**3GPP T****SG-RAN WG2 Meeting #121 R2-230xxxx**

**Athens, Greece, February 27 – March 3, 2023**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **draft** | **rev** | **-** | **Current version:** | **17.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Running 38.331 CR for R18 Network-controlled repeaters | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | ZTE Corporation (Rapporteur) | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_netcon\_repeater | | | | |  | ***Date:*** | | | 2023-02-16 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of Rel-18 Network-controlled repeaters (NCR). | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introduction of Rel-18 Network-controlled repeaters (NCR). | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Network-controlled repeaters is not supported | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

START OF CHANGES

# 3 Definitions, symbols and abbreviations

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BAP Backhaul Adaptation Protocol

BCD Binary Coded Decimal

BFD Beam Failure Detection

BH Backhaul

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CAG Closed Access Group

CAG-ID Closed Access Group Identifier

CAPC Channel Access Priority Class

CBR Channel Busy Ratio

CCCH Common Control Channel

CFR Common Frequency Resources

CG Cell Group

CHO Conditional Handover

CLI Cross Link Interference

CMAS Commercial Mobile Alert Service

CP Control Plane

CPA Conditional PSCell Addition

CPC Conditional PSCell Change

C-RNTI Cell RNTI

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCP DCI with CRC scrambled by PS-RNTI

DFN Direct Frame Number

DL Downlink

DL-PRS Downlink Positioning Reference Signal

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

ECEF Earth-Centered, Earth-Fixed

ECI Earth-Centered Inertial

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

G-CS-RNTI Group Configured Scheduling RNTI

GERAN GSM/EDGE Radio Access Network

GIN Group ID for Network selection

GNSS Global Navigation Satellite System

G-RNTI Group RNTI

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HRNN Human Readable Network Name

HSDN High Speed Dedicated Network

H-SFN Hyper SFN

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IDC In-Device Coexistence

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LBT Listen Before Talk

LEO Low Earth Orbit

MAC Medium Access Control

MBS Multicast/Broadcast Service

MBS FSAI MBS Frequency Selection Area Identity

MCCH MBS Control Channel

MCG Master Cell Group

MDT Minimization of Drive Tests

MIB Master Information Block

MPE Maximum Permissible Exposure

MRB MBS Radio Bearer

MR-DC Multi-Radio Dual Connectivity

MTCH MBS Traffic Channel

MTSI Multimedia Telephony Service for IMS

MUSIM Multi-Universal Subscriber Identity Module

N/A Not Applicable

NCR Network-Controlled Repeater

NCR-Fwd NCR Forwarding

NCR-MT NCR Mobile Termination

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NID Network Identifier

NPN Non-Public Network

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

NSAG Network Slice AS Group

NTN Non-Terrestrial Network

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PEI Paging Early Indication

PEI-O Paging Early Indication-Occasion

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated Non-Public Network

posSIB Positioning SIB

PPW PRS Processing Window

PRS Positioning Reference Signal

PSCell Primary SCG Cell

PTM Point to Multipoint

PTP Point to Point

PWS Public Warning System

QoE Quality of Experience

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RLM Radio Link Monitoring

RMTC RSSI Measurement Timing Configuration

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RS Reference Signal

SBAS Satellite Based Augmentation System

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SD-RSRP Sidelink Discovery RSRP

SDT Small Data Transmission

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SL Sidelink

SLSS Sidelink Synchronisation Signal

SNPN Stand-alone Non-Public Network

SpCell Special Cell

SRAP Sidelink Relay Adaptation Protocol

SRB Signalling Radio Bearer

SRS Sounding Reference Signal

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TEG Timing Error Group

TM Transparent Mode

TMGI Temporary Mobile Group Identity

U2N UE-to-Network

UDC Uplink Data Compression

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

VR Virtual Reality

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

NEXT CHANGE

# 4 General

## 4.4 Functions

The RRC protocol includes the following main functions:

- Broadcast of system information:

- Including NAS common information;

- Information applicable for UEs in RRC\_IDLE and RRC\_INACTIVE (e.g. cell (re-)selection parameters, neighbouring cell information) and information (also) applicable for UEs in RRC\_CONNECTED (e.g. common channel configuration information);

- Including ETWS notification, CMAS notification;

- Including positioning assistance data.

- RRC connection control:

- Paging;

- Establishment/modification/suspension/resumption/release of RRC connection, including e.g. assignment/modification of UE identity (C-RNTI, fullI-RNTI, etc.), establishment/modification/suspension/resumption/release of SRBs (except for SRB0);

- Access barring;

- Initial AS security activation, i.e. initial configuration of AS integrity protection (SRBs, DRBs) and AS ciphering (SRBs, DRBs);

- RRC connection mobility including e.g. intra-frequency and inter-frequency handover, path switch from a PCell to a target L2 U2N Relay UE or from a L2 U2N Relay UE to a target PCell, associated AS security handling, i.e. key/algorithm change, specification of RRC context information transferred between network nodes;

- Establishment/modification/suspension/resumption/release of RBs carrying user data (DRBs/MRBs);

- Radio configuration control including e.g. assignment/modification of ARQ configuration, HARQ configuration, DRX configuration;

- In case of DC, cell management including e.g. change of PSCell, addition/modification/release of SCG cell(s);

- In case of CA, cell management including e.g. addition/modification/release of SCell(s);

- QoS control including assignment/ modification of semi-persistent scheduling (SPS) configuration and configured grant configuration for DL and UL respectively, assignment/ modification of parameters for UL rate control in the UE, i.e. allocation of a priority and a prioritised bit rate (PBR) for each RB of UE and logical channel of IAB-MT.

- Recovery from radio link failure.

- Inter-RAT mobility including e.g. AS security activation, transfer of RRC context information;

- Measurement configuration and reporting:

- Establishment/modification/release of measurement configuration (e.g. intra-frequency, inter-frequency and inter- RAT measurements);

- Setup and release of measurement gaps;

- Measurement reporting.

- Configuration of BAP entity and BH RLC channels for the support of IAB-node.

- Other functions including e.g. generic protocol error handling, transfer of dedicated NAS information, transfer of UE radio access capability information.

- Support of self-configuration and self-optimisation.

- Support of measurement logging and reporting for network performance optimisation, as specified in TS 37.320 [61];

- Support of transfer of application layer measurement configuration and reporting.

- Configuration of side control information for NCR-MT.

NEXT CHANGE

# 5 Procedures

## 5.2 System information

### 5.2.2 System information acquisition

#### 5.2.2.4 Actions upon receipt of System Information

##### 5.2.2.4.2 Actions upon reception of the *SIB1*

Upon receiving the *SIB1* the UE shall:

1> store the acquired *SIB1*;

1> if the UE is a RedCap UE and it is in RRC\_IDLE or in RRC\_INACTIVE, or if the RedCap UE is in RRC\_CONNECTED while *T311* is running:

2> if *intraFreqReselectionRedCap* is not present in *SIB1*:

3> consider the cell as barred in accordance with TS 38.304 [20];

3> perform barring as if *intraFreqReselectionRedCap* is set to allowed;

2> else:

3> if the *cellBarredRedCap1Rx* is present in the acquired *SIB1* and is set to *barred* and the UE is equipped with 1 Rx branch; or

3> if the *cellBarredRedCap2Rx* is present in the acquired *SIB1* and is set to *barred* and the UE is equipped with 2 Rx branches; or

3> if the *halfDuplexRedCapAllowed* is not present in the acquired *SIB1* and the UE supports only half-duplex FDD operation:

4> consider the cell as barred in accordance with TS 38.304 [20];

4> perform barring based on *intraFreqReselectionRedCap* as specified in TS 38.304 [20];

1> if the *cellAccessRelatedInfo* contains an entry of a selected SNPN or PLMN and in case of PLMN the UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

2> in the remainder of the procedures use *npn-IdentityList, trackingAreaCode,* and *cellIdentity* for the cell as received in the corresponding entry of *npn-IdentityInfoList* containing the selected PLMN or SNPN;

1> else if the *cellAccessRelatedInfo* contains an entry with the *PLMN-Identity* of the selected PLMN:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, *trackingAreaList,* and *cellIdentity* for the cell as received in the corresponding *PLMN-IdentityInfo* containing the selected PLMN;

1> if in RRC\_CONNECTED while T311 is not running:

2> disregard the *frequencyBandList*, if received, while in RRC\_CONNECTED;

2> forward the *cellIdentity* to upper layers;

2> forward the *trackingAreaCode* to upper layers, if included;

2> forward the *trackingAreaList* to upper layers, if included;

2> forward the received *posSIB-MappingInfo* to upper layers, if included;

2> apply the configuration included in the *servingCellConfigCommon*;

2> if the UE has a stored valid version of a SIB or posSIB, in accordance with clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with clause 5.2.2.1:

3> use the stored version of the required SIB or posSIB;

2> else:

3> acquire the required SIB or posSIB requested by upper layer as defined in clause 5.2.2.3.5;

NOTE: Void.

1> else:

2> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* for downlink for TDD, or one or more of the frequency bands indicated in the *frequencyBandList* for uplink for FDD, and they are not downlink only bands, and

2> if the UE is IAB-MT or supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported band in the downlink for TDD, or a supported band in uplink for FDD, and

2> if the UE supports an uplink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP or, for RedCap UE, of the RedCap-specific initial uplink BWP if configured), and which

- is wider than or equal to the bandwidth of the initial uplink BWP or, for RedCap UE, of the RedCap-specific initial uplink BWP if configured, and

2> if the UE supports a downlink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP or, for RedCap UE, of the RedCap-specific initial downlink BWP if configured), and which

- is wider than or equal to the bandwidth of the initial downlink BWP or, for RedCap UE, of the RedCap-specific initial downlink BWP if configured, and

2> if *frequencyShift7p5khz* is present and the UE supports corresponding 7.5kHz frequency shift on this band; or *frequencyShift7p5khz* is not present:

3> if neither *trackingAreaCode* nor *trackingAreaList* is provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list:

4> consider the cell as barred in accordance with TS 38.304 [20];

4> perform cell re-selection to other cells on the same frequency as the barred cell as specified in TS 38.304 [20];

3> else if UE is IAB-MT and if *iab-Support* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list nor the selected SNPN nor the registered SNPN:

4> consider the cell as barred for IAB-MT in accordance with TS 38.304 [20];

3> else if UE is NCR-MT and if *ncr-Support* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list:

4> consider the cell as barred for NCR-MT in accordance with TS 38.304 [20];

Editor note: FFS if *ncr-Support* is per NPN configured.

3> else:

4> apply a supported uplink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP or, for RedCap UEs, RedCap-specific initial uplink BWP, if configured, and which

- is wider than or equal to the bandwidth of the initial BWP for the uplink or, for a RedCap UE, of the RedCap-specific initial uplink BWP if configured;

4> apply a supported downlink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP or, for RedCap UEs, RedCap-specific initial downlink BWP, if configured, and which

- is wider than or equal to the bandwidth of the initial BWP for the downlink or, for a RedCap UE, of the RedCap-specific initial downlink BWP if configured;

4> select the first frequency band in the *frequencyBandList*, for FDD from *frequencyBandList* for uplink, or for TDD from *frequencyBandList* for downlink,which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

4> forward the *cellIdentity* to upper layers;

4> forward the *trackingAreaCode* to upper layers;

4> forward the *trackingAreaList* to upper layers, if included;

4> forward the received *posSIB-MappingInfo* to upper layers, if included;

4> forward the PLMN identity or SNPN identity or PNI-NPN identity to upper layers;

4> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:

5> if the serving cell does not belong to the configured *ran-NotificationAreaInfo*:

6> initiate an RNA update as specified in 5.3.13.8;

4> forward the *ims-EmergencySupport* to upper layers, if present;

4> forward the *eCallOverIMS-Support* to upper layers, if present;

4> forward the *UAC-AccessCategory1-SelectionAssistanceInfo* or *UAC-AC1-SelectAssistInfo* for the selected PLMN/SNPNto upper layers, if present and set to *a*, *b* or *c*;

4> if the UE is in SNPN access mode:

5> forward the *imsEmergencySupportForSNPN* indicators with the corresponding SNPN identities to upper layers, if present;

4> apply the configuration included in the *servingCellConfigCommon*;

4> apply the specified PCCH configuration defined in 9.1.1.3;

4> if the UE has a stored valid version of a SIB, in accordance with clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with clause 5.2.2.1:

5> use the stored version of the required SIB;

4> if the UE has not stored a valid version of a SIB, in accordance with clause 5.2.2.2.1, of one or several required SIB(s), in accordance with clause 5.2.2.1:

5> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to broadcasting:

6> acquire the SI message(s) as defined in clause 5.2.2.3.2;

5> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to *notBroadcasting*:

6> trigger a request to acquire the SI message(s) as defined in clause 5.2.2.3.3;

4> if the UE has a stored valid version of a posSIB, in accordance with clause 5.2.2.2.1, of one or several required posSIB(s), in accordance with clause 5.2.2.1:

5> use the stored version of the required posSIB;

4> if the UE has not stored a valid version of a posSIB, in accordance with clause 5.2.2.2.1, of one or several posSIB(s) in accordance with clause 5.2.2.1:

5> for the SI message(s) that, according to the *posSI-SchedulingInfo*, contain at least one requested posSIB and for which *posSI-BroadcastStatus* is set to *broadcasting*:

6> acquire the SI message(s) as defined in clause 5.2.2.3.2;

5> for the SI message(s) that, according to the *posSI-SchedulingInfo*, contain at least one requested posSIB for which *posSI-BroadcastStatus* is set to *notBroadcasting*:

6> trigger a request to acquire the SI message(s) as defined in clause 5.2.2.3.3a;

4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* in *uplinkConfigCommon* for FDD or in *downlinkConfigCommon* for TDD;

4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList*:

5> apply the *additionalPmax* for UL;

4> else:

5> apply the *p-Max* in *uplinkConfigCommon* for UL;

4> if *supplementaryUplink* is present in *servingCellConfigCommon*; and

4> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* for the *supplementaryUplink*; and

4> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported supplementary uplink band; and

4> if the UE supports an uplink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *supplementaryUplink* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP of the SUL:

5> consider supplementary uplink as configured in the serving cell;

5> select the first frequency band in the *frequencyBandList* for the *supplementaryUplink* which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

5> apply a supported supplementary uplink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* (indicated in *supplementaryUplink* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial BWP of the SUL;

5> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* for the *supplementaryUplink*;

5> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList* for the *supplementaryUplink*:

6> apply the *additionalPmax* in *supplementaryUplink* for SUL;

5> else:

6> apply the *p-Max* in *supplementaryUplink* for SUL;

2> else:

3> consider the cell as barred in accordance with TS 38.304 [20]; and

3> perform barring as if *intraFreqReselection*, or *intraFreqReselectionRedCap* for RedCap UEs, is set to *notAllowed*;

NEXT CHANGE

## 5.3 Connection control

### 5.3.1 Introduction

#### 5.3.1.1 RRC connection control

RRC connection establishment involves the establishment of SRB1. The network completes RRC connection establishment prior to completing the establishment of the NG connection, i.e. prior to receiving the UE context information from the 5GC. Consequently, AS security is not activated during the initial phase of the RRC connection. During this initial phase of the RRC connection, the network may configure the UE to perform measurement reporting, but the UE only sends the corresponding measurement reports after successful AS security activation. However, the UE only accepts a re-configuration with sync message when AS security has been activated.

Upon receiving the UE context from the 5GC, the RAN activates AS security (both ciphering and integrity protection) using the initial AS security activation procedure. The RRC messages to activate AS security (command and successful response) are integrity protected, while ciphering is started only after completion of the procedure. That is, the response to the message used to activate AS security is not ciphered, while the subsequent messages (e.g. used to establish SRB2, DRBs and multicast MRBs) are both integrity protected and ciphered. After having initiated the initial AS security activation procedure, the network may initiate the establishment of SRB2 and DRBs and/or multicast MRBs, i.e. the network may do this prior to receiving the confirmation of the initial AS security activation from the UE. In any case, the network will apply both ciphering and integrity protection for the RRC reconfiguration messages used to establish SRB2, DRBs and/or multicast MRBs. The network should release the RRC connection if the initial AS security activation and/ or the radio bearer establishment fails. A configuration with SRB2 without DRB or multicast MRB, or with DRB or multicast MRB without SRB2 is not supported (i.e., SRB2 and at least one DRB or multicast MRB must be configured in the same RRC Reconfiguration message, and it is not allowed to release all the DRBs and multicast MRBs without releasing the RRC Connection). For IAB-MT and NCR-MT, a configuration with SRB2 without any DRB/MRB is supported.

The release of the RRC connection normally is initiated by the network. The procedure may be used to re-direct the UE to an NR frequency or an E-UTRA carrier frequency.

The suspension of the RRC connection is initiated by the network. When the RRC connection is suspended, the UE stores the UE Inactive AS context and any configuration received from the network, and transits to RRC\_INACTIVE state. The RRC message to suspend the RRC connection is integrity protected and ciphered.

The resumption of a suspended RRC connection is initiated by upper layers when the UE needs to transit from RRC\_INACTIVE state to RRC\_CONNECTED state or by RRC layer to perform a RNA update or by RAN paging from NG-RAN or for SDT. When the RRC connection is resumed, network configures the UE according to the RRC connection resume procedure based on the stored UE Inactive AS context and any RRC configuration received from the network. The RRC connection resume procedure re-activates AS security and re-establishes SRB(s) and DRB(s) and/or multicast MRB(s), if configured.

Upon initiating the resume procedure for SDT, AS security (both ciphering and integrity protection) is re-activated for SRB2 (if configured for SDT) and for SRB1. In addition, AS security is also re-activated (if security is configured) for all the DRBs configured for SDT. Further, the PDCP entities of SRB1 and PDCP entities of the radio bearers configured for SDT are re-established and resumed whilst the UE remains in RRC\_INACTIVE state. Transmission and reception of data and/or signalling messages over radio bearers configured for SDT can happen whilst the UE is in RRC\_INACTIVE state and SDT procedure is ongoing.

In response to a request to resume the RRC connection or in response to a resume procedure initiated for SDT, the network may resume the suspended RRC connection and send UE to RRC\_CONNECTED, or reject the request to resume and send UE to RRC\_INACTIVE (with a wait timer), or directly re-suspend the RRC connection and send UE to RRC\_INACTIVE, or directly release the RRC connection and send UE to RRC\_IDLE, or instruct the UE to initiate NAS level recovery (in this case the network sends an RRC setup message).

NOTE: In case the UE receives the configurations for NR sidelink communication via the E-UTRA, the configurations for NR sidelink communication in *SIB12* and *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in clause 5.3 are provided by the configurations in *SystemInformationBlockType28* and *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331[10], respectively.

NEXT CHANGE

### 5.3.3 RRC connection establishment

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> if *sdt-MAC-PHY-CG-Config* is configured:

3> instruct the MAC entity to stop the *cg-SDT-TimeAlignmentTimer*, if it is running;

3> instruct the MAC entity to start the *timeAlignmentTimer* associated with the PTAG*,* if it is not running;

2> if *srs-PosRRC-InactiveConfig* is configured:

3> instruct the MAC entity to stop the *inactivePosSRS-TimeAlignmentTimer*, if it is running;

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0 and broadcast MRBs, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration, CCCH configuration and broadcast MRBs;

2> indicate to upper layers fallback of the RRC connection;

2> discard any application layer measurement reports which were not transmitted yet;

2> inform upper layers about the release of all application layer measurement configurations;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301, T319;

1> if T319a is running:

2> stop T319a;

2> consider SDT procedure is not ongoing;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

2> stop relay (re)selection procedure if any for L2 U2N Remote UE;

1> consider the current cell to be the PCell;

1> perform the L2 U2N Remote UE configuration procedure in accordance with the received *sl-L2RemoteUE-Config* as specified in 5.3.5.16;

1> perform the sidelink dedicated configuration procedure in accordance with the received *sl-ConfigDedicatedNR* as specified in 5.3.5.14;

1> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> if *reconnectCellId* in *VarRLF-Report* is not set after failing to perform reestablishment:

3> if the UE supports RLF-Report for conditional handover and if *choCellId* in *VarRLF-Report* is set:

4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the radio link failure or handover failure experienced in the *failedPCellId* stored in *VarRLF-Report*;

3> else:

4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> if the UE supports RLF report for inter-RAT MRO NR as defined in TS 36.306 [62], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

2> if *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] is not set after failing to perform reestablishment:

3> set *timeUntilReconnection* in *VarRLF-Report* of TS 36.331[10] to the time that elapsed since the last radio link failure or handover failure in LTE;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected an SNPN or a PLMN and in case of PLMN UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> if upper layers provide onboarding request indication:

3> include the *onboardingRequest*;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

2> if connecting as an NCR-node:

3> include the *ncr-NodeIndication*;

2> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

2> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

3> include the *idleMeasAvailable*;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCSetupComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCSetupComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCSetupComplete* message;

2> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

3> if T330 timer is running and the logged measurements configuration is for NR:

4> set *sigLogMeasConfigAvailable* to *true* in the *RRCSetupComplete* message;

3> else:

4> if the UE has logged measurements available for NR:

5> set *sigLogMeasConfigAvailable* to *false* in the *RRCSetupComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or in at least one of the entries of *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*, or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10], and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has successful handover information available in *VarSuccessHO-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarSuccessHO-Report*:

3> include *successHO-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCSetupComplete* message;

2> if the UE supports uplink RRC message segmentation of *UECapabilityInformation*:

3> may include the *ul-RRC-Segmentation* in the *RRCSetupComplete* message;

2> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

3> if *speedStateReselectionPars* is configured in the *SIB2*:

4> include the *mobilityState* in the *RRCSetupComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

NEXT CHANGE

### 5.3.5 RRC reconfiguration

#### 5.3.5.2 Initiation

The Network may initiate the RRC reconfiguration procedure to a UE in RRC\_CONNECTED. The Network applies the procedure as follows:

- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is performed only when AS security has been activated;

- the establishment of BH RLC Channels for IAB is performed only when AS security has been activated;

- the establishment of Uu Relay RLC channels and PC5 Relay RLC channels (other than SL-RLC0 and SL-RLC1) for L2 U2N Relay UE is performed only when AS security has been activated, and the establishment of PC5 Relay RLC channels for L2 U2N Remote UE (other than SL-RLC0 and SL-RLC1) is performed only when AS security has been activated;

- the addition of Secondary Cell Group and SCells is performed only when AS security has been activated;

- the *reconfigurationWithSync* is included in *secondaryCellGroup* only when at least one RLC bearer or BH RLC channel is setup in SCG;

- the *reconfigurationWithSync* is included in *masterCellGroup* only when AS security has been activated, and SRB2 with at least one DRB or multicast MRB or, for IAB and NCR-MT, SRB2, are setup and not suspended;

- the *conditionalReconfiguration* for CPC is included only when at least one RLC bearer is setup in SCG;

- the *conditionalReconfiguration* for CHO or CPA is included only when AS security has been activated, and SRB2 with at least one DRB or multicast MRB or, for IAB, SRB2, are setup and not suspended.

NEXT CHANGE

#### 5.3.5.8 Reconfiguration failure

##### 5.3.5.8.2 Inability to comply with *RRCReconfiguration*

NOTE 00: The UE behaviour specified in this clause does not apply to the following, and the UE ignores, i.e. does not take an action on and does not store, the fields that it does not support or does not comprehend:

- The fields in *ServingCellConfigCommon* that are defined in Rel-16 and later.

- The fields of *searchSpaceMCCH* and s*earchSpaceMTCH* in *PDCCH-ConfigCommon* that are defined in Rel-17 and later.

The UE shall:

1> if the UE is in (NG)EN-DC:

2> if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB3;

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in clause 5.7.3 to report SCG reconfiguration error, upon which the connection reconfiguration procedure ends;

3> else:

4> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7, upon which the connection reconfiguration procedure ends;

2> else, if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB1;

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7, upon which the connection reconfiguration procedure ends.

1> else if *RRCReconfiguration* is received via NR (i.e., NR standalone, NE-DC, or NR-DC):

2> if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB3;

NOTE 0: This case does not apply in NE-DC.

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in clause 5.7.3 to report SCG reconfiguration error, upon which the connection reconfiguration procedure ends;

3> else:

4> initiate the connection re-establishment procedure as specified in clause 5.3.7, upon which the connection reconfiguration procedure ends;

2> else if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over the SRB1 or if the upper layers indicate that the *nas-Container* is invalid:

NOTE 0a: The compliance also covers the SCG configuration carried within octet strings e.g. field *mrdc-SecondaryCellGroupConfig*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded SCG configuration or with the combination of (parts of) the MCG and SCG configurations.

NOTE 0b: The compliance also covers the V2X sidelink configuration carried within an octet string, e.g. field *sl-ConfigDedicatedEUTRA*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded V2X sidelink configuration.

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if AS security has not been activated:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other'

3> else if AS security has been activated but SRB2 and at least one DRB or multicast MRB or, for IAB and NCR-MT, SRB2, have not been setup:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

3> else:

4> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the reconfiguration procedure ends;

1> else if *RRCReconfiguration* is received via other RAT (Handover to NR failure):

2> if the UE is unable to comply with any part of the configuration included in the *RRCReconfiguration* message or if the upper layers indicate that the *nas-Container* is invalid:

3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT.

NOTE 1: The UE may apply above failure handling also in case the *RRCReconfiguration* message causes a protocol error for which the generic error handling as defined in clause 10 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

NOTE 3: It is up to UE implementation whether the compliance check for an *RRCReconfiguration* received as part of *ConditionalReconfiguration* is performed upon the reception of the message or upon CHO, CPA and CPC execution (when the message is required to be applied).

NEXT CHANGE

### 5.3.7 RRC connection re-establishment

#### 5.3.7.1 General



Figure 5.3.7.1-1: RRC connection re-establishment, successful



Figure 5.3.7.1-2: RRC re-establishment, fallback to RRC establishment, successful

The purpose of this procedure is to re-establish the RRC connection. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB/multicast MRB setup or, for IAB and NCR-MT, SRB2, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds if the network is able to find and verify a valid UE context or, if the UE context cannot be retrieved, and the network responds with an *RRCSetup* according to clause 5.3.3.4.

The network applies the procedure e.g as follows:

- When AS security has been activated and the network retrieves or verifies the UE context:

- to re-activate AS security without changing algorithms;

- to re-establish and resume the SRB1;

- When UE is re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context:

- to discard the stored AS Context and release all RBs and BH RLC channels and Uu Relay RLC channels;

- to fallback to establish a new RRC connection.

If AS security has not been activated, the UE shall not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'other'. If AS security has been activated, but SRB2 and at least one DRB or multicast MRB or, for IAB and NCR-MT, SRB2, are not setup, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'RRC connection failure'.

#### 5.3.7.2 Initiation

The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure of the MCG and *t316* is not configured, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while PSCell change or PSCell addition is ongoing, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while the SCG is deactivated, in accordance with 5.3.10; or

1> upon re-configuration with sync failure of the MCG, in accordance with clause 5.3.5.8.3; or

1> upon mobility from NR failure, in accordance with clause 5.4.3.5; or

1> upon integrity check failure indication from lower layers concerning SRB1 or SRB2, except if the integrity check failure is detected on the *RRCReestablishment* message; or

1> upon an RRC connection reconfiguration failure, in accordance with clause 5.3.5.8.2; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with clause 5.3.10.3 in NR-DC or in accordance with TS 36.331 [10] clause 5.3.11.3 in NE-DC; or

1> upon reconfiguration with sync failure of the SCG while MCG transmission is suspended in accordance with clause 5.3.5.8.3; or

1> upon SCG change failure while MCG transmission is suspended in accordance with TS 36.331 [10] clause 5.3.5.7a; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with clause 5.3.5.8.2 in NR-DC or in accordance with TS 36.331 [10] clause 5.3.5.5 in NE-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG is suspended; or

1> upon T316 expiry, in accordance with clause 5.7.3b.5; or

1> upon detecting sidelink radio link failure by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with clause 5.8.9.3; or

1> upon reception of *NotificationMessageSidelink* including *indicationType* by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with clause 5.8.9.10; or

1> upon PC5 unicast link release indicated by upper layer at L2 U2N Remote UE in RRC\_CONNECTED.

NOTE 0: It is up to UE implementation whether to initiate the procedure while T346g is running.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T304, if running;

1> start timer T311;

1> stop timer T316, if running;

1> if UE is not configured with *attemptCondReconfig*:

2> reset MAC;

2> release *spCellConfig*, if configured;

2> suspend all RBs, and BH RLC channels for IAB-MT, and Uu Relay RLC channels for L2 U2N Relay UE, except SRB0 and broadcast MRBs;

2> release the MCG SCell(s), if configured;

2> if MR-DC is configured:

3> perform MR-DC release, as specified in clause 5.3.5.10;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

2> release *idc-AssistanceConfig*, if configured;

2> release *btNameList*, if configured;

2> release *wlanNameList*, if configured;

2> release *sensorNameList*, if configured;

2> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

2> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

2> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

2> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

2> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured stop timer T346e associated with the MCG, if running;

2> release *rlm-RelaxationReportingConfig* for the MCG, if configured and stop timer T346j associated with the MCG, if running;

2> release *bfd-RelaxationReportingConfig* for the MCG, if configured and stop timer T346k associated with the MCG, if running;

2> release *releasePreferenceConfig*, if configured stop timer T346f, if running;

2> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

2> release *referenceTimePreferenceReporting*, if configured;

2> release *sl-AssistanceConfigNR*, if configured;

2> release *obtainCommonLocation*, if configured;

2> release *musim-GapAssistanceConfig*, if configured and stop timer T346h, if running;

2> release *musim-LeaveAssistanceConfig*, if configured;

2> release*ul-GapFR2-PreferenceConfig*, if configured;

2> release *scg-DeactivationPreferenceConfig*, if configured, and stop timer T346i, if running;

2> release *propDelayDiffReportConfig*, if configured;

2> release *rrm-MeasRelaxationReportingConfig*, if configured;

2> release *maxBW-PreferenceConfigFR2-2*, if configured;

2> release *maxMIMO-LayerPreferenceConfigFR2-2*, if configured;

2> release *minSchedulingOffsetPreferenceConfigExt*, if configured;

1> release *successHO-Config*, if configured;

1> if any DAPS bearer is configured:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> release *sl-L2RelayUE-Config*, if configured;

1> release *sl-L2RemoteUE-Config*, if configured;

1> release the SRAP entity, if configured;

1> if the UE is NCR-MT:

2> sends OFF indication to NCR-Fwd;

1> if the UE is acting as L2 U2N Remote UE:

2> if the PC5-RRC connection with the U2N Relay UE is determined to be released:

3> indicate upper layers to trigger PC5 unicast link release;

3> perform either cell selection in accordance with the cell selection process as specified in TS 38.304 [20], or relay selection as specified in clause 5.8.15.3, or both;

2> else (i.e., maintain the PC5 RRC connection):

3> consider the connected L2 U2N Relay UE as suitable and perform actions as specified in clause 5.3.7.3a;

NOTE 1: It is up to Remote UE implementation whether to release or keep the current PC5 unicast link.

1> else:

2> if the UE is capable of L2 U2N Remote UE:

3> perform either cell selection as specified in TS 38.304 [20], or relay selection as specified in clause 5.8.15.3, or both;

2> else:

3> perform cell selection in accordance with the cell selection process as specified in TS 38.304 [20].

NOTE 2: For L2 U2N Remote UE, if both a suitable cell and a suitable relay are available, the UE can select either one based on its implementation.

NEXT CHANGE

### 5.3.8 RRC connection release

#### 5.3.8.1 General



Figure 5.3.8.1-1: RRC connection release, successful

The purpose of this procedure is:

- to release the RRC connection, which includes the release of the established radio bearers (except for broadcast MRBs), BH RLC channels, Uu Relay RLC channels, PC5 Relay RLC channels as well as all radio resources; or

- to suspend the RRC connection only if SRB2 and at least one DRB or multicast MRB or, for IAB and NCR-MT, SRB2, are setup, which includes the suspension of the established radio bearers (except for broadcast MRBs).

#### 5.3.8.2 Initiation

The network initiates the RRC connection release procedure to transit a UE in RRC\_CONNECTED to RRC\_IDLE; or to transit a UE in RRC\_CONNECTED to RRC\_INACTIVE only if SRB2 and at least one DRB or multicast MRB or, for IAB and NCR-MT, SRB2, is setup in RRC\_CONNECTED; or to transit a UE in RRC\_INACTIVE back to RRC\_INACTIVE when the UE tries to resume (for resuming a suspended RRC connection or for initiating SDT); or to transit a UE in RRC\_INACTIVE to RRC\_IDLE when the UE tries to resume (for resuming of a suspended RRC connection or for initiating SDT). The procedure can also be used to release and redirect a UE to another frequency.

NEXT CHANGE

### 5.3.10 Radio link failure related actions

#### 5.3.10.3 Detection of radio link failure

The UE shall:

1> if any DAPS bearer is configured and T304 is running:

2> upon T310 expiry in source SpCell; or

2> upon random access problem indication from source MCG MAC; or

2> upon indication from source MCG RLC that the maximum number of retransmissions has been reached; or

2> upon consistent uplink LBT failure indication from source MCG MAC:

3> consider radio link failure to be detected for the source MCG i.e. source RLF;

3> suspend the transmission and reception of all DRBs and multicast MRBs in the source MCG;

3> reset MAC for the source MCG;

3> release the source connection.

1> else:

2> during a DAPS handover: the following only applies for the target PCell;

2> upon T310 expiry in PCell; or

2> upon T312 expiry in PCell; or

2> upon random access problem indication from MCG MAC while neither T300, T301, T304, T311 nor T319 are running and SDT procedure is not ongoing; or

2> upon indication from MCG RLC that the maximum number of retransmissions has been reached while SDT procedure is not ongoing; or

2> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the MCG; or

2> upon consistent uplink LBT failure indication from MCG MAC while T304 is not running:

3> if the indication is from MCG RLC and CA duplication is configured and activated for MCG, and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

4> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

3> else:

4> consider radio link failure to be detected for the MCG, i.e. MCG RLF;

4> discard any segments of segmented RRC messages stored according to 5.7.6.3;

NOTE: Void.

4> if AS security has not been activated:

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other';-

4> else if AS security has been activated but SRB2 and at least one DRB or multicast MRB or, for IAB and NCR-MT, SRB2, have not been setup:

5> store the radio link failure information in the *VarRLF-Report* as described in clause 5.3.10.5;

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

4> else:

5> store the radio link failure information in the *VarRLF-Report* as described in clause 5.3.10.5;

5> if T316 is configured; and

5> if SCG transmission is not suspended; and

5> if the SCG is not deactivated; and

5> if neither PSCell change nor PSCell addition is ongoing (i.e. timer T304 for the NR PSCell is not running in case of NR-DC or timer T307 of the E-UTRA PSCell is not running as specified in TS 36.331 [10], clause 5.3.10.10, in NE-DC):

6> initiate the MCG failure information procedure as specified in 5.7.3b to report MCG radio link failure.

5> else:

6> initiate the connection re-establishment procedure as specified in 5.3.7.

A L2/L3 U2N Relay UE shall:

1> upon detecting radio link failure:

2> it either indicates to upper layers (to trigger PC5 unicast link release) or sends Notification message to the connected L2/L3 U2N Remote UE(s) in accordance with 5.8.9.10.

The UE shall:

1> upon T310 expiry in PSCell; or

1> upon T312 expiry in PSCell; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC that the maximum number of retransmissions has been reached; or

1> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the SCG; or

1> upon consistent uplink LBT failure indication from SCG MAC:

2> if the indication is from SCG RLC and CA duplication is configured and activated for SCG, and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

3> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

2> else:

3> consider radio link failure to be detected for the SCG, i.e. SCG RLF;

3> if the SCG is deactivated:

4> stop radio link monitoring on the SCG;

4> indicate to lower layers to stop beam failure detection on the PSCell;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in 5.7.3 to report SCG radio link failure.

3> else:

4> if the UE is in NR-DC:

5> initiate the connection re-establishment procedure as specified in 5.3.7;

4> else (the UE is in (NG)EN-DC):

5> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7;

NEXT CHANGE

### 5.3.14 Unified Access Control

#### 5.3.14.1 General

The purpose of this procedure is to perform access barring check for an access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers according to TS 24.501 [23] or the RRC layer. This procedure does not apply to IAB-MT and NCR-MT. This procedure does not apply to L2 U2N Relay UE initiating RRC connection establishment or RRC connection resume upon reception of any message from a L2 U2N remote UE via SL-RLC0 or SL-RLC1 in accordance to 5.3.3.1a or 5.3.13.1a.

After a PCell change in RRC\_CONNECTED the UE shall defer access barring checks until it has obtained *SIB1* (as specified in 5.2.2.2) from the target cell.

NEXT CHANGE

# 6 Protocol data units, formats and parameters (ASN.1)

## 6.2 RRC messages

### 6.2.2 Message definitions

#### – *MIB*

The *MIB* includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: Network to UE

*MIB*

-- ASN1START

-- TAG-MIB-START

MIB ::= SEQUENCE {

systemFrameNumber BIT STRING (SIZE (6)),

subCarrierSpacingCommon ENUMERATED {scs15or60, scs30or120},

ssb-SubcarrierOffset INTEGER (0..15),

dmrs-TypeA-Position ENUMERATED {pos2, pos3},

pdcch-ConfigSIB1 PDCCH-ConfigSIB1,

cellBarred ENUMERATED {barred, notBarred},

intraFreqReselection ENUMERATED {allowed, notAllowed},

spare BIT STRING (SIZE (1))

}

-- TAG-MIB-STOP

-- ASN1STOP

|  |
| --- |
| *MIB* field descriptions |
| ***cellBarred***  Value *barred* means that the cell is barred, as defined in TS 38.304 [20]. This field is ignored by IAB-MT and NCR-MT. This field is ignored for connectivity to NTN. |
| ***dmrs-TypeA-Position***  Position of (first) DM-RS for downlink (see TS 38.211 [16], clause 7.4.1.1.2) and uplink (see TS 38.211 [16], clause 6.4.1.1.3). |
| ***intraFreqReselection***  Controls cell selection/reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 38.304 [20]. This field is ignored by IAB-MT and NCR-MT. |
| ***pdcch-ConfigSIB1***  Determines a common *ControlResourceSet* (CORESET), a common search space and necessary PDCCH parameters. If the field *ssb-SubcarrierOffset* indicates that *SIB1* is absent, the field *pdcch-ConfigSIB1* indicates the frequency positions where the UE may find SS/PBCH block with *SIB1* or the frequency range where the network does not provide SS/PBCH block with *SIB1* (see TS 38.213 [13], clause 13). |
| ***ssb-SubcarrierOffset***  Corresponds to kSSB (see TS 38.213 [13]), which is the frequency domain offset between SSB and the overall resource block grid in number of subcarriers. (See TS 38.211 [16], clause 7.4.3.1). For operation with shared spectrum channel access in FR1 (see 37.213 [48]), this field corresponds to , and kSSB is obtained from (see TS 38.211 [16], clause 7.4.3.1); the LSB of this field is used also for deriving the QCL relation between SS/PBCH blocks as specified in TS 38.213 [13], clause 4.1.  The value range of this field may be extended by an additional most significant bit encoded within PBCH as specified in TS 38.213 [13].  This field may indicate that this cell does not provide *SIB1* and that there is hence no CORESET#0 configured in *MIB* (see TS 38.213 [13], clause 13). In this case, the field *pdcch-ConfigSIB1* may indicate the frequency positions where the UE may (not) find a SS/PBCH with a control resource set and search space for *SIB1* (see TS 38.213 [13], clause 13). |
| ***subCarrierSpacingCommon***  Subcarrier spacing for *SIB1*, Msg.2/4 and MsgB for initial access, paging and broadcast SI-messages. If the UE acquires this *MIB* on an FR1 carrier frequency, the value *scs15or60* corresponds to 15 kHz and the value *scs30or120* corresponds to 30 kHz. If the UE acquires this *MIB* on an FR2 carrier frequency, the value *scs15or60* corresponds to 60 kHz and the value *scs30or120* corresponds to 120 kHz. For operation with shared spectrum channel access in FR1 (see 37.213 [48]) and for operation in FR2-2, the subcarrier spacing for *SIB1*, Msg.2/4 and MsgB for initial access, paging and broadcast SI-messages is same as that for the corresponding SSB. For operation with shared spectrum channel access, this field instead is used for deriving the QCL relation between SS/PBCH blocks as specified in TS 38.213 [13], clause 4.1. |
| ***systemFrameNumber***  The 6 most significant bits (MSB) of the 10-bit System Frame Number (SFN). The 4 LSB of the SFN are conveyed in the PBCH transport block as part of channel coding (i.e. outside the *MIB* encoding), as defined in clause 7.1 in TS 38.212 [17]. |

#### – *RRCSetupComplete*

The *RRCSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

*RRCSetupComplete* message

-- ASN1START

-- TAG-RRCSETUPCOMPLETE-START

RRCSetupComplete ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcSetupComplete RRCSetupComplete-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCSetupComplete-IEs ::= SEQUENCE {

selectedPLMN-Identity INTEGER (1..maxPLMN),

registeredAMF RegisteredAMF OPTIONAL,

guami-Type ENUMERATED {native, mapped} OPTIONAL,

s-NSSAI-List SEQUENCE (SIZE (1..maxNrofS-NSSAI)) OF S-NSSAI OPTIONAL,

dedicatedNAS-Message DedicatedNAS-Message,

ng-5G-S-TMSI-Value CHOICE {

ng-5G-S-TMSI NG-5G-S-TMSI,

ng-5G-S-TMSI-Part2 BIT STRING (SIZE (9))

} OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCSetupComplete-v1610-IEs OPTIONAL

}

RRCSetupComplete-v1610-IEs ::= SEQUENCE {

iab-NodeIndication-r16 ENUMERATED {true} OPTIONAL,

idleMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

ue-MeasurementsAvailable-r16 UE-MeasurementsAvailable-r16 OPTIONAL,

mobilityHistoryAvail-r16 ENUMERATED {true} OPTIONAL,

mobilityState-r16 ENUMERATED {normal, medium, high, spare} OPTIONAL,

nonCriticalExtension RRCSetupComplete-v1690-IEs OPTIONAL

}

RRCSetupComplete-v1690-IEs ::= SEQUENCE {

ul-RRC-Segmentation-r16 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCSetupComplete-v1700-IEs OPTIONAL

}

RRCSetupComplete-v1700-IEs ::= SEQUENCE {

onboardingRequest-r17 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCSetupComplete-v1800-IEs OPTIONAL

}

RRCSetupComplete-v1800-IEs ::= SEQUENCE {

ncr-NodeIndicator-r18 ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE{} OPTIONAL

}

RegisteredAMF ::= SEQUENCE {

plmn-Identity PLMN-Identity OPTIONAL,

amf-Identifier AMF-Identifier

}

-- TAG-RRCSETUPCOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| *RRCSetupComplete-IEs* field descriptions |
| ***guami-Type***  This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [23]. |
| ***iab-NodeIndication***  This field is used to indicate that the connection is being established by an IAB-node as specified in TS 38.300 [2]. |
| ***idleMeasAvailable***  Indication that the UE has idle/inactive measurement report available. |
| ***mobilityState***  This field indicates the UE mobility state (as defined in TS 38.304 [20], clause 5.2.4.3) just prior to UE going into RRC\_CONNECTED state. The UE indicates the value of *medium* and *high* when being in Medium-mobility and High-mobility states respectively. Otherwise the UE indicates the value *normal*. |
| ***ncr-NodeIndication***  This field is used to indicate that the connection is being established by an NCR-node as specified in TS 38.300 [2]. |
| ***ng-5G-S-TMSI-Part2***  The leftmost 9 bits of 5G-S-TMSI. |
| ***onboardingRequest***  This field indicates that the connection is being established for UE onboarding in the selected onboarding SNPN, see TS 23.501 [32]. |
| ***registeredAMF***  This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [21]. |
| ***selectedPLMN-Identity***  Index of the PLMN or SNPN selected by the UE from the *plmn-IdentityInfoList* or *npn-IdentityInfoList* fields included in SIB1. |
| ***ul-RRC-Segmentation***  This field indicates the UE supports uplink RRC segmentation of *UECapabilityInformation.* |

NEXT CHANGE

## 6.3 RRC information elements

### 6.3.2 Radio resource control information elements

#### – *CellAccessRelatedInfo*

The IE *CellAccessRelatedInfo* indicates cell access related information for this cell.

*CellAccessRelatedInfo* information element

-- ASN1START

-- TAG-CELLACCESSRELATEDINFO-START

CellAccessRelatedInfo ::= SEQUENCE {

plmn-IdentityInfoList PLMN-IdentityInfoList,

cellReservedForOtherUse ENUMERATED {true} OPTIONAL, -- Need R

...,

[[

cellReservedForFutureUse-r16 ENUMERATED {true} OPTIONAL, -- Need R

npn-IdentityInfoList-r16 NPN-IdentityInfoList-r16 OPTIONAL -- Need R

]],

[[

snpn-AccessInfoList-r17 SEQUENCE (SIZE (1..maxNPN-r16)) OF SNPN-AccessInfo-r17 OPTIONAL -- Need R

]]

}

SNPN-AccessInfo-r17 ::= SEQUENCE {

extCH-Supported-r17 ENUMERATED {true} OPTIONAL, -- Need R

extCH-WithoutConfigAllowed-r17 ENUMERATED {true} OPTIONAL, -- Need R

onboardingEnabled-r17 ENUMERATED {true} OPTIONAL, -- Need R

imsEmergencySupportForSNPN-r17 ENUMERATED {true} OPTIONAL -- Need R

}

-- TAG-CELLACCESSRELATEDINFO-STOP

-- ASN1STOP

|  |
| --- |
| *CellAccessRelatedInfo* field descriptions |
| ***cellReservedForFutureUse***  Indicates whether the cell is reserved, as defined in 38.304 [20] for future use. The field is applicable to all PLMNs and NPNs. This field is ignored by IAB-MT and NCR-MT. |
| ***cellReservedForOtherUse***  Indicates whether the cell is reserved, as defined in 38.304 [20]. The field is applicable to all PLMNs. This field is ignored by IAB-MT and NCR-MT for cell barring determination, but still considered by NPN capable IAB-MT and NPN capable NCR-MT for determination of an NPN-only cell. |
| ***npn-IdentityInfoList***  The *npn-IdentityInfoList* is used to configure a set of *NPN-IdentityInfo* elements. Each of those elements contains a list of one or more NPN Identities and additional information associated with those NPNs. The total number of PLMNs (identified by a PLMN identity in *plmn -IdentityList*), PNI-NPNs (identified by a PLMN identity and a CAG-ID), and SNPNs (identified by a PLMN identity and a NID) together in the *PLMN-IdentityInfoList* and *NPN-IdentityInfoList* does not exceed 12, except for the NPN-only cells. A PNI-NPN and SNPN can be included only once, and in only one entry of the *NPN-IdentityInfoList*. In case of NPN-only cells the *PLMN-IdentityList* contains a single element that does not count to the limit of 12 and the *cellIdentity* of the first entry of the *PLMN-IdentityInfoList* is set to the same value as the *cellIdentity-r16* of the first entry of the *NPN-IdentityInfoList*. The NPN index is defined as *B+c1+c2+…+c(n-1)+d1+d2+…+d(m-1)+e(i)* for the NPN identity included in the *n*-th entry of *NPN-IdentityInfoList* and in the *m*-th entry of *npn-Identitylist* within that *NPN-IdentityInfoList* entry, and the *i*-th entry of its corresponding *NPN-Identity*, where  - *B* is the index used for the last PLMN in the *PLMN-IdentittyInfoList*; in NPN-only cells *B* is considered 0;  - *c(j)* is the number of NPN index values used in the *j*-th *NPN-IdentityInfoList* entry;  - *d(k)* is the number of NPN index values used in the *k*-th *npn-IdentityList* entry within the *n*-th *NPN-IdentityInfoList* entry;  - e(i) is  - *i* if the *n*-th entry of *NPN-IdentityInfoList* entry is for SNPN(s);  - 1 if the *n*-th entry of *NPN-IdentityInfoList* entry is for PNI-NPN(s). |
| ***plmn-IdentityInfoList***  The *plmn-IdentityInfoList* is used to configure a set of *PLMN-IdentityInfo* elements. Each of those elements contains a list of one or more PLMN Identities and additional information associated with those PLMNs. A PLMN-identity can be included only once, and in only one entry of the *PLMN-IdentityInfoList*. The PLMN index is defined as *b1+b2+…+b(n-1)+i* for the PLMN included at the *n*-th entry of *PLMN-IdentityInfoList* and the *i*-th entry of its corresponding *PLMN-IdentityInfo*, where *b(j)* is the number of *PLMN-Identity* entries in each *PLMN-IdentityInfo*, respectively. |
| ***snpn-AccessInfoList***  This list provides access related information for each SNPN in *npn-IdentityInfoList*, see TS 23.501 [32]. The n-th entry of the list contains the access related information of the n-th SNPN in *npn-IdentityInfoList*. |

|  |
| --- |
| *SNPN-AccessInfo* field descriptions |
| ***extCH-Supported***  Indicates whether the SNPN supports access using credentials from a Credentials Holder as specified in TS 23.501 [32]. |
| ***extCH-WithoutConfigAllowed***  Indicates whether the SNPN allows registration attempts with credentials from a Credentials Holder from UEs that are not explicitly configured to select the SNPN as specified in TS 23.501 [32]. |
| ***imsEmergencySupportForSNPN***  Indicates whether the SNPN supports IMS emergency bearer services for UEs in limited service mode in the cell. If absent, IMS emergency call is not supported by the SNPN in the cell for UEs in limited service mode. |
| ***onboardingEnabled***  Indicates whether the onboarding SNPN allows registration for onboarding in the cell as specified in TS 23.501 [32]. |

NEXT CHANGE

#### – *NPN-IdentityInfoList*

The IE *NPN-IdentityInfoList* includes a list of NPN identity information.

*NPN-IdentityInfoList* information element

-- ASN1START

-- TAG-NPN-IDENTITYINFOLIST-START

NPN-IdentityInfoList-r16 ::= SEQUENCE (SIZE (1..maxNPN-r16)) OF NPN-IdentityInfo-r16

NPN-IdentityInfo-r16 ::= SEQUENCE {

npn-IdentityList-r16 SEQUENCE (SIZE (1..maxNPN-r16)) OF NPN-Identity-r16,

trackingAreaCode-r16 TrackingAreaCode,

ranac-r16 RAN-AreaCode OPTIONAL, -- Need R

cellIdentity-r16 CellIdentity,

cellReservedForOperatorUse-r16 ENUMERATED {reserved, notReserved},

iab-Support-r16 ENUMERATED {true} OPTIONAL, -- Need S

...,

[[

gNB-ID-Length-r17 INTEGER (22..32) OPTIONAL -- Need R

]]

}

-- TAG-NPN-IDENTITYINFOLIST-STOP

-- ASN1STOP

Editor note: FFS if *ncr-Support* is per NPN configured.

|  |
| --- |
| *NPN-IdentityInfoList* field descriptions |
| ***iab-Support***  This field combines both the support of IAB and the cell status for IAB. If the field is present, the cell supports IAB and the cell is also considered as a candidate for cell (re)selection for IAB-nodes; if the field is absent, the cell does not support IAB and/or the cell is barred for IAB-node. |
| ***gNB-ID-Length***  Indicates the length of the gNB ID out of the 36-bit long *cellIdentity*. |
| ***NPN-IdentityInfo***  The *NPN-IdentityInfo* contains one or more NPN identities and additional information associated with those NPNs. Only the same type of NPNs (either SNPNs or PNI-NPNs) can be listed in a *NPN-IdentityInfo* element. |
| ***npn-IdentityList***  The *npn-IdentityList* contains one or more NPN Identity elements. |
| ***trackingAreaCode***  Indicates the Tracking Area Code to which the cell indicated by cellIdentity field belongs. |
| ***ranac***  Indicates the RAN Area Code to which the cell indicated by cellIdentity field belongs. |
| ***cellReservedForOperatorUse***  Indicates whether the cell is reserved for operator use (for the NPN(s) identified in the *npn-IdentityList*) as defined in TS 38.304 [20]. This field is ignored by NPN capable IAB-MT and NPN capable NCR-MT. |

NEXT CHANGE

#### – *PLMN-IdentityInfoList*

The IE *PLMN-IdentityInfoList* includes a list of PLMN identity information.

*PLMN-IdentityInfoList* information element

-- ASN1START

-- TAG-PLMN-IDENTITYINFOLIST-START

PLMN-IdentityInfoList ::= SEQUENCE (SIZE (1..maxPLMN)) OF PLMN-IdentityInfo

PLMN-IdentityInfo ::= SEQUENCE {

plmn-IdentityList SEQUENCE (SIZE (1..maxPLMN)) OF PLMN-Identity,

trackingAreaCode TrackingAreaCode OPTIONAL, -- Need R

ranac RAN-AreaCode OPTIONAL, -- Need R

cellIdentity CellIdentity,

cellReservedForOperatorUse ENUMERATED {reserved, notReserved},

...,

[[

iab-Support-r16 ENUMERATED {true} OPTIONAL -- Need S

]],

[[

trackingAreaList-r17 SEQUENCE (SIZE (1..maxTAC-r17)) OF TrackingAreaCode OPTIONAL, -- Need R

gNB-ID-Length-r17 INTEGER (22..32) OPTIONAL -- Need R

]],

[[

ncr-Support-r16 ENUMERATED {true} OPTIONAL -- Need S

]],

}

-- TAG-PLMN-IDENTITYINFOLIST-STOP

-- ASN1STOP

|  |
| --- |
| *PLMN-IdentityInfo* field descriptions |
| ***cellReservedForOperatorUse***  Indicates whether the cell is reserved for operator use (per PLMN), as defined in TS 38.304 [20]. This field is ignored by IAB-MT and NCR-MT. |
| ***gNB-ID-Length***  Indicates the length of the gNB ID out of the 36-bit long *cellIdentity*. |
| ***iab-Support***  This field combines both the support of IAB and the cell status for IAB. If the field is present, the cell supports IAB and the cell is also considered as a candidate for cell (re)selection for IAB-node; if the field is absent, the cell does not support IAB and/or the cell is barred for IAB-node. |
| ***ncr-Support***  This field combines both the support of NCR and the cell status for NCR. If the field is present, the cell supports NCR and the cell is also considered as a candidate for cell (re)selection for NCR-MT; if the field is absent, the cell does not support NCR and the cell is barred for NCR-MT. |
| ***trackingAreaCode***  Indicates Tracking Area Code to which the cell indicated by *cellIdentity* field belongs. The absence of the field indicates that the cell only supports PSCell/SCell functionality (per PLMN) or is an NTN cell. |
| ***trackingAreaList***  List of Tracking Areas to which the cell indicated by *cellIdentity* field belongs. If this field is present, network does not configure *trackingAreaCode.* Total number of different TACs across different *PLMN-IdentityInfo*s shall not exceed *maxTAC*. This field is only present in an NTN cell. |

NEXT CHANGE

#### – *RadioBearerConfig*

The IE *RadioBearerConfig* is used to add, modify and release signalling, multicast MRBs and/or data radio bearers. Specifically, this IE carries the parameters for PDCP and, if applicable, SDAP entities for the radio bearers.

*RadioBearerConfig* information element

-- ASN1START

-- TAG-RADIOBEARERCONFIG-START

RadioBearerConfig ::= SEQUENCE {

srb-ToAddModList SRB-ToAddModList OPTIONAL, -- Cond HO-Conn

srb3-ToRelease ENUMERATED{true} OPTIONAL, -- Need N

drb-ToAddModList DRB-ToAddModList OPTIONAL, -- Cond HO-toNR

drb-ToReleaseList DRB-ToReleaseList OPTIONAL, -- Need N

securityConfig SecurityConfig OPTIONAL, -- Need M

...,

[[

mrb-ToAddModList-r17 MRB-ToAddModList-r17 OPTIONAL, -- Need N

mrb-ToReleaseList-r17 MRB-ToReleaseList-r17 OPTIONAL, -- Need N

srb4-ToAddMod-r17 SRB-ToAddMod OPTIONAL, -- Need N

srb4-ToRelease-r17 ENUMERATED{true} OPTIONAL -- Need N

]]

}

SRB-ToAddModList ::= SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod

SRB-ToAddMod ::= SEQUENCE {

srb-Identity SRB-Identity,

reestablishPDCP ENUMERATED{true} OPTIONAL, -- Need N

discardOnPDCP ENUMERATED{true} OPTIONAL, -- Need N

pdcp-Config PDCP-Config OPTIONAL, -- Cond PDCP

...,

[[

srb-Identity-v1700 SRB-Identity-v1700 OPTIONAL -- Need M

]]

}

DRB-ToAddModList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod

DRB-ToAddMod ::= SEQUENCE {

cnAssociation CHOICE {

eps-BearerIdentity INTEGER (0..15),

sdap-Config SDAP-Config

} OPTIONAL, -- Cond DRBSetup

drb-Identity DRB-Identity,

reestablishPDCP ENUMERATED{true} OPTIONAL, -- Need N

recoverPDCP ENUMERATED{true} OPTIONAL, -- Need N

pdcp-Config PDCP-Config OPTIONAL, -- Cond PDCP

...,

[[

daps-Config-r16 ENUMERATED{true} OPTIONAL -- Cond DAPS

]]

}

DRB-ToReleaseList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity

SecurityConfig ::= SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig OPTIONAL, -- Cond RBTermChange1

keyToUse ENUMERATED{master, secondary} OPTIONAL, -- Cond RBTermChange

...

}

MRB-ToAddModList-r17 ::= SEQUENCE (SIZE (1..maxMRB-r17)) OF MRB-ToAddMod-r17

MRB-ToAddMod-r17 ::= SEQUENCE {

mbs-SessionId-r17 TMGI-r17 OPTIONAL, -- Cond MRBSetup

mrb-Identity-r17 MRB-Identity-r17,

mrb-IdentityNew-r17 MRB-Identity-r17 OPTIONAL, -- Need N

reestablishPDCP-r17 ENUMERATED{true} OPTIONAL, -- Need N

recoverPDCP-r17 ENUMERATED{true} OPTIONAL, -- Need N

pdcp-Config-r17 PDCP-Config OPTIONAL, -- Cond PDCP

...

}

MRB-ToReleaseList-r17 ::= SEQUENCE (SIZE (1..maxMRB-r17)) OF MRB-Identity-r17

-- TAG-RADIOBEARERCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *DRB-ToAddMod* and *MRB-ToAddMod* field descriptions |
| ***cnAssociation***  Indicates if the bearer is associated with the *eps-bearerIdentity* (when connected to EPC) or *sdap-Config* (when connected to 5GC). |
| ***daps-Config***  Indicates that the bearer is configured as DAPS bearer. |
| ***drb-Identity***  In case of DC, the DRB identity is unique within the scope of the UE, i.e. an MCG DRB cannot use the same value as a split DRB. For a split DRB the same identity is used for the MCG and SCG parts of the configuration. |
| ***eps-BearerIdentity***  The EPS bearer ID determines the EPS bearer. |
| ***mbs-SessionId***  Indicates which multicast MBS session the bearer is associated with. |
| ***mrb-Identity***  Identification of the multicast MRB. |
| ***mrb-IdentityNew***  New identity of the multicast MRB when *mrb-Identity* needs to be changed, e.g. as a result of a handover. |
| ***reestablishPDCP***  Indicates that PDCP should be re-established. Network sets this to *true* whenever the security key used for this radio bearer changes. Key change could for example be due to termination point change for the bearer, reconfiguration with sync, resuming an RRC connection, or the first reconfiguration after reestablishment. It is also applicable for LTE procedures when NR PDCP is configured. Network doesn't include this field for DRB if the bearer is configured as DAPS bearer. |
| ***recoverPDCP***  Indicates that PDCP should perform recovery according to TS 38.323 [5]. Network doesn't include this field if the bearer is configured as DAPS bearer. |
| ***sdap-Config***  The SDAP configuration determines how to map QoS flows to DRBs when NR or E-UTRA connects to the 5GC and presence/absence of UL/DL SDAP headers. |

|  |
| --- |
| *RadioBearerConfig* field descriptions |
| ***securityConfig***  Indicates the security algorithm and key to use for the signalling and data radio bearers configured with the list in this IE *RadioBearerConfig*. When the field is not included after AS security has been activated, the UE shall continue to use the currently configured *keyToUse* and security algorithm for the radio bearers reconfigured with the lists in this IE *RadioBearerConfig*. The field is not included when configuring SRB1 before AS security is activated. |
| ***srb3-ToRelease***  Release SRB3. SRB3 release can only be done over SRB1 and only at SCG release and reconfiguration with sync. |

|  |
| --- |
| *SecurityConfig* field descriptions |
| ***keyToUse***  Indicates if the bearers configured with the list in this IE *RadioBearerConfig* are using the master key or the secondary key for deriving ciphering and/or integrity protection keys. For MR-DC, network should not configure SRB1 and SRB2 with secondary key and SRB3 with the master key. When the field is not included, the UE shall continue to use the currently configured *keyToUse* for the radio bearers reconfigured with the lists in this IE *RadioBearerConfig*. |
| ***securityAlgorithmConfig***  Indicates the security algorithm for the signalling and data radio bearers configured with the list in this IE *RadioBearerConfig*. When the field is not included, the UE shall continue to use the currently configured security algorithm for the radio bearers reconfigured with the lists in this IE *RadioBearerConfig*. |

|  |
| --- |
| *SRB-ToAddMod* field descriptions |
| ***discardOnPDCP***  Indicates that PDCP should discard stored SDU and PDU according to TS 38.323 [5]. |
| ***reestablishPDCP***  Indicates that PDCP should be re-established. Network sets this to *true* whenever the security key used for this radio bearer changes. Key change could for example be due to reconfiguration with sync, for SRB2 when resuming an RRC connection, or at the first reconfiguration after RRC connection reestablishment in NR. For SRB1, when resuming an RRC connection, or at the first reconfiguration after RRC connection reestablishment in NR, the network does not set this field to *true*. For LTE SRBs using NR PDCP, it could be for handover, RRC connection reestablishment or resume. Network doesn't include this field if any DAPS bearer is configured. |
| ***srb-Identity, srb-Identity-v1700***  Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only. Value 3 is applicable for SRB3 only. Value 4 is applicable for SRB4 only. If *srb-Identity-v1700* is received for an SRB, the UE shall ignore *srb-Identity* (i.e. without suffix) for this SRB. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *RBTermChange* | The field is mandatory present in case of:  - set up of signalling and data radio bearer,  - change of termination point for the radio bearer between MN and SN.  It is optionally present otherwise, Need S. |
| *RBTermChange1* | The field is mandatory present in case of:  - set up of signalling and data radio bearer,  - change of termination point for the radio bearer between MN and SN,  - handover from E-UTRA/EPC or E-UTRA/5GC to NR,  - handover from NR or E-UTRA/EPC to E-UTRA/5GC if the UE supports NGEN-DC.  It is optionally present otherwise, Need S. |
| *PDCP* | The field is mandatory present if the corresponding DRB/multicast MRB is being setup or corresponding DRB/multicast MRB is reconfigured with NR PDCP or corresponding SRB associated with two RLC entities is being setup or if the number of RLC bearers associated with the DRB/multicast MRB or SRB is changed. The field is optionally present, Need S, if the corresponding SRB associated with one RLC entity is being setup or corresponding SRB is reconfigured with NR PDCP; otherwise the field is optionally present, need M. |
| *DRBSetup* | The field is mandatory present if the corresponding DRB is being setup; otherwise the field is optionally present, need M. |
| *HO-Conn* | The field is mandatory present  - in case of inter-system handover from E-UTRA/EPC to E-UTRA/5GC or NR,  - or when the *fullConfig* is included in the *RRCReconfiguration* message and NE-DC/NR-DC is not configured,  - or in case of *RRCSetup*.  Otherwise the field is optionally present, need N.  Upon *RRCSetup*, only SRB1 can be present. |
| *HO-toNR* | If *mrb-ToAddModList* is not included, the field is mandatory present for UEs other than NCR-MT  - in case of inter-system handover from E-UTRA/EPC to E-UTRA/5GC or NR,  - or when the *fullConfig* is included in the *RRCReconfiguration* message and NE-DC/NR-DC is not configured.  In case of *RRCSetup*, the field is absent; otherwise the field is optionally present, need N. |
| *DAPS* | The field is optionally present, need N, in case masterCellGroup includes ReconfigurationWithSync, SCell(s) and SCG are not configured, multi-DCI/single-DCI based multi-TRP are not configured in any DL BWP, *supplementaryUplink* is not configured, ethernetHeaderCompression is not configured for the DRB, *conditionalReconfiguration* is not configured, and NR sidelink and V2X sidelink are not configured. Otherwise the field is absent. |
| *MRBSetup* | The field is mandatory present if the corresponding multicast MRB is being setup; otherwise the field is optionally present, need M. |

# Annex (not part of the specification): RAN2 Agreements

This Annex contains the RAN2 agreements on Rel-18 WI for “Network-controlled repeaters (NCR)”. The agreements are provided verbatim for reference.This annex shall be removed once the WI is completed.

RAN2#119bis-e

|  |
| --- |
| Agreement:  RAN2 confirms to use RRC signalling to configure NCR-MT to receive side control information. How the side control information itself is transmitted (i.e. via RRC or DCI or MAC CE) is up to RAN1 (RAN2 may discussion the initial RAN1 decision and revisit if needed). |

|  |
| --- |
| Agreement:  NCR-MT supports RRC\_CONNECTED and RRC\_IDLE states, FFS on RRC\_INACTIVE state (e.g. optional support or not support). |

|  |
| --- |
| Agreement  NCR-MT supports SRB0/1/2 and DRB is optional. FFS on maximum number of DRBs. |

|  |
| --- |
| Agreements  RRM functions supported by NCR-MR:   * Cell selection is mandatory * Cell reselection, RLM, BFD, BFR are FFS |

RAN2#120

* Introduce an NCR-support indication in SIB1 per PLMN; whether it is also per NPN is FFS
* WA: RRC\_INACTIVE is optionally supported without any specific enhancements

|  |
| --- |
| Agreements  gNB cell that NCR-Fwd is forwarding is the same cell the NCT-MT is connected to. Whether the NCR-Fwd can forward other cells is up to implementation  NCR-MT indicates the maximum number of supported DRB in UE capability, values {1, 16}. If absent, the NCR-MT does not support DRB.  SRB2 is mandatory feature for NCR-MT.  On NCR-Fwd ON/OFF:   * When NCR-MT is in RRC\_CONNECTED mode, the NCR-Fwd can be ON or OFF following the side control information received from the gNB. * After NCR-MT enters RRC\_INACTIVE mode, the NCR-Fwd can be ON or OFF following the last configuration received from the gNB. * Release to RRC-IDLE is FFS.   On NCR-MT RLF:   * After RLF is declared by NCR-MT, NCR-MT performs cell selection and trigger RRC re-establishment; * If NCR-MT enters RRC\_IDLE due to no suitable cell is find, NCR-Fwd is OFF; * During RRC re-establishment procedure, NCR-Fwd is OFF.   NCR-MT mandatorily support cell reselection and RRM measurements in RRC\_IDLE and RRC\_INACTIVE.  In Rel-18, NCR-MT does not support handover and RRM measurements in RRC\_CONNECTED.  For reporting the capabilities of NCR-MT, the existing *UECapabilityEnquiry* and *UECapabilityInformation* messages are reused.  In NCR-MT capability discussion, to focus on mandatory features that are required for NCR-MT.  All existing optional features are considered as applicable to NCR-MT unless explicitly excluded (Same as IAB-MT). FFS on taking IAB specified features as a baseline for future discussion.  NPN capable NCR-MT should consider *cellReservedForOtherUse* for determination of an NPN-only cell. |