time.

6 Services Expected from Signalling Transport

7 Functions of RNSAP

The following procedures are included in RNSAP:

Basic Mobility Procedures	Reference
Uplink Signalling Transfer	08.1.1
Downlink Signalling Transfer	08.1.2
SRNS Relocation Commit	08.1.3

Paging Request	<u>08.1.4</u>
DCH procedures	
Radio Link Setup	<u>08.2.1</u>
Radio Link Addition	<u>08.2.2</u>
Radio Link Deletion	<u>08.2.3</u>
Radio Link Reconfiguration (synchronised)	<u>08.2.4</u>
Radio Link Reconfiguration (unsynchronised)	<u>08.2.5</u>
Physical Channel Reconfiguration	<u>08.2.6</u>
Radio Link Failure	<u>08.2.7</u>
<u>Radio Link Restoration</u>	<u>08.2.8</u>
Radio Link Load Indication	<u>08.2.9</u> 08.2.8
Measurement Request	<u>08.2.10</u> 08.2.9
Measurements Reporting	<u>08.2.11</u> 08.2.10
Measurement Termination	<u>08.2.12</u> 08.2.11
Down Link Power Control	<u>08.2.13</u> 08.2.12
Common Transport Channel Procedures	
Common Transport Channel Resources Release	<u>08.3.1</u>
Common Transport Channel Initialisation	<u>08.3.2</u>
Global Procedures	
Load Information Request	<u>08.4.1</u>
Load Information	<u>08.4.2</u>
Neighbouring Cell Measurement	<u>08.4.3</u>

8 RNSAP Procedures

[Editor's note: This chapter should list RNSAP procedures, including a text describing the procedure (triggering events, successful and unsuccessful outcome. Message sequences should be provided (using Word pictures for simple editing).]

8.1 Basic Mobility Procedures

8.1.1 Uplink Signalling Transfer

The Uplink Signalling Transfer message is used to transfer radio interface messages containing s-RNTI and SRNC ID as UE addressing information from the CRNC to the Serving RNC. The message contains the message received L3 Information, S-RNTI, D-RNTI, C-RNTI, and the UTRAN Cell Identifier (UC-Id) (the RRC message reception cell). The message further more includes the identifier for the CRNC. If the message received from the UE was the first message from that UE in the DRNC the UPLINK SIGNALLING TRANSFER INDICATION message further more includes the identifiers for the CN CS Domain and CN PS Domain that the CRNC is connected to. These CN Domain Identifiers are based on the LAC and RAC respectively of the cell where the message was received from the UE.

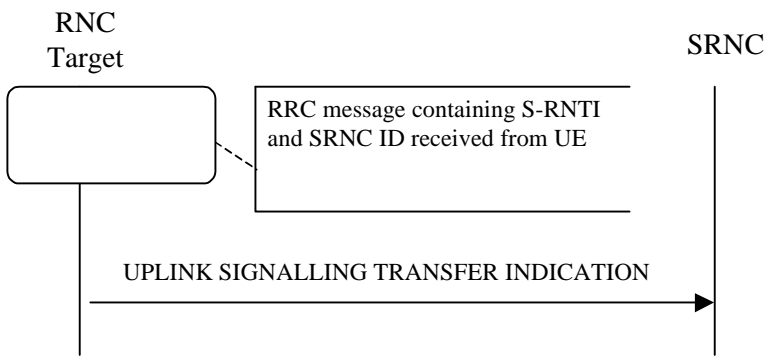


Figure 19-8: An example RNSAP message flow at I_{ur} interface for Uplink Signalling Transfer

8.1.2 Downlink Signalling Transfer

The procedure may be used by the SRNC in response to a received Uplink Signalling Transfer message.

The procedure consists in the DOWNLINK SIGNALLING TRANSFER REQUEST message sent by the SRNC to the DRNC.

The message contains the L3 Information to be sent to the UE, the UTRAN Cell Identifier (UC-Id) contained in the Uplink Signalling Transfer message, the D-RNTI and an indication if the D-RNTI shall be released at the reception of the message.



Figure 29-9: An example RNSAP message flow at I_{ur} interface for Downlink Signalling Transfer

8.1.3 SRNS Relocation Commit

The SRNS RELOCATION COMMIT procedure is part of the SRNS Relocation procedure described in [14].

The source RNC sends the SRNS RELOCATION COMMIT message to the target RNC when it has received an indication that it can proceed with the SRNC Relocation procedure from all the involved CN nodes [14]. When the UE is utilising one or more radio links in the DRNC the message will be sent using the connection oriented service of the signalling bearer and no further identification of the UE context in the DRNC is required. If on the other hand, the UE is not utilising any radio link and thus uses common transport channel resources the message will be sent using the connectionless service of the signalling bearer and the D-RNTI is included in the message to identify the UE context in the DRNC.

At reception of the SRNS RELOCATION COMMIT message from the source RNC the target RNC executes the DL and UL switch for all RABs belonging to the UE at the earliest suitable time instance.

Prior to reception of the SRNS RELOCATION COMMIT message the target RNC has received a request to perform SRNS Relocation from all the involved CN nodes and responded to the CN nodes with a proceeding indication. The Iu transport bearers for each radio access bearer have also been established between the target RNC and all CN nodes.



Figure 39-14: SRNC Relocation Commit

8.1.4 Paging Request

This procedure is used by the SRNC to indicate to the Controlling RNC that a UE should be paged in a cell or URA. The UE is identified by its SRNC ID and S-RNTI, and the SRNC indicates which area to page in by the URA identity or the UTRAN Cell Identifier (UC-Id). The SRNC also provides other potential information that may be needed (e.g. DRX parameters).

[Editor's note:

The UE identification was regarded FFS pending the RRC specification when R3-(99)680 was concluded upon. However, later when R3-(99)656 was concluded upon the SRNC-ID and the S-RNTI were added to the message in chapter below. The UE identification is therefore not regarded as FFS anymore.]

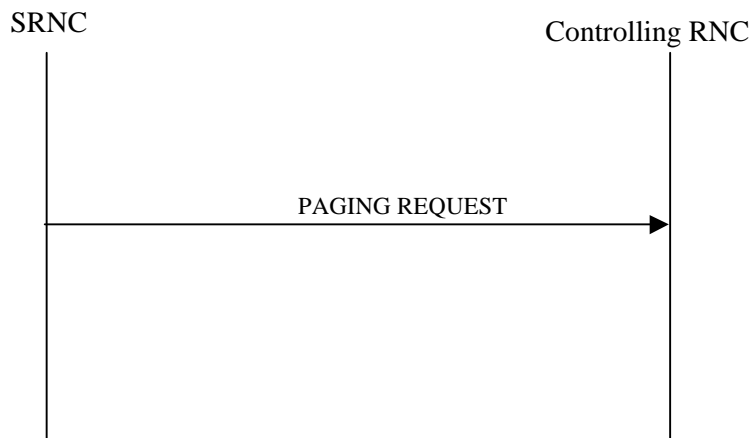


Figure 49-13: Paging Request

8.2 DCH procedures

8.2.1 Radio Link Setup

When the serving RNC makes an algorithmic decision to add the first cell or set of cells from another RNS (a drift RNS) to the active set of a specific RRC connection, the RNSAP message RADIO LINK SETUP REQUEST is sent to the corresponding drift RNC to request setup of the radio link(s). This message contains essentially RL identifier(s), the target UTRAN Cell Identifier(s) (UC-Id(s)), transport format sets (TFs) for each active DCH and DSCH, and desired radio resources for each radio link. The message also contains the MACd-MACsh Transport Format Set for if a DSCH

is involved in the setup. The message may also include the Allowed Queuing Time for the procedure. The serving RNC also indicates when several radio links are to be setup in the drift RNS, either that

1. the radio links may be combined by the DRNS, or
2. the radio links must not be combined.

Additional information is FFS.

[Editor's note:

When reading the text above it is clear that there is an inconsistency when it comes to the description of the Transport Format Set for the DSCH. There are two descriptions. The first one was agreed as text for the procedure (R3-(99)652) and describes the TFS in the same way for both DCHs and a DSCH. The second description was agreed as content of the message (R3-(99)675) and describes the TFS for the DSCH as the MACd-MACsh TFS. The message content was agreed later during the meeting. Contributions are invited to clarify the TFS for the DSCH.]

When setting up coordinated DCHs, if the receiver is not able to setup one of the DCHs, the setup of the other DCHs requested with the same DCH Combination Indicator value shall be rejected.

Since the drift RNS is responsible for its own radio resources the load control (Admission control) must be performed due to the request, In successful case (the load is not too high) the drift RNS allocates requested type of channelisation codes for each RL and assigns a binding identifier and a transmission address (e.g. ATM Address) for each DCH or set of co-ordinated DCHs as well as for the DSCH if no suitable Iur User plane Transport bearer is available. If the Allowed Queuing Time was provided in the request the drift RNS may queue the request before providing a response to the SRNC. This information is sent to the serving RNS in the message RADIO LINK SETUP RESPONSE when all the RLs have been successfully setup. The drift RNS also provides the serving RNC with the:

- UTRAN Cell Identifier (UC-Id) of all neighboring cells to the cell(s) where the radio link(s) is added.
- Information related to neighboring cells necessary for the serving RNS (the exact parameters are FFS).
- The node identifications (i.e. RNC, CN domain nodes) of any RNC controlling neighbouring cells not controlled by the drift RNC.
- The node identifications of the CN Domain nodes that the RNC is connected to (using LAC and RAC of the current cell).
- The DSCH TFS.

Mechanisms to reduce the amount of information to be transported are FFS.

The serving RNC is responsible for setting up the I_{ur} transport bearers for each DCH and for the DSCH if required. The transport bearers are setup towards the address indicated in the RADIO LINK SETUP RESPONSE message from the drift RNC. Also the setup messages should include the corresponding binding identifier, which will be used by the drift RNC to map each transport bearer to the corresponding DCH, set of co-ordinated DCHs, or DSCH.

In unsuccessful case (i.e. one or more RLs can not be setup) an RNSAP message RADIO LINK SETUP FAILURE is returned, indicating among other things the reason for failure.

An example of a corresponding message flow at the Iur interface is presented in Figure 9-1.

~~Editor's note:~~

~~The above information related to the DSCH is agreed as a working assumption.]~~

~~{Editor's note:~~

~~The text above related to the Allowed Queuing Time is the Editor's proposal, as agreed for contribution R3-99A08. This note shall be deleted when the text is approved.]~~

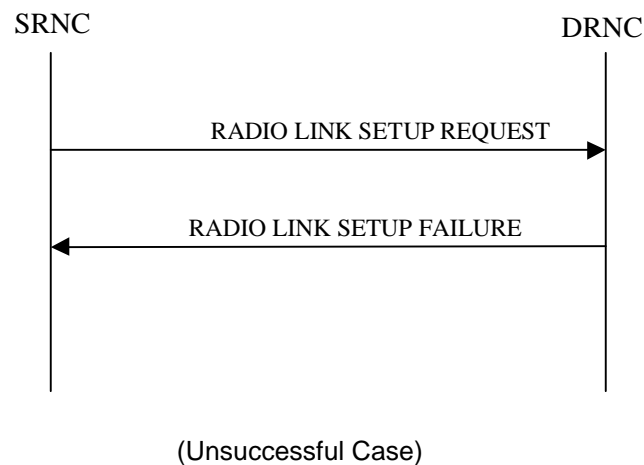
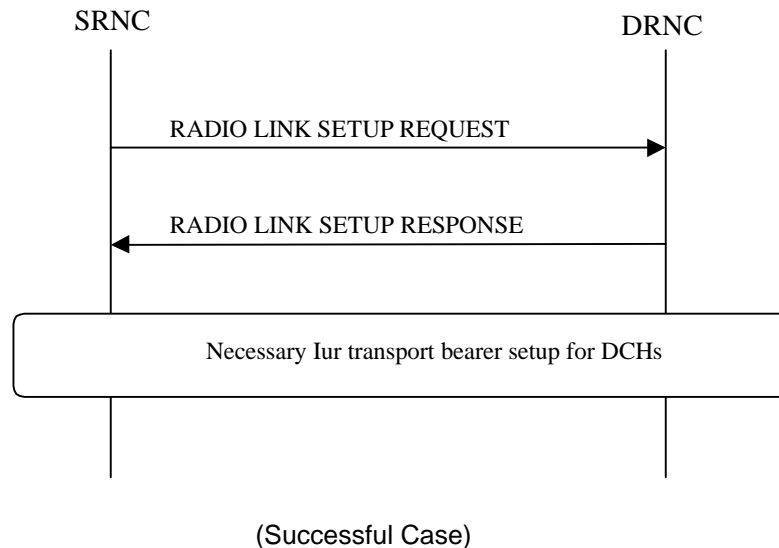


Figure 59-4: An example RNSAP message flow at I_{ur} interface for RL setup.

[Editor’s note: At RAN WG3 #7 it was agreed as a working assumption to include the version of the user plane protocol in the control plane. However, the details are FFS.]

8.2.2 Radio Link Addition

When the serving RNC makes an algorithmic decision to add an additional cell or set of cells from another RNS (a drift RNS) to the active set of a specific RRC connection, the RNSAP message RADIO LINK ADDITION REQUEST is sent to the corresponding drift RNC to request addition of a radio link. This message contains essentially RL identifier, the target UTRAN Cell Identifier (UC-Id), transport format sets (TFSs) for each active DCH and desired radio resources for each radio link. The serving RNC also indicates either that

1. the new radio link may be combined with already existing radio links for this RRC connection, or
2. the new radio link must not be combined with already existing radio links for this RRC connection.

Additional information is FFS.

Since the drift RNS is responsible for its own radio resources the load control (Admission control) must be performed due to the request. In successful case (the load is not too high) the drift RNS allocates requested type of channelisation codes for each RL and assigns a binding identifier and a transmission address (e.g. AAL2 address) for each DCH or set of co-ordinated DCHs. The message may further more contain the DSCH TFS and its related Binding ID. The time at which the DRNS allocates the channelisation code is FFS. This information is sent to the Serving RNC in the message

RL ADDITION RESPONSE when all RLs have been successfully setup. The drift RNC also provides the SRNC with the:

- UTRAN Cell Identifier (UC-Id) of all neighboring cells to the cell(s) where the radio link(s) is added,
- information related to neighboring cells necessary for the SRNC (the exact parameters are FFS), and
- The node identifications (i.e. RNC, CN domain nodes) of any RNC controlling neighbouring cells not controlled by the drift RNC.

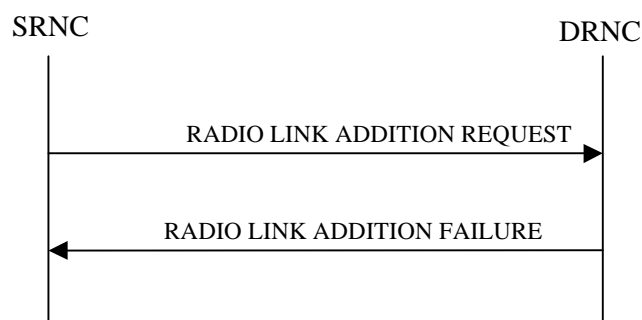
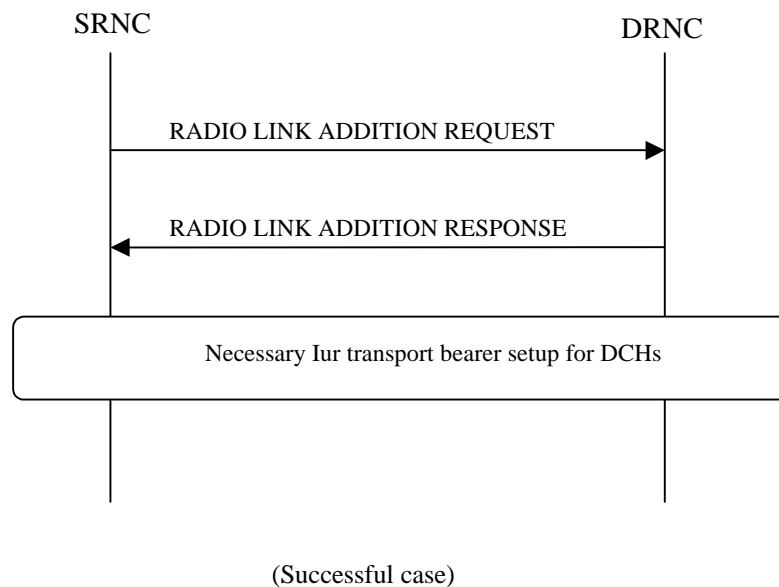
Mechanisms to reduce the amount of information to be transported is FFS.

The serving RNC is responsible for setting up the I_{ur} transport bearers for each DCH. The transport bearers are setup towards the address indicated in the RL ADDITION RESPONSE message from the drift RNC. Also the setup messages should include the corresponding binding identifier, which will be used by the drift RNC to map each transport bearer to the corresponding DCH or set of co-ordinated DCHs.

In case the serving RNC has indicated that the new radio link may be combined with already existing radio links for this RRC connection, the drift RNS may instead of assigning binding identifiers and transport addresses in the RL ADDITION RESPONSE message indicate that the already existing I_{ur} transport bearers can be used also for the new radio link. In such a case the response includes the ATM Binding ID of the already existing AAL2 connection. If old transport bearers are used, then the serving RNC does not perform additional transport bearer setups.

In unsuccessful case (i.e. one or more RLs can not be added) an RNSAP message RADIO LINK ADDITION FAILURE is returned, indicating among other things the reason for failure.

An example of a corresponding message flow at I_{ur} interface is presented in figure 9-2.



(Unsuccessful case)

Figure 69-2: An example RNSAP protocol message flow at I_{ur} interface for inter RNS RL addition.

[Editor’s note: At RAN WG3 #7 it was agreed as a working assumption to include the version of the user plane protocol in the control plane. However, the details are FFS.]

8.2.3 Radio Link Deletion

When the serving RNC makes an algorithmic decision to delete a cell from another RNS (drift RNS) from the active set of a specific RRC connection, the message RL DELETION REQUEST to request deletion of radio link is sent to the corresponding drift RNC. The message contains essentially the RL identifier to be deleted. Upon reception of the message, the Drift RNS should immediately delete the radio link and all related allocations within the drift RNS and acknowledge the deletion to the Serving RNC by the message RL DELETION RESPONSE. If the radio link to be deleted is the last radio link for the UE in the DRNS then the DRNC shall also release the UE context unless the UE is using common resources in the DRNS.

The serving RNC is responsible to release the corresponding I_{ur} transport bearers, if they are not used by other radio links.

An example of a corresponding message flow at I_{ur} interface is presented in figure 9-3.

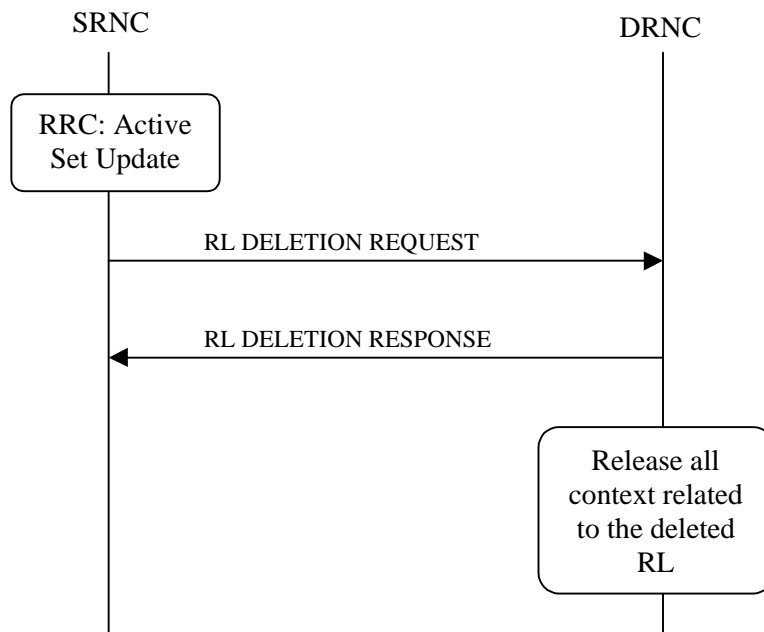


Figure 79-3: An example RNSAP protocol message flow at I_{ur} interface for interRNS RL deletion.

8.2.4 Radio Link Reconfiguration (synchronised)

[Editor's note:

It is agreed as a working assumption that not only the unsynchronised reconfiguration (chapter 8.2.5 below) but also the synchronised reconfiguration procedure can be used for a DSCH. However, the text below does not reflect this decision, due to lack of contributions. Contributions are invited.]

RL Reconfiguration procedure is used to reconfigure radio links related to one UE-UTRAN connection within one DRNS. The procedure can be used to add, delete or modify a DCH, or to perform physical channel reconfiguration.

The RL Reconfiguration procedure is initiated by the serving RNC by sending the RNSAP message RL RECONFIGURATION PREPARE to the DRNC. The message is sent using the relevant signalling connection.

The message includes essentially the desired radio link parameters for the radio links after completion of this procedure. The message may also include the Allowed Queuing Time for the procedure. The following parameters can be specified (the list is to be considered as an incomplete example):

Possible parameters related to all radio links after completion of the procedure:

- DL channelisation code type(s)
- New UL channelisation type
- New TFCS
- IDs of the DCHs to be added / deleted or modified
- Priority of the added/modified DCH
- TFS of the added/modified DCH
- MACd-sh Transport Format Set and the associated RL ID for a DSCH (if any)

If the Allowed Queuing Time was provided in the request the drift RNS may queue the request before providing a response to the SRNC.

If the proposed modifications are allowed by the DRNS resource management algorithms, and the DRNC has successfully reserved the required resources it responds to the SRNC with RL RECONFIGURATION READY message.

When setting up coordinated DCHs, if the receiver is not able to setup one of the DCHs, the setup of the other DCHs requested with the same DCH Combination Indicator value shall be rejected.

In case of deleting one or more coordinated DCHs, the deletion of all DCHs established together with the same value for the DCH Combination Indicator, shall be requested with one message. If deletion of only a subset of the coordinated DCHs is requested, the complete deletion shall be rejected

If the requested reconfiguration fails for one or more RLs the DRNC sends the RNSAP message RL RECONFIGURATION FAILURE to the SRNC, indicating among other things the reason for failure.

The RL RECONFIGURATION READY message contains the downlink channelisation codes for each radio link (if changed), a Binding Identifier (BID) and transmission address (e.g. AAL2 address) for each new Iur transport bearer (if any). The message may further more contain the DSCH TFS and its related Binding ID.

SRNC informs the UE about the changes in radio links (RL) with the relevant RRC message(s) and sends the RL RECONFIGURATION COMMIT message to DRNCs.

SRNC is responsible for releasing unnecessary Iur transport bearers (if any).

~~Note. A mechanism for synchronising the switch from the old to the new configuration in the UE and the DRNS is needed and FFS.~~

~~Editor's note:~~

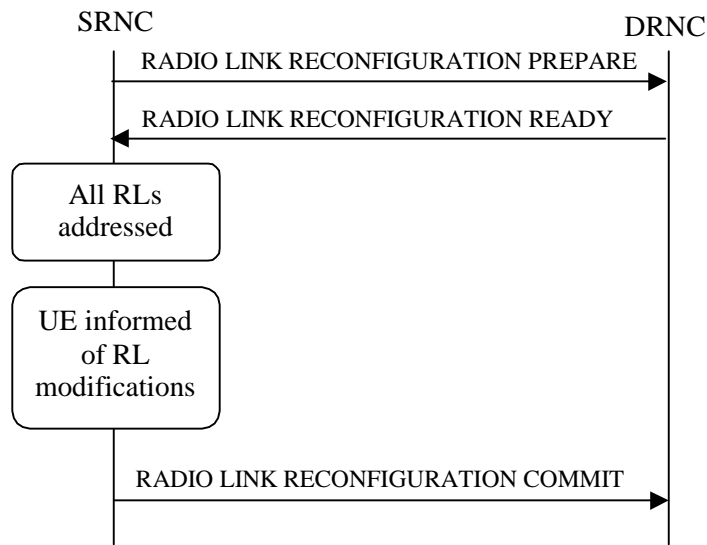
~~The above information related to the DSCH is agreed as a working assumption.]~~

~~-[Editor's note:~~

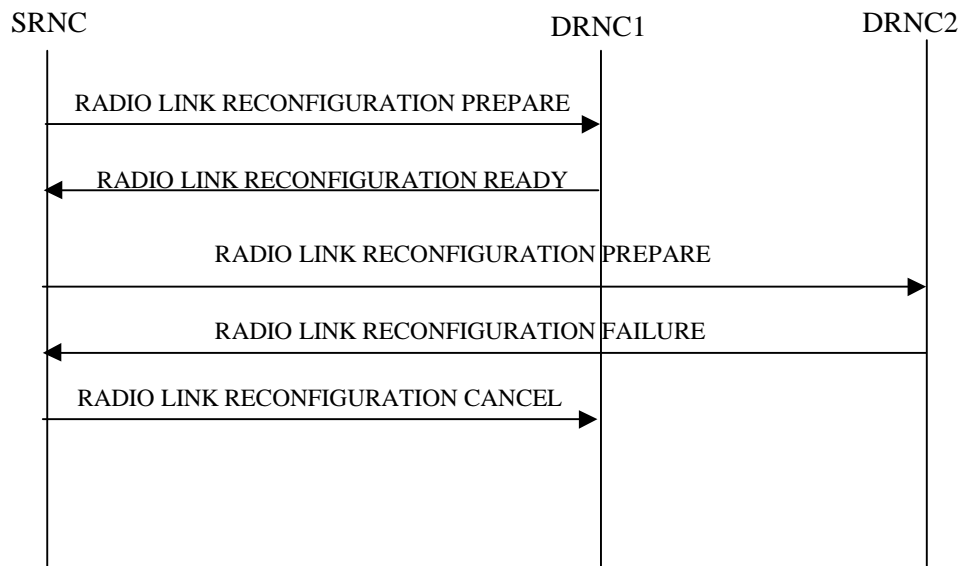
~~The restrictions on addition and deletion of a DSCH are FFS.]~~

~~[Editor's note:~~

~~The text above related to the Allowed Queuing Time is the Editor's proposal, as agreed for contribution R3-99A08. This note shall be deleted when the text is approved.]~~



(Successful case)



(Unsuccessful case)

Figure 89-4: RL Reconfiguration procedure (synchronised)

[Editor's note: At RAN WG3 #7 it was agreed as a working assumption to include the version of the user plane protocol in the control plane. However, the details are FFS.]

8.2.5 Radio Link Reconfiguration (unsynchronised)

RL Reconfiguration procedure is used to reconfigure radio links related to one UE-UTRAN connection within one DRNS. The procedure can be used to add, delete or modify a DCH or DSCH, or to perform transport channel reconfiguration.

The Unsynchronised RL Reconfiguration is used when there is no need to synchronise the time of the switching from the old to the new configuration in the NodeBs used by the UE-UTRAN connection. This is the case when new TFCs are added or old TFCs are deleted without changing the TFCI values of the TFCs that are maintained during the reconfiguration.

The RL Reconfiguration procedure (unsynchronised) is initiated by the serving RNC by sending the RNSAP message RL RECONFIGURATION REQUEST to the DRNC. The message is sent using the relevant signalling connection.

The message includes essentially the desired radio link parameters for the radio links after completion of this procedure. The message may also include the Allowed Queuing Time for the procedure. The following parameters can be specified (the list is to be considered as an incomplete example):

Possible parameters related to all radio links after completion of the procedure:

- New TFCs
- IDs of the DCHs to be added / deleted or modified
- Priority of the added/modified DCH
- TFS of the added/modified DCH
- DSCH Information (DSCH Identifier, RL Identifier, Transport Format Set)
- MACd-sh Transport Format Set and the associated RL ID for a DSCH (if any)

[Editor's note:

The two above bullet points related to DSCH Information are not fully consistent. The first one was agreed as text for the procedure (R3-(99)652) and the second one as content of the message (R3-(99)675). The message contents was agreed later during the meeting. Contributions are invited to clarify this issue.]

If the Allowed Queuing Time was provided in the request the drift RNS may queue the request before providing a response to the SRNC.

If the proposed modifications are allowed by the DRNS resource management algorithms, and the DRNC has successfully reserved the required resources it responds to the SRNC with RL RECONFIGURATION RESPONSE message.

When setting up coordinated DCHs, if the receiver is not able to setup one of the DCHs, the setup of the other DCHs requested with the same DCH Combination Indicator value shall be rejected.

In case of deleting one or more coordinated DCHs, the deletion of all DCHs established together with the same value for the DCH Combination Indicator, shall be requested with one message. If deletion of only a subset of the coordinated DCHs is requested, the complete deletion shall be rejected

If the requested reconfiguration fails for one or more RLs the DRNC sends the RNSAP message RL RECONFIGURATION FAILURE to the SRNC, indicating among other things the reason for failure.

The RL RECONFIGURATION RESPONSE message contains the downlink spreading codes for each radio link (if changed), a Binding Identifier (BID) and transmission address (e.g. AAL2 address) for each new Iur transport bearer (if any). The message may further more contain the DSCH TFS and its related Binding ID.

SRNC is responsible for releasing unnecessary Iur transport bearers (if any).

~~Editor's note:~~

~~The above information related to the DSCH is agreed as a working assumption.]~~

~~Editor's note:~~

~~The restrictions on addition and deletion of a DSCH are FFS.]~~

~~Editor's note:~~

~~The text above related to the Allowed Queuing Time is the Editor's proposal, as agreed for contribution R3-99A08. This note shall be deleted when the text is approved.]~~

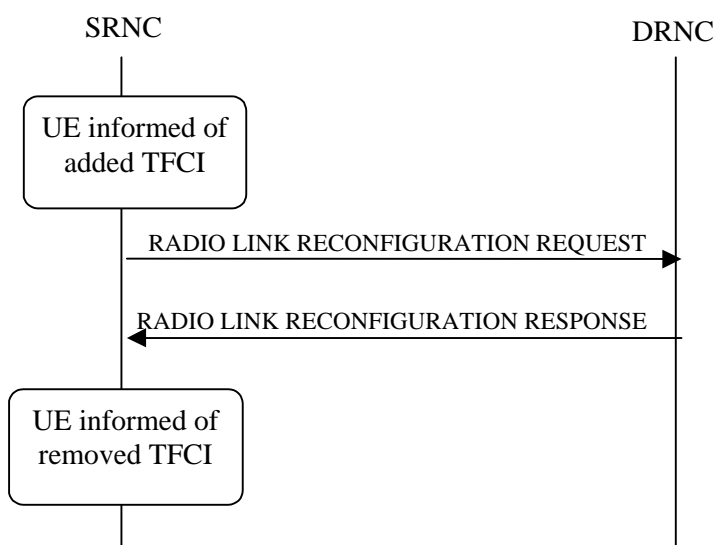


Figure 99-5: RL Reconfiguration procedure (unsynchronised)

~~Editor's note: At RAN WG3 #7 it was agreed as a working assumption to include the version of the user plane protocol in the control plane. However, the details are FFS.]~~

8.2.6 Physical Channel Reconfiguration

~~Editor's note: The description of this procedure does not match the changes to the message PHYSICAL CHANNEL RECONFIGURATION REQUEST agreed at the RAN WG3 meeting #4 in Warwick.]~~

Physical Channel Reconfiguration procedure is initiated by the DRNC when it detects the need to reconfigure one of its physical channels. The DRNC sends a PHYSICAL CHANNEL RECONFIGURATION REQUEST to the SRNC via the appropriate dedicated connection.

Upon reception of the PHYSICAL CHANNEL RECONFIGURATION REQUEST, the SRNC decides appropriate execution time for the change. It informs the UE and responds with the PHYSICAL CHANNEL RECONFIGURATION COMMAND to the DRNC.

If the SRNC can not accept the reconfiguration request it will send the PHYSICAL CHANNEL RECONFIGURATION FAILURE message to the DRNC.

If the DRNC receives RL RECONFIGURATION PREPARE, RL RECONFIGURATION REQUEST or RL DELETION REQUEST it should also be interpreted as a physical channel reconfiguration failure. These messages thus override the DRNC request for physical channel reconfiguration.

In FDD Physical Channel Reconfiguration is used to change the DL channelisation codes of radio link(s) related to one UE-UTRAN connection. The spreading factor can not be changed and this procedure is used only to defragment the DL channelisation code pool. The procedure is initiated when the DRNC detects unwanted fragmentation in the DL channelisation code pool(s). In this case the PHYSICAL CHANNEL RECONFIGURATION REQUEST message includes the radio link ID(s) and proposal for the new DL channelisation codes for them. Upon reception of PHYSICAL CHANNEL RECONFIGURATION COMMAND DRNC makes the switch to the new codes and releases the old DL channelisation codes.

In TDD Physical Channel Reconfiguration is used to change the UL/DL TS and/or User Code of the radio link related to one UE-UTRAN connection. In this case the PHYSICAL CHANNEL RECONFIGURATION REQUEST message includes the radio link ID and proposal for the new UL/DL TS and/or User Code.

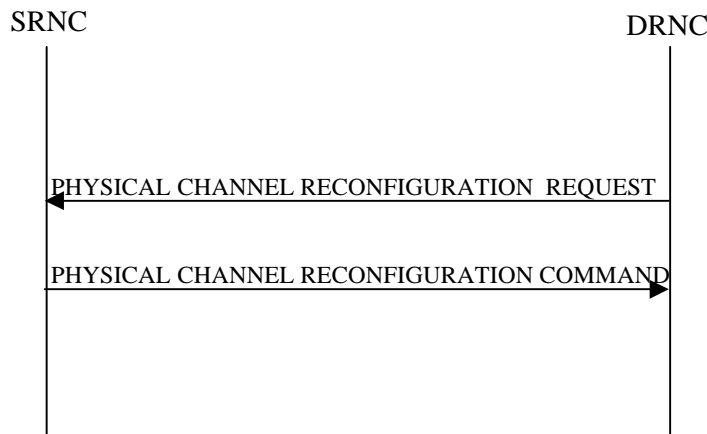


Figure 109-6-: Physical Channel Reconfiguration procedure

8.2.7 Radio Link Failure

This procedure is started by the drift RNS when a radio link is no longer available. The reasons for this is a DRNS internal failure or congestion (in the RNC or in the Node B or in the interfaces) or lost radio interface synchronisation due to bad radio condition. Other reasons are FFS.

The RL failure procedure is also used to notify the non achievement or loss of UL synchronisation: the message is sent when the UL synchronisation of the radio link is not achieved after any of the procedures RL Setup, RL Addition, synchronised RL reconfiguration, or unsynchronised RL reconfiguration. The message is also sent if the UL synchronisation it is lost during an active connection.

As consequence the DRNC sends the RNSAP message RL FAILURE INDICATION to the SRNC. The message is sent using the relevant signalling connection.

The message specifies at least:

- RL ID(s): The message may address all the radio links of the drift RNC
- A reason code for the release (ex: cell congestion, hardware failures, etc.)

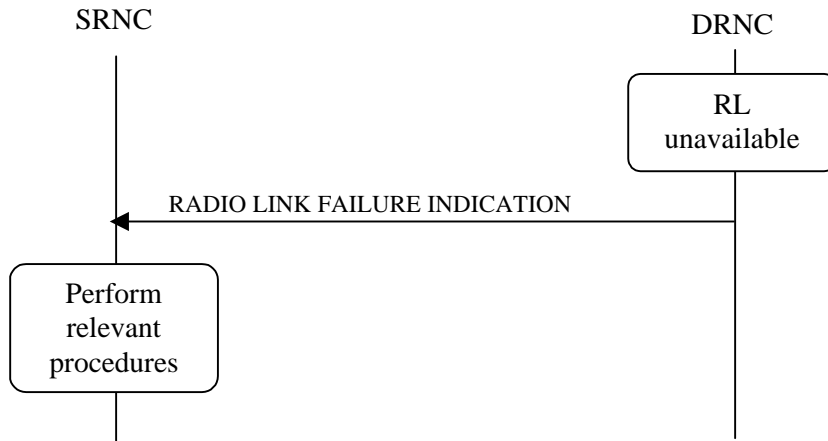


Figure 119-11: RL Failure procedure

Whether this procedure can also be used to notify dropping of DCH(s) is FFS.

Radio Link Restoration

This procedure is used to notify of re-establishment of UL synchronisation after that the RL Failure procedure has been used to notify the loss of the synchronisation.

When the UL synchronisation is re-established, the DRNC sends the RADIO LINK RESTORE INDICATION message to the SRNC(RNC). The message is sent only if the RL Failure procedure has been previously used to notify the loss of UL synchronisation, and it is not sent if an RNSAP synchronised or unsynchronised RL Reconfiguration or RL Deletion have been activated in the DRNC after the RL failure has been sent.

The RADIO LINK RESTORE INDICATION message is not sent to notify the successful achievement of the UL synchronisation during the procedures RL Setup, Addition, and synchronised RL Reconfiguration as well as unsynchronised RL Reconfiguration.



Figure 12: RL Restoration procedure

8.2.8 Radio Link Load Indication

Load Indication procedure is triggered by the Drift RNS. It is used to indicate to the Serving RNC about the necessity to modify some DCH parameters within the Drift RNS. Whether or not to include this procedure in the Radio Measurement Reporting procedure is FFS.

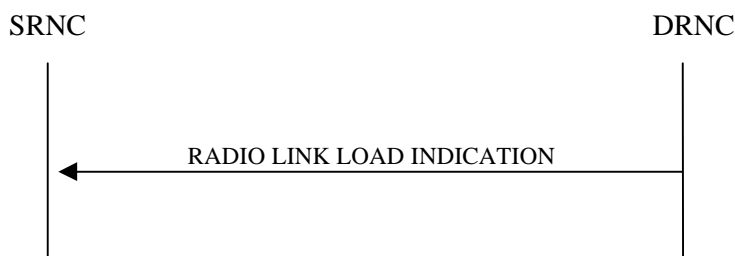


Figure 139-x: An example RNSAP message flow at I_{ur} interface for Radio Link Load Indication.

8.2.9 Measurement Request

For requesting measurements, the SRNC use the following procedure:

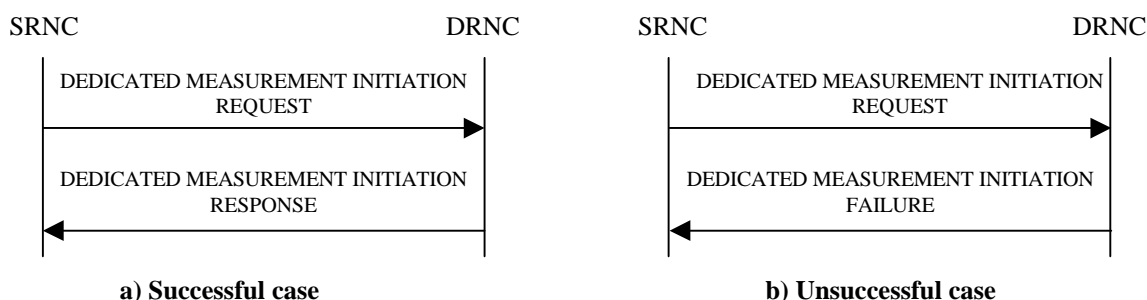


Figure 149-x: Measurement Request Procedure

The DEDICATED MEASUREMENT INITIATION REQUEST message includes the following information:

Measurement Id: This is a SRNC defined identifier that uniquely identifies the measurement.

Measurement Object: This defines on which resource the measurement should be performed. For example might this identifier point out a radio link. *Other measurement objects are FFS.*

Measurement Type: This defines what measurement that should be performed. This could for example be: “used power on the downlink” or “

- SIR: See ref. [4]
- Transmitted Code Power: See ref. [4]
- SIR error: The difference between the SIR-target (used by the UL inner loop power control) and the received SIR as defined in ref. [4].
- UL RL Quality Estimate”.

Other measurement types are FFS.

[Editor’s note: The definition of the UL RL Quality Estimate is FFS.]

Measurement Characteristics: This defines how the measurements should be performed. For example measurement frequency, timing information, filtering information. *The exact structure and contents of this parameter is dependent on the Measurement Type and is FFS.*

- Measurement Frequency
- Averaging Duration

Report Characteristics: The reporting could be any of the following classes:

~~**Periodic:** Reports should be delivered in a periodic matter with some frequency. In this case the update frequency have to be specified.~~

~~**Event Triggered:** Reports should be delivered upon a specific event in Node B. In this case the event have to be specified.~~

~~**Immediate Reporting:** A report should be delivered immediately. Only one measurement report should be sent and after that the measurement is automatically cancelled.~~

On-Demand:

<u>Name</u>	On-Demand
<u>Definition</u>	The DRNS shall as soon as possible respond with a measurement report containing the requested measurement. The response time should mainly depend on the time it takes to measure the entity.
<u>Additional Parameters</u>	None

Periodic:

<u>Name</u>	Periodic
<u>Definition</u>	The DRNS shall schedule and measure the entity so that a measurement report is delivered periodically. A periodic report shall be sent until the SRNC sends a DEDICATED MEASUREMENT TERMINATION REQUEST message or the DRNC sends a DEDICATED MEASUREMENT FAILURE INDICATION message.
<u>Additional Parameters</u>	Reporting Frequency

Event-Triggered:

<u>Name</u>	Event-Driven Type A
<u>Definition</u>	The DRNS shall report when the measured entity rises above an absolute threshold and stays there for the hysteresis time.
<u>Additional Parameters</u>	Absolute Threshold (Mandatory) Hysteresis Time (Optional)

<u>Name</u>	Event-Driven Type B
<u>Definition</u>	The DRNS shall report when the measured entity falls below an absolute threshold and stays there for the hysteresis time.
<u>Additional Parameters</u>	Absolute Threshold (Mandatory) Hysteresis Time (Optional)

<u>Name</u>	Event-Driven Type C
<u>Definition</u>	The DRNS shall report when the measured entity increases more than the threshold within the rising time.
<u>Additional Parameters</u>	Relative Threshold (Mandatory) Rising Time (Mandatory)

<u>Name</u>	Event-Driven Type D
<u>Definition</u>	The DRNS shall report when the measured entity decreases more than the threshold within the fall time.
<u>Additional Parameters</u>	Relative Threshold (Mandatory) Fall Time (Mandatory)

<u>Name</u>	<u>Event-Driven Type E</u>
<u>Definition</u>	<p>The DRNS shall report when the measured entity rises above absolute threshold 1 and stays there for the hysteresis time (Event 1).</p> <p>In addition, after event 1, the DRNS shall report when the measured entity falls below the absolute threshold 2 and stays there for the hysteresis time (event 2). If absolute threshold 2 has not been specified, absolute threshold 1 is used for event 2 as well.</p> <p>If a reporting frequency has been specified, the DRNS shall send periodic reports between the events 1 and 2. Periodic reports shall be sent until event 2 occurs or the measurement is terminated. If no periodicity is specified, only two measurement reports will result.</p> <p>Note: If at start of the measurement, the measured entity is already above absolute threshold 1 and stays there for the hysteresis time, an immediate report of event 1 shall be sent.</p>
<u>Additional Parameters</u>	<p>Absolute Threshold 1 (Mandatory)</p> <p>Absolute Threshold 2 (Optional)</p> <p>Hysteresis Time (Optional)</p> <p>Reporting Frequency (Optional)</p>

<u>Name</u>	<u>Event-Driven Type F</u>
<u>Definition</u>	<p>The DRNS shall report when the measured entity falls below absolute threshold 1 and stays there for the hysteresis time (Event 1).</p> <p>In addition, after event 1, the DRNS shall report when the measured entity rises above the absolute threshold 2 and stays there for the hysteresis time (event 2). If absolute threshold 2 has not been specified, absolute threshold 1 is used for event 2 as well.</p> <p>If a reporting frequency has been specified, the DRNS shall send periodic reports between the events 1 and 2. Periodic reports shall be sent until event 2 occurs or the measurement is terminated. If no periodicity is specified, only two measurement reports will result.</p> <p>Note: If at start of the measurement, the measured entity is already below absolute threshold 1 and stays there for the hysteresis time, an immediate report of event 1 shall be sent.</p>
<u>Additional Parameters</u>	<p>Absolute Threshold 1 (Mandatory)</p> <p>Absolute Threshold 2 (Optional)</p> <p>Hysteresis Time (Optional)</p> <p>Reporting Frequency (Optional)</p>

Editor's note:

The above Reporting Characteristics have been adopted to the fact that the measurements are requested from the DRNS not the Node B. Consequently it is the DRNS that reports the measurements not the Node B. This note shall be deleted when the above text is approved.]

The possibility to request several measurements for the same event is FFS.

The DEDICATED MEASUREMENT INITIATION RESPONSE message is used to accept a requested measurement and it includes the following information:

Measurement Id: This is the same Id that was used in the request.

The DEDICATED MEASUREMENT INITIATION FAILURE message is used to reject a requested measurement and it includes the following information:

Measurement Id: This is the same Id that was used in the request.

Cause: This states the cause for the reject. Valid causes are:

- Processor Overload
- Hardware Failure

- Measurement not Supported
- Unspecified Failure

The exact content of this parameter is FFS.

8.2.10 Measurements Reporting

This procedure is used by the DRNC to report its measurements to the SRNC.

When the measurement reporting criteria are met, the DRNC send the DEDICATED MEASUREMENT REPORT message to the SRNC using the dedicated signalling bearer connection.



Figure 159-12-: Measurements Reporting

The DEDICATED MEASUREMENT REPORT message includes the following information:

Measurement Id: This is the same id that was used in the request.

Time Reference: This is a time reference showing the time of the measurement. *The accuracy of this is FFS.*

Value

The possibilities for including several values and/or several measurements in the same report are FFS.

8.2.11 Measurement Termination

For termination of previously requested measurements, the SRNC use the following procedure:

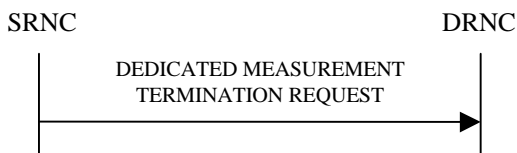


Figure 169-x: Measurement Termination Procedure initiated by SRNC

The DEDICATED MEASUREMENT TERMINATION REQUEST message includes the following information:

Measurement Id: This is the same Id that was used in the request.

For termination of previously requested measurements, the DRNC use the following procedure:



Figure 179-x: Measurement Termination Procedure initiated by DRNC

The DEDICATED MEASUREMENT FAILURE INDICATION message includes the following information:

Measurement Id: This is the same Id that was used in the request.

Cause: This states the reason for the termination. Valid causes are:

- Processor Overload
- Hardware Failure
- O&M Intervention
- Unspecified Failure

The exact content of this parameter is F.F.S.

8.2.12 Down Link Power Control ([FDD-Only])

The purpose of this procedure is to balance the DL transmission powers of the radio links used for the related RRC connection within the NodeB. The Down Link Power Control procedure is initiated by the Serving RNC by sending a DL POWER CONTROL REQUEST message to the DRNC, which contains the desired downlink reference power for the radio links within the NodeB of the DRNS. If no RL ID is provided in the message, the DL Reference Power value applies to all radio links.



Figure 189-45: Down Link Power Control Procedure.

8.3 Common Transport Channel Procedures

8.3.1 Common Transport Channel Resources Release

This procedure is used by the SRNC to request release of Common Transport Channel Resources for a given UE in the DRNS.

The SRNC initiates the Common Transport Channel Resources Release procedure either to release the UE context from the DRNC (and thus both the D-RNTI and any allocated C-RNTI) or to release an individual C-RNTI. The SRNC sends the message COMMON TRANSPORT CHANNEL RESOURCES RELEASE REQUEST to the DRNC. The C-RNTI shall be included in the message if an individual C-RNTI shall be. If the whole UE context shall be released no C-RNTI shall be included.

At the reception of the message, the DRNC releases either the whole UE context identified by the D-RNTI or the C-RNTI indicated.

If the DRNC receives the COMMON TRANSPORT CHANNEL RESOURCES RELEASE REQUEST messages for an unknown D-RNTI the message is ignored. If the D-RNTI is known but the C-RNTI does not exist for that D-RNTI (UE context) the message is ignored. If the indicated C-RNTI is the only C-RNTI allocated for the UE context the DRNC releases the whole UE context.

Whether or not the procedure needs to be acknowledged (response, failure) is FFS.

[Editor's note: The procedure and the message may have to be renamed.]



Figure ~~199-47~~: Common Transport Channel Resources Release

8.3.2 Common Transport Channel Initialisation

Common Transport Channel Request procedure is used by the SRNC for the initialisation of the Common Transport Channel user plane towards the DRNC and /or for the initialisation of the UE context in the DRNC. The procedure is triggered by SRNC as consequence of a received Uplink Signalling Transfer message in case there is a need for such initialisation.

The procedure consists of the message COMMON TRANSPORT CHANNEL REQUEST from the SRNC to the DRNC, and COMMON TRANSPORT CHANNEL RESPONSE from DRNC to SRNC. The need for a COMMON TRANSPORT CHANNEL FAILURE is FFS.

At initialisation of the Common Transport Channel user plane towards the DRNC the SRNC determines whether there exists a transport bearer that can be used for this particular UE, or whether a new transport bearer needs to be established. If the SRNC decides to use an existing transport bearer it will not request transport layer address and binding identity in the RNSAP Common Transport Channel Request message, but inform the DRNC which transport bearer to use.

[Editor's note: The procedure and the messages may have to be renamed.]

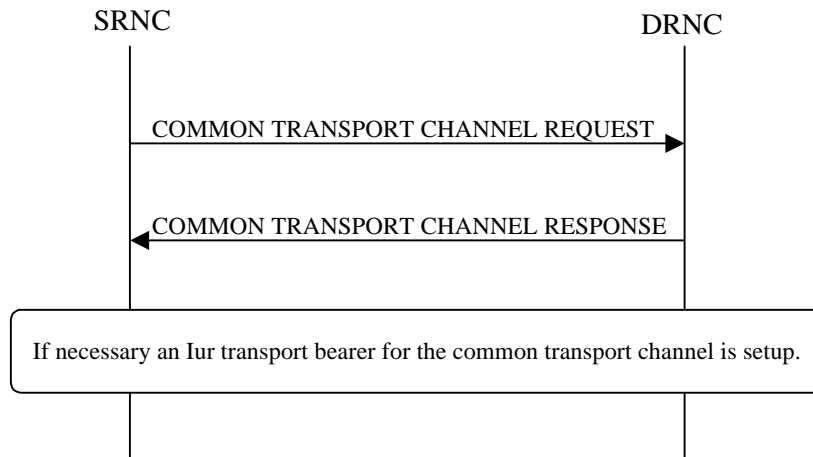


Figure 209-x: An example RNSAP message flow at I_{ur} interface for Common Transport Channel Initialization.

8.4 Global Procedures

8.4.1 Load Information Request

The Load Information Request procedure is used by CRNC1 to set in CRNC2 the reporting criteria used by the load information procedure towards CRNC1. The procedure consists in the message LOAD INFORMATION REQUEST sent by CRNC1 to CRNC2 using the connectionless service of the signalling bearer.

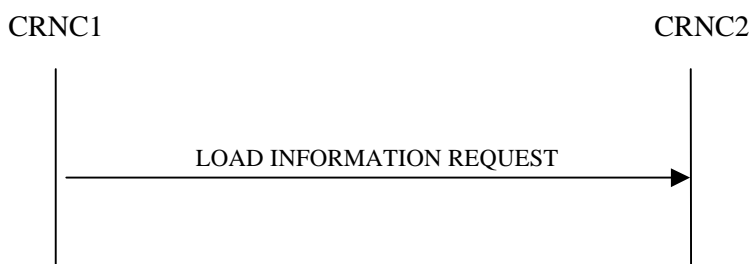


Figure 219-x: An example RNSAP message flow at I_{ur} interface for Load Information Request.

8.4.2 Load Information

With this procedure CRNC1 informs CRNC2 about the load in one or more cells under its control.

When the load information reporting criteria are met, CRNC1 sends to CRNC2 the RNSAP LOAD INFORMATION INDICATION message using the connectionless service of the signalling bearer. This message contains information about the load in one or more cell.

The load information reporting criteria may be defined via O&M or using the Load Information procedure.

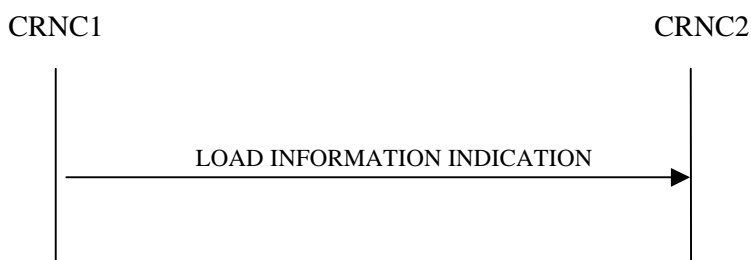


Figure 229-x: An example RNSAP message flow at I_{ur} interface for Load Information.

8.4.3 Neighbouring Cell Measurement ([TDD-Only])

The purpose of Neighbour Cell Measurement is to have the selected cell belonging to CRNC2 (Measuring Cell) read the synchronisation channel a cell belonging to CRNC1 (Measured Cell). This allows the cross measurements of two cells belonging to different RNCs.

The Neighbour Cell Measurement Procedure requires three message types, a NEIGHBOUR CELL MEASUREMENT REQUEST, a NEIGHBOUR CELL MEASUREMENT RESPONSE in the successful case when a neighbour cell is received and a chip offset is determined, and a NEIGHBOUR CELL MEASUREMENT FAILURE in the unsuccessful case. CRNC1 initiates this based on its knowledge of the cell configuration and the cells necessary to align timing. The request contains the Measuring Cell Id along with the pertinent Measured Cell information to allow it to read the synchronisation channel. CRNC2 responds back with the offset from its internal timing and the timing read from the neighbour's synch channel.

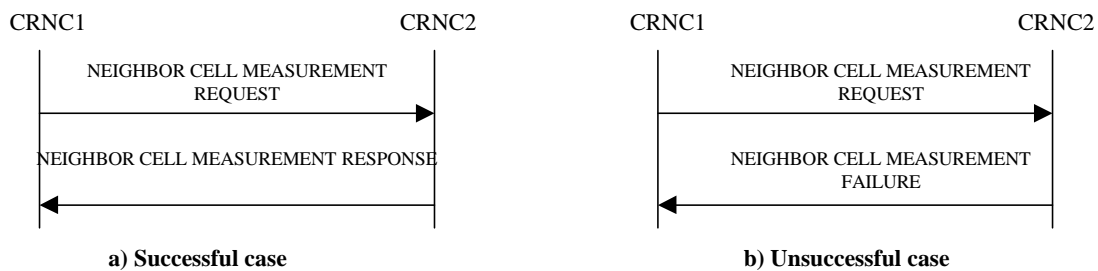


Figure 239-x: An example RNSAP message flow at I_{ur} interface for Neighbour Cell Measurement.

The NEIGHBOUR CELL MEASUREMENT REQUEST message contains:

- Measuring Cell Id
- Measured Cell Id

The NEIGHBOUR CELL MEASUREMENT RESPONSE message contains:

- Measured Cell Id
- Measured Chip Offset

The NEIGHBOUR CELL MEASUREMENT FAILURE message contains:

- Measured Cell Id
- Failure reason

9 Elements for RNSAP Communication

9.1 Message Functional Definition and Content

This chapter defines the structure of the messages required for the RNSAP protocols.

For each message there is, a table listing the signaling elements in their order of appearance in the transmitted message.

All the RNSAP messages are listed in the following table:

Message name	Reference
RADIO LINK SETUP REQUEST	09.1.2
RADIO LINK SETUP RESPONSE	
RADIO LINK SETUP FAILURE	
RADIO LINK ADDITION REQUEST	
RADIO LINK ADDITION RESPONSE	
RADIO LINK ADDITION FAILURE	
RADIO LINK DELETION REQUEST	
RADIO LINK DELETION RESPONSE	09.1.9
RADIO LINK RECONFIGURATION PREPARE	09.1.10
RADIO LINK RECONFIGURATION READY	
RADIO LINK RECONFIGURATION COMMIT	
RADIO LINK RECONFIGURATION FAILURE	09.1.13
RADIO LINK RECONFIGURATION CANCEL	09.1.14
RADIO LINK RECONFIGURATION REQUEST	09.1.15
RADIO LINK RECONFIGURATION RESPONSE	
RADIO LINK FAILURE INDICATION	
RADIO LINK RESTORE INDICATION	
DOWNLINK POWER CONTROL REQUEST	9.1.18
PHYSICAL CHANNEL RECONFIGURATION REQUEST	09.1.209.1.
PHYSICAL CHANNEL RECONFIGURATION COMMAND	09.1.219.1.
PHYSICAL CHANNEL RECONFIGURATION FAILURE	09.1.229.1.
UPLINK SIGNALLING TRANSFER INDICATION	09.1.239.1.
DOWNLINK SIGNALLING TRANSFER REQUEST	09.1.249.1.
SRNS RELOCATION COMMIT	09.1.259.1.
URA-PAGING REQUEST	09.1.269.1.
DEDICATED MEASUREMENT INITIATION REQUEST	
DEDICATED MEASUREMENT INITIATION RESPONSE	
DEDICATED MEASUREMENT INITIATION FAILURE	
DEDICATED MEASUREMENT REPORT	
DEDICATED MEASUREMENT TERMINATION REQUEST	
DEDICATED MEASUREMENT FAILURE INDICATION	
COMMON TRANSPORT CHANNEL RESOURCES RELEASE REQUEST	09.1.319.1.
LOAD INFORMATION REQUEST	09.1.349.1.
LOAD INFORMATION INDICATION	09.1.359.1.
COMMON TRANSPORT CHANNEL REQUEST	09.1.369.1.
COMMON TRANSPORT CHANNEL RESPONSE	09.1.379.1.
RADIO LINK LOAD INDICATION	09.1.389.1.

NEIGHBOURING CELL MEASUREMENT REQUEST NEIGHBOURING CELL MEASUREMENT RESPONSE NEIGHBOURING CELL MEASUREMENT FAILURE

9.1.4 Message Contents

An information element can be of the following *types*:

M	The information element is mandatory, i.e. always present in the message
O	The information element is optional, i.e. may or may not be present in the message independently on the presence or value of other information elements in the same message
C#	The presence of the information element is conditional to the presence or to the value of another information element, as reported in the correspondent note below the message description.

In case of an information element group, the group is preceded by a name for the info group (in bold). It is also indicated whether the group is mandatory, optional or conditional. Each group may be also repeated within one message. The presence field of the information elements inside one group defines if the information element is mandatory, optional or conditional if the group is present.

The description of FDD and TDD messages separately is a temporary solution. The final way of describing the messages is FFS.

Note 1: The proposed tables with the message contents do not include the length and direction columns proposed by TTC.

Note 2: The proposed message structure does not include the 'length' and 'compatibility information' parameters that are proposed by TTC, because they will be specified by the formal language.

9.1.2 RADIO LINK SETUP REQUEST

9.1.2.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
S-RNTI		M
D-RNTI		O
Allowed Queuing Time		O
DCH Information		M
DCH ID		M
DCH Combination Indicator		O
DCH Allocation/Retention Priority		M
DCH Frame Handling Priority		M
Transport Format Set (DL)		M
Transport Format Set (UL)		M
<u>UL DCH FP Mode</u>		<u>M</u>
<u>Payload CRC Present Indicator</u>		<u>M</u>
TFCS (UL)		M
TFCS (DL)		M
Uplink Scrambling Code		M
UL Channelisation Codes		M
Channelisation Code Length (UL)		M
DL Channelisation Codes		M
Channelisation Code Length (DL)		M
RL Information		M
RL-ID		M
UTRAN Cell Identifier (UC-Id)		M
Frame Offset		M
Chip offset		M
Diversity Control Field		C2
<u>Initial DL TX Power</u>		<u>C1</u>
Primary CCPCH Ec/Io		<u>MC1</u>
<u>DL DPCH Eb/Io</u>		<u>C1</u>
Propagation Delay		O
Uplink Eb/No Target		M
Maximum Uplink Eb/No		M
Minimum Uplink Eb/No		M
<u>DL Reference Power</u>		<u>ϕ</u>
DSCH Information		O
RL ID		M
MACd-MACsh Transport Format Set		M

C1= Either a) Initial DL TX Power or b) Primary CCPCH Ec/Io together with DL DPCH Eb/Io may be present.

C2=present only if # of RL >1

[Editor's note:

This DSCH Information is agreed as a working assumption (RAN-WG3 #5).]

9.1.2.2 TDD Message

Information Element	Reference	Type
Message type		M
Transaction ID		M
S-RNTI		M
D-RNTI		O
Allowed Queuing Time		O
DCH information		M
DCH ID		M
DCH Combination Indicator		O
DCH Allocation/Retention Priority		M
DCH Frame Handling Priority		M
Transport Format Set (DL)		M
Transport Format Set (UL)		M
<u>Payload CRC Present Indicator</u>		<u>M</u>
UL CCTrCH Information		M
CCTrCH ID		M
TFCS (UL)		M
DL CCTrCH Information		M
CCTrCH ID		M
TFCS (DL)		M
RL-ID		M
UTRAN Cell Identifier (UC-Id)		M
Primary CCPCH Ec/Io		FFS
Uplink Eb/No Target		O
Maximum Uplink Eb/No		FFS
Minimum Uplink Eb/No		FFS
DSCH Information		O
RL ID		M
MACd-MACsh Transport Format Set		M

[Editor's note: The Power control issue has been sorted out for FDD. However, the issue remains for TDD.]

[Editor's note: The following modifications have been made to the above message (apart from the ones agreed at RAN-WG3 #6 in Sophia Antipolis:

1. The DCH Allocation/Retention Priority and DCH Frame Handling Priority parameters have replaced the DCH Priority parameter as for the FDD message.
2. The parameters D-RNTI and Allowed Queuing Time have been included as described in other contributions (the same as for the FDD message).

This editor's note shall be deleted when the above message is approved.]

[Editor's note: The editor could not judge

1. whether or not the Propagation Delay parameter is valid for TDD. This parameter has thus not been included.

2. whether or not the Maximum and Minimum Uplink Eb/No parameters should be Mandatory as for FDD. The parameters are thus still described as FFS.

This editor's note shall be deleted when the above message is approved.]

This DSCH Information is agreed as a working assumption (RAN-WG3 #5).]

9.1.3 RADIO LINK SETUP RESPONSE

9.1.3.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
D-RNTI		O
CN PS Domain Identifier		O
CN CS Domain Identifier		O
RL Information Response		M
RL-ID		M
UL Interference Level		M
Diversity Indication		C1
Reference RL-ID		C2
DL Scrambling Code		M
DL Channelisation Codes		M

DL Channelisation Code		M
DCH Information Response		C3
DCH ID		M
Binding ID		M
Transport Address		FFS
Neighbouring cell information		O
UTRAN Cell Identifier (UC-Id)		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O
Primary CCPCH Radio Resource Information		M
UARFCN		M
Primary CCPCH scrambling code		M
Primary CCPCH TX Power		O
Frame Offset		O
DSCH Information Response		O
DSCH TFS		M
Binding ID		M

C1=present only if # of RL >1

C2=present only if Diversity Indication is 'ON'

C3= present only if Diversity Indication is 'OFF'

[Editor's note:

This DSCH Information Response is agreed as a working assumption (RAN-WG3 #5).]

9.1.3.2 TDD Message

Information Element	Reference	Type (TDD)
Message Type		M
Transaction ID		M
D-RNTI		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O
RL-ID		M
UL Interference Level		M
DL DPCH Information		M
DPCH ID		M
Channelization Code Number		M
Midamble Type		M
Midamble Shift		M
Time Slot		M

Superframe Offset		O
Repetition Period		M
Repetition Length		O
TFCI Presence		O
UL DPCH Information		M
DPCH ID		M
Channelization Code Number		M
Midamble Type		M
Midamble Shift		M
Time Slot		M
Superframe Offset		O
Repetition Period		M
Repetition Length		O
TFCI Presence		O
DCH Information Response		M
DCH ID		M
Binding ID		M
Transport Address		FFS
Neighbouring Cell Information		O
UTRAN Cell Identifier (UC-Id)		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O
Primary CCPCH Radio Resource Information		M
UARFCN		M
Primary CCPCH TX Power		FFS
Midamble Type		M
Time Slot		M
Superframe Offset		O
Repetition Period		M
Repetition Length		O
DSCH Information Response		O
DSCH TFS		M
Binding ID		M

[Editor's note: The following modifications have been made to the above message (apart from the ones agreed at RAN WG3 #6 in Sophia Antipolis:

1. The UL Interference Level parameter has been added as for the FDD message (the parameter is valid for both TDD and FDD in the RRC protocol).
2. The D-RNTI is changed from *Mandatory* to *Optional* as for the FDD message.
3. The parameter group DCH Information Response is changed from *Conditional* to *Mandatory* since the condition, which was copied from the FDD message is related to soft combination, i.e. the value of the Diversity Indication relates to whether or not the RL is combined with another RL within the DRNS. This editor's note shall be deleted when the above message is approved.]

[Editor's note:

This DSCH Information Response is agreed as a working assumption (RAN-WG3 #5).]

9.1.4 RADIO LINK SETUP FAILURE

9.1.4.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
D-RNTI		C4
CN PS Domain Identifier		C4
CN CS Domain Identifier		C4
RL not Setup		M
RL ID		M
RL Failure Cause		M
RL Information Response (RL Successfully Setup)		O
RL-ID		M
UL Interference Level		M
Diversity Indication		C1
Reference RL-ID		C2
DL Scrambling Code		M
DL Channelisation Codes		M
DL Channelisation Code		M
DCH Successfully Setup		C3
DCH ID		M
Binding ID		M
Transport Address		O
Neighbouring Cell Information		O
UTRAN Cell Identifier (UC-Id)		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O
Primary CCPCH Radio Resource Information		M
UARFCN		M
Primary CCPCH Scrambling Code		M
Primary CCPCH TX Power		O
Frame Offset		O

C1=present only if # of RL >1

C2=present only if Diversity Indication is 'ON'

C3= present only if Diversity Indication is 'OFF'

C4= the parameter **may** be present if there is any RL being successfully set-up.

[Editor's note: The following modifications have been made to the above message (apart from the ones agreed at RAN-WG3 #6 in Sophia Antipolis):

1. The UL Interference Level parameter has been added for the RLs successfully set up (partial failure case) as for the RL-SETUP-RESPONSE message.

This editor's note shall be deleted when the above message is approved.]

[Editor's note:

This message needs to be updated with the necessary DSCH information.]

9.1.4.2 TDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL ID		M
RL Failure Cause		M

[Editor's note: The RL ID in the above message seems to be superfluous since only one RL can be set-up in TDD.]

This message needs to be updated with the necessary DSCH information.]

9.1.5 RADIO LINK ADDITION REQUEST

9.1.5.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL information		M
RL-ID		M
UTRAN Cell Identifier (UC-Id)		M
Frame Offset		M
Chip Offset		M
Diversity Control Field		M
<u>Initial DL TX Power</u>		<u>C1</u>
Primary CCPCH Ec/Io		<u>MC1</u>
<u>DL DPCH Eb/Io</u>		<u>C1</u>
Uplink Eb/No Target		M
<u>DL Reference Power</u>		\emptyset

C1= Either a) Initial DL TX Power or b) Primary CCPCH Ec/Io together with DL DPCH Eb/Io may be present.

9.1.5.2 TDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL-ID		M
UTRAN Cell Identifier (UC-Id)		M
Primary CCPCH Ec/Io		FFS
Uplink Eb/No Target		O
Maximum Uplink Eb/No		FFS
Minimum Uplink Eb/No		FFS

[Editor's note: The Power control issue has been sorted out for FDD. However, the issue remains for TDD.]

[Editor's note: The above message is the editor's proposal on messages for TDD. The basis for the message has been a) the agreements on the message RL SETUP REQUEST for TDD and b) the message present in the Italtel / Siemens contribution R3-99A46. This editor's note shall be deleted when the above message is approved.]

[Editor's note: The editor could not judge whether or not the Maximum and Minimum Uplink Eb/No parameters should be deleted as for FDD. The parameters are thus still described as FFS. This editor's note shall be deleted when the above message is approved.]

9.1.6 RADIO LINK ADDITION RESPONSE

9.1.6.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL Information Response		M
RL-ID		M
UL Interference Level		M
Diversity Indication		M
Reference RL-ID		C1
DL Scrambling Code		M
DL Channelisation Codes		M
DL Channelisation Code		M
DCH Information Response		C2
DCH ID		M
Binding ID		M
Transport Address		FFS

Neighbouring Cell Information		O
UTRAN Cell Identifier (UC-Id)		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O
Primary CCPCH Radio Resource Information		M
UARFCN		M
Primary CCPCH Scrambling Code		M
Primary CCPCH TX Power		O
Frame Offset		O
DSCH Information Response		O
DSCH TFS		M
Binding ID		M

C1=present only if *Diversity Indication* is 'ON'

C2= present only if *Diversity Indication* is 'OFF'

~~[Editor's note: The following modifications have been made to the above message (apart from the ones agreed at RAN-WG3 #6 in Sophia Antipolis:~~

~~1. The UL Interference Level parameter has been added for the RLs successfully set up (partial failure case) as for the RL ADDITION RESPONSE message.~~

~~This editor's note shall be deleted when the above message is approved.]~~

~~This DSCH Information Response is agreed as a working assumption (RAN-WG3 #5).]~~

9.1.6.2 TDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
UL Interference Level		M
DL DPCH Information		M
DPCH ID		M
Channelization Code Number		M
Midamble Type		M
Midamble Shift		M
Time Slot		M
Superframe Offset		O
Repetition Period		M
Repetition Length		O
TFCI Presence		O
UL DPCH Information		M
DPCH ID		M
Channelization Code Number		M

Midamble Type		M
Midamble Shift		M
Time Slot		M
Superframe Offset		O
Repetition Period		M
Repetition Length		O
TFCI Presence		O
DCH Information Response		M
DCH ID		M
Binding ID		M
Transport Address		FFS
Neighbouring Cell Information		O
UTRAN Cell Identifier (UC-Id)		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O
Primary CCPCH Radio Resource Information		M
UARFCN		M
Primary CCPCH TX Power		FFS
Midamble Type		M
Time Slot		M
Superframe Offset		O
Repetition Period		M
Repetition Length		O
DSCH Information Response		O
DSCH TFS		M
Binding ID		M

~~[Editor's note: The above message is the editor's proposal on messages for TDD. The basis for the message has been a) the agreements on the message RL SETUP RESPONSE for TDD and b) the message present in the Italtel / Siemens contribution R3-99A46. This editor's note shall be deleted when the above message is approved.]~~

~~[Editor's note: The following modifications have been made to the above message as compared to the Italtel / Siemens contribution :~~

- ~~1. The UL Interference Level parameter has been added as for the FDD message (the parameter is valid for both TDD and FDD in the RRC protocol).~~
 - ~~2. The DCH Information Response group has been added. Present for the TDD message RL SETUP REQUEST, i.e. added to achieve the same basic content as the RL SETUP RESPONSE message.~~
 - ~~3. The parameter group DCH Information Response is defined as *Mandatory*. In the FDD message it is *Conditional* but the condition is related to soft combination, i.e. the value of the Diversity Indication relates to whether or not the RL is combined with another RL within the DRNS. See also the change done to the TDD message for RL SETUP RESPONSE.~~
- ~~This editor's note shall be deleted when the above message is approved.]~~

9.1.7 RADIO LINK ADDITION FAILURE

9.1.7.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL not Setup		M
RL-ID		M
RL Failure Cause		M
RL Information Response (RL Successfully Setup)		M
RL-ID		M
UL Interference Level		M
Diversity Indication		M
Reference RL-ID		C1
DL Scrambling code		M
DL Channelisation Codes		M
DL Channelisation Code		M
DCH Information Response		C2
DCH ID		M
Binding ID		M
Transport Address		O
Neighbouring Cell Information		O
UTRAN Cell Identifier (UC-Id)		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O
Primary CCPCH Radio Resource Information		M
UARFCN		M
Primary CCPCH Scrambling Code		M
Primary CCPCH TX Power		O
Frame Offset		O

C1=present only if *Diversity Indication* is 'ON'

C2= present only if *Diversity Indication* is 'OFF'

[Editor's note: The following modifications have been made to the above message (apart from the ones agreed at RAN-WG3 #6 in Sophia Antipolis):

1. The UL Interference Level parameter has been added for the RLs successfully set up (partial failure case) as for the RL SETUP RESPONSE message.

This editor's note shall be deleted when the above message is approved.]

The parameter group "RL information response (RL successfully setup)" is indicated as mandatory. However, this must be a typo. The failure can of course be such that no RL is added. Compare for instance with RL SETUP FAILURE.]

Note1: The message has the same contents of the RL SETUP FAILURE message, and may be not needed.

9.1.7.2 TDD Message

{Editor's note: The editor's proposal on messages for TDD in the RL Addition procedure is not available yet could therefore not be included.}

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL Failure Cause		M

{Editor's note: The above message is the editor's proposal on messages for TDD. The basis for the message has been a) the agreements on the message RL SETUP FAILURE for TDD and b) the message present in the Italtel /Siemens contribution R3-99A46. This editor's note shall be deleted when the above message is approved.}

9.1.8 RADIO LINK DELETION REQUEST

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL to Delete		M
RL-ID		M

9.1.9 RADIO LINK DELETION RESPONSE

Information Element	Reference	Type
Message Type		M
Transaction ID		M

9.1.10 RADIO LINK RECONFIGURATION PREPARE

9.1.10.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Allowed Queuing Time		O
DCHs to Modify		O
DCH ID		M
DCH Allocation/Retention Priority		O
DCH Frame Handling Priority		O
Transport Format Set (DL)		O
Transport Format Set (UL)		O
<u>UL DCH FP Mode</u>		<u>O</u>
DCHs to Add		O
DCH ID		M
DCH Combination Indicator		O
DCH Allocation/Retention Priority		M
DCH Frame Handling Priority		M
Transport Format Set (DL)		M
Transport Format Set (UL)		M
<u>UL DCH FP Mode</u>		<u>M</u>
<u>Payload CRC Present Indicator</u>		<u>M</u>
DCHs to Delete		O
DCH ID		M
TFCS (DL)		M
TFCS (UL)		M
Uplink Scrambling code		O
UL Channelisation Codes		O
Channelisation Code (UL)		M
DL Channelisation Codes		O
Channelisation Code Length (DL)		M
Uplink Maximum Eb/No		M
Uplink Minimum Eb/No		M
DSCH Information		O
RL ID		M
MACd-MACsh Transport Format Set		M

[Editor's note:

This DSCH Information is agreed as a working assumption (RAN-WG3 #5).]

9.1.10.2 TDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Allowed Queuing Time		O
DCHs to Modify		O
DCH ID		M
DCH Allocation/Retention Priority		O
DCH Frame Handling Priority		O
Transport Format Set (DL)		O
Transport Format Set (UL)		O
DCHs to Add		O
DCH ID		M
DCH Combination Indicator		O
DCH Allocation/Retention Priority		M
DCH Frame Handling Priority		M
Transport Format Set (DL)		M
Transport Format Set (UL)		M
<u>Payload CRC Present Indicator</u>		<u>M</u>
DCHs to Delete		O
<i>DCH ID</i>		M
DL CCTrCH Information		O
CCTrCH ID		M
TFCS (DL)		M
UL CCTrCH Information		O
CCTrCH ID		M
TFCS (UL)		M
UL DPCH Information		M
DPCH ID		M
Uplink Maximum Eb/No		FFS
Uplink Minimum Eb/No		FFS
DSCH Information		O
RL ID		M
MACd-MACsh Transport Format Set		M

[Editor's note: The following modifications have been made to the above message (apart from the ones agreed at RAN-WG3 #6 in Sophia Antipolis):

1. The DCH Allocation/Retention Priority and DCH Frame Handling Priority parameters have replaced the DCH Priority parameter as for the FDD message.
2. The parameter Allowed Queuing Time has been included as described in other contributions (the same as for the FDD message).]

[Editor's note: The editor could not judge whether or not the Maximum and Minimum Uplink Eb/No parameters should be Mandatory as for FDD. The parameters are thus still described as FFS.]

[Editor's note:

This DSCH Information is agreed as a working assumption (RAN-WG3 #5).]

9.1.11 RADIO LINK RECONFIGURATION READY

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RLs to be Reconfigured (synch)		O
RL ID		M
Channelisation Codes (DL)		O
Channelisation code (DL)		M
DCH to be Setup		O
DCH ID		M
Binding ID		M
Transport Address		FFS
DSCH Information Response		O
DSCH TFS		M
Binding ID		M

[Editor's note:

This DSCH Information Response is agreed as a working assumption (RAN-WG3 #5).]

[Editor's note: The message above is indicated the DL Channelisation Code as common for FDD and TDD. However, the editor believes that the DL Channelisation Code is not used at all in TDD, e.g. not part of RL SETUP RESPONSE. Even though the parameter is *Optional* above and the message could thus be used for TDD the editor believes that this is a misleading description since the receiver of this message would NOT expect the DL Channelisation Code in the message. Contributions are invited to clarify this.]

9.1.12 RADIO LINK RECONFIGURATION COMMIT

Information Element	Reference	Type
Message Type		M
Transaction ID		M
CFN		M

9.1.13 RADIO LINK RECONFIGURATION FAILURE

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Cause1		M
RLs not reconfigured		O
RL ID		M
Cause2		M

9.1.14 RADIO LINK RECONFIGURATION CANCEL

Information Element	Reference	Type
Message Type		M
Transaction ID		M

9.1.15 RADIO LINK RECONFIGURATION REQUEST

9.1.15.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Allowed Queuing Time		O
DCHs to Modify		O
DCH ID		M
DCH Allocation/Retention Priority		O
DCH Frame Handling Priority		O
Transport Format Set (DL)		O
Transport Format Set (UL)		O
<u>UL DCH FP Mode</u>		<u>O</u>
DCHs to Add		O
DCH ID		M
DCH Combination Indicator		O
DCH Allocation/Retention Priority		M
DCH Frame Handling Priority		M
Transport Format Set (DL)		M
Transport Format Set (UL)		M
<u>UL DCH FP Mode</u>		<u>M</u>
<u>Payload CRC Present Indicator</u>		<u>M</u>
DCHs to Delete		O
DCH ID		M
TFCS (DL)		O
TFCS (UL)		O
Uplink Maximum Eb/No		M
Uplink Minimum Eb/No		M
DSCH Information		O
RL ID		M
MACd-MACsh Transport Format Set		M

{Editor's note:

This DSCH Information is agreed as a working assumption (RAN WG3 #5).}

9.1.15.2 TDD Message

Information Element	Reference	Type (TDD)
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Message Type		M
Transaction ID		M
Allowed Queuing Time		O
DCHs to Modify		O
DCH ID		M
DCH Allocation/Retention Priority		FFSO
DCH Frame Handling Priority		FFSO
Transport Format Set (DL)		O
Transport Format Set (UL)		O
DCHs to Add		O
DCH ID		M
DCH Combination Indicator		O
DCH Allocation/Retention Priority		FFSM
DCH Frame Handling Priority		FFSM
Transport Format Set (DL)		M
Transport Format Set (UL)		M
<u>__ Payload CRC Present Indicator</u>		<u>M</u>
DCHs to Delete		O
DCH ID		M
DL CCTrCH Information		O
CCTrCH ID		M
TFCS (DL)		M
UL CCTrCH Information		O
CCTrCH ID		M
TFCS (UL)		M
Uplink Maximum Eb/No		FFS
Uplink Minimum Eb/No		FFS
DSCH Information		O
RL ID		M
MACd-MACsh Transport Format Set		M

~~[Editor's note: The following modifications have been made to the above message (apart from the ones agreed at RAN-WG3 #6 in Sophia Antipolis:~~

- ~~1. The DCH Allocation/Retention Priority and DCH Frame Handling Priority parameters have replaced the DCH Priority parameter just as for the FDD message with the exception that the priority parameters are defined as FFS as in the original proposal.~~
- ~~2. The parameter Allowed Queuing Time has been included as described in other contributions (the same as for the FDD message).]~~

[Editor's note: The editor could not judge whether or not the Maximum and Minimum Uplink Eb/No parameters should be Mandatory as for FDD. The parameters are thus still described as FFS.]

[Editor's note:

This DSCH Information is agreed as a working assumption (RAN-WG3 #5).]

9.1.16 RADIO LINK RECONFIGURATION RESPONSE

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RLs to be Reconfigured (unsynch)		O
RL ID		M
DCHs to be Setup		M
DCH ID		M
Binding ID		M
Transport Address		FFS
DSCH Information Response		O
DSCH TFS		M
Binding ID		M

[Editor's note:

This DSCH Information Response is agreed as a working assumption (RAN-WG3 #5).]

9.1.17 RADIO LINK FAILURE INDICATION

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RLs Unavailable		M
RL ID		M
Cause for RL Failure		M

RADIO LINK RESTORE INDICATION

<u>Information Element</u>	<u>Reference</u>	<u>Type</u>
<u>Message Type</u>		<u>M</u>
<u>Transaction ID</u>		<u>M</u>
<u>Restored RL</u>		<u>M</u>
<u>RL ID</u>		<u>M</u>

9.1.18 DOWNLINK POWER CONTROL REQUEST ([FDD-Only])

[Editor's note:

The contents this chapters has partly not been agreed. This is left from the merging of the TTC/ARIB and ETSI documentation. The content (except DL Reference Power) is FFS. Contributions are invited.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Length		M
Message Compatibility Information		M
DL Reference Power		<u>MC1</u>
<u>DL Reference Power Information</u>		<u>C1</u>
<u>RL ID</u>		<u>M</u>
<u>DL Reference Power</u>		<u>M</u>

C1 - Either the DL Reference Power parameter or the DL Reference Power Information group may be included.

9.1.19 PHYSICAL CHANNEL RECONFIGURATION REQUEST

9.1.19.1 FDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL ID		M
Physical Channel Information		M
Channelisation Code (DL)		M

9.1.19.2 TDD Message

Information Element	Reference	Type
Message Type		M
Transaction ID		M
RL ID		M
DL DPCH Information		O
DPCH ID		M
Channelization Code Number		O
Midamble Type		O
Midamble Shift		O
Time Slot		O
Superframe Offset		O
Repetition Period		O
Repetition Length		O
TFCI Presence		O
UL DPCH Information		O
DPCH ID		M
Channelization Code Number		O
Midamble Type		O

Midamble Shift		O
Time Slot		O
Superframe Offset		O
Repetition Period		O
Repetition Length		O
TFCI Presence		O

9.1.20 PHYSICAL CHANNEL RECONFIGURATION COMMAND

Information Element	Reference	Type
Message Type		M
Transaction ID		M
CFN		M

9.1.21 PHYSICAL CHANNEL RECONFIGURATION FAILURE

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Cause		FFS

9.1.22 UPLINK SIGNALLING TRANSFER INDICATION

Information Element	Reference	Type
Message Type		M
Transaction ID		M
UTRAN Cell Identifier (UC-Id)		M
C-RNTI		M
S-RNTI		M
D-RNTI		O
L3 Information		M
CN PS Domain Identifier		O
CN CS Domain Identifier		O

9.1.23 DOWNLINK SIGNALLING TRANSFER REQUEST

Information Element	Reference	Type
Message Type		M
Transaction ID		M
UTRAN Cell Identifier (UC-Id)		M
D-RNTI		M
L3 Information		M
D-RNTI Release Indication		M

9.1.24 SRNS RELOCATION COMMIT

[Editor's note:

This message has no content described due to lack of contributions. Contributions are invited.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M
D-RNTI		O

9.1.25 PAGING REQUEST

Information Element	Reference	Type
Message Type		M
Transaction ID		M
URA ID		C1
UTRAN Cell Identifier (UC-Id)		C1
SRNC Id		M
S-RNTI		M
DRX Parameter		M

C1=either the URA ID or the UC-Id is included

[Editor's note:

If the SRNC ID and the S-RNTI are used to calculate the paging group the DRX parameter is not needed.]

9.1.26 DEDICATED MEASUREMENT INITIATION REQUEST

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measurement ID		M
Measurement Object		M
Measurement Type		M
Measurement Characteristics		M

Report Characteristics		M
------------------------	--	---

9.1.27 DEDICATED MEASUREMENT INITIATION RESPONSE

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measurement ID		M

9.1.28 DEDICATED MEASUREMENT INITIATION FAILURE

[Editor's note:

This Cause parameter has a very general name. This parameter may have to be renamed to be distinguished from other cause parameters.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measurement ID		M
Cause		M

9.1.29 DEDICATED MEASUREMENT REPORT

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measurement ID		M
Time Reference		M
Value		M

9.1.30 DEDICATED MEASUREMENT TERMINATION REQUEST

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measurement ID		M
<u>Measurement Object</u>		<u>M</u>
<u>Measurement Type</u>		<u>M</u>
<u>Measurement Characteristics</u>		<u>M</u>
<u>Report Characteristics</u>		<u>M</u>
<u>On-Demand</u>		<u>C1</u>
<u>Periodic</u>		<u>C1</u>

<u>Report Frequency</u>		<u>M</u>
<u>Event-Triggered</u>		<u>C1</u>
<u>Event-Triggered Type A</u>		<u>C2</u>
<u>Absolute Threshold</u>		<u>M</u>
<u>Hysteresis Time</u>		<u>O</u>
<u>Event-Triggered Type B</u>		<u>C2</u>
<u>Absolute Threshold</u>		<u>M</u>
<u>Hysteresis Time</u>		<u>O</u>
<u>Event-Triggered Type C</u>		<u>C2</u>
<u>Relative Threshold</u>		<u>M</u>
<u>Rising Time</u>		<u>M</u>
<u>Event-Triggered Type D</u>		<u>C2</u>
<u>Relative Threshold</u>		<u>M</u>
<u>Fall Time</u>		<u>M</u>
<u>Event-Triggered Type E</u>		<u>C2</u>
<u>Absolute Threshold 1</u>		<u>M</u>
<u>Absolute Threshold 2</u>		<u>O</u>
<u>Hysteresis Time</u>		<u>O</u>
<u>Reporting Frequency</u>		<u>O</u>
<u>Event-Triggered Type F</u>		<u>C2</u>
<u>Absolute Threshold 1</u>		<u>M</u>
<u>Absolute Threshold 2</u>		<u>O</u>
<u>Hysteresis Time</u>		<u>O</u>
<u>Reporting Frequency</u>		<u>O</u>

C1 : One and only one of On-Demand, Periodic or Event-Triggered can be present at the same time.

C2: One and only one of Event A to Event F can be present at the same time.

9.1.31 DEDICATED MEASUREMENT FAILURE INDICATION

[Editor's note:

This Cause parameter has a very general name. This parameter may have to be renamed to be distinguished from other cause parameters.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measurement ID		M
Cause		M

9.1.32 COMMON TRANSPORT CHANNEL RESOURCES RELEASE REQUEST

Information Element	Reference	Type
Message Type		M
Transaction ID		M
D-RNTI		M
C-RNTI		O

9.1.33 LOAD INFORMATION REQUEST

[Editor's note:

This message has no content described due to lack of contributions. Contributions are invited.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M

9.1.34 LOAD INFORMATION INDICATION

[Editor's note:

This message has no content described due to lack of contributions. Contributions are invited.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M

9.1.35 COMMON TRANSPORT CHANNEL REQUEST

[Editor's note:

This message has no content described due to lack of contributions. Contributions are invited.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M

9.1.36 COMMON TRANSPORT CHANNEL RESPONSE

[Editor's note:

This message has no content described due to lack of contributions. Contributions are invited.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M

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9.1.37 RADIO LINK LOAD INDICATION

[Editor's note:

This message has no content described due to lack of contributions. Contributions are invited.]

Information Element	Reference	Type
Message Type		M
Transaction ID		M

9.1.38 NEIGHBOURING CELL MEASUREMENT REQUEST ~~(TDD-Only)~~

This message is sent from CRNC-1 to CRNC-2 in order to check the synchronisation of one of its cells.

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measuring Cell ID		M
Measured Cell ID		M

9.1.39 NEIGHBOURING CELL MEASUREMENT RESPONSE ~~(TDD-Only)~~

This message is sent from CRNC-2 to CRNC-1 as response to the Neighbour Cell Measurement Request message and returns the chip offset respect to the Measured Cell synchronisation channel.

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Measured Chip Offset		M

9.1.40 NEIGHBOURING CELL MEASUREMENT FAILURE ~~(TDD-Only)~~

This message is sent from CRNC-2 to CRNC-1 as response to the Neighbour Cell Measurement Request message when the Measured Cell could not be read.

Information Element	Reference	Type
Message Type		M
Transaction ID		M
Failure Cause		M

ERROR REPORT

<u>Information Element</u>	<u>Reference</u>	<u>Type</u>
<u>Message Type</u>		<u>M</u>
<u>Transaction ID</u>		<u>M</u>

[Editor's note: The content of this message is FFS.]

[Editor's note: The Error Reporting procedure was agreed as a working assumption at RAN WG3 #7 Sophia Antipolis. This message is included to reflect that working assumption.]

9.2 Information Element Functional Definition and Contents

[Editor's note: The contents of this chapter related to encoding of parameters is FFS. It has not been agreed between ETSI and TTC/ARIB. Study item Iu/7 from TTC/ARIB ETSI merging: It has been decided to use ASN.1 to specify the messages and parameters. However, the encoding (transfer syntax) is still FFS.]

This paragraph contains the CODING of the signaling elements used.

The following convention are assigned for the sequence of transmission of bits and bytes:

- Each bit position is marked as 1 to 8. Bit 1 is the least significant bit and is transmitted first.
- In an element octets are identified by number, octet 1 is transmitted first, then octet 2 etc.

Length Indicator

It is desirable to have Length for messages and parameters because future version of protocol may have extension to the present message or parameter, and also variable size can be present in some parameters as well.

- In case of message size exceeding 256 byte it is better to have 2 bytes for message LENGTH.
- However it is enough to have 1 byte for parameter LENGTH.

Fig. 3.2.2-2 Length Indicator for Parameter

Fig. 3.2.2-1 Length Indicator for Message

Compatibility Information

Compatibility Information is used in the situation of unrecognized messages or parameter. This parameter should be placed at a certain place then it is easy to pick up this parameter in any circumstances.

Consequently, the format can be as follow:

- Message Identifier / Length / Compatibility Info / parameters

~~Parameter Identifier / Length / Compatibility Info / Fields~~

~~Figure 3 shows the coding format of message and Figure 4 shows the coding format of parameter.~~

~~Fig. 3.2.2-3 Message Coding Format~~

~~Fig. 3.2.2-4 Parameter Coding Format~~

~~Fixed size data and Variable size data in Field~~

~~It may have two types of field i.e. with variable size or fixed size in data of field. It has no any problem to specify the fixed size field. Figure5 shows an example of fixed size data in field.~~

~~Fig. 3.2.2-5 Format for fixed size field~~

~~Regarding the variable size of data~~

~~Fig. 3.2.2-6 Length method~~

~~The elements used and their CODING are:~~

Element Identifier	Element name	Reference
-------------------------------	-------------------------	----------------------

Coding		
	ATM Binding ID	
	ATM Address	
	No. of DCHs	
	DCH ID	
	TFS(for DCH)	
	TFCS(for DCHs)	
	Radio Frequency	
	UL scrambling code	
	UL channelisation code type	
	No. of UL channelisation code	
	UL channelisation code ID	
	UL Interference Level	
	DL channelisation code type	
	No. of DL channelisation code	
	DL channelisation code id	
	Cell Id	
	Neighbor Cell Information	
	Soft Combination Indication	
	Phase Difference	
	Radio Link ID	
	No. of Radio Links	
	Execution Time	
	Slot offset	
	Frame offset	
	Initial DL Power	
	DL Power Range	
	Target UL Eb/lo	
	Old RNTI	
	Old URA ID	
	DCH QoS	

9.2.1 Common Parameters

This chapter contains parameters that are common to FDD and TDD.

Absolute Threshold

The threshold for which the DRNS shall trigger a measurement report.

9.2.1.1 Allowed Queuing Time

This parameter specifies the maximum queuing time that is allowed in the DRNS. The default value is no queuing

9.2.1.2 Binding ID

Binding ID is an identifier for an user data stream. The Binding ID is allocated by the Drift RNC and it should be unique among all active transport bearers to/from the related drift RNC. The Binding ID is a variable length parameter.

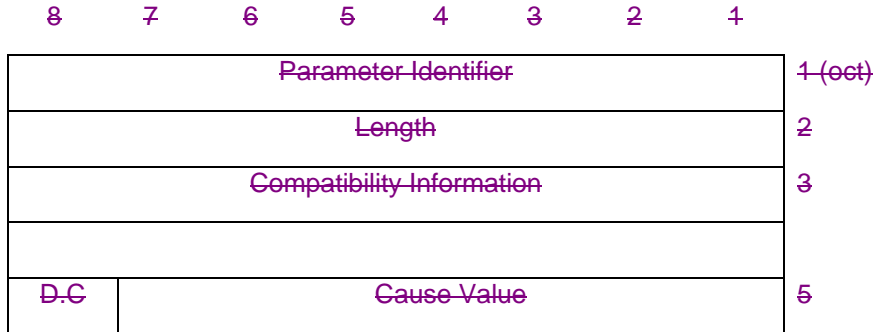
9.2.1.3 Cause

[Editor's note:

Presently there exists a number of parameters named "Cause" or similar to that. It is unclear whether some of these parameters are the same or if they are all different. When starting to define the possible values of the various cause parameters this has to be sorted out. Presently the following different "Cause" parameters exists; Failure Cause (TDD only), RL Failure Cause, Cause for RL failure, Cause1, and Cause2.]

This element is used to indicate the reason for a particular event to have occurred and is coded as shown below.

~~The cause value is a single octet element if the extension bit (bit 8) is set to 0. If it is set to 1 then the cause value is a 2 octet field.~~



~~Fig.3.2.2.7 format of Cause~~

~~Cause Value:~~

- ~~—Class : Normal event~~
- ~~—Class:Normal event~~
- ~~—Class:Resource unavailable~~
- ~~—Class : Service or option not available~~
- ~~—Class : Service or option not implemented~~
- ~~—Class : invalid message (eg parameter out of range)~~
- ~~—Class : protocol error~~
- ~~—Class : interworking~~

The following table shows example of cause value. The exact list is not agreed and FFS.

~~Table3.2.2.7 cause value~~

Cause Value		
Class	value	
<u>765</u>	<u>4321</u>	

	<p>Normal termination</p> <p>Mobile illegal (ex. Authentication NG)</p> <p>O & M intervention</p> <p>Equipment failure</p> <p>Protocol error</p> <p>Message type non-existent or not implemented</p> <p>Information element/parameter non-existent or not implemented</p> <p>Radio link failure</p> <p>BS approach link failure</p> <p>Timer expired</p> <p>Ciphering algorithm not supported</p> <p>Resource unavailable</p> <p>Other values are reserved</p>
--	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

9.2.1.4 CFN

Connection Frame Number, included in the DCH FP frame. Node B maps the CFN with the cell FN via the Frame offset.

9.2.1.5 CN PS Domain Identifier

Identification of the CN node in the PS Domain. The format of the identification of the CN PS Do-main Node ID is specified in [33].

Note: Until the specification [33] has been identified, the CN PS Domain Node ID format should be described in this specification. The CN PS Domain Identifier has one of the following formats:

LAC and RAC

PLMN Id, LAC, and RAC.

9.2.1.6 CN CS Domain Identifier

Identification of the CN node in the PS Domain. The format of the identification of the CN CS Do-main Node ID is specified in [33].

Note: Until the specification [33] has been identified, the CN CS Domain Node ID format should be described in this specification. The CN CS Domain Identifier has one of the following formats:

LAC

PLMN Id and LAC.

9.2.1.7 C-RNTI

C-RNTI is the UE identifier in the DRNC to be used over the radio interface.

~~9.2.1.8~~D-RNTI Release Indication

The D-RNTI Release Indication indicates whether or not a CRNC can release the D-RNTI being allocated for a particular UE.

~~9.2.1.9~~DCH Allocation/Retention Priority

This parameter indicates the priority level in the allocation and retention of DCH resources in DRNS.

~~9.2.1.10~~DCH Combination Indicator

The DCH Combination Indicator is used to indicate the multiplexing of more than one DCH on one transport bearer. The value should be unique for each group of coordinated DCHs per request message.

~~9.2.1.11~~DCH Frame Handling Priority

This parameter indicates the priority level to be used during the lifetime of the DCH for temporary restriction of the allocated resources due overload reason.

~~9.2.1.12~~DCH ID

DCH ID is an identifier for an active dedicated transport channel. DCH ID should be unique for each active DCH among the active DCHs simultaneously allocated for the same UE.

~~9.2.1.13~~Diversity Control Field

~~Indicates if the RL may, must not (or must, FFS) be combined with the others.~~

~~9.2.1.14~~Diversity Indication

~~Indicates if the RL has been (ON) or has been not (OFF) combined with another RL.~~

~~9.2.1.15~~DL Channelisation Code

[Editor's note: This parameter needs to be defined. Contributions are invited.]

DL DPCH Eb/lo

[Editor's note: This parameter needs to be defined. Contributions are invited.]

~~9.2.1.16~~D-RNTI

D-RNTI is the UE context identifier in the DRNC. It is unique in the DRNC and it is released when the UE is not using anymore resources in that DRNS.

Editor's note:

The description of the parameter is an exact copy of the previous description of the C-RNTI where only the name of the parameter has been changed.]

~~9.2.1.17~~DRX Parameter

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.1.18 DSCH TFS

Fall Time

The time the measurement entity shall fall, in order to trigger a measurement report.

Hysteresis Time

The time for which the measurement entity has to be above/beneath the absolute thresh-old when a measurement report should be sent.

Initial DL TX Power

This parameter gives the initial DL TX Power as an absolute value.

9.2.1.19 MACd-MACsh Transport Format Set

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.1.20 Maximum Uplink Eb/No (FFS)

Indicate the maximum allowed Eb/No to be used by the UL inner loop power control.

9.2.1.21 Measurement Characteristics

This parameter defines how a measurement should be performed. For example measurement frequency, timing information, filtering information. *The exact structure and contents of this parameter is dependent on the Measurement Type and is FFS*

9.2.1.22 Measurement ID

This is a SRNC defined identifier that uniquely identifies the measurement.

9.2.1.23 Measurement Object

This defines on which resource the measurement should be performed. For example might this identifier point out a radio link. *Other measurement objects are FFS*

9.2.1.24 Measurement Type

This defines what measurement that should be performed. This could for example be "used power on the downlink" or *Other measurement types are FFS*

9.2.1.25 Message Type

Message Type uniquely identifies the message being sent. It is a single octet element, mandatory in all messages.

8765 4321	
	RADIO LINK SETUP RADIO LINK SETUP RESPONSE RADIO LINK SETUP FAILURE
	RADIO LINK ADDITION RADIO LINK ADDITION RESPONSE RADIO LINK ADDITION FAILURE
	RADIO LINK DELETION RADIO LINK DELETION RESPONSE

	RADIO LINK RECONFIGURATION PREPARE RADIO LINK RECONFIGURATION READY RADIO LINK RECONFIGURATION COMMIT RADIO LINK RECONFIGURATION FAILURE RADIO LINK RECONFIGURATION CANCEL RADIO LINK RECONFIGURATION RADIO LINK RECONFIGURATION RESPONSE
	RADIO LINK FAILURE
	DL POWER CONTROL
	UPLINK SIGNALLING TRANSFER DOWNLINK SIGNALLING TRANSFER SRNS RELOCATION COMMIT URA PAGING REQUEST MEASUREMENT REPORT C-RNTI RELEASE
	DL CODE RECONFIGURATION REQUEST DL CODE RECONFIGURATION COMMAND DL CODE RECONFIGURATION FAILURE

Message Compatibility Information

Message Compatibility Information is used in the situation of unrecognized messages.

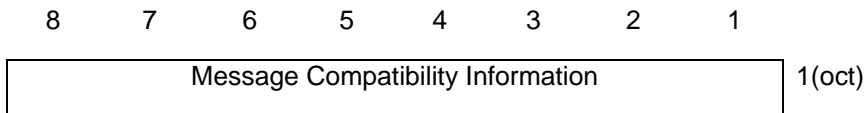


Fig.3.2.2.2 Message Compatibility Information

Table 3.2.2.2 Message Compatibility Information octet

Bit	
8	Reserved
:	
4	Pass On not possible
3	Discard Message
2	Send Notify (1)
1	Release Indicator

9.2.1.26 Minimum Uplink Eb/No (FFS)

Indicate the maximum allowed Eb/No to be used by the UL inner loop power control.

Payload CRC Present Indicator

The Payload CRC Present Indicator indicates whether or not the DCH FP payload shall contain a CRC. This parameter has the values: CRC Included and CRC not included.

[Editor’s note: The above description is the Editor’s proposal. This editor’s note shall be deleted when the above description is approved.]

9.2.1.27 Primary CCPCH TX Power

[Editor’s note: This parameter needs to be defined. Contributions are invited.]

Relative Threshold

The distance that the measurement entity shall rise/fall, in order to trigger a measurement report.

~~9.2.1.28~~ Report Characteristics

The Report Characteristics could be any of the following classes:

Periodic: Reports should be delivered in a periodic matter with some frequency. In this case the update frequency have to be specified.

Event Triggered: Reports should be delivered upon a specific event in Node B. In this case the event have to be specified.

Immediate Reporting: A report should be delivered immediately. Only one measurement report should be sent and after that the measurement is automatically cancelled.

Reporting Frequency

The frequency with which the DRNS shall send measurement reports.

Rising Time

The time the measurement entity shall rise on, in order to trigger a measurement report.

~~9.2.1.29~~ RL ID

RL ID is an identifier for the corresponding Radio Link for one UE. RL ID is allocated by the serving RNC during the branch allocation, and it should be stored both to the serving- and drift RNCs as long as the said RL exists. RL ID can be used later as a reference to the said RL between the serving- and the drift RNC. RL ID should be unique for each active RL among the active RLs simultaneously allocated for the same UE.

~~9.2.1.30~~ S-RNTI

S-RNTI is the UE context identifier in the SRNC. It is allocated by the SRNC and maintained for all the time the RRC connection is terminating in the SRNC.

~~9.2.1.31~~ Target UL Eb/I0

[Editor's note: This parameter needs to be defined. Contributions are invited.]

~~9.2.1.32~~ Time Reference

This is a time reference showing the time of a measurement. *The accuracy of this is FFS.*

~~9.2.1.33~~ Transaction ID

[Editor's note: The existence of this parameter has been agreed. However, the description may have to be improved to reflect the agreed purpose. Contributions are invited.]

Transaction ID is a unique identifier among all the messages having the same message type and which are sent using the same RNSAP signalling bearer connection. The identifier must be unique among those messages that are in pending state, i.e. messages that can still be references to in a forthcoming message. Transaction Id for complete-, proceeding-, acknowledge- and confirm-type of messages is the same transaction ID that was used in the message for which the above mentioned type message is related to. FFS.

9.2.1.34 Transport Address

Defines the transport address of the DRNC. For details on the Transport Address used see [22]. The addressing in UTRAN is FFS.

9.2.1.35 Transport Format Combination Set

The Transport Format Combinations Set defines the allowed combinations of the transport formats of the transport channels.

[Editor's note: This parameter is divided into two parameters in the messages, one for the UL and one for the DL. The parameter description needs to be clarified to reflect this division.]

9.2.1.36 Transport Format Set

Transport format set is a set of transport formats allocated for a DCH. Each transport format defines one combination of parameters that describes 1) the format of the MAC PDU to be transmitted over Iur 2) The procedures that should be done at layer 1 for the MAC-PDUs upon reception.

[Editor's note: This parameter is divided into two parameters in the messages, one for the UL and one for the DL. The parameter description needs to be clarified to reflect this division.]

9.2.1.37 UL Channelisation Code ID

9.2.1.38 UL Channelisation Code Type

9.2.1.39 Uplink Eb/No Target

Indicates the UL Eb/No target to be used by the UL closed loop power control.

9.2.1.40 UL Interference Level

The parameter indicates the UL Interference Level in a cell. The UL Interference Level is used by the UE calculate its initial UL power for the cell.

~~[Editor's note: The above description is the editor's proposal. The editor's proposal on the parameter description is based on a description provided by NTT DoCoMo. This editor's note is to be deleted when the above description is approved.]~~

9.2.1.41 URA ID

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.1.42 UTRAN Cell Identifier

The UTRAN Cell Identifier (UC-Id) is used to identify the cell uniquely within UTRAN. The UC-Id consists of the Controlling RNC Identifier (CRNC-Id) and a Cell Identifier (C-Id). The C-Id is unique only within one RNS. The format of the identification of the CRNC-Id is specified in [33].

Note: until the specification [33] has been identified, the CRNC-Id format should be described in this specification. The CRNC-Id has one of the following formats:

RNC Id

Global RNC Id: PLMN-Id (MCC and MNC) and RNC Id

~~[Editor's not: The above text concerning the CRNC Id has been taken from the parameter CRNC ID. The parameter CRNC ID has not been used since it was included in the UTRAN Cell Identifier at RAN WG3 #5 in Helsinki. This note is to be deleted when the above text is approved.]~~

-[Editor's note:

It needs to be defined whether the UC-Id always will exist in its UTRAN unique format (CRNC-Id + C-Id) or if only the C-Id can be used, e.g. in the case of neighbouring RNCs.]

9.2.1.43 Value

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.1.44 L3 Information

This parameter contains the Layer 3 Information from a Uu message as received from the UE over the Uu interface or the Layer 3 Information for a Uu message to be sent to a UE by the CRNC.

9.2.2 FDD Specific Parameters

This chapter contains parameters that are specific to FDD.

9.2.2.1 Channelisation Code

Channelisation code can be defined e.g. by indicating the level and branch in the code tree.

9.2.2.2 Channelisation Code Length

Channelisation code length defines the level of the related channelisation code in the channelisation code tree.

9.2.2.3 Chip Offset

The Chip Offset parameter has a resolution of 1 chip and a range of 0 to 38399 (< 10ms). The Chip Offset is used as offset for the DL DPCH relative to the Primary CCPCH timing.

9.2.2.4 Diversity Control Field

Indicates if the RL may, must not (or must, FFS) be combined with the others.

9.2.2.5 Diversity Indication

Indicates if the RL has been (ON) or has been not (OFF) combined with another RL.

9.2.2.4 DL Reference Power

Reference transmission power, which is the SRNS requested downlink power to be used by the downlink inner loop power control to eliminate the power drifting problem. This parameter gives the DL Reference Power as an absolute value.

Editor's note:

The handling is since the RAN WG3 meeting #6 in Sophia Antipolis regarded as an open issue. The description of the parameter is thus FFS.]

9.2.2.5 DL Scrambling Code

DL scrambling code to be used by the RL. One cell may have multiple DL scrambling codes available.

9.2.2.6 Frame Offset

The Frame Offset parameter has a resolution of 1 frame and a range of 0 to 255 ($\leq 2,55$ seconds). The Frame Offset is used in the translation between Connection Frame Number (CFN) on Iub/Iur and least significant 8 bits of SFN (System Frame Number) on Uu.

9.2.2.7 Primary CCPCH Ec/Io

Signal-to-interference ratio per chip of the Primary CCPCH measured by the terminal. The name shall be aligned with WG1&2.

[Editor's note:

The name used in the RRC protocol is Primary CCPCH **RX** Ec/Io as part of the parameter "Intra-frequency measurement quantity".]

9.2.2.8 Primary CCPCH Scrambling Code

Defines the scrambling code used by the cell to broadcast the BCCH.

9.2.2.9 Propagation Delay (PD)

The parameter gives the round trip propagation delay of the radio signal from the Node B to the UE and back to the Node B in one chip resolution.

9.2.2.10 Reference RL ID

ID of the RL which the RL in question has been combined with.

9.2.2.11 UARFN

The UTRAN Absolute Radio Frequency Number defines the carrier.

[Editor's note: The parameter is described as UARFCN in the messages. The concept of frequency identification needs to be clarified.]

UL DCH FP Mode

The UL DCH FP Mode parameter indicates whether normal mode or silent mode shall be used in the DCH FP. This parameter has the values: *Normal Mode* and *Silent Mode*.

[Editor's note: The above description is the Editor's proposal. This editor's note shall be deleted when the above description is approved.]

9.2.2.12 UL Scrambling Code

Uplink scrambling code is the scrambling code that is used by the UE.

9.2.3 TDD Specific Parameters

This chapter contains parameters that are specific to TDD.

9.2.3.4 CCTrCH ID

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.3.2 Channelisation Code Number

The Channelisation Code Number indicates which Channelisation Code is used for a given Physical Channel. In TDD the Channelisation Code is an Orthogonal Variable Spreading Factor code, that can have a spreading factor of 1, 2, 4, 8 or 16.

The range of this parameter is 0 .. 30.

9.2.3.3 DPCH ID

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.3.4 Measured Cell Id

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.3.5 Measured Chip Offset

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.3.6 Measuring Cell Id

[Editor's note: This parameter needs to be defined. Contributions are invited.]

9.2.3.7 Midamble Type

In TDD the midamble part of the burst can contain two different midamble types: a short one of length 256 chips, or a long one of 512 chips. The data rate of the physical channel is depending on the used midamble length.

The values of this parameter are short and long.

9.2.3.8 Midamble Shift

In TDD different bursts transmitted simultaneously using the same midamble code shall use different Midamble Shifts. The 256 chip midamble supports 3 different time shifts, the 512 chips midamble may support 8 or even 16 time shifts.

The range of this parameter is 0 .. 15 for long midamble and 0 .. 2 for short midamble.

9.2.3.9 Repetition Period

In TDD the Repetition Period represents the number of consecutive Radio Frames after which the same assignment scheme of Time Slots to a Physical Channel is repeated. This means that if the Time Slot K is assigned to a physical channel in the Radio Frame J, it is assigned to the same physical channel also in all the Radio Frames $J+n \cdot \text{Repetition Period}$ (where n is an integer).

The Repetition Period is a submultiple of the Superframe length (72), i.e. 1, 2, 3, 4, 6, 8, 9, 12, 18, 24, 36 or 72.

9.2.3.10 Superframe Offset

In TDD the Superframe Offset represents the number of the first Radio Frame inside a Superframe that is assigned to a Physical Channel.

The range of this parameter is 0 .. Repetition Period – 1.

9.2.3.11 Repetition Length

In TDD the Repetition Length represents the number of consecutive Radio Frames inside a Repetition Period in which the same Time Slot is assigned to the same Physical Channel.

The values of this parameter are 1, 2, 4 and 8.

9.2.3.12 TFCI Presence

The TFCI Presence parameter indicates whether the TFCI shall be included. This is important for CCTrCH, which have capacity on more than one physical channel.

The values of this parameter are present and not present.

9.2.3.13 Time Slot

In TDD the Time Slot represents the minimum time interval inside a Radio Frame that can be assigned to a Physical Channel.

The range of this parameter is 0 .. 14.

9.3 Message and Information element abstract syntax (with ASN.1)

[Editor's note:

The below ASN.1 structure is agreed as a working assumption (at RAN WG3 #5 in Helsinki).]

[Editor's note:

The parts related to compatibility and version handling in the below ASN.1 structure is FFS since the Compatibility and Version Handling is FFS.]

9.3.1 PDU Descriptions for RNSAP

```

-- *****
--
-- PDU descriptions for RNSAP.
--
-- *****
RNSAP-PDU-descriptions -- { object identifier to be allocated }--
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN

-- *****
--
-- PDU content types from the PDU module.
--
-- *****

IMPORTS
-- Imports PDU content types from RNSAP PDU contents module
-- *** TO BE DEFINED ***
    ExampleMessageContents1,
    ExampleMessageContents2-v1,
    ExampleMessageContents2-v2,
    ExampleMessageContents3
FROM RNSAP-PDU-contents;

-- *****
--
-- Table column structure.
--
-- RNSAP-PDU-DESCR associates a RNSAP PDU structure with a PDU
-- identifier.
--
-- *****

RNSAP-PDU-DESCR ::= CLASS {
    &PDUType,
    &versionID          VersionID  UNIQUE,
    &LogicalProcedure   LogicalProcedure
}
WITH SYNTAX {
    PDU TYPE           &PDUType
    VERSION NUMBER AND ID &versionID
    LOGICAL PROCEDURE  &LogicalProcedure
}
    
```

```

-- *** TO BE DEFINED ***
VersionID ::= SEQUENCE {
    pduID          INTEGER (0..63),
    versionNumber  VersionNumber
}

-- *** TO BE DEFINED ***
VersionNumber ::= INTEGER (1 .. 255)

-- *** TO BE DEFINED ***
LogicalProcedure ::= ENUMERATED {
    basic,
    dedicated,
    common,
    global
}

-- *****
--
-- Table row definitions.
--
-- RNSAP PDU descriptions.
--
-- *****

RNSAP-PDUs RNSAP-PDU-DESCR ::= {
    -- *** TO BE DEFINED ***
    exampleMessage1 |
    exampleMessage2-v1 |
    exampleMessage2-v2 |
    exampleMessage3 |
    -- Additional PDU descriptions can be added in future
    ...
}

-- *** TO BE DEFINED ***
exampleMessage1 RNSAP-PDU-DESCR ::= {
    PDU TYPE          ExampleMessageContents1
    VERSION NUMBER AND ID { pduID 1, versionNumber 1 }
    LOGICAL PROCEDURE { global }
}

exampleMessage2-v1 RNSAP-PDU-DESCR ::= {
    PDU TYPE          ExampleMessageContents2-v1
    VERSION NUMBER AND ID { pduID 2, versionNumber 1 }
    LOGICAL PROCEDURE { dedicated }
}

exampleMessage2-v2 RNSAP-PDU-DESCR ::= {
    PDU TYPE          ExampleMessageContents2-v2
    VERSION NUMBER AND ID { pduID 2, versionNumber 2 }
    LOGICAL PROCEDURE { dedicated }
}

exampleMessage3 RNSAP-PDU-DESCR ::= {
    PDU TYPE          ExampleMessageContents3
    VERSION NUMBER AND ID { pduID 3, versionNumber 1 }
    LOGICAL PROCEDURE { basic | dedicated }
}

-- *****
--
-- Generic PDU structure. The RNSAP-PDUs table above describes
-- valid contents for the vid, indication and value fields.
--
-- *****

RNSAP-PDU ::= SEQUENCE {
    vid          RNSAP-PDU-DESCR.&versionID  ({RNSAP-PDUs}),
    value       RNSAP-PDU-DESCR.&PDUType     ({RNSAP-PDUs}@vid)
}

END

```

9.3.2 RNSAP PDU Content Definitions

[Editor's note:

To avoid syntax error problems in an ASN.1 compiler the empty IMPORT statements in the module below has been "commented them out" (i.e. two dashes are added in the beginning of the line) to avoid syntax errors.]

```

-- *****
--
-- RNSAP PDU content definitions
--
-- *****

RNSAP-PDU-contents DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- IMPORTS

-- *** TO BE DEFINED ***
-- FROM RNSAP-IEs

-- *** TO BE DEFINED ***
-- FROM RNSAP-Constants;

-- Definitions of RNSAP PDU content types one by one
-- *** TO BE DEFINED ***

ExampleMessageContents1 ::= SEQUENCE {
    -- *** IEs to be defined ***
    ...
}

ExampleMessageContents2-v1 ::= SEQUENCE {
    -- *** IEs to be defined ***
    ...
}

ExampleMessageContents2-v2 ::= SEQUENCE {
    -- *** IEs to be defined ***
    ...
}

ExampleMessageContents3 ::= SEQUENCE {
    -- *** IEs to be defined ***
    ...
}

END

```

9.3.3 RNSAP Information Elements

[Editor's note:

To avoid syntax error problems in an ASN.1 compiler the empty IMPORT statements in the module below has been “commented them out” (i.e. two dashes are added in the beginning of the line) to avoid syntax errors.]

```

-- *****
--
-- RNSAP Information Elements
--
-- *****

RNSAP-IEs DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- IMPORTS

-- *** TO BE DEFINED ***
-- FROM RNSAP-Constants;

-- Definitions of RNSAP IEs one by one
-- *** TO BE DEFINED ***

END

```

9.3.4 Constant Definitions for RNSAP

```

-- *****
--
-- Constant definitions for RNSAP
--
-- *****

RNSAP-Constants DEFINITIONS AUTOMATIC TAGS ::=

```



```
BEGIN
-- Definitions of RNSAP constants one by one
-- *** TO BE DEFINED ***
END
```

9.4 Message transfer syntax

[Editor's note:

The transfer syntax has been agreed to be either BER or PER (as a result of contribution R3-(99)639), which one to use is FFS.]

9.5 Timers

40 Handling of Unknown, Unforeseen and Erroneous Protocol Data

Error Reporting

[Editor's note: This procedure was agreed as a working assumption at RAN WG3 #7 Sophia Antipolis.]

This procedure is used by RNC to report detected errors in one incoming message if they cannot be reported by an appropriate failure message.

When RNC detects an erroneous message (or a message which for some other reasons cannot be processed), it sends an ERROR REPORT message to RNC. This message is used to report syntactical errors and procedural errors that cannot be reported using the normal failure/error message of the procedure. The content of the message is FFS.

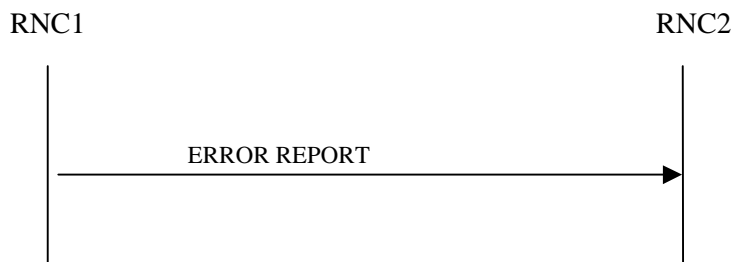


Figure 2423: Error reporting procedure

41 Annex A (normative):

42 Annex B (informative): Document Stability Assessment Table

Section	Content missing	Incomplete	Restructuring needed	Checking needed	Editorial work required	Finalisation needed	Almost stable	Stable
1								√
2					√			
3				√				
4		√						
5				√				
6	√							
7				√				
8								
8.1			√	√				
8.2			√	√				
8.3		√	√					
8.4		√	√					
9								
9.1		√						
9.2		√						
9.3	√	√						
9.4	√							
9.5	√							
10	√	√						

The present specification contains 65 FFSs.

13 Annex C (informative): List of Outstanding Issues

This list of outstanding issues was *initially* derived from the planning meeting held between the chairman and the editors within the RAN WG3 Iur/Iub SWG at the RAN WG3 meeting #6 in Sophia Antipolis.

The following Issues are remaining in the present specification (not in order of importance):

- Compressed Mode
- Positioning
- TDD
A lot of issues remain to be sorted out, e.g. parameters, differences between FDD and TDD, etc.
- DL Power Control [TDD]
Added as a consequence of the Tdocs A08 and 924: The handling of the DL power control is an additional open issue. (For instance, how shall the DL Reference Power be used?)
- Error Cases/Error Handling
- Timers
- Load Information (Load Information Request)
- Compatibility and Version handling

- Specification text
This specification needs to be improved to be more of a specification rather than the present descriptive text. This applies to all chapters of the specification.
- Services from Signalling Transport (Chapter 6)
- Parameters for DSCH
- Parameter definitions and ranges.

14 History

Document history		
1.4.1	October 1999	<p>The following updates of this version have been made due to the decisions taken at RAN WG3 #7 in Sophia Antipolis:</p> <ul style="list-style-type: none"> • The DCH Allocation/Retention Priority and the DCH Frame Handling Priority parameters are described as being of the same type (Optional or Mandatory) for TDD as for FDD (error corrected in chapter 9.1.15.2 as compared to version 1.4.0). • The UL DCH FP Mode (Normal or Silent) has been added according to decisions on R3-99C41. (Editor's proposal on parameter description is included in this version.) • The RL Restoration procedure has been added and the RL Failure procedure has been modified according to decisions on R3-99C40 (text from updated version of R3-99D29). • The Payload CRC Present Indicator has been added according to decisions on R3-99C10. (Editor's proposal on parameter description is included in this version.) • The issue of DL Power Control has been sorted out [FDD] and the necessary corrections has been made according to decisions on R3-99C18. • The Error Reporting procedure has been added according to decisions on R3-99C32 (text from R3-99C34). • The Measurement procedures has been updated according to decisions on R3-99B61, R3-99C06, and R3-99C66. • The working assumption on DCH FP version in the Control Plane has been included according to decisions on R3-99C36.
1.4.0	September 1999	<p>Approved by RAN WG3 with the following editorial corrections:</p> <ul style="list-style-type: none"> • The DCH Allocation/Retention Priority and the DCH Frame Handling Priority parameters are described as being of the same type (Optional or Mandatory) for TDD as for FDD. • The parameters Diversity Control Field and Diversity Indication have been moved to the chapter for FDD Specific parameters. • The note regarding a mechanism for synchronisation of a RL Reconfiguration being FFS has been deleted (CFN is used for the synchronisation). • The text and figures between the headings 9.2 and 9.2.1 has been deleted. • The description of the encoding of the parameter Cause has been deleted. • The notes indicating that the information regarding DSCH being a working assumption have been deleted.
1.3.1	August-September 1999	<p>The following updates of this version have been made due to the decisions taken at RAN WG3 #6 in Sophia Antipolis:</p> <ul style="list-style-type: none"> • Updated in accordance with decisions taken regarding: <ul style="list-style-type: none"> • R3-99920 • R3-99839 • R3-99903 • R3-99A00 • R3-99A29 • R3-99882 • R3-99953 (Editor's proposal on TDD messages for the RL Addition procedure.) • R3-99A44 • R3-99901 • R3-99976 (Editor's proposal on parameter description.) • R3-99932 • R3-99A07 • R3-99A08 (Editor's proposal on text for the Allowed Queuing Time.) • R3-99924 • R3-99A40 (SWG report)

		<ul style="list-style-type: none"> • The following general updates have been made: <ul style="list-style-type: none"> • A note has been added to the description of the DL Reference Power parameter (chapter 9.2.x) indicating that the handling of the parameter is FFS. • Chapter 9.2 has been divided into three sub-chapters, i.e. Common, FDD, and TDD parameters. • All “unused” parameters, i.e. existing in chapter 9.2 but not in any message, have been deleted. All parameters existing in the messages but not previously having a heading in chapter 9.2 have been added under the appropriate sub-chapter of chapter 9.2. • Annex C “List of Outstanding Issues” has been added. • The parameters included in the DSCH Information and DSCH Information response groups have been indented to show the correct level of the information (even though never repeated).
1.3.0	August 1999	<p>Approved by RAN WG3 with the following editorial corrections:</p> <ul style="list-style-type: none"> • The Paging Request in chapter 8.1.4 is described also to be done in a cell (as well as in a URA as previously described). • The relation between a set of co-ordinated DCHs and a transport bearer (and its Binding ID) is added in chapters 8.2.1 and 8.2.2.
1.2.2	August 1999	<p>In this version the editor’s note on the cover page has been deleted. The editor’s notes in chapter 8.2.1 and 8.2.5 are modified to encourage contributions to clarify the inconsistencies described in the notes. The figure text in chapter 8.3.1 has been corrected.</p>
1.2.1	July 1999	<p>The following updates of this version have been made due to the decisions taken at RAN WG3 #5 in Helsinki:</p> <ul style="list-style-type: none"> • The description on whether an RNSAP module is optional or mandatory has been removed (chapter 5.1) as a consequence of the decision on R3-(99)671 not to describe if a certain procedure is optional or mandatory. • The description of the Global Procedures module is changed to indicate that the procedures are between peer CRNCs not peer RNCs as a result of decisions on R3-(99)642. The descriptions in of all the Global Procedures are updated accordingly (chapter 8.4). • The ASN.1 agreed modules have been added (chapter 9.3) as a result of decisions on R3-(99)668. For clarity, each module is placed in a separate sub-chapter. • The Cell ID is changed to UTRAN Cell Identifier (UC-Id) throughout the contribution and the previous parameter Cell ID is deleted as a result of decisions R3-(99)661. The UTRAN Cell Identifier is added in the list of parameters (chapter 9.2.68), described in accordance with R3(99)772. • The D-RNTI is added in the UPLINK SIGNALLING TRANSFER message (chapters 8.1.1 and 9.1.22). The C-RNTI is replaced by the D-RNTI in the DOWNLINK SIGNALLING TRANSFER and C-RNTI RELEASE messages (chapters 8.1.2, 9.1.23, 8.3.1, and 9.1.27). The description of the parameter C-RNTI is updated to show the new usage (chapter 9.2.8). The parameter C-RNTI Release Indicator is renamed to D-RNTI Release Indicator (chapter 9.2.11). All of this as a consequence of R3-(99)662. • The Common Transport Channel Initialisation procedure is updated and the C-RNTI Release procedure and message are renamed to Common Transport Channel Release (chapters 8.3.1, 8.3.2 and 9.1.27). • Document stability assessment table added as Annex B in accordance with R3-(99)771. • The procedures for Measurement Request and Measurement termination are added and the Measurement Reporting procedure (renamed) (chapters 8.2.9 -- 11 and 9.1.) as a result of decisions on R3-(99)736. The parameters described in the contribution were added (unless already existing) with the description given in the procedure chapter of the contribution (chapter 9.1.26 -- 31). • The UL RL Quality Estimate is added as a Measurement Type in the Measurement Request procedure (chapter 8.2.9) as a result of decisions on R3-(99)760.

		<ul style="list-style-type: none"> • The procedures Uplink Signalling Transfer, RL Setup, and RL Addition have been modified (chapters 8.1.1, 8.2.1, and 8.1.2) as a result of decisions on R3-(99)744. Further more, the messages RL SETUP RESPONSE, RL SETUP FAILURE, RL ADDITION RESPONSE, RL ADDITION FAILURE, and UPLINK SIGNALLING TRANSFER have been updated (chapters 9.1.3, 9.1.4, 9.1.6, 9.1.7, and 9.1.22). In addition, the parameter CRNC Address has been renamed and modified and the parameters CN PS Domain Identifier and CN CS Domain Identifier have been added (chapters 9.2.7, 9.2.8, and 9.2.9). • The URA Paging Request procedure and message are renamed to Paging Request (chapter 8.1.4 and 9.1.25) as a result of decisions on R3-(99)680. The UC-Id is added as a possible identification of the “paging area”. When this contribution was agreed the UE identification was regarded as FFS. However, see also the results of R3-(99)656 below. • The procedure Physical Channel Reconfiguration has been modified (chapter 8.2.6) as a result of the decisions on R3-(99)697. • The procedure text of the procedures Radio Link Setup (obvious typo corrected) and Radio Link Reconfiguration (unsynchronised) are modified (chapters 8.2.1 and 8.2.5) as a result of decisions on R3-(99)652. Further more, the C-RNTI is changed to D-RNTI in the RADIO LINK SETUP RESPONSE message (chapter 9.1.3). • The procedures RL Setup, RL Reconfiguration (synchronised), and RL Reconfiguration (unsynchronised) are modified (chapters 8.2.1 parameter DCH Combination Indicator is added to the RL SETUP REQUEST, RL RECONFIGURATION PREPARE, and RL RECONFIGURATION messages as well as in the list of parameters (chapters 9.1.2, 9.1.10, 9.1.15, and 9.2.12). • The messages RL SETUP REQUEST, RL RECONFIGURATION PREPARE, RL RECONFIGURATION, RL SETUP RESPONSE, RL ADDITION RESPONSE, RL RECONFIGURATION READY, and RL RECONFIGURATION RESPONSE have been updated with the DSCH Information and DSCH Information Response respectively (chapters 9.1.2, 9.1.10, 9.1.15, 9.1.3, 9.1.6, 9.1.11, and 9.1.16) as a result of decisions on R3-(99)675. The new parameters are also added to the list of parameters (chapters 9.2.24 and 9.2.28) • The neighbouring cell information is updated in the RADIO LINK SETUP RESPONSE, RADIO LINK SETUP FAILURE, RADIO LINK ADDITION RESPONSE, and RADIO LINK ADDITION FAILURE messages (chapters 9.1.3, 9.1.4, 9.1.6, and 9.1.7) as a result of decisions on R3-(99)655. • Parameters are added to the URA PAGING REQUEST message (chapter 9.1.25) R3(99)656. The DRX Parameter is added to the list of parameters (chapter 9.2.23). The SRNC-Id is added to the list of parameters (chapter 9.2.54). As a consequence of this contribution the UE identification for this message is not regarded as FFS anymore. • The Parameter Perch Channel Ec/Io to Primary CCPCH Ec/Io (chapters 9.1.2, 9.1.5, and 9.2.44) as a result of decisions on R3-(99)646. • The D-RNTI is added as an optional parameter in the SRNS RELOCATION COMMIT message (chapter 9.1.24). A description on when the connection oriented and connectionless services of the signalling bearer are used as well as when the D-RNTI is used is included (chapter 8.1.3). The D-RNTI parameter is added in the list of parameters (chapter 9.2.22). All as a consequence of decision taken regarding R3-(99)647. • The possible actions to be taken at reception of RL FAILURE have been removed (chapter 8.2.7) on R3-(99)610. • The parameter DCH Type is renamed to DCH Priority throughout the specification as a result of decisions on R3-(99)740.
1.2.0	July 1999	Approved by RAN WG3 with the following correction: <ul style="list-style-type: none"> • The Common Procedures module is renamed to Global Procedures.
1.1.1	June 1999	This revision contains updates due to decisions regarding the following contributions at RAN WG3 #4 in Warwick: <ul style="list-style-type: none"> • R3-(99)490 (RL Load Indication procedure in chapter 8.2).

		<ul style="list-style-type: none"> • R3-(99)516 (Load Information, Load Information Request, Measurement reporting, and DL Power Control procedures in chapters 8.2 and 8.4. A new module is added to the RNSAP modules in chapter 5.1.). • R3-(99)493 (Physical Channel Reconfiguration in chapter 8.2 and the corresponding messages in chapter 9.1). • R3-(99)452 (RL SETUP, RL ADDITION, RL RECONFIGURATION PREPARE, and RL RECONFIGURATION messages in chapter 9.1). • R3-(99)583 (Uplink Signalling Transfer, Downlink Signalling Transfer, Common Transport Channel initialisation in chapter 8.1 and 8.4 and the corresponding messages in chapter 9.1). The chapter “Downlink Signalling Transfer” was moved back to chapter 8.3 (Common Transport Channel Procedures). • R3-(99)449 ([no] Parallel Transactions in chapter 5 and addition of Transaction Id as mandatory in all messages).
1.1.0	June 1999	<p>Approved by RAN WG3 with the following corrections:</p> <ul style="list-style-type: none"> • The specification number is corrected. • The chapter “Downlink Signalling Transfer” was moved from the chapter 8.1 (Basic Mobility Procedures) to chapter 8.3 (Common Transport Channel Procedures)
1.0.2	May 1999	<ul style="list-style-type: none"> • Chapters 8.1, 8.3, 9.1, and 9.2 are updated in accordance with the decisions made regarding R3-(99)341. • Chapters 9.1.22 and 9.2 are updated in accordance with the decision made regarding R3-(99)360. • A note is added to chapter 4 to reflect the decision on the “Source Signalling Address” made regarding R3-(99)360. • Abbreviations added in chapter 3.
1.0.1	April 1999	<ul style="list-style-type: none"> • Specification number changed to UMTS 25.413. • Title corrected UTRAN Iur Interface RNSAP Signalling. • A short scope is added. • Editors note added in chapter 5.1 “RNSAP Procedure Modules” to reflect the previous decision, as described in UMTS 25.420. • Chapter 8 updated in accordance with R3-(99)262. (RNS changed to RNC in a lot of places (primarily in relation to transmission or reception of messages) and SRNC Relocation is renamed to SRNS Relocation.) • The reference to YY.02 in the chapter “SRNS Relocation Commit” has been updated to refer to UMTS 25.931. • Chapter 9.2.45 “Transport Address” has been updated with a reference to 25.426 in accordance with R3-(99)275. • Chapter 12 has been deleted to avoid inconsistencies. • Chapter 7 is updated with a list of “elementary” procedures from chapter 8. • The title of chapter 8 is changed to “RNSAP Procedures” since not all procedures are true elementary procedures. • Chapter 8.2.11 “Uplink Outer Loop Power Control” has been removed in accordance with the decision to use inband signalling for this procedure was taken based on the Iur/1 Study Item Report R3-(99)282 • The list of messages in Chapter 9.1 (before 9.1.1) as well as the chapters 9.1.x are updated so that all messages described in chapter 8 are described. The messages not described in chapter 8 are deleted. This update also applied to the parameter “Message Type” in chapter 9.2.24. • All descriptions of messages in chapters 9.1.x have been removed. For a description of when the messages are used see chapter 8. • Chapter 9.2 is updated in accordance with R3-(99)348.
1.0.0	April 1999	Raised to version 1.0.0 by the TSG RAN meeting #3 in Japan, April 1999. The content is identical to version 0.1.0.
0.1.0	April 1999	Only version number stepped, otherwise same as 0.0.5.
0.0.5	April 1999	<ul style="list-style-type: none"> • Editor’s notes in ch. 9.1 and 9.2 modified to reflect agreements at WG3#2 in

		Nynäshamn, Sweden.
0.0.4	April 1999	<ul style="list-style-type: none"> • Elementary procedures in ch. 8 grouped into basic mobility-, DCH- and CCH procedures. • References added to msg. table in ch 9.1. • IEs in ch. 9.2 alphabetically ordered. • Started to add references in msg. contents tables in ch. 9.1.x. • Editor's note in ch. 8.1.2 referring to study item Iu/3 removed since study item resolved. • Procedure Outer Loop Power Control renamed Up Link Outer Loop Power Control.
0.0.3	March 1999	<p>Updated according to changes at WG3#2 in Nynäshamn:</p> <ul style="list-style-type: none"> • Ch. 8.8 Cell/URA Update Indication procedure updated. • Ch. 8.16 CCHT Release Request procedure added. • Updated according to tdoc R3-99178, R3-99179, R3-99171, R3-99182, R3-99175, R3-99198.
0.0.2	February 1999	Introduction of content from the Merged Description of I _{ur} Interface, V0.0.2 1999-02.
0.0.1	February 1999	Document Structure Proposal.
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