**3GPP TSG-RAN WG2 Meeting #117 electronic R2-XXX**

**Electronic Meeting, Feb 21– Mar 03, 2022**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **36.306** | **CR** | **draft** | **rev** | **-** | **Current version:** | **16.7.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Solution for random access issue on multiCarrier in NB-IoT |
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| ***Source to WG:*** | CMCC |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NB\_IOTenh3-Core |  | ***Date:*** | 2022-02-25 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | In real NB-IoT network, single-carrier cells are deployed to meet coverage requirements for most scenarios, and multi-carriers cells are deployed for concurrent service scenarios to meet capacity expansion requirements. The anchor carriers are deployed with inter frequency to reduce interference among cells, and it’s generally that the non-anchor carriers in one cell are deployed on the same frequency as the anchor carrier in the neighbour cells. The downlink narrowband reference-signal EPRE (Energy Per Resource Element) of the non-anchor carriers is generally lower relative to the downlink narrowband reference-signal EPRE of the anchor carrier to reduce the interference between the non-anchor carrier and the neighbour cells using the same frequency. Due to lower EPRE of non-anchor carrier than EPRE of anchor carrier, coverage of non-anchor carrier is shrunken than the anchor carrier. Non-anchor carrier suffered more UL interference from the same frequency neighborhood cell with uplink service terminals. This may degrade uplink performance. According to the actual coverage, there is the overlapping area that the UE’s CE levels is different between on the anchor carrier and non-anchor carriers, and CE level on the non-anchor carriers is usually worse than the CE level for the anchor carrier. The UE may fail to access to the non-anchor carrier or try more times to access to the non-anchor carrier with the nprach resource based on the anchor carrier’s CE level.  |
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| ***Summary of change:*** | Modify the 4 and add 4.3.4.75A to define whether the UE supports RSRP Threshold list for each non-anchor carrier for random access to determine UE’s CE level on non-anchor carrier and excluding the non-anchor carriers with worse CEL than the anchor carrier when building the list of NPRACH resources, as specified in TS 36.32 and TS 36.331.**Impact analysis**Impacted functionality:Random Access for multi-carriersInter-operability:If the network implements the change but not the UE, there is no inter-operability issue.If the UE implements the change but not the network, there is no inter-operability issue.  |
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| ***Consequences if not approved:*** | RSRP Threshold list for each non-anchor carrier for random access to determine UE’s CE level on non-anchor carrier may not be supported. |
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| ***Clauses affected:*** | 4 and 4.3.4.X (new) |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

*Start of Change*

# 4 UE radio access capability parameters

The following clauses define the UE radio access capability parameters and minimum capabilities for MBMS capable UE. Only parameters for which there is the possibility for UEs to signal different values are considered as UE radio access capability parameters. Therefore, mandatory features without capability parameters that are the same for all UEs are not listed here. Also capabilities which are optional or conditionally mandatory for UEs to implement but do not have UE radio access capability parameter are listed in this specification.

E-UTRAN needs to respect the signalled UE radio access capability parameters when configuring the UE and when scheduling the UE.

All parameters shown in italics are signalled and correspond to a field defined in TS 36.331 [5].

For optional features, the UE radio access capability parameter indicates whether the feature has been implemented and successfully tested. For mandatory features with the UE radio access capability parameter, the parameter indicates whether the feature has been successfully tested.

The mandatory features required to be supported by a UE are the same for all UE categories unless explicitly specified elsewhere in the specifications.

Unless otherwise stated, the requirements on the maximum number of transport block bits are applicable for a TTI length of 1 ms. For other TTI lengths, the requirements shall be scaled according to clause 7.1.7 or 11.1 in TS 36.213 [22] in order to get the corresponding requirement.

The following UE radio access capability parameters specified in clause 4 are applicable in NB-IoT:

- *ue-Category-NB* in NB-IoT (clause 4.1C)

- *supportedROHC-Profiles-r13* (clause 4.3.1.1A)

- *maxNumberROHC-ContextSessions-r13* (clause 4.3.1.2A)

- *rlc-UM-r15 (*clause *4.3.2.5)*

- *multiTone-r13* (clause 4.3.4.55)

- *multiCarrier-r13* (clause 4.3.4.56)

- *twoHARQ-Processes-r14* (clause 4.3.4.62)

- *multiCarrier-NPRACH-r14* (clause 4.3.4.75)

- *nonAnchorThresh-NPRACH-r16*(clause 4.3.4.X)

- *multiCarrierPaging-r14* (clause 4.3.4.76)

- *interferenceRandomisation-r14* (clause 4.3.4.80)

- *wakeUpSignal-r15* (clause 4.3.4.113)

- *wakeUpSignalMinGap-eDRX-r15* (clause 4.3.4.114)

- *mixedOperationMode-r15* (clause 4.3.4.115)

- *sr-WithHARQ-ACK-r15* (clause 4.3.4.117)

- *sr-WithoutHARQ-ACK-r15* (clause 4.3.4.118)

- *nprach-Format2-r15* (clause 4.3.4.119)

- *multiCarrierPagingTDD-r15* (clause 4.3.4.134)

- *additionalTransmissionSIB1-r15* (clause 4.3.4.137)

- *npusch-3dot75kHz-SCS-TDD-r15* (clause 4.3.4.177)

- *npusch-MultiTB-r16* (clause 4.3.4.182)

- *npdsch-MultiTB-r16* (clause 4.3.4.183)

- *npusch-MultiTB-Interleaving-r16* (clause 4.3.4.192)

- *npdsch-MultiTB-Interleaving-r16* (clause 4.3.4.193)

- *multiTB-HARQ-AckBundling-r16* (clause 4.3.4.194)

- *groupWakeUpSignal-r16* (clause 4.3.4.195)

- *groupWakeUpSignalAlternation-r16* (clause 4.3.4.196)

- *subframeResourceResvUL-r16* (clause 4.3.4.197)

- *subframeResourceResvDL-r16* (clause 4.3.4.198)

- *slotSymbolResourceResvUL-r16* (clause 4.3.4.199)

- *slotSymbolResourceResvDL-r16* (clause 4.3.4.200)

- *supportedBandList-r13* (clause 4.3.5.1A)

- *multiNS-Pmax-r13* (clause 4.3.5.16A)

- *powerClassNB-20dBm-r13* (clause 4.3.5.1A.1)

- *powerClassNB-14dBm-r14* (clause 4.3.5.1A.2)

- *dl*-*ChannelQualityReporting-r16* (clause 4.3.6.37)

- *accessStratumRelease-r13* (clause 4.3.8.1A)

- *multipleDRB-r13* (clause 4.3.8.5)

- *earlyData-UP-r15* (clause 4.3.8.7)

- *earlySecurityReactivation-r16* (clause 4.3.8.11)

- *anr-Report-r16* (clause 4.3.12.2)

- *rach-Report-r16* (clause 4.3.12.3)

- *logicalChannelSR-ProhibitTimer* (clause 4.3.19.2)

- *dataInactMon-r14* (clause 4.3.19.9)

- *rai-Support-r14* (clause 4.3.19.10)

- *earlyContentionResolution-r14* (clause 4.3.19.14)

- *sr-SPS-BSR-r15* (clause 4.3.19.15)

- *rai-SupportEnh-r16* (clause 4.3.19.22)

- *earlyData-UP-5GC-r16* (clause 4.3.36.9)

- *pur-CP-EPC-r16* (clause 4.3.37.1)

- *pur-UP-EPC-r16* (clause 4.3.37.2)

- *pur-CP-5GC-r16* (clause 4.3.37.3)

- *pur-UP-5GC-r16* (clause 4.3.37.4)

- *pur-CP-L1Ack-r16* (clause 4.3.37.5)

- *pur-NRSRP-Validation-r16* (clause 4.3.37.6)

The UE radio access capabilities specified in clause 4 are not applicable in NB-IoT, unless they are listed above.

The following optional features without UE radio access capability parameters specified in clause 6 are applicable in NB-IoT:

- RRC Connection Re-establishment for the Control Plane CIoT EPS Optimization (clause 6.7.5)

- System Information Block Type 16 (clause 6.8.1)

- Enhanced random access power control (clause 6.8.3)

- MT-EDT for Control Plane CIoT EPS Optimisation (clause 6.8.10)

- MT-EDT for User Plane CIoT EPS Optimisation (clause 6.8.11)

- EDT for Control Plane CIoT EPS Optimization (clause 6.8.4)

- Enhanced PHR (clause 6.8.6)

- Radio Link Failure Report for NB-IoT (clause 6.10.2)

- SC-PTM in Idle mode (clause 6.16.1)

- Multiple TB scheduling for SC-PTM in Idle mode for NB-IoT (clause 6.16.2)

- Relaxed monitoring (clause 6.17.1)

- DL channel quality reporting in Msg3 for the anchor carrier (clause 6.17.2)

- Serving cell idle mode measurements reporting (clause 6.17.3)

- NSSS-Based RRM measurements (clause 6.17.4)

- NPBCH-Based RRM measurements (clause 6.17.5)

- RRM measurements on non-anchor paging carriers (clause 6.17.6)

- NRS presence on non-anchor paging carriers (clause 6.17.7)

- DL channel quality reporting in Msg3 for non-anchor carrier (clause 6.17.8)

- Assistance information for inter-RAT cell selection to/from NB-IoT (clause 6.17.9)

- RRC Connection Re-establishment for the Control Plane CIoT 5GS Optimisation (clause 6.18.3)

- NB-IoT/5GC (clause 6.18.4)

- MO-EDT for Control Plane CIoT 5GS Optimisation (clause 6.18.5)

- AS RAI (clause 6.18.6)

The optional features without UE radio access capability parameters specified in clause 6 are not applicable in NB-IoT, unless they are listed above.

/\*Partially omitted\*/

4.3.4 Physical layer parameters

4.3.4.1 *ue-TxAntennaSelectionSupported*

This field defines whether the UE supports transmit antenna selection.

4.3.4.2 *ue-SpecificRefSigsSupported*

This field defines whether the UE supports PDSCH transmission mode 7 for FDD.

4.3.4.3 Void

4.3.4.4 *enhancedDualLayerFDD*

This field defines whether the UE supports enhanced dual layer (PDSCH transmission mode 8) for FDD.

/\*Partially omitted\*/

#### 4.3.4.X *nonAnchorThresh-NPRACH-r16*

This field defines whether the UE supports RSRP Threshold list for each non-anchor carrier for random access to determine UE’s CE level on non-anchor carrier and excluding the non-anchor carriers with larger CEL than the anchor carrier when building the list of NPRACH resources, as specified in TS 36.321[4] and TS 36.331[5].

*End of Change*