**3GPP TSG-RAN WG2 Meeting #117 electronic R2-2203714**

**Online, February, 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.306** | **CR** | **0532** | **rev** | **2** | **Current version:** | **16.7.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Remove the maximum number of MIMO layers restrictions for SUL | | | | | | | | | |
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| ***Source to WG:*** | CMCC, Huawei, HiSilicon, CATT | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** |  | | | | |  | ***Date:*** | | |  |
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| ***Category:*** |  |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | In RAN4#97e meeting, RAN4 discussed and agreed that UL MIMO configuration is applied to SUL bands, and to remove the restrictions on configuring UL MIMO for SUL bands and configurations in TS 38.331. Descriptions to UL MIMO capabilities for SUL also need to be updated altogether in TS 38.306.  R4-2016909 LS on removing restriction on configuring UL MIMO for SUL band was approved and sent to RAN2.  CR R2-2102454 was agreed in principle in RAN2#113e. The revision is to apply the same changes to 38.306 16.7.0. | | | | | | | | |
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| ***Summary of change:*** | | Remove the restrictions on maximum number of MIMO layers for SUL on UE capabilities. | | | | | | | | |
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| ***Consequences if not approved:*** | | There is misalignment between RAN4 and RAN2 specs on the maximum number of MIMO layers for SUL. | | | | | | | | |
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| ***Clauses affected:*** | | 4.2.7.8 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS38.331 CR2465 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## << Start of changes >>

#### .2.7.8 *FeatureSetUplinkPerCC* parameters

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
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| ***channelBW-90mhz***  Indicates whether the UE supports the channel bandwidth of 90 MHz.  For FR1, the UE shall indicate support according to TS 38.101-1 [2], Table 5.3.5-1. | FSPC | CY | N/A | FR1 only |
| ***maxNumberMIMO-LayersCB-PUSCH***  Defines supported maximum number of MIMO layers at the UE for PUSCH transmission with codebook precoding. UE indicating support of this feature shall also indicate support of PUSCH codebook coherency subset. | FSPC | No | N/A | N/A |
| ***maxNumberMIMO-LayersNonCB-PUSCH***  Defines supported maximum number of MIMO layers at the UE for PUSCH transmission using non-codebook precoding.  UE supporting non-codebook based PUSCH transmission shall indicate support of *maxNumberMIMO-LayersNonCB-PUSCH, maxNumberSRS-ResourcePerSet* and *maxNumberSimultaneousSRS-ResourceTx* together. | FSPC | No | N/A | N/A |
| ***maxNumberSimultaneousSRS-ResourceTx***  Defines the maximum number of simultaneous transmitted SRS resources at one symbol for non-codebook based transmission to the UE. | FSPC | No | N/A | N/A |
| ***maxNumberSRS-ResourcePerSet***  Defines the maximum number of SRS resources per SRS resource set configured for codebook or non-codebook based transmission to the UE. | FSPC | No | N/A | N/A |
| ***supportedBandwidthUL***  Indicates maximum UL channel bandwidth supported for a given SCS that UE supports within a single CC (and in case of intra-frequency DAPS handover for the source and target cells), which is defined in Table 5.3.5-1 in TS38.101-1 [2] for FR1 and Table 5.3.5-1 in TS 38.101-2 [3] for FR2.  For FR1, all the bandwidths listed in TS38.101-1 Table 5.3.5-1 for each band shall be mandatory with a single CC unless indicated optional. For FR2, the set of mandatory CBW is 50, 100, 200 MHz. When this field is included in a band combination with a single band entry and a single CC entry (i.e. non-CA band combination), the UE shall indicate the maximum channel bandwidth for the band according to TS 38.101-1 [2] and TS 38.101-2 [3].  The UE may report a *supportedBandwidthUL* wider than the *channelBWs-UL*; this *supportedBandwidthUL* may not be included in the Table 5.3.5-1 of TS 38.101-1[2]/TS 38.101-2[3] for the case that the UE is unable to report the actual supported bandwidth according to the Table 5.3.5-1 of TS 38.101-1[2]/TS 38.101-2[3].  NOTE: To determine whether the UE supports a channel bandwidth of 90 MHz the network may ignore this capability and validate instead the *channelBW-90mhz*, the *supportedBandwidthCombinationSet* and the *supportedBandwidthCombinationSetIntraENDC*. For serving cell(s) with other channel bandwidths the network validates the *channelBWs-UL*, the *supportedBandwidthCombinationSet*, the *supportedBandwidthCombinationSetIntraENDC*, the *asymmetricBandwidthCombinationSet* (for a band supporting asymmetric channel bandwidth as defined in clause 5.3.6 of TS 38.101-1 [2]) and *supportedBandwidthUL*. | FSPC | CY | N/A | N/A |
| ***supportedModulationOrderUL***  Indicates the maximum supported modulation order to be applied for uplink in the carrier in the max data rate calculation as defined in 4.1.2. If included, the network may use a modulation order on this serving cell which is higher than the value indicated in this field as long as UE supports the modulation of higher value for uplink. If not included,  - for FR1 and FR2, the network uses the modulation order signalled per band i.e. *pusch-256QAM* if signalled*.* If not signalled in a given band, the network shall use the modulation order 64QAM.  In all the cases, it shall be ensured that the data rate does not exceed the max data rate (*DataRate*) and max data rate per CC (*DataRateCC*) according to TS 38.214 [12]. | FSPC | No | N/A | N/A |
| ***supportedSubCarrierSpacingUL***  Defines the supported sub-carrier spacing for UL by the UE, as defined in 4.2-1 of TS 38.211 [6], indicating the UE supports simultaneous transmission with same or different numerologies in CA, or indicating the UE supports different numerologies on NR UL and SUL within one cell. Support of simultaneous transmissions with same numerology for intra-band NR CA including both contiguous and non-contiguous is mandatory with capability in both FR1 and FR2. Support of simultaneous transmission with two different numerologies between FR1 band(s) and FR2 band(s) in UL is mandatory with capability if UE supports inter-band NR CA including both FR1 band(s) and FR2 band(s). Support of simultaneous transmission with different numerologies in CA for other cases is optional. | FSPC | CY | N/A | N/A |

## << End of changes >>