**3GPP TSG-RAN WG2 Meeting #117 Electronic *R2-2204095***

**Electronic meeting, Feb. 21st – Mar. 3rd 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.306** | **CR** | **xxxx** | **rev** | **-** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Introduction of UE capabilities for NR UDC | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | CATT, CMCC, Huawei, HiSilicon, MediaTek, Ericsson, China Unicom, China Telecom, OPPO, ZTE, Samsung, Apple, Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_UDC-Core | | | | |  | ***Date:*** | | | 2021-12 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In Rel-17, NR UDC is introduced. Therefore corresponding UE capabilities should be defined. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add UDC abbreviation and corresponding capability definitions. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | NR UDC capabilities are missing from the Rel-17 specification, and as a result NR UDC would not be supported in this release. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.3, 4.2.4 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Change*

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BAP Backhaul Adaptation Protocol

BC Band Combination

BT Bluetooth

DAPS Dual Active Protocol Stack

DL Downlink

EHC Ethernet Header Compression

FS Feature Set

FSPC Feature Set Per Component-carrier

IAB-MT Integrated Access Backhaul Mobile Termination

MAC Medium Access Control

MCG Master Cell Group

MN Master Node

MR-DC Multi-RAT Dual Connectivity

PDCP Packet Data Convergence Protocol

RLC Radio Link Control

RTT Round Trip Time

SCG Secondary Cell Group

SDAP Service Data Adaptation Protocol

SN Secondary Node

UDC Uplink Data Compression

UL Uplink

WLAN Wireless Local Area Network

*The next change*

4.2.4 PDCP Parameters

|  |  |  |  |
| --- | --- | --- | --- |
| **Definitions for parameters** | **Per** | **M** | **FDD-TDD DIFF** |
| ***continueEHC-Context-r16***  Indicates that the UE supports EHC context continuation operation where the UE keeps the established EHC context(s) upon PDCP re-establishment, as specified in TS 38.323 [16]. | UE | No | No |
| ***continueROHC-Context***  Defines whether the UE supports ROHC context continuation operation where the UE does not reset the current ROHC context upon PDCP re-establishment, as specified in TS 38.323 [16]. | UE | No | No |
| ***continueUDC-r17***  Defines whether the UE supports continuation of uplink data compression protocol operation where the UE does not reset the buffer upon PDCP re-establishment, as specified in TS 38.323 [16]. | UE | No | No |
| ***ehc-r16***  Indicates that the UE supports Ethernet header compression and decompression using EHC protocol, as specified in TS 38.323 [16]. The UE indicating this capability and indicating support for at least one ROHC profile, shall support simultaneous configuration of EHC and ROHC on different DRBs. | UE | No | No |
| ***extendedDiscardTimer-r16***  Indicates whether the UE supports the additional values of PDCP discard timer. The supported additional values are 0.5ms, 1ms, 2ms, 4ms, 6ms and 8ms, as specified in TS 38.331 [9]. | UE | No | No |
| ***jointEHC-ROHC-Config-r16***  Indicates whether the UE supports simultaneous configuration of EHC and ROHC protocols for the same DRB. | UE | No | No |
| ***maxNumberROHC-ContextSessions***  Defines the maximum number of ROHC header compression context sessions supported by the UE, excluding context sessions that leave all headers uncompressed. | UE | No | No |
| ***maxNumberEHC-Contexts-r16***  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in TS 38.323 [16]. | UE | No | No |
| ***outOfOrderDelivery***  Indicates whether UE supports out of order delivery of data to upper layers by PDCP. | UE | No | No |
| ***pdcp-DuplicationMCG-OrSCG-DRB***  Indicates whether the UE supports CA-based PDCP duplication over MCG or SCG DRB as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationMoreThanTwoRLC-r16***  Defines whether the UE supports PDCP duplication with more than two RLC entities as specified in TS 38.323 [16]. The UE supporting this feature supports secondary RLC entity(ies) activation and deactivation based on duplication RLC Activation/Deactivation MAC CE as specified in TS 38.321 [8]. A UE supporting this feature shall also support *pdcp-DuplicationMCG-OrSCG-DRB*, *pdcp-DuplicationSplitDRB*, *pdcp-DuplicationSplitSRB* and *pdcp-DuplicationSRB*. | UE | No | No |
| ***pdcp-DuplicationSplitDRB***  Indicates whether the UE supports PDCP duplication over split DRB as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationSplitSRB***  Indicates whether the UE supports PDCP duplication over split SRB1/2 as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationSRB***  Indicates whether the UE supports CA-based PDCP duplication over SRB1/2 and/or, if (NG)EN-DC is supported, SRB3 as specified in TS 38.323 [16]. | UE | No | No |
| ***shortSN***  Indicates whether the UE supports 12 bit length of PDCP sequence number. | UE | Yes | No |
| ***operatorDictionary-r17***  Defines whether the UE supports UL data compression with operator defined dictionary. In this release, UE can only support one operator defined dictionary. If UE supports operator defined dictionary, the UE shall report *versionofDictionary-17* and *associatedPLMN-ID-r17* of the stored operator defined dictionary as defined in 38.331 [9]. This parameter is not required to be present if the UE is in VPLMN. The *associatedPLMN-ID-r17* is only associated to the operator defined dictionary which has no relationship with UE’s HPLMN ID. | UE | No | No |
| ***supportedROHC-Profiles***  Defines which ROHC profiles from the list below are supported by the UE:  - 0x0000 ROHC No compression (RFC 5795)  - 0x0001 ROHC RTP/UDP/IP (RFC 3095, RFC 4815)  - 0x0002 ROHC UDP/IP (RFC 3095, RFC 4815)  - 0x0003 ROHC ESP/IP (RFC 3095, RFC 4815)  - 0x0004 ROHC IP (RFC 3843, RFC 4815)  - 0x0006 ROHC TCP/IP (RFC 6846)  - 0x0101 ROHC RTP/UDP/IP (RFC 5225)  - 0x0102 ROHC UDP/IP (RFC 5225)  - 0x0103 ROHC ESP/IP (RFC 5225)  - 0x0104 ROHC IP (RFC 5225)  A UE that supports one or more of the listed ROHC profiles shall support ROHC profile 0x0000 ROHC uncompressed (RFC 5795).  An IMS voice capable UE shall indicate support of ROHC profiles 0x0000, 0x0001, 0x0002 and be able to compress and decompress headers of PDCP SDUs at a PDCP SDU rate corresponding to supported IMS voice codecs. | UE | No | No |
| ***standardDictionary-r17***  Defines whether the UE supports UL data compression with SIP static dictionary as defined in TS 38.323 [16]. | UE | No | No |
| ***udc-r17***  Defines whether the UE supports the uplink data compression operation as specified in TS 38.323 [16].  A UE that supports the uplink data compression operation shall support 8192 bytes for compression buffer per UDC DRB and support up to 2 UDC DRBs. | UE | No | No |
| ***uplinkOnlyROHC-Profiles***  Indicates the ROHC profile(s) that are supported in uplink-only ROHC operation by the UE.  - 0x0006 ROHC TCP (RFC 6846)  A UE that supports uplink-only ROHC profile(s) shall support ROHC profile 0x0000 ROHC uncompressed (RFC 5795). | UE | No | No |

*End of Change*

# Annex:

The following table is Layer-2 and Layer-3 feature list for NR\_UDC-Core for reference.

6.2.xx NR\_UDC-Core

**Table 6.2.xx-1: Layer-2 and Layer-3 feature list for NR\_UDC-Core**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Features** | **Index** | **Feature group** | **Components** | **Prerequisite feature groups** | **Field name in TS 38.331 [2]** | **Parent IE in TS 38.331 [2]** | **Need of FDD/TDD differentiation** | **Need of FR1/FR2 differentiation** | **Note** | **Mandatory/Optional** |
| xx. NR\_UDC-Core | xx-1 | UDC | Indicates whether the UE supports the uplink data compression. |  | *udc-r17* | *PDCP-Parameters* | No | No |  | Optional with capability signalling |
| xx-2 | UDC | Indicates whether the UE supports uplink data compression with the SIP static dictionary. |  | *standardDictionary-r17* | *PDCP-Parameters* | No | No |  | Optional with capability signalling |
| xx-3 | UDC | Indicates whether the UE supports UL data compression with operator defined dictionary. |  | *operatorDictionary-r17* | *PDCP-Parameters* | No | No |  | Optional with capability signalling |
| xx-4 | UDC | Indicates the version of the operator defined dictionary that the UE supports. |  | *versionofDictionary-17* | *PDCP-Parameters* | No | No |  | Optional with capability signalling |
| xx-5 | UDC | Indicates the associated PLMN ID of the operator defined dictionary that the UE supports which has no relationship with UE’s HPLMN ID. |  | *associatedPLMN-ID-r17* | *PDCP-Parameters* | No | No |  | Optional with capability signalling |
| xx-6 | UDC | Indicates whether the UE supports continuation of uplink data compression protocol operation where the UE does not reset the buffer upon PDCP re-establishment. |  | *continueUDC-r17* | *PDCP-Parameters* | No | No |  | Optional with capability signalling |