**3GPP TSG-RAN2 Meeting #117e *R2-2202744***

**Online, February 21 –March 3 2022**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **36.306** | **CR** | **-** | **rev** | **-** | **Current version:** | **16.7.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | Draft CR to 306 for IoT-NTN UE Capabilities |
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| ***Source to WG:*** | Nokia |
| ***Source to TSG:*** | RAN2 |
|  |  |
| ***Work item code:*** |  |  | ***Date:*** |  |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | Introduction of Rel-17 IoT-NTN Features |
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| ***Summary of change:*** | Changes to different sections based on following RAN2 agreements for IoT UE capabilities.* IoT-NTN support is indicated by single per UE capability indication. This capability indication comprises of all RAN1 features needed for IoT-NTN and the following control plane and user plane functionalities of RAN2.

- TA Pre-compensation, RAR Window adjustments and MAC contention resolution Timer adjustments.- Timer adjustments for PDCP/RLC/MAC for NTN operation.- Acquisition of new SIB for IoT-NTN access- GNSS Support.* FFS whether Support for soft TA switching procedure is optional for IoT-NTN UE.
* FFS whether Support for PUR Timer modifications is optional for IoT-NTN UE that supports PUR for terrestrial case.
* TA Reporting is optional for IoT-NTN UE with separate capability indication from UE
* Capability bit signalling is not needed for support of cell reselection based on timer functionality. UE not having this capability will follow legacy cell reselection behaviour.
* FFS if the Existing CHO capability indication can be reused for IoT-NTN CHO (FFS if it can be applied to terrestrial case).
* FFS whether Capability Indication of existing IoT-Features until Rel-16 are reused in NTN, or to what extent they need to be duplicated to allow for different Interop Test (IOT) Status.
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| ***Consequences if not approved:*** | UE capabilities for IoT-NTN are not defined. |
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| ***Clauses affected:*** |  |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS/TR 36.331 CR xxxx |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | R2-2200XXX – Initial version |

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| FIRST CHANGE |

# 4 UE radio access capability parameters

The following clauses define the UE radio access capability parameters and minimum capabilities for MBMS capable UE. Only parameters for which there is the possibility for UEs to signal different values are considered as UE radio access capability parameters. Therefore, mandatory features without capability parameters that are the same for all UEs are not listed here. Also capabilities which are optional or conditionally mandatory for UEs to implement but do not have UE radio access capability parameter are listed in this specification.

E-UTRAN needs to respect the signalled UE radio access capability parameters when configuring the UE and when scheduling the UE.

All parameters shown in italics are signalled and correspond to a field defined in TS 36.331 [5].

For optional features, the UE radio access capability parameter indicates whether the feature has been implemented and successfully tested. For mandatory features with the UE radio access capability parameter, the parameter indicates whether the feature has been successfully tested.

The mandatory features required to be supported by a UE are the same for all UE categories unless explicitly specified elsewhere in the specifications.

Unless otherwise stated, the requirements on the maximum number of transport block bits are applicable for a TTI length of 1 ms. For other TTI lengths, the requirements shall be scaled according to clause 7.1.7 or 11.1 in TS 36.213 [22] in order to get the corresponding requirement.

The following UE radio access capability parameters specified in clause 4 are applicable in NB-IoT:

- *ue-Category-NB* in NB-IoT (clause 4.1C)

- *supportedROHC-Profiles-r13* (clause 4.3.1.1A)

- *maxNumberROHC-ContextSessions-r13* (clause 4.3.1.2A)

- *rlc-UM-r15 (*clause *4.3.2.5)*

- *multiTone-r13* (clause 4.3.4.55)

- *multiCarrier-r13* (clause 4.3.4.56)

- *twoHARQ-Processes-r14* (clause 4.3.4.62)

- *multiCarrier-NPRACH-r14* (clause 4.3.4.75)

- *multiCarrierPaging-r14* (clause 4.3.4.76)

- *interferenceRandomisation-r14* (clause 4.3.4.80)

- *wakeUpSignal-r15* (clause 4.3.4.113)

- *wakeUpSignalMinGap-eDRX-r15* (clause 4.3.4.114)

- *mixedOperationMode-r15* (clause 4.3.4.115)

- *sr-WithHARQ-ACK-r15* (clause 4.3.4.117)

- *sr-WithoutHARQ-ACK-r15* (clause 4.3.4.118)

- *nprach-Format2-r15* (clause 4.3.4.119)

- *multiCarrierPagingTDD-r15* (clause 4.3.4.134)

- *additionalTransmissionSIB1-r15* (clause 4.3.4.137)

- *npusch-3dot75kHz-SCS-TDD-r15* (clause 4.3.4.177)

- *npusch-MultiTB-r16* (clause 4.3.4.182)

- *npdsch-MultiTB-r16* (clause 4.3.4.183)

- *npusch-MultiTB-Interleaving-r16* (clause 4.3.4.192)

- *npdsch-MultiTB-Interleaving-r16* (clause 4.3.4.193)

- *multiTB-HARQ-AckBundling-r16* (clause 4.3.4.194)

- *groupWakeUpSignal-r16* (clause 4.3.4.195)

- *groupWakeUpSignalAlternation-r16* (clause 4.3.4.196)

- *subframeResourceResvUL-r16* (clause 4.3.4.197)

- *subframeResourceResvDL-r16* (clause 4.3.4.198)

- *slotSymbolResourceResvUL-r16* (clause 4.3.4.199)

- *slotSymbolResourceResvDL-r16* (clause 4.3.4.200)

- *supportedBandList-r13* (clause 4.3.5.1A)

- *multiNS-Pmax-r13* (clause 4.3.5.16A)

- *powerClassNB-20dBm-r13* (clause 4.3.5.1A.1)

- *powerClassNB-14dBm-r14* (clause 4.3.5.1A.2)

- *dl*-*ChannelQualityReporting-r16* (clause 4.3.6.37)

- *accessStratumRelease-r13* (clause 4.3.8.1A)

- *multipleDRB-r13* (clause 4.3.8.5)

- *earlyData-UP-r15* (clause 4.3.8.7)

- *earlySecurityReactivation-r16* (clause 4.3.8.11)

- *anr-Report-r16* (clause 4.3.12.2)

- *rach-Report-r16* (clause 4.3.12.3)

- *logicalChannelSR-ProhibitTimer* (clause 4.3.19.2)

- *dataInactMon-r14* (clause 4.3.19.9)

- *rai-Support-r14* (clause 4.3.19.10)

- *earlyContentionResolution-r14* (clause 4.3.19.14)

- *sr-SPS-BSR-r15* (clause 4.3.19.15)

- *rai-SupportEnh-r16* (clause 4.3.19.22)

- *earlyData-UP-5GC-r16* (clause 4.3.36.9)

- *pur-CP-EPC-r16* (clause 4.3.37.1)

- *pur-UP-EPC-r16* (clause 4.3.37.2)

- *pur-CP-5GC-r16* (clause 4.3.37.3)

- *pur-UP-5GC-r16* (clause 4.3.37.4)

- *pur-CP-L1Ack-r16* (clause 4.3.37.5)

- *pur-NRSRP-Validation-r16* (clause 4.3.37.6)

The UE radio access capabilities specified in clause 4 are not applicable in NB-IoT, unless they are listed above.

Editor’s Note: Whether all the capabilities listed above for NB-IoT are also applicable for NTN Access or separate indication is needed for sub-set of capabilities to be updated here.

The following optional features without UE radio access capability parameters specified in clause 6 are applicable in NB-IoT:

- RRC Connection Re-establishment for the Control Plane CIoT EPS Optimization (clause 6.7.5)

- System Information Block Type 16 (clause 6.8.1)

- Enhanced random access power control (clause 6.8.3)

- MT-EDT for Control Plane CIoT EPS Optimisation (clause 6.8.10)

- MT-EDT for User Plane CIoT EPS Optimisation (clause 6.8.11)

- EDT for Control Plane CIoT EPS Optimization (clause 6.8.4)

- Enhanced PHR (clause 6.8.6)

- Radio Link Failure Report for NB-IoT (clause 6.10.2)

- SC-PTM in Idle mode (clause 6.16.1)

- Multiple TB scheduling for SC-PTM in Idle mode for NB-IoT (clause 6.16.2)

- Relaxed monitoring (clause 6.17.1)

- DL channel quality reporting in Msg3 for the anchor carrier (clause 6.17.2)

- Serving cell idle mode measurements reporting (clause 6.17.3)

- NSSS-Based RRM measurements (clause 6.17.4)

- NPBCH-Based RRM measurements (clause 6.17.5)

- RRM measurements on non-anchor paging carriers (clause 6.17.6)

- NRS presence on non-anchor paging carriers (clause 6.17.7)

- DL channel quality reporting in Msg3 for non-anchor carrier (clause 6.17.8)

- Assistance information for inter-RAT cell selection to/from NB-IoT (clause 6.17.9)

- RRC Connection Re-establishment for the Control Plane CIoT 5GS Optimisation (clause 6.18.3)

- NB-IoT/5GC (clause 6.18.4)

- MO-EDT for Control Plane CIoT 5GS Optimisation (clause 6.18.5)

- AS RAI (clause 6.18.6)

The optional features without UE radio access capability parameters specified in clause 6 are not applicable in NB-IoT, unless they are listed above.

Editor’s Note: Whether all the capabilities listed above for NB-IoT are also applicable for NTN Access or separate indication is needed for sub-set of capabilities to be updated here.

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| NEXT CHANGE |

### 4.3.Y IoT NTN parameters

#### 4.3.Y.1 ntn-Connectivity-EPC-r17

This field indicates whether the UE supports NTN access. This field is applicable for Category M1, Category M2, Category NB1 and Category NB2. If the UE indicates this capability the UE shall support timer extension in MAC/RLC/PDCP layers and RACH adaptation to handle long RTT, acquiring NTN specific SIB and more than one TAC per PLMN broadcast in one cell.

Editor Note: Physical layer features mandatory for NTN connectivity should be updated in this list.

When this field is set, it indicates that *standaloneGNSS-Location* is supported by UE.

#### 4.3.Y.2 ntn-TA-report-r17

This field indicates whether UE support Timing advance reporting in NTN cell as specified in TS 36.321 for adjustment of downlink timing based on UE reported Timing advance.

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| NEXT CHANGE |

#### 6.Y IoT NTN Features

#### 6.Y.1 cell reselection measurements triggering based on service time

It is optional for UE camped in NTN cell to support triggering of early cell reselection measurements based on the service time broadcasted by the cell.

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| END OF CHANGES |