**3GPP TSG-RAN WG2 Meeting #117 electronic R2-2203854**

**Online, February 21 – March 3, 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **draft** | **rev** | **-** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of capability for RRM enhancements for Rel-17 NR FR1 HST | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | CMCC, Ericsson, Huawei, Nokia, Qualcomm | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_HST\_FR1\_enh | | | | |  | ***Date:*** | | | 2022-02-21 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | R17 HST capabilities are captured in RAN4 feature list in R4-2202400.  As per the Rel-17 work item RRM enhancements for Rel-17 NR FR1 HST the network should be able to enable/disable RRM measurement enhancements for FR1 high-speed train scenarios. RAN4 has agreed the following:  *Rev 0 (Add features according to RAN4 LSin R4-2120286 at RAN2#116bis-e)*  For CA enhancement:   * the network needs to be able to inform UE whether to apply the enhanced RRM requirements for CA specified in TS38.133 * a per-UE capability is needed which indicates whether the UE is capable of supporting the enhanced RRM requirements for CA in Rel-17   For inter-frequency measurement enhancement:   * for idle mode, network signalling should be added to inform the UE whether the enhanced inter-frequency HST measurement requirements are applied per each inter-frequency carrier * a per-UE capability is needed which indicates whether the UE is capable of supporting the enhanced RRM requirements for inter-frequency measurement for connected mode   Note: The support of HST **idle** mode inter-frequency measurement enhancements is an optional UE feature without capability signalling hence does not impact RRC.  *Rev 1 (Add features according to RAN4 LSin R4-2202591 and R4-2202984 at RAN2#117-e)*  For demodulation enhancement:   * the network needs to be able to inform UE whether to apply the enhanced PDSCH requirements for CA specified in TS38.101-4. * introduce a new UE capability For the enhanced demodulation requirements for CA in HST SFN.   For inter-frequency measurement enhancement:   * a cell specific network signalling is needed to inform UE whether to apply the enhanced RRM requirements for inter-frequency measurement specified in TS38.133 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | *Rev 0 (Add features according to RAN4 LSin R4-2120286 at RAN2#116bis-e)*  For CA enhancement:   * network can configure the UE to apply the enhanced RRM requirements for CA SCell. This configuration has been added to the ServingCellConfigCommon IE. * a per-UE capability indication has been added that indicates whether the UE is capable of supporting the enhanced RRM requirements for CA. This capability indication has been named *measurementEnhancementCA-r17* and has been added to the new IE *HighSpeedParameters-r17*.   For inter-frequency measurement enhancement:   * for idle mode, network signalling has been added to SIB4 to indicate per inter-frequency carrier whether UEs supporting high speed inter-frequency measurements in IDLE/INACTIVE shall apply high speed inter-frequency measurements. * a per-UE capability indication has been added that indicates whether the UE is capable of supporting the enhanced RRM requirements for inter-frequency measurements. This capability indication has been named *measurementEnhancementInterFreq-r17* and has been added to the new IE *HighSpeedParameters-r17*.   *Rev 1 (Add features according to RAN4 LSin R4-2202591 and R4-2202984 at RAN2#117-e)*  For demodulation enhancement:   * introduce a signalling to inform UE whether to apply the enhanced PDSCH requirements for SCell for CA specified in TS38.101-4. * for the enhanced demodulation requirements for CA in HST, introduce a new UE capability for HST SFN CA.   For inter-frequency measurement enhancement:   * introduce a cell specific network signalling to inform UE whether to apply the enhanced RRM requirements for inter-frequency measurement specified in TS38.133 | | | | | | | | |
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| ***Consequences if not approved:*** | | RRM enhancements for Rel-17 NR FR1 HST is not supported by RRC. | | | | | | | | |
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| ***Clauses affected:*** | | 6.3.1, 6.3.2, 6.3.3, | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.306 CR 0683 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | This CR can be early implemented by Rel-16 UEs. | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*FIRST CHANGE*

### 6.3.3 UE capability information elements

**/\*Partially omitted\*/**

#### – HighSpeedParameters

The IE *HighSpeedParameters* is used to convey capabilities related to high speed scenarios.

*HighSpeedParameters* information element

-- ASN1START

-- TAG-HIGHSPEEDPARAMETERS-START

HighSpeedParameters-r16 ::= SEQUENCE {

measurementEnhancement-r16 ENUMERATED {supported} OPTIONAL,

demodulationEnhancement-r16 ENUMERATED {supported} OPTIONAL

}

HighSpeedParameters-v1650 ::= CHOICE {

intraNR-MeasurementEnhancement-r16 ENUMERATED {supported},

interRAT-MeasurementEnhancement-r16 ENUMERATED {supported}

}

HighSpeedParameters-v17xy ::= SEQUENCE {

measurementEnhancementCA-r17 ENUMERATED {supported},

measurementEnhancementInterFreq-r17 ENUMERATED {supported},

demodulationEnhancementCA-r17 ENUMERATED {supported}

}

-- TAG-HIGHSPEEDPARAMETERS-STOP

-- ASN1STOP

**/\*Partially omitted\*/**

#### – UE-NR-Capability

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

accessStratumRelease AccessStratumRelease,

pdcp-Parameters PDCP-Parameters,

rlc-Parameters RLC-Parameters OPTIONAL,

mac-Parameters MAC-Parameters OPTIONAL,

phy-Parameters Phy-Parameters,

rf-Parameters RF-Parameters,

measAndMobParameters MeasAndMobParameters OPTIONAL,

fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

featureSets FeatureSets OPTIONAL,

featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

dummy ENUMERATED {supported} OPTIONAL,

interRAT-Parameters InterRAT-Parameters OPTIONAL,

inactiveState ENUMERATED {supported} OPTIONAL,

delayBudgetReporting ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

sdap-Parameters SDAP-Parameters OPTIONAL,

overheatingInd ENUMERATED {supported} OPTIONAL,

ims-Parameters IMS-Parameters OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

reducedCP-Latency ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

nrdc-Parameters NRDC-Parameters OPTIONAL,

receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1650 OPTIONAL

}

UE-NR-Capability-v1650 ::= SEQUENCE {

mpsPriorityIndication-r16 ENUMERATED {supported} OPTIONAL,

highSpeedParameters-v1650 HighSpeedParameters-v1650 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v17xy OPTIONAL

}

UE-NR-Capability-v17xy ::= SEQUENCE {

highSpeedParameters-v17xy HighSpeedParameters-v17xy OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

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| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***  A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

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| *UE-NR-Capability-v1540 field descriptions* |
| ***fr1-fr2-Add-UE-NR-Capabilities***  This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

*CHANGE END*