**3GPP TSG-RAN2 Meeting #107-e *R2-2202293***

**E-meeting, Feburary 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.306** | **CR** | **0677** | **rev** | **-** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Correction on DAPS capability | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | OPPO, Huawei | | | | | | | | | |
| ***Source to TSG:*** | RAN2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_Mob\_enh-Core | | | | |  | ***Date:*** | | | 2021-11-04 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1. As discussed in [AT116-e][012][NR16] UE capabilities I, DAPS capability can be derived by pair of per-CC FS ID, yet the intra-frequency DAPS HO capabiilty is limited to per-CC FS ID in the same band entry, and intra-band inter-frequency DAPS HO is applicable to BW-class band entry, which is not reflected in the current spec   ***featureSetCombinationDAPS-r16***  Indicates the feature set that the UE supports for DAPS handover on the NR band combination by FeatureSetCombinationId. A UE shall include this field if intra-freq or inter-freq DAPS handover is supported for this band combination. If the number of CCs within a band combination is more than two, UE shall support DAPS handover between every CC pair. A feature set including *intraFreqDAPS-r16* can only be referred to by *featureSetCombinationDAPS-r16*, not by *featureSetCombination*. A feature set without *intraFreqDAPS-r16* is only applied to inter-freq DAPS handover if it is referred to by *featureSetCombinationDAPS*. Both feature sets with and without *intraFreqDAPS-r16* can be referred to by the same *featureSetCombinationDAPS-r16*.   1. As discussed in [AT116-e][012][NR16] UE capabilities I, DAPS capability for both intra- and inter-frequency handover is to be derived by a pair of per-CC FS ID, i.e., a single per-CC FS ID is only used to indicate the per-CC capability of either source or target cell, but not both, which is colliding with the following description in bandwidth   ***supportedBandwidthDL***  Indicates maximum DL channel bandwidth supported for a given SCS that UE supports within a single CC (and in case of intra-frequency DAPS handover for the source and target cells), which is defined in Table 5.3.5-1 in TS 38.101-1 [2] for FR1 and Table 5.3.5-1 in TS 38.101-2 [3] for FR2.  […]  ***supportedBandwidthUL***  Indicates maximum UL channel bandwidth supported for a given SCS that UE supports within a single CC (and in case of intra-frequency DAPS handover for the source and target cells), which is defined in Table 5.3.5-1 in TS38.101-1 [2] for FR1 and Table 5.3.5-1 in TS 38.101-2 [3] for FR2.  […]   1. As discussed in [AT116-e][012][NR16] UE capabilities I, BCS is not applicable to intra-frequency DAPS HO case. 2. As discussed in [AT 117-e][034][NR16] UE capabilities I, it is confirmed that   *If the intraFreqDAPS-r16 is included and no sub-fields are included inside, it indicates support of intra-frequency syncDAPS handover.*  *If the interFreqDAPS-r16 is included and no sub-fields are included inside, it indicates support of inter-frequency syncDAPS handover.* | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. In 4.2.7.1, for featureSetCombinationDAPS-r16, clarify the intra-frequency DAPS is limited to the CC pair(s) in the same band entry, i.e., different from inter-frequency DAPS which is applicable to all CC pairs, 2. In 4.2.7.1, for featureSetCombinationDAPS-r16, clarify intra-band inter-frequency DAPS HO is not applicable to band entries with BW-class A. 3. In 4.2.7.6/8, for supportedBandwidthDL supportedBandwidthUL, clarify it only represent either source or target cell bandwith in case of DAPS handover. 4. In 4.2.7.1, for supportedBandwidthCombinationSet, clarify it is not applicable to intra-freq DAPS HO case. 5. In 4.2.7.4 and 4.2.7.5, it is clarified in the field description that *intraFreqDAPS-r16/interFreqDAPS-r16* implicitly indicates support of intra-frequency/inter-frequency syncDAPS handover separately   **Impact analysis**  **Impacted functionality**  DAPS handover related capability  **Inter-operability:**  For Change-1, Change-3 and Change-4:   1. If the network implements the change but not the UE, there is no inter-operability issue since it is just to fix the spec error by assuming the common understanding that intra-frequency DAPS handover capaiblity is to be derived by a CC pair within the same band entry. 2. If the UE implements the change but not the network, there is no inter-operability issue since since it is just to fix the spec error by assuming the common understanding that intra-frequency DAPS handover capaiblity is to be derived by a CC pair within the same band entry.   For Change-2:   1. If the network implements the change but not the UE, there is no inter-operability issue since network would just not configure the inter-frequency DAPS handover for the CC pair within the same band entry of class-A although UE supports it. 2. If the UE implements the change but not the network, there is inter-operability issue since network may configure the inter-freqeuency DAPS handover for the CC pair but the UE does not support it.   For Change-5:   1. If the network implements the change but not the UE, there is no inter-operability issue but the network will not know the full syncDAPS capability supported by UE if only one of intraFreqDAPS-r16 and interFreqDAPS-r16 is included. 2. If the UE implements the change but not the network, the network will misunderstand both intra-freq syncDAPS and inter-freq syncDAPS are supported by UE while the UE actually not, when only one of *intraFreqDAPS-r16* and *interFreqDAPS-r16* is included and supported by UE. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | 1. Misunderstanding that intra-frequency DAPS handover can be based on capability of per-CC FS ID in different band entries, 2. Misunderstanding that intra-band inter-frequency DAPS handover is applicable to band entries with BW-class A. 3. Misunderstanding that a single per-CC FS ID can indiate the bandiwidth of both source and target cell in DAPS case. 4. Misunderstanding that BCS is also applicable to intra-frequency DAPS HO. 5. Misunderstanding on syncDAPS capability between the network and the UE. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 4.2.7.1, 4.2.7.4, 4.2.7.5, 4.2.7.6, 4.2.7.8 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | This version is the same as the previous one (R2-2111570) except upgrading for 16.7.0 spec. | | | | | | | | |

*Change Start*

#### 4.2.7.1 *BandCombinationList* parameters

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
| --- | --- | --- | --- | --- |
| ***bandEUTRA***  Defines supported EUTRA frequency band by NR frequency band number, as specified in TS 36.101 [14]. | Band | Yes | N/A | N/A |
| ***bandList***  Each entry of the list should include at least one bandwidth class for UL or DL. | BC | Yes | N/A | N/A |
| ***bandNR***  Defines supported NR frequency band by NR frequency band number, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. | Band | Yes | N/A | N/A |
| ***ca-BandwidthClassDL-EUTRA***  Defines for DL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 36.101 [14]. When all FeatureSetEUTRA-DownlinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | N/A | N/A |
| ***ca-BandwidthClassDL-NR***  Defines for DL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. When all FeatureSetDownlinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. For FR1, the value 'F' shall not be used as it is invalidated in TS 38.101-1 [2]. | Band | No | N/A | N/A |
| ***ca-BandwidthClassUL-EUTRA***  Defines for UL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 36.101 [14]. When all FeatureSetEUTRA-UplinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | N/A | N/A |
| ***ca-BandwidthClassUL-NR***  Defines for UL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. When all FeatureSetUplinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. For FR1, the value 'F' shall not be used as it is invalidated in TS 38.101-1 [2]. | Band | No | N/A | N/A |
| ***ca-ParametersEUTRA***  Contains the EUTRA part of band combination parameters for a given (NG)EN-DC/NE-DC band combination. | BC | No | N/A | N/A |
| ***ca-ParametersNR***  Contains the NR band combination parameters for a given (NG)EN-DC/NE-DC and/or NR CA band combination. | BC | No | N/A | N/A |
| ***ca-ParametersNRDC***  Indicates whether the UE supports NR-DC for the band combination. It contains the NR band combination parameters applicable across MCG and SCG. A UE indicating support for NR-DC shall support synchronous NR-DC configuration where all serving cells of the MCG are in FR1 and all serving cells of the SCG are in FR2. | BC | No | N/A | N/A |
| ***featureSetCombination***  Indicates the feature set that the UE supports on the NR and/or MR-DC band combination by FeatureSetCombinationId. | BC | N/A | N/A | N/A |
| ***featureSetCombinationDAPS-r16***  Indicates the feature set that the UE supports for DAPS handover on the NR band combination by FeatureSetCombinationId. A UE shall include this field if intra-frequency or inter-frequency DAPS handover is supported for this band combination. If the number of CCs within a band entry is more than one and if intra-frequency DAPS handover is supported, UE shall support intra-frequency DAPS handover between every CC pair within the same band entry. If the number of CCs within a band combination is more than one and if inter-frequency DAPS handover is supported, UE shall support inter-frequency DAPS handover between every CC pair in the same or different band entries in the band combination, except for the CC pair within a band entry with bandwidth class A. A feature set including *intraFreqDAPS-r16* can only be referred to by *featureSetCombinationDAPS-r16*, not by *featureSetCombination*. A feature set without *intraFreqDAPS-r16* is only applied to inter-freq DAPS handover if it is referred to by *featureSetCombinationDAPS*. Both feature sets with and without *intraFreqDAPS-r16* can be referred to by the same *featureSetCombinationDAPS-r16*. | BC | N/A | N/A | N/A |
| ***mrdc-Parameters***  Contains the band combination parameters for a given (NG)EN-DC/NE-DC band combination. | BC | No | N/A | N/A |
| ***ne-DC-BC***  Indicates whether the UE supports NE-DC for the band combination. | BC | No | N/A | N/A |
| ***powerClass, powerClass-v1610***  Indicates power class the UE supports when operating according to this band combination. If the field is absent, the UE supports the default power class. If this power class is higher than the power class that the UE supports on the individual bands of this band combination (*ue-PowerClass* in *BandNR*), the latter determines maximum TX power available in each band. The UE sets the power class parameter only in band combinations that are applicable as specified in TS 38.101-1 [2] and TS 38.101-3 [4]. This capability is not applicable to IAB-MT. | BC | No | N/A | FR1 only |
| ***powerClassNRPart-r16***  Indicates NR part power class the UE supports when operating according to this band combination.  This field only applies for MR-DC BCs containing only single CC or intra-band CA in NR side in this release. | BC | No | N/A | FR1 only |
| ***scalingFactorTxSidelink-r16, scalingFactorRxSidelink-r16***  Indicates, for a particular Uu band combination, the scaling factor for the PC5 band combination(s) on which the UE supports simultaneous transmission/reception (as indicated by *supportedTxBandCombListPerBC-Sidelink-r16* / *supportedRxBandCombListPerBC-Sidelink-r16*). The leading / leftmost value corresponds to the first band combination included in *BandCombinationListSidelinkEUTRA-NR* which is indicated with value 1 by *supportedTxBandCombListPerBC-Sidelink-r16* / *supportedRxBandCombListPerBC-Sidelink-r16*, the next value corresponds to the second band combination included in *BandCombinationListSidelinkEUTRA-NR* which is indicated with value 1 by *supportedTxBandCombListPerBC-Sidelink-r16* / *supportedRxBandCombListPerBC-Sidelink-r16* and so on. For each value of *ScalingFactorSidelink-r16*, value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on. | BC | No | N/A | N/A |
| ***SRS-SwitchingTimeNR***  Indicates the interruption time on DL/UL reception within a NR band pair during the RF retuning for switching between a carrier on one band and another (PUSCH-less) carrier on the other band to transmit SRS. *switchingTimeDL/ switchingTimeUL*:n0us represents 0 us, n30us represents 30us, and so on. *switchingTimeDL/ switchingTimeUL* is mandatory present if switching between the NR band pair is supported, otherwise the field is absent. It is signalled per pair of bands per band combination. | FD | No | N/A | N/A |
| ***SRS-SwitchingTimeEUTRA***  Indicates the interruption time on DL/UL reception within a EUTRA band pair during the RF retuning for switching between a carrier on one band and another (PUSCH-less) carrier on the other band to transmit SRS. *switchingTimeDL/ switchingTimeUL:* n0 represents 0 OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so on. *switchingTimeDL/ switchingTimeUL* is mandatory present if switching between the EUTRA band pair is supported, otherwise the field is absent. It is signalled per pair of bands per band combination. | FD | No | N/A | N/A |
| ***srs-TxSwitch, srs-TxSwitch-v1610***  Defines whether UE supports SRS for DL CSI acquisition as defined in clause 6.2.1.2 of TS 38.214 [12]. The capability signalling comprises of the following parameters:  - *supportedSRS-TxPortSwitch* indicates SRS Tx port switching pattern supported by the UE, which is mandatory with capability signaling. The indicated UE antenna switching capability of ′xTyR′ corresponds to a UE, capable of SRS transmission on ′x′ antenna ports over total of ′y′ antennas, where ′y′ corresponds to all or subset of UE receive antennas, where 2T4R is two pairs of antennas. *supportedSRS-TxPortSwitch-v1610*, which is optional to report, indicates downgrading configuration of SRS Tx port switching pattern. If the UE indicates the support of downgrading configuration of SRS Tx port switching pattern using *supportedSRS-TxPortSwitch-v1610*, the UE shall report the values for this as below, based on what is reported in *supportedSRS-TxPortSwitch*.   |  |  | | --- | --- | | *supportedSRS-TxPortSwitch* | *supportedSRS-TxPortSwitch-v1610* | | *t1r2* | *t1r1-t1r2* | | *t1r4* | *t1r1-t1r2-t1r4* | | *t2r4* | *t1r1-t1r2-t2r2-t2r4* | | *t2r2* | *t1r1-t2r2* | | *t4r4* | *t1r1-t2r2-t4r4* | | *t1r4-t2r4* | *t1r1-t1r2-t2r2-t1r4-t2r4* |   - *txSwitchImpactToRx* indicates the entry number of the first-listed band with UL (see NOTE) in the band combination that affects this DL, which is mandatory with capability signaling;  - *txSwitchWithAnotherBand* indicates the entry number of the first-listed band with UL (see NOTE) in the band combination that switches together with this UL, which is mandatory with capability signaling.  For *txSwitchImpactToRx* and *txSwitchWithAnotherBand*, value 1 means first entry, value 2 means second entry and so on. All DL and UL that switch together indicate the same entry number.  The entry number is the band entry number in a band combination. The UE is restricted not to include fallback band combinations for the purpose of indicating different SRS antenna switching capabilities.  NOTE: The first-listed band with UL includes a band associated with *FeatureSetUplinkId* set to 0 corresponding to the support of SRS-SwitchingTimeNR. | BC | FD | N/A | N/A |
| ***supportedBandwidthCombinationSet***  Defines the supported bandwidth combination set for a band combination as defined in TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. For NR SA CA, NR-DC, inter-band (NG)EN-DC without intra-band (NG)EN-DC component, inter-band NE-DC without intra-band NE-DC component and intra-band (NG)EN-DC/NE-DC with additional inter-band NR CA component, the field defines the bandwidth combinations for the NR part of the band combination. For intra-band (NG)EN-DC/NE-DC without additional inter-band NR and LTE CA component, the field indicates the supported bandwidth combination set applicable to intra-band (NG)EN-DC/NE-DC band combination. This field is not applicable to source and target cells in intra-frequency DAPS handover.  Field encoded as a bit map, where bit N is set to "1" if UE supports Bandwidth Combination Set N for this band combination as defined in the TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. It is mandatory if  - the band combination has more than one NR carrier (at least one SCell in an NR cell group);  - or is an intra-band (NG)EN-DC/NE-DC combination without additional inter-band NR and LTE CA component;  - or both. | BC | CY | N/A | N/A |
| ***supportedBandwidthCombinationSetIntraENDC***  Defines the supported bandwidth combination set for a band combination that allows configuration of at least one EUTRA serving cell and at least one NR serving cell in the same band, as defined in the TS 38.101-3 [4], table 5.3B.1.2-1 and table 5.3B.1.3-1.  - For intra-band (NG)EN-DC with additional inter-band CA component(s) of LTE and/or NR, the field defines the bandwidth combinations for the intra-band (NG)EN-DC component.  - For intra-band NE-DC with additional inter-band CA component(s) of LTE and/or NR, the field defines the bandwidth combinations for the intra-band NE-DC component.  Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination as defined in the TS 38.101-3 [4]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on.  - It is mandatory if the band combination is an intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component.  - It is optional if the band combination is an intra-band (NG)EN-DC/NE-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC/NE-DC UL part. If not included, the network assumes the UE supports BCS0 as defined in TS 38.101-3 [4], table 5.3B.1.2-1 and table 5.3B.1.3-1 for the intra-band (NG)EN-DC/NE-DC. | BC | CY | N/A | N/A |
| ***supportedTxBandCombListPerBC-Sidelink-r16, supportedRxBandCombListPerBC-Sidelink-r16***  Indicates, for a particular Uu band combination, the PC5 band combination(s) on which the UE supports simultaneous transmission/reception. The leading / leftmost bit (bit 0) corresponds to the first band combination included in *BandCombinationListSidelinkEUTRA-NR*, the next bit corresponds to the second band combination included in *BandCombinationListSidelinkEUTRA-NR* and so on. with value 1 indicating simultaneous transmission/reception is supported. | BC | No | N/A | N/A |
| ***ULTxSwitchingBandPair-r16***  Indicates UE supports dynamic UL Tx switching in case of inter-band CA, SUL, and (NG)EN-DC as defined in TS 38.214 [12], TS 38.101-1 [2] and TS 38.101-3 [4]. The capability signalling comprises of the following parameters:  - *bandIndexUL1-r16* and *bandIndexUL2-r16* indicate the band pair on which UE supports dynamic UL Tx switching. *bandindexUL1*/*bandindexUL2* xx refers to the xxth band entry in the band combination. UE shall indicate support for 2-layer UL MIMO capabilities on one of the indicated two bands in each FeatureSet entry supporting UL 1Tx-2Tx switching, and only the band where UE supports 2-layer UL MIMO capability can work as carrier2 as defined in TS 38.101-1 [2] and TS 38.101-3 [4].  - *uplinkTxSwitchingPeriod-r16* indicates the length of UL Tx switching period per pair of UL bands per band combination when dynamic UL Tx switching is configured, as specified in TS 38.101-1 [2] and TS 38.101-3 [4]. UE shall not report the value n210us for EN-DC band combinations. n35us represents 35 us, n140us represents 140us, and so on, as specified in TS 38.101-1 [2] and TS 38.101-3 [4].  - *uplinkTxSwitching-DL-Interruption-r16* indicates that DL interruption on the band will occur during UL Tx switching, as specified in TS 38.133 [5] and in TS 36.133 [27]. UE is not allowed to set this field for the band combination of SUL band+TDD band, for which no DL interruption is allowed.  Field encoded as a bit map, where bit N is set to "1" if DL interruption on band N will occur during uplink Tx switching as specified in TS 38.133 [5] and in TS 36.133 [27]. The leading / leftmost bit (bit 0) corresponds to the first band of this band combination, the next bit corresponds to the second band of this band combination and so on. The capability is not applicable to the following band combinations, in which DL reception interruption is not allowed:  - TDD+TDD CA with the same UL-DL pattern  - TDD+TDD EN-DC with the same UL-DL pattern | BC | FD | N/A | FR1 only |
| ***uplinkTxSwitching-OptionSupport-r16***  Indicates which option is supported for dynamic UL Tx switching for inter-band UL CA and (NG)EN-DC. *switchedUL* represents option 1 as specified in TS 38.214 [12], *dualUL* represents option 2 as specified in TS 38.214 [12], *both* represents both option 1 and option2 as specified in TS 38.214 [12]. UE shall not report the value *both* for (NG)EN-DC case. The field is mandatory for inter-band UL CA and (NG)EN-DC case where UE supports dynamic UL Tx switching. | BC | CY | N/A | FR1 only |
| ***uplinkTxSwitching-PowerBoosting-r16***  Indicates the support of 3dB boosting on the maximum output power for UE transmission under the operation state in which 2-port transmission can be supported on carrier2 in case of inter-band UL CA case where UE supports dynamic UL Tx switching. A UE shall only indicate this capability in case the UE supports power class 3 for inter-band UL CA for the band combination as defined in TS 38.101-1 [2]. | BC | No | N/A | FR1 only |

*Next Change*

4.2.7.4 *CA-ParametersNR*

| **Definitions for parameters** | **Per** | **M** | **FDD-TDD**  **DIFF** | **FR1-FR2**  **DIFF** |
| --- | --- | --- | --- | --- |
| ***beamManagementType-r16***  Indicates the supported beam management type for inter-band CA within FR2. Beam management type can be independent beam management (IBM) or common beam management (CBM).  In this release of the specification, the UE shall only report value of '*ibm*'. | BC | Yes | TDD only | FR2 only |
| ***blindDetectFactor-r16***  Defines the value of factor R for blind detection as specified in Clause 10.1 [11].  The UE that indicates support of this feature shall support *multiDCI-MultiTRP-r16.* | BC | No | N/A | N/A |
| ***codebookComboParametersAdditionPerBC-r16***  Indicates the list of supported CSI-RS resources across all bands in a band combination by referring to *codebookVariantsList* for the mixed codebook types. For mixed codebook types, UE reports support active CSI-RS resources and ports for up to 4 mixed codebook combinations in any slot. The following parameters are included in *codebookVariantsList* for each code book type:  - *maxNumberTxPortsPerResource* indicates the maximum number of Tx ports in a resource across all bands within a band combination;  - *maxNumberResourcesPerBand* indicates the maximum number of resources across all CCs within a band combination, simultaneously;  - *totalNumberTxPortsPerBand* indicates the total number of Tx ports across all CCs within a band combination, simultaneously.  For each band in a band combination, supported values for these three parameters are determined in conjunction with *codebookComboParametersAddition-r16* reported in *MIMO-ParametersPerBand*. | BC | No | N/A | N/A |
| ***codebookParametersAdditionPerBC-r16***  Indicates the list of supported CSI-RS resources across all bands in a band combination by referring to *codebookVariantsList* for the additional codebook types. The following parameters are included in *codebookVariantsList* for each code book type:  - *maxNumberTxPortsPerResource* indicates the maximum number of Tx ports in a resource across all bands within a band combination;  - *maxNumberResourcesPerBand* indicates the maximum number of resources across all CCs within a band combination, simultaneously;  - *totalNumberTxPortsPerBand* indicates the total number of Tx ports across all CCs within a band combination, simultaneously.  For each band in a band combination, supported values for these three parameters are determined in conjunction with *codebookParametersAddition-r16* reported in *MIMO-ParametersPerBand*. | BC | No | N/A | N/A |
| ***crossCarrierA-CSI-trigDiffSCS-r16***  Indicates the UE support of handling cross-carrier A-CSI trigger with different SCS. Value *higherA-CSI-SCS* indicates the UE support of PDCCH cell of lower SCS and A-CSI RS cell of higher SCS and value *lowerA-CSI-SCS* indicates the UE support of PDCCH cell of higher SCS and A-CSI RS cell of lower SCS, and value *both* indicates the support of both variations. A UE supporting this feature shall also indicate support of CSI-RS and CSI-IM reception for CSI feedback using *csi-RS-IM-ReceptionForFeedback* | BC | No | N/A | N/A |
| ***crossCarrierSchedulingDefaultQCL-r16***  Indicates whether the UE can be configured with *enabledDefaultBeamForCCS* for default QCL assumption for cross-carrier scheduling for same/different numerologies. A UE supporting this feature shall either indicate support of *crossCarrierScheduling-SameSCS* or *crossCarrierSchedulingDL-DiffSCS-r16*.  Value *diff-only* indicates UE supports this feature only for different SCS combination(s).  Value *both* indicates UE supports this feature for same SCS and for different SCS combination(s). | BC | No | N/A | N/A |
| ***crossCarrierSchedulingDL-DiffSCS-r16***  Indicates the UE supports cross carrier scheduling for the different numerologies with carrier indicator field (CIF) in DL carrier aggregation where numerologies for the scheduling CC and scheduled CC are different.  Value *low-to-hig*h indicates UE supports scheduling CC of lower SCS to scheduled CC of higher SCS;  Value *high-to-low* indicates UE supports scheduling CC of higher SCS to scheduled CC of lower SCS;  Value *both* indicates UE supports both scheduling CC of lower SCS to scheduled CC of higher SCS and scheduling CC of higher SCS to scheduled CC of lower SCS.  NOTE 1: Following components are applicable to cross carrier scheduling from lower SCS to higher SCS when the UE reports this feature:  - Processing one unicast DCI scheduling DL per scheduling CC slot per scheduled CC for FDD scheduling CC  - Processing one unicast DCI scheduling DL per scheduling CC slot per scheduled CC for TDD scheduling CC  NOTE 2: Following components are applicable to cross carrier scheduling from higher SCS to lower SCS when the UE reports this feature:  - Processing one unicast DCI scheduling DL per N consecutive scheduling CC slot per scheduled CC for FDD scheduling CC  - Processing one unicast DCI scheduling DL per N consecutive scheduling CC slot per scheduled CC for TDD scheduling CC  - N is based on pair of (scheduling CC SCS, scheduled CC SCS): N=2 for (30,15), (60,30), (120,60) and N=4 for (60,5), (120,30), N = 8 for (120,15) | BC | No | N/A | N/A |
| ***crossCarrierSchedulingUL-DiffSCS-r16***  Indicates the UE supports cross carrier scheduling for the different numerologies with carrier indicator field (CIF) in UL carrier aggregation where numerologies for the scheduling CC and scheduled CC are different.  Value *low-to-high* indicates UE supports scheduling CC of lower SCS to scheduled CC of higher SCS;  Value *high-to-low* indicates UE supports scheduling CC of higher SCS to scheduled CC of lower SCS;  Value *both* indicates UE supports both scheduling CC of lower SCS to scheduled CC of higher SCS and scheduling CC of higher SCS to scheduled CC of lower SCS.  NOTE 1: Following components are applicable to cross carrier scheduling from lower SCS to higher SCS when the UE reports this feature:  - Processing one unicast DCI scheduling UL per scheduling CC slot per scheduled CC for FDD scheduling CC  - Processing 2 unicast DCI scheduling UL per scheduling CC slot per scheduled CC for TDD scheduling CC  NOTE 2: Following components are applicable to cross carrier scheduling from higher SCS to lower SCS when the UE reports this feature:  - Processing one unicast DCI scheduling UL per N consecutive scheduling CC slot per scheduled CC for FDD scheduling CC  - Processing 2 unicast DCI scheduling UL per N consecutive scheduling CC slot per scheduled CC for TDD scheduling CC  - N is based on pair of (scheduling CC SCS, scheduled CC SCS): N=2 for (30,15), (60,30), (120,60) and N=4 for (60,5), (120,30), N = 8 for (120,15) | BC | No | N/A | N/A |
| ***csi-RS-IM-ReceptionForFeedbackPerBandComb***  Indicates support of CSI-RS and CSI-IM reception for CSI feedback. This capability signalling comprises the following parameters:  - *maxNumberSimultaneousNZP-CSI-RS-ActBWP-AllCC* indicates the maximum number of simultaneous CSI-RS resources (irrespective of the associated codebook type) in active BWPs across all CCs, and across MCG and SCG in case of NR-DC. The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand-> maxNumberSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff-> maxNumberSimultaneousNZP-CSI-RS-PerCC*;  - *totalNumberPortsSimultaneousNZP-CSI-RS-ActBWP-AllCC* indicates the total number of CSI-RS ports in simultaneous CSI-RS resources (irrespective of the associated codebook type) in active BWPs across all CCs, and across MCG and SCG in case of NR-DC. The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand-> totalNumberPortsSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff-> totalNumberPortsSimultaneousNZP-CSI-RS-PerCC*.  The UE is mandated to report *csi-RS-IM-ReceptionForFeedbackPerBandComb*. | BC | Yes | N/A | N/A |
| ***defaultQCL-CrossCarrierA-CSI-Trig-r16***  Indicates whether the UE can be configured with *enabledDefaultBeamForCCS* for default QCL assumption for cross-carrier A-CSI-RS triggering for same/different numerologies as specified in TS 38.213 11].  Value *diffOnly* indicates the UE supports this feature for different SCS combination(s).  Value *both* indicates the UE supports this feature for same SCS and for different SCS combination(s) (low-to-high, high-to-low or both) reported for *crossCarrierA-CSI-trigDiffSCS-r16.* | BC | No | N/A | N/A |
| ***diffNumerologyAcrossPUCCH-Group***  Indicates whether different numerology across two NR PUCCH groups for data and control channel at a given time in NR CA and (NG)EN-DC/NE-DC is supported by the UE. | BC | No | N/A | N/A |
| ***diffNumerologyAcrossPUCCH-Group-CarrierTypes-r16***  Indicates whether different numerology across two NR PUCCH groups for data and control channel at a given time in NR CA for UE supporting two PUCCH groups with 3 or more bands with at least two carrier types. UE indicating support of this feature shall indicate support of *twoPUCCH-Grp-ConfigurationsList-r16.* | BC | No | N/A | N/A |
| ***diffNumerologyWithinPUCCH-GroupLargerSCS***  Indicates whether UE supports different numerology across carriers within a PUCCH group and a same numerology between DL and UL per carrier for data/control channel at a given time in NR CA, (NG)EN-DC/NE-DC and NR-DC.  In case of NR CA and (NG)EN-DC/NE-DC with one NR PUCCH group and in case of NR CA with two NR PUCCH groups, it also indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group up to two different numerologies within the same NR PUCCH group, wherein NR PUCCH is sent on the carrier with larger SCS for data and control channel at a given time.  In case of (NG)EN-DC/NE-DC with two NR PUCCH groups, it indicates whether the UE supports different numerologies across NR carriers up to two different numerologies within an NR PUCCH group in FR1, wherein NR PUCCH is sent on the carrier with larger SCS, and same numerology across NR carriers within another NR PUCCH group in FR2 for data and control channel at a given time.  In case of NR-DC, it indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group in MCG (in FR1) up to two different numerologies within the same NR PUCCH group wherein NR PUCCH is sent on the carrier with larger SCS for data/control channel at a given time; and same numerology across NR carriers in SCG (in FR2). | BC | No | N/A | N/A |
| ***diffNumerologyWithinPUCCH-GroupLargerSCS-CarrierTypes-r16***  Indicates whether UE supports different numerology across carriers up to 2 different numerologies within the same PUCCH group wherein PUCCH is sent on the carrier with larger SCS for data/control channel at a given time in NR CA for UE supporting two PUCCH groups with 3 or more bands with at least two carrier types. UE indicating support of this feature shall indicate support of *twoPUCCH-Grp-ConfigurationsList-r16.*  NOTE: PUCCH is sent on a carrier with SCS not smaller than SCS of any DL carriers corresponding to the PUCCH group. | BC | No | N/A | N/A |
| ***diffNumerologyWithinPUCCH-GroupSmallerSCS***  Indicates whether UE supports different numerology across carriers within a PUCCH group and a same numerology between DL and UL per carrier for data/control channel at a given time in NR CA, (NG)EN-DC/NE-DC and NR-DC.  In case of NR CA and (NG)EN-DC/NE-DC with one NR PUCCH group and in case of NR CA with two NR PUCCH groups, it also indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group up to two different numerologies within the same NR PUCCH group, wherein NR PUCCH is sent on the carrier with smaller SCS for data and control channel at a given time.  In case of (NG)EN-DC/NE-DC with two NR PUCCH groups, it indicates whether the UE supports different numerologies across NR carriers up to two different numerologies within an NR PUCCH group in FR1, wherein NR PUCCH is sent on the carrier with smaller SCS, and same numerology across NR carriers within another NR PUCCH group in FR2 for data and control channel at a given time.  In case of NR-DC, it indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group in MCG (in FR1) up to two different numerologies within the same NR PUCCH group wherein NR PUCCH is sent on the carrier with smaller SCS for data/control channel at a given time; and same numerology across NR carriers in SCG (in FR2). | BC | No | N/A | N/A |
| ***diffNumerologyWithinPUCCH-GroupSmallerSCS-CarrierTypes-r16***  Indicates whether UE supports different numerology across carriers up to 2 different numerologies within the same PUCCH group wherein PUCCH is sent on the carrier with smaller SCS for data/control channel at a given time in NR CA for UE supporting two PUCCH groups with 3 or more bands with at least two carrier types. UE indicating support of this feature shall indicate support of *twoPUCCH-Grp-ConfigurationsList-r16.*  NOTE: NR PUCCH is sent on a carrier with SCS not larger than SCS of any DL carriers corresponding to the NR PUCCH group. | BC | No | N/A | N/A |
| ***dualPA-Architecture***  For band combinations with single-band with UL CA, this field indicates the support of dual PA. If absent in such band combinations, the UE supports single PA for all the ULs. For other band combinations, this field is not applicable. | BC | No | N/A | N/A |
| ***half-DuplexTDD-CA-SameSCS-r16***  Indicates whether the UE supports directional collision handling between reference and other cell(s) for half-duplex operation in TDD CA with same SCS. The UE can include this field for band combinations including only intra-band TDD CA or if *simultaneousRxTxInterBandCA* is not present for band combinations involving mix of intra-band TDD CA and inter-band TDD CA. | BC | No | TDD only | N/A |
| ***interCA-NonAlignedFrame-r16***  Indicates whether the UE supports inter-band carrier aggregation operation where, within the same cell group, the frame boundaries of the SpCell and the SCell(s) are not aligned, the slot boundaries are aligned and the lowest subcarrier spacing of the subcarrier spacings given in *scs-SpecificCarrierList* for SpCell is smaller than or equal to the lowest subcarrier spacing of the subcarrier spacings given in *scs-SpecificCarrierList* for each of the non-aligned SCells. | BC | No | N/A | N/A |
| ***interCA-NonAlignedFrame-B-r16***  Indicates whether the UE supports inter-band carrier aggregation operation where, within the same cell group, the frame boundaries of the SpCell and the SCell(s) are not aligned, the slot boundaries are aligned and the lowest subcarrier spacing of the subcarrier spacings given in *scs-SpecificCarrierList* for SpCell is larger than the lowest subcarrier spacing of the subcarrier spacings given in *scs-SpecificCarrierList* for at least one of the non-aligned SCells.  A UE indicating support of *interCA-NonAlignedFrame-B-r16* shall also indicate support of *interCA-NonAlignedFrame-r16*. | BC | No | N/A | N/A |
| ***interFreqDAPS-r16***  Indicates whether the UE supports inter-frequency handover, e.g. support of simultaneous DL reception of PDCCH and PDSCH from source and target cell. A UE indicating this capability shall also support inter-frequency synchronous DAPS handover, and single UL transmission for inter-frequency DAPS handover. The capability signalling comprises of the following parameters:  - *interFreqAsyncDAPS-r16* indicates whether the UE supports asynchronous DAPS handover.  - *interFreqDiffSCS-DAPS-r16* indicates whether the UE supports different SCSs in source PCell and inter-frequency target PCell in DAPS handover. The UE only includes this field if different SCSs can be supported in both UL and DL. If absent, the UE does not support either UL or DL SCS being different in DAPS handover.  - *interFreqMultiUL-TransmissionDAPS-r16* indicates whether the UE supports simultaneous UL transmission in source PCell and target PCell during a DAPS handover. The UE can include this field only if any of *semiStaticPowerSharingDAPS-Mode1-r16*, *semiStaticPowerSharingDAPS-Mode2-r16* or *dynamicPowersharingDAPS-r16* are included. Otherwise, the UE does not include this field.  - *interFreqSemiStaticPowerSharingDAPS-Mode1-r16* indicates whether the UE supports semi-static UL power sharing mode 1 during DAPS handover between source and target cells of same FR.  - *interFreqSemiStaticPowerSharingDAPS-Mode2-r16* indicates whether the UE supports semi-static UL power sharing mode 2 during DAPS handover between source and target cells of same FR. It is only applicable to DAPS Handover in synchronous scenarios. The UE only includes this field if *semiStaticPowerSharingDAPS-Mode1-r16* is included. Otherwise, the UE does not include this field.  - *interFreqDynamicPowersharingDAPS-r16* indicates the value of T offset (short or long) that the UE supports for dynamic UL power sharing during DAPS handover between source and target cells of same FR. The UE only include this field if *semiStaticPowerSharingDAPS-Mode1-r16* is included. Otherwise, the UE does not include this field.  - *interFreqUL-TransCancellationDAPS-r16* indicates support of cancelling UL transmission to the source PCell for inter-frequency DAPS handover. | BC | No | N/A | N/A |
| ***intraBandFreqSeparationUL-AggBW-GapBW-r16***  Indicates the UL frequency separation class between lower edge of lowest CC and upper edge of highest CC of Intra-band UL non-contiguous CA, i.e. including both the aggregated bandwidth and the gap bandwidth. 3 frequency separation classes are introduced and the values are as follow:  - class I: Non-contiguous CA separation class ≤ 100MHz  - class II: 100MHz < Non-contiguous CA separation class≤ 200MHz  - class III: 200MHz < Non-contiguous CA separation class <600MHz | BC | No | N/A | FR1 only |
| ***jointSearchSpaceSwitchAcrossCells-r16***  Indicates whether the UE supports being configured with a group of cells and switching search space set group jointly over these cells. If the UE supports this feature, the UE needs to report *searchSpaceSwitchWithDCI-r16* or *searchSpaceSwitchWithoutDCI-r16*. | BC | No | N/A | N/A |
| ***maxUpTo3Diff-NumerologiesConfigSinglePUCCH-grp-r16***  Indicates the UE support of up to 3 different numerologies in the same PUCCH group where UE is not configured with two NR PUCCH groups by indicating one or multiple NR carrier types {FR1 licensed TDD (*fr1-NonSharedTDD-r16*), FR1 unlicensed TDD (*fr1-SharedTDD-r16*), FR1 licensed FDD (*fr1-NonSharedFDD-r16*), FR2(*fr2-r16*)} that can transmit the PUCCH for NR part of (NG)EN-DC, NE-DC and NR-CA.  NOTE: When the carrier type of NUL is indicated for PUCCH transmission location, the SUL in the same cell as in the NUL can also be configured for PUCCH transmission. | BC | No | N/A | N/A |
| ***maxUpTo4Diff-NumerologiesConfigSinglePUCCH-grp-r16***  Indicates the UE support of up to 4 different numerologies in the same PUCCH group where UE is not configured with two NR PUCCH groups by indicating one or multiple the NR carrier types {FR1 licensed TDD (*fr1-NonSharedTDD-r16*), FR1 unlicensed TDD (*fr1-SharedTDD-r16*), FR1 licensed FDD (*fr1-NonSharedFDD-r16*), FR2(*fr2-r16*)} that can transmit the PUCCH for NR part of (NG)EN-DC, NE-DC and NR-CA.  NOTE: When the carrier type of NUL is indicated for PUCCH transmission location, the SUL in the same cell as in the NUL can also be configured for PUCCH transmission. | BC | No | N/A | N/A |
| ***msgA-SUL-r16***  Indicates whether the UE supports MSGA transmission in a band combination including SUL. A UE supporting this feature shall also indicate support of *twoStepRACH-r16*. | BC | No | N/A | N/A |
| ***parallelTxMsgA-SRS-PUCCH-PUSCH-r16***  Indicates whether the UE supports parallel transmission of MsgA and SRS/ PUCCH/ PUSCH across CCs in an inter-band CA band combination. A UE supporting this feature shall also indicate support of *parallelTxPRACH-SRS-PUCCH-PUSCH*. | BC | No | N/A | N/A |
| ***parallelTxSRS-PUCCH-PUSCH***  Indicates whether the UE supports parallel transmission of SRS and PUCCH/ PUSCH across CCs in an inter-band CA band combination. | BC | No | N/A | N/A |
| ***parallelTxPRACH-SRS-PUCCH-PUSCH***  Indicates whether the UE supports parallel transmission of PRACH and SRS/PUCCH/PUSCH across CCs in an inter-band CA band combination. | BC | No | N/A | N/A |
| ***pdcch-BlindDetectionCA-Mixed-r16***  This field indicates mixed operation of two variants of the number of blind detections in case of CA. UE indicating support of this feature shall also indicate support of *pdcch-MonitoringMixed-r16*. | BC | No | N/A | N/A |
| ***pdcch-BlindDetectionCA-Mixed-NonAlignedSpan-r16***  This field indicates mixed operation of two variants of the number of blind detections in case of CA when the UE supports aligned span and non-aligned span. In the case of non-aligned span, when the configured number of CCs with Rel-16 PDCCH monitoring is larger than the UE reported value, PDCCH monitoring occasion(s) should be configured only on same symbol(s) every slot. UE indicating support of this feature shall also indicate support of *pdcch-MonitoringMixed-r16*. The minimum of the summation of capability on the number of CCs with Rel-15 PDCCH monitoring capability and the capability on the number of CCs with Rel-16 PDCCH monitoring capability is 3. | BC | No | N/A | N/A |
| ***pdcch-BlindDetectionMCG-UE-r16, pdcch-BlindDetectionSCG-UE-r16***  This field indicates the number of blind detections supported for MCG and SCG, respectively.  If a UE supports *pdcch-MonitoringCA-r16* or *pdcch-MonitoringCA-NonAlighedSpan-r16*, then the capability defined by *pdcch-MonitoringCA-r16* or *pdcch-MonitoringCA-NonAlighedSpan-r16* is applied to the feature. | BC | No | N/A | N/A |
| ***pdcch-BlindDetectionMCG-UE-Mixed-r16, pdcch-BlindDetectionSCG-UE-Mixed-r16***  This field indicates mixed operation of two variants of the number of blind detections supported for MCG and SCG, respectively.  If a UE supports *pdcch-BlindDetectionCA-Mixed-r16*or *pdcch-BlindDetectionCA-Mixed-NonAlignedSpan-r16*, then the capability defined by *pdcch-BlindDetectionCA-Mixed-r16*or *pdcch-BlindDetectionCA-Mixed-NonAlignedSpan-r16* is applied to the feature. | BC | No | N/A | N/A |
| ***pdcch-MonitoringCA-r16***  Indicates the number of CCs for monitoring a maximum number of blind detections and non-overlapped CCEs per span when configured with DL CA with Rel-16 PDCCH monitoring capability on all the serving cells. This field also indicates supported span arrangement for CA. A UE that supports this feature shall also support *pdcch-Monitoring-r16*. UE indicating support of this feature shall also indicate support of *pdcch-Monitoring-r16.* | BC | No | N/A | N/A |
| ***pdcch-MonitoringCA-NonAlignedSpan-r16***  Indicates the number of CCs for monitoring a maximum number of blind detections and non-overlapped CCEs per span when configured with DL CA with Rel-16 PDCCH monitoring capability on all the serving cells in the case UE supports aligned span and non-aligned span. In the case of non-aligned span, when the configured number of CCs with Rel-16 PDCCH monitoring is larger than the UE reported value and PDCCH monitoring occasion(s) should be configured only on same symbol(s) every slot. UE indicating support of this feature shall also indicate support of *pdcch-Monitoring-r16*. | BC | No | N/A | N/A |
| ***scellDormancyWithinActiveTime-r16***  Indicates whether the UE supports SCell dormancy indication received on SPCell with DCI format 0\_1/1\_1 sent within the active time as defined in clause 10.3 of TS 38.213 [11]. If the UE indicates the support of this, the UE supports one dormant BWP and at least one non-dormant BWP per carrier. To support more than one non-dormant BWP in a carrier, the UE indicates support of *upto4* in *bwp-SameNumerology* or *upto4* in *bwp-DiffNumerology*. One dormant BWP and one non-dormant BWP are UE specific BWPs even for UEs not supporting *bwp-SameNumerology.* | BC | No | N/A | N/A |
| ***scellDormancyOutsideActiveTime-r16***  Indicates whether the UE supports SCell dormancy indication received on SPCell using DCI format 2\_6 sent outside the active time as defined in clause 10.3 of TS 38.213 [11]. A UE supporting this feature shall also indicate support of power saving DRX adaptation using *drx-Adaptation-r16* and shall also support one dormant BWP and at least one non-dormant BWP per carrier. To support more than one non-dormant BWP in a carrier, the UE indicates support of *upto4* in *bwp-SameNumerology* or *upto4* in *bwp-DiffNumerology*. One dormant BWP and one non-dormant BWP are UE specific BWPs even for UEs not supporting *bwp-SameNumerology.* | BC | No | N/A | N/A |
| ***simultaneousCSI-ReportsAllCC***  Indicates whether the UE supports CSI report framework and the number of CSI report(s) which the UE can simultaneously process across all CCs, and across MCG and SCG in case of NR-DC. The CSI report comprises periodic, semi-persistent and aperiodic CSI and any latency classes and codebook types. The CSI report in *simultaneousCSI-ReportsAllCC* includes the beam report and CSI report. This parameter may further limit *simultaneousCSI-ReportsPerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. | BC | Yes | N/A | N/A |
| ***simul-SRS-Trans-BC-r16***  Indicates the number of SRS resources for positioning on a symbol for a given band combination. The UE can include this field only if the UE supports *srs-PosResources-r16*. Otherwise, the UE does not include this field;  NOTE 1: For single-band band combinations, it defines the capability for intra-band CA, and for band combinations with at least two bands, it defines the capability for inter-band carrier aggregation.  NOTE 2: if the UE does not indicate this capability for a band combination, the UE does not support the feature in this band combination. | BC | No | N/A | N/A |
| ***simul-SRS-MIMO-Trans-BC-r16***  Indicates the number of SRS resources for positioning and SRS resource for MIMO on a symbol for a given BC. The UE can include this field only if the UE supports *srs-PosResources-r16*. Otherwise, the UE does not include this field.  NOTE 1: If UE reports 2 for the candidate value, it means both the number of SRS resource for positioning and SRS resource for MIMO equals to 1.  NOTE 2: For single-band band combinations, it defines the capability for intra-band carrier aggregation, and for band combinations with at least two bands, it defines the capability for inter-band carrier aggregation.  NOTE 3: if the UE does not indicate this capability for a band combination, the UE does not support the feature in this band combination. | BC | No | N/A | N/A |
| ***simulTX-SRS-AntSwitchingInterBandUL-CA-r16***  Indicates whether the UE support simultaneous transmission of SRS on different CCs for inter-band UL CA. The UE indicating support of this feature shall include at least one of the following capabilities:  - *supportSRS-xTyR-xLessThanY-r16* indicates support transmission of SRS for xTyR (x<y) based antenna switching and SRS for CB/NCB/BM on different CCs in overlapped symbol(s) for inter-band UL CA.  - *supportSRS-xTyR-xEqualToY-r16* indicates support transmission of SRS for xTyR (x=y) based antenna switching and SRS for CB/NCB/BM on different CCs in overlapped symbol(s) for inter-band UL CA.  - *supportSRS-AntennaSwitching-r16* Indicates whether the UE support simultaneous transmission of SRS for antenna switching on different CCs in overlapped symbol(s) for inter-band UL CA.  NOTE: For simultaneously antenna switching and antenna switching SRS in inter-band CAs with bands whose UL are switched together according to the reported *supportSRS-AntennaSwitching-r16*, the UE expects the same configuration of xTyR across the different CCs and the SRS resources overlapped in time domain from UE perspective are from the same UE antenna ports. | BC | No | N/A | N/A |
| ***simultaneousRxTxInterBandCA***  Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band NR CA. If this field is included in *ca-ParametersNR-ForDC*, it indicates the UE supports simultaneous transmission and reception between any UL/DL band pair within a cell group and across MCG and SCG in TDD-TDD and TDD-FDD inter-band NR-DC. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. | BC | CY | N/A | N/A |
| ***simultaneousRxTxInterBandCAPerBandPair***  Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band NR CA for each band pair in the band combination.  Encoded as a bitmap with size L \* (L – 1) / 2, and bit N (leftmost bit is indexed as bit 0) is set to "1" if the UE supports simultaneous transmission and reception for band pair (x, y), where L is the number of band entries in the band combination, x and y are the indices of the band entry in the band combination (the first band entry is indexed as 0), x < y, and N = x\*(2\*L – x – 1)/2 + y – x – 1.  If this field is included in *ca-ParametersNR-ForDC*, each bit of this field indicates whether the UE supports simultaneous transmission and reception between each band pair, within a cell group and across MCG and SCG in TDD-TDD and TDD-FDD inter-band NR-DC.  The UE does not include this field if the UE supports simultaneous transmission and reception for all band pairs in the band combination (in which case *simultaneousRxTxInterBandCA* is included) or does not support for any band pair in the band combination. The UE shall consistently set the bits which correspond to the same band pair. | BC | No | N/A | N/A |
| ***simultaneousRxTxSUL***  Indicates whether the UE supports simultaneous reception and transmission for a NR band combination including SUL. Mandatory/Optional support depends on band combination and captured in TS 38.101-1 [2]. | BC | CY | N/A | N/A |
| ***simultaneousRxTxSULPerBandPair***  Indicates whether the UE supports simultaneous reception and transmission for a NR band combination including SUL for each band pair in the band combination.  Encoded in the same manner as *simultaneousRxTxInterBandCAPerBandPair*.  The UE does not include this field if the UE supports simultaneous transmission and reception for all band pairs in the band combination (in which case *simultaneousRxTxSUL* is included) or does not support for any band pair in the band combination. The UE shall consistently set the bits which correspond to the same band pair. | BC | No | N/A | N/A |
| ***simultaneousSRS-AssocCSI-RS-AllCC***  Indicates support of CSI-RS processing framework for SRS and the number of SRS resources that the UE can process simultaneously across all CCs, and across MCG and SCG in case of NR-DC, including periodic, aperiodic and semi-persistent SRS. This parameter may further limit *simultaneousSRS-AssocCSI-RS-PerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. | BC | No | N/A | N/A |
| ***supportedCSI-RS-ResourceListAlt-r16***  Indicates the list of supported CSI-RS resources across all bands in a band combination by referring to *codebookVariantsList*. The following parameters are included in *codebookVariantsList* for each code book type:  - *maxNumberTxPortsPerResource* indicates the maximum number of Tx ports in a resource across all bands within a band combination;  - *maxNumberResourcesPerBand* indicates the maximum number of resources across all CCs within a band combination, simultaneously;  - *totalNumberTxPortsPerBand* indicates the total number of Tx ports across all CCs within a band combination, simultaneously.  For each band in a band combination, supported values for these three parameters are determined in conjunction with *supportedCSI-RS-ResourceListAlt* reported in *MIMO-ParametersPerBand*. | BC | No | N/A | N/A |
| ***supportedNumberTAG***  Defines the number of timing advance groups supported by the UE. It is applied to NR CA, NR-DC, (NG)EN-DC/NE-DC and DAPS handover. For (NG)EN-DC/NE-DC, it indicates number of TAGs only for NR CG. The number of TAGs for the LTE MCG is signalled by existing LTE TAG capability signalling. For NR CA/NR-DC band combination, if the band combination comprised of more than one band entry (i.e., inter-band or intra-band non-contiguous band combination), it indicates that different timing advances on different band entries are supported. If absent, the UE supports only one TAG for the NR part. It is mandatory for the UE to support more than one TAG for NR-DC and it is mandatory for the UE to support 2 TAGs for inter-frequency DAPS. For the mixed inter-band and intra-band NR CA/NR-DC band combination, if the network configures more non-contiguous UL serving cells than the number of supported TAG, the UE only supports the configuration where all UL CCs of the same frequency band are configured with the same Timing Advance Group ID. | BC | CY | N/A | N/A |
| ***twoPUCCH-Grp-ConfigurationsList-r16***  Indicates one or multiple of supported configuration(s) of {primary PUCCH group config, secondary PUCCH group config} for the band combination where for each of the supported configuration the carrier type(s) (FR1-NonSharedTDD, FR1-SharedTDD, FR1-NonSharedFDD, FR2) that can be mapped to a PUCCH group and also the carrier types that can be configured with PUCCH transmission for primary PUCCH group and secondary PUCCH group for NR-CA band combination with 3 or more bands. The capability signalling of each primary or secondary PUCCH group configuration comprises of the following parameters:  - *pucch-GroupMapping-r16* indicates the PUCCH group(s) that a carrier type can be mapped to.  - pucch-TX-r16 indicates the PUCCH group(s) that a carrier type can be configured for PUCCH transmission  NOTE 1: For a band combination with SUL, the SUL band is counted as one of the bands.  NOTE 2: For a band combination with SDL, the SDL band is counted as one of the bands. SDL is indicated as 'FR1-NonSharedFDD' carrier type. Per UE capabilities that are TDD only are not applicable to SDL.  NOTE 3: When the carrier type of NUL is indicated for PUCCH transmission location, the SUL in the same cell as in the NUL can also be configured for PUCCH transmission.  NOTE 4: When the carrier type of NUL is indicated for one PUCCH group config, the SUL in the same cell as in the NUL can also be configured for the PUCCH group.  NOTE 5: If UE indicating this field does not support *diffNumerologyAcrossPUCCH-Group-CarrierTypes-r16*, the UE can only be configured with the same SCS across NR PUCCH groups. | BC | No | N/A | N/A |
| ***uplinkTxDC-TwoCarrierReport-r16***  Indicates whether the UE supports the uplink Tx Direct Current subcarrier location(s) reporting when configured with uplink CA with two carriers.  It is applicable only for (NG)EN-DC/NE-DC and NR CA where the NR has intra-band uplink CA with two uplink carriers. | BC | No | N/A | N/A |

4.2.7.5 *FeatureSetDownlink* parameters

| **Definitions for parameters** | **Per** | **M** | **FDD-TDD**  **DIFF** | **FR1-FR2**  **DIFF** |
| --- | --- | --- | --- | --- |
| ***additionalDMRS-DL-Alt***  Indicates whether the UE supports the alternative additional DMRS position for co-existence with LTE CRS. It is applied to 15kHz SCS and one additional DMRS case only. | FS | No | N/A | FR1 only |
| ***cbgPDSCH-ProcessingType1-DifferentTB-PerSlot-r16***  Defines whether the UE capable of processing time capability 1 supports CBG based reception with one or with up to two or with up to four or with up to seven unicast PDSCHs per slot per CC. | FS | No | N/A | N/A |
| ***cbgPDSCH-ProcessingType2-DifferentTB-PerSlot-r16***  Defines whether the UE capable of processing time capability 2 supports CBG based reception with one or with up to two or with up to four or with up to seven unicast PDSCHs per slot per CC. | FS | No | N/A | N/A |
| ***crossCarrierSchedulingProcessing-DiffSCS-r16***  Indicates the UE cross carrier scheduling processing capability for DL carrier aggregation processing up to X unicast DCI scheduling for DL per scheduled CC. X is based on pair of (scheduling CC SCS, scheduled CC SCS) where a pair of (15,120), (15,60), (30,120) kHz SCS can have X = {1,2,4} while a pair of (15,30), (30,60), (60,120) kHz SCS can have X = {2}, and X applies per slot of scheduling CC. | FS | No | N/A | N/A |
| ***csi-RS-MeasSCellWithoutSSB***  Defines whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured for a cell that does not transmit SS/PBCH block. A UE that supports this feature shall also support scellWithoutSSB. | FS | No | N/A | N/A |
| ***dl-MCS-TableAlt-DynamicIndication***  Indicates whether the UE supports dynamic indication of MCS table for PDSCH. | FS | No | N/A | N/A |
| ***featureSetListPerDownlinkCC***  Indicates which features the UE supports on the individual DL carriers of the feature set (and hence of a band entry that refer to the feature set) by *FeatureSetDownlinkPerCC-Id*. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetDownlinkPerCC-Id* in this list. A fallback per CC feature set resulting from the reported feature set per DL CC is not signalled but the UE shall support it. | FS | N/A | N/A | N/A |
| ***intraBandFreqSeparationDL, intraBandFreqSeparationDL-v1620***  Indicates DL frequency separation class the UE supports, which indicates a maximum frequency separation between lower edge of lowest CC and upper edge of highest CC in a frequency band, for intra-band non-contiguous CA. The UE sets the same value in the FeatureSetDownlink of each band entry within a band. The values mhzX correspond to the values XMHz defined in TS 38.101-2 [3]. It is mandatory to report for UE which supports DL intra-band non-contiguous CA in FR2.  If the UE sets the field *intraBandFreqSeparationDL-v1620* it shall set *intraBandFreqSeparationDL* (without suffix) to the nearest smaller value. | FS | CY | N/A | FR2 only |
| ***intraBandFreqSeparationDL-Only-r16***  Indicates whether the UE supports frequency separation class of DL only extension. If present, the field extends the maximum frequency separation between the lower edge of lowest CC and the upper edge of highest CC in a frequency band that the UE supports according to *intraBandFreqSeparationDL*.The frequency range extension is either above or below the frequency range indicated by *intraBandFreqSeparationDL* and extends it in contiguous manner with no frequency gap, and the network may configure contiguous or non-contiguous downlink serving cells in that extended range. The UE sets the same value in the FeatureSetDownlink of each band entry within a band. The values mhzX correspond to the values XMHz defined in TS38.101-2 [3]. The sum of *intraBandFreqSeparationDL* and *intraBandFreqSeparationDL-Only* shall not exceed 2400 MHz. If the UE sets this field, the sum of *intraBandFreqSeparationDL* and *intraBandFreqSeparationDL-Only* shall be larger than 1400 MHz.  A UE supporting this feature shall also support *intraBandFreqSeparationDL*. | FS | No | N/A | FR2 only |
| ***intraFreqDAPS-r16***  Indicates whether UE supports intra-frequency DAPS handover, e.g. support of simultaneous DL reception of PDCCH and PDSCH from source and target cell. A UE indicating this capability shall also support intra-frequency synchronous DAPS handover, single UL transmission and cancelling UL transmission to the source cell for intra-frequency DAPS handover. The capability signalling comprises of the following parameters:  - *intraFreqAsyncDAPS-r16* indicates whether the UE supports asynchronous DAPS handover.  - *intraFreqDiffSCS-DAPS-r16* indicates whether the UE supports different SCSs in source PCell and intra-frequency target PCell in DAPS handover. The UE only includes this field if different SCSs can be supported in both UL and DL. If absent, the UE does not support either UL or DL SCS being different in DAPS handover. | FS | No | N/A | N/A |
| ***oneFL-DMRS-ThreeAdditionalDMRS-DL***  Defines whether the UE supports DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | FS | No | N/A | N/A |
| ***oneFL-DMRS-TwoAdditionalDMRS-DL***  Defines support of DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | FS | Yes | N/A | N/A |
| ***pdcch-Monitoring-r16***  Indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation between two consecutive transmissions of PDCCH with span up to two OFDM symbols for two OFDM symbols or span up to three OFDM symbols for four and seven OFDM symbols. The different value can be reported for PDSCH processing type 1 and PDSCH processing type 2, respectively. For each sub-carrier spacing, the leading / leftmost bit (bit 0) corresponds to the supported value set (X,Y) of (7,3). The next bit (bit 1) corresponds to the supported value set (X,Y) of (4,3). The rightmost bit (bit 2) corresponds to the supported value set (X,Y) of (2,2). | FS | No | N/A | N/A |
| ***pdcch-MonitoringAnyOccasions***  Defines the supported PDCCH search space monitoring occasions. withoutDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. withDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation of two OFDM symbols for 15 kHz, four OFDM symbols for 30 kHz, seven OFDM symbols for 60 kHz with NCP, and 14OFDM symbols for 120kHz between two consecutive transmissions of PDCCH scrambled with C-RNTI, MCS-C-RNTI, or CS-RNTI for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space, with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. | FS | No | N/A | N/A |
| ***pdcch-MonitoringAnyOccasionsWithSpanGap***  Indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation between two consecutive transmissions of PDCCH with span up to two OFDM symbols for two OFDM symbols or span up to three OFDM symbols for four and seven OFDM symbols. Value set1 indicates the supported value set (X,Y) is (7,3), value set2 indicates the supported value set (X,Y) is (4,3) and (7,3) and value set 3 indicates the supported value set (X,Y) is (2,2), (4,3) and (7,3). | FS | No | N/A | N/A |
| ***pdcch-MonitoringMixed-r16***  Indicates support of Rel-15 monitoring capability and *pdcch-Monitoring-r16* on different serving cells. | FS | No | N/A | N/A |
| ***pdsch-ProcessingType1-DifferentTB-PerSlot***  Defines whether the UE capable of processing time capability 1 supports reception of up to two, four or seven unicast PDSCHs for several transport blocks with PDSCH scrambled using C-RNTI, TC-RNTI, MCS-C-RNTI or CS-RNTI in one serving cell within the same slot per CC that are multiplexed in time domain only.  NOTE: PDSCH(s) for Msg.4 is included. | FS | No | N/A | N/A |
| ***pdsch-ProcessingType2***  Indicates whether the UE supports PDSCH processing capability 2. The UE supports it only if all serving cells are self-scheduled and if all serving cells in one band on which the network configured processingType2 use the same subcarrier spacing. This capability signalling comprises the following parameters for each sub-carrier spacing supported by the UE.  - *fallback* indicates whether the UE supports PDSCH processing capability 2 when the number of configured carriers is larger than *numberOfCarriers* for a reported value of *differentTB-PerSlot*. If *fallback* = 'sc', UE supports capability 2 processing time on lowest cell index among the configured carriers in the band where the value is reported, if *fallback* = 'cap1-only', UE supports only capability 1, in the band where the value is reported;  - *differentTB-PerSlot* indicates whether the UE supports processing type 2 for 1, 2, 4 and/or 7 unicast PDSCHs for different transport blocks per slot per CC; and if so, it indicates up to which number of CA serving cells the UE supports that number of unicast PDSCHs for different TBs. The UE shall include at least one of *numberOfCarriers* for 1, 2, 4 or 7 transport blocks per slot in this field if *pdsch-ProcessingType2* is indicated. | FS | No | N/A | FR1 only |
| ***pdsch-ProcessingType2-Limited***  Indicates whether the UE supports PDSCH processing capability 2 with scheduling limitation for SCS 30kHz. This capability signalling comprises the following parameter.  - *differentTB-PerSlot-SCS-30kHz* indicates the number of different TBs per slot.  The UE supports this limited processing capability 2 only if:  1) One carrier is configured in the band, independent of the number of carriers configured in the other bands;  2) The maximum bandwidth of PDSCH is 136 PRBs;  3) N1 based on Table 5.3-2 of TS 38.214 [12] for SCS 30 kHz. | FS | No | N/A | FR1 only |
| ***pdsch-SeparationWithGap***  Indicates whether the UE supports separation of two unicast PDSCHs with a gap, applicable to Sub-carrier spacings of 30 kHz and 60 kHz only. For any two consecutive slots n and n+1, if there are more than 1 unicast PDSCH in either slot, the minimum time separation between starting time of any two unicast PDSCHs within the duration of these slots is 4 OFDM symbols for 30kHz and 7 OFDM symbols for 60kHz. | FS | No | N/A | N/A |
| ***scalingFactor***  Indicates the scaling factor to be applied to the band in the max data rate calculation as defined in 4.1.2. Value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on. If absent, the scaling factor 1 is applied to the band in the max data rate calculation. | FS | No | N/A | N/A |
| ***scellWithoutSSB***  Defines whether the UE supports configuration of SCell that does not transmit SS/PBCH block. This is conditionally mandatory with capability signalling for intra-band CA but not supported for inter-band CA. | FS | CY | N/A | N/A |
| ***searchSpaceSharingCA-DL***  Defines whether the UE supports DL PDCCH search space sharing for carrier aggregation operation. | FS | No | N/A | N/A |
| ***singleDCI-SDM-scheme-r16***  Indicates whether the UE supports single DCI based spatial division multiplexing scheme. | FS | No | N/A | N/A |
| ***supportedSRS-Resources***  Defines support of SRS resources for SRS carrier switching for a band without associated FeatureSetuplink. The capability signalling comprising indication of:  - *maxNumberAperiodicSRS-PerBWP* indicates supported maximum number of aperiodic SRS resources that can be configured for the UE per each BWP  - *maxNumberAperiodicSRS-PerBWP-PerSlot* indicates supported maximum number of aperiodic SRS resources per slot in the BWP  - *maxNumberPeriodicSRS-PerBWP* indicates supported maximum number of periodic SRS resources per BWP  - *maxNumberPeriodicSRS-PerBWP-PerSlot* indicates supported maximum number of periodic SRS resources per slot in the BWP  - *maxNumberSemiPersistentSRS-PerBWP* indicate supported maximum number of semi-persistent SRS resources that can be configured for the UE per each BWP  - *maxNumberSemiPersistentSRS-PerBWP-PerSlot* indicates supported maximum number of semi-persistent SRS resources per slot in the BWP  - *maxNumberSRS-Ports-PerResource* indicates supported maximum number of SRS antenna port per each SRS resource  If the UE indicates the support of srs-CarrierSwitch for this band and this field is absent, the UE supports one periodic, one aperiodic, no semi-persistent SRS resources per BWP per slot and one SRS antenna port per SRS resource. | FS | FD | N/A | N/A |
| ***timeDurationForQCL***  Defines minimum number of OFDM symbols required by the UE to perform PDCCH reception and applying spatial QCL information received in DCI for PDSCH processing as described in TS 38.214 [12] clause 5.1.5. The number of OFDM symbols is measured from the end of the last symbol of the PDCCH reception to the start of the first symbol of the PDSCH reception. UE shall indicate one value of the minimum number of OFDM symbols per each subcarrier spacing of 60kHz and 120kHz. | FS | Yes | N/A | FR2 only |
| ***twoFL-DMRS-TwoAdditionalDMRS-DL***  Defines whether the UE supports DM-RS pattern for DL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | FS | No | N/A | N/A |
| ***type1-3-CSS***  Defines whether the UE is able to receive PDCCH in FR2 in a Type1-PDCCH common search space configured by dedicated RRC signaling, in a Type3-PDCCH common search space or a UE-specific search space if those are associated with a CORESET with a duration of 3 symbols. | FS | Yes | N/A | FR2 only |
| ***ue-SpecificUL-DL-Assignment***  Indicates whether the UE supports dynamic determination of UL and DL link direction and slot format based on Layer 1 scheduling DCI and higher layer configured parameter *TDD-UL-DL-ConfigDedicated* as specified in TS 38.213 [11]. | FS | No | N/A | N/A |

#### 4.2.7.6 *FeatureSetDownlinkPerCC* parameters

| **Definitions for parameters** | **Per** | **M** | **FDD-TDD**  **DIFF** | **FR1-FR2**  **DIFF** |
| --- | --- | --- | --- | --- |
| ***channelBW-90mhz***  Indicates whether the UE supports the channel bandwidth of 90 MHz.  For FR1, the UE shall indicate support according to TS 38.101-1 [2], Table 5.3.5-1. | FSPC | CY | N/A | FR1 only |
| ***maxNumberMIMO-LayersPDSCH***  Defines the maximum number of spatial multiplexing layer(s) supported by the UE for DL reception. For single CC standalone NR, it is mandatory with capability signaling to support at least 4 MIMO layers in the bands where 4Rx is specified as mandatory for the given UE and at least 2 MIMO layers in FR2. If absent, the UE does not support MIMO on this carrier. | FSPC | CY | N/A | N/A |
| ***multiDCI-MultiTRP-r16***  Indicates whether the UE supports multi-DCI based multi-TRP and support of fully/partially overlapping PDSCHs in time and non-overlapping in frequency. This capability applies only to BWPs where two values of *coresetPoolIndex* are configured. The capability signalling contains the following:  - *maxNumberCORESET-r16* indicates maximum number of CORESETs configured per BWP per cell in addition to CORESET 0.  - *maxNumberCORESETPerPoolIndex-r16* indicates maximum number of CORESETs configured per *coresetPoolIndex* per BWP per cell in addition to CORESET 0.  - *maxNumberUnicastPDSCH-PerPool-r16* indicates maximum number of unicast PDSCHs per *coresetPoolIndex* per slot.  NOTE 1: A UE may assume that its maximum receive timing difference between the DL transmissions from two TRPs is within a Cyclic Prefix.  NOTE 2: Processing capability 2 is not supported in any CC if at least one CC is configured with two values of *coresetPoolIndex*.  NOTE 3: If UE reports value N1 for *maxNumberCORESET-r16*, that means UE supports up to min (N1+1, 5) CORESETs in total (including CORESET#0) if there is CORESET#0, and supports maximal N1 CORESETs if there is no CORESET#0.  NOTE 4: If UE reports value N2 for *maxNumberCORESETPerPoolIndex-r16*, that means UE supports up to min (N2+1, 3) CORESETs in total (including CORESET#0) for a TRP if there is CORESET#0, and supports maximal N2 CORESETs for another TRP if there is no CORESET#0. | FSPC | No | N/A | N/A |
| ***supportedBandwidthDL***  Indicates maximum DL channel bandwidth supported for a given SCS that UE supports within a single CC (and in case of DAPS handover for the source or target cell), which is defined in Table 5.3.5-1 in TS 38.101-1 [2] for FR1 and Table 5.3.5-1 in TS 38.101-2 [3] for FR2.  For FR1, all the bandwidths listed in TS38.101-1 Table 5.3.5-1 for each band shall be mandatory with a single CC unless indicated optional. For FR2, the set of mandatory CBW is 50, 100, 200 MHz. When this field is included in a band combination with a single band entry and a single CC entry (i.e. non-CA band combination), the UE shall indicate the maximum channel bandwidth for the band according to TS 38.101-1 [2] and TS 38.101-2 [3].  The UE may report a *supportedBandwidthDL* wider than the *channelBWs-DL*; this *supportedBandwidthDL* may not be included in the Table 5.3.5-1 of TS 38.101-1[2]/TS 38.101-2[3] for the case that the UE is unable to report the actual supported bandwidth according to the Table 5.3.5-1 of TS 38.101-1[2]/TS 38.101-2[3].  NOTE: To determine whether the UE supports a channel bandwidth of 90 MHz, the network may ignore this capability and validate instead the *channelBW-90mhz*, the *supportedBandwidthCombinationSet* and the *supportedBandwidthCombinationSetIntraENDC*. For serving cell(s) with other channel bandwidths the network validates the *channelBWs-DL*, the *supportedBandwidthCombinationSet*, the *supportedBandwidthCombinationSetIntraENDC*, the *asymmetricBandwidthCombinationSet* (for a band supporting asymmetric channel bandwidth as defined in clause 5.3.6 of TS 38.101-1 [2]) and *supportedBandwidthDL*. | FSPC | CY | N/A | N/A |
| ***supportedModulationOrderDL***  Indicates the maximum supported modulation order to be applied for downlink in the carrier in the max data rate calculation as defined in 4.1.2. If included, the network may use a modulation order on this serving cell which is higher than the value indicated in this field as long as UE supports the modulation of higher value for downlink. If not included:  - for FR1, the network uses the modulation order signalled in *pdsch-256QAM-FR1*.  - for FR2, the network uses the modulation order signalled per band i.e. *pdsch-256QAM-FR2* if signalled. If not signalled in a given band, the network shall use the modulation order 64QAM.  In all the cases, it shall be ensured that the data rate does not exceed the max data rate (*DataRate*) and max data rate per CC (*DataRateCC*) according to TS 38.214 [12]. | FSPC | No | N/A | N/A |
| ***supportedSubCarrierSpacingDL***  Defines the supported sub-carrier spacing for DL by the UE, as defined in clause 4.2-1 of TS 38.211 [6], indicating the UE supports simultaneous reception with same or different numerologies in CA. Support of simultaneous reception with same numerology for intra-band NR CA including both contiguous and non-contiguous is mandatory with capability in both FR1 and FR2. Support of simultaneous reception with two different numerologies between FR1 band(s) and FR2 band(s) in DL is mandatory with capability if UE supports inter-band NR CA including both FR1 band(s) and FR2 band(s). Optional for other cases. Support of simultaneous reception of with different numerologies in CA for other cases is optional. | FSPC | CY | N/A | N/A |
| ***supportFDM-SchemeB-r16***  Indicates whether UE supports single DCI based FDMSchemeB. | FSPC | No | N/A | N/A |

*Next Change*



#### 4.2.7.8 *FeatureSetUplinkPerCC* parameters

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
| --- | --- | --- | --- | --- |
| ***channelBW-90mhz***  Indicates whether the UE supports the channel bandwidth of 90 MHz.  For FR1, the UE shall indicate support according to TS 38.101-1 [2], Table 5.3.5-1. | FSPC | CY | N/A | FR1 only |
| ***maxNumberMIMO-LayersCB-PUSCH***  Defines supported maximum number of MIMO layers at the UE for PUSCH transmission with codebook precoding. UE indicating support of this feature shall also indicate support of PUSCH codebook coherency subset. This feature is not supported for SUL. | FSPC | No | N/A | N/A |
| ***maxNumberMIMO-LayersNonCB-PUSCH***  Defines supported maximum number of MIMO layers at the UE for PUSCH transmission using non-codebook precoding. This feature is not supported for SUL.  UE supporting non-codebook based PUSCH transmission shall indicate support of *maxNumberMIMO-LayersNonCB-PUSCH, maxNumberSRS-ResourcePerSet* and *maxNumberSimultaneousSRS-ResourceTx* together. | FSPC | No | N/A | N/A |
| ***maxNumberSimultaneousSRS-ResourceTx***  Defines the maximum number of simultaneous transmitted SRS resources at one symbol for non-codebook based transmission to the UE. This feature is not supported for SUL. | FSPC | No | N/A | N/A |
| ***maxNumberSRS-ResourcePerSet***  Defines the maximum number of SRS resources per SRS resource set configured for codebook or non-codebook based transmission to the UE. This feature is not supported for SUL. | FSPC | No | N/A | N/A |
| ***supportedBandwidthUL***  Indicates maximum UL channel bandwidth supported for a given SCS that UE supports within a single CC (and in case of DAPS handover for the source or target cell), which is defined in Table 5.3.5-1 in TS38.101-1 [2] for FR1 and Table 5.3.5-1 in TS 38.101-2 [3] for FR2.  For FR1, all the bandwidths listed in TS38.101-1 Table 5.3.5-1 for each band shall be mandatory with a single CC unless indicated optional. For FR2, the set of mandatory CBW is 50, 100, 200 MHz. When this field is included in a band combination with a single band entry and a single CC entry (i.e. non-CA band combination), the UE shall indicate the maximum channel bandwidth for the band according to TS 38.101-1 [2] and TS 38.101-2 [3].  The UE may report a *supportedBandwidthUL* wider than the *channelBWs-UL*; this *supportedBandwidthUL* may not be included in the Table 5.3.5-1 of TS 38.101-1[2]/TS 38.101-2[3] for the case that the UE is unable to report the actual supported bandwidth according to the Table 5.3.5-1 of TS 38.101-1[2]/TS 38.101-2[3].  NOTE: To determine whether the UE supports a channel bandwidth of 90 MHz the network may ignore this capability and validate instead the *channelBW-90mhz*, the *supportedBandwidthCombinationSet* and the *supportedBandwidthCombinationSetIntraENDC*. For serving cell(s) with other channel bandwidths the network validates the *channelBWs-UL*, the *supportedBandwidthCombinationSet*, the *supportedBandwidthCombinationSetIntraENDC*, the *asymmetricBandwidthCombinationSet* (for a band supporting asymmetric channel bandwidth as defined in clause 5.3.6 of TS 38.101-1 [2]) and *supportedBandwidthUL*. | FSPC | CY | N/A | N/A |
| ***supportedModulationOrderUL***  Indicates the maximum supported modulation order to be applied for uplink in the carrier in the max data rate calculation as defined in 4.1.2. If included, the network may use a modulation order on this serving cell which is higher than the value indicated in this field as long as UE supports the modulation of higher value for uplink. If not included,  - for FR1 and FR2, the network uses the modulation order signalled per band i.e. *pusch-256QAM* if signalled*.* If not signalled in a given band, the network shall use the modulation order 64QAM.  In all the cases, it shall be ensured that the data rate does not exceed the max data rate (*DataRate*) and max data rate per CC (*DataRateCC*) according to TS 38.214 [12]. | FSPC | No | N/A | N/A |
| ***supportedSubCarrierSpacingUL***  Defines the supported sub-carrier spacing for UL by the UE, as defined in 4.2-1 of TS 38.211 [6], indicating the UE supports simultaneous transmission with same or different numerologies in CA, or indicating the UE supports different numerologies on NR UL and SUL within one cell. Support of simultaneous transmissions with same numerology for intra-band NR CA including both contiguous and non-contiguous is mandatory with capability in both FR1 and FR2. Support of simultaneous transmission with two different numerologies between FR1 band(s) and FR2 band(s) in UL is mandatory with capability if UE supports inter-band NR CA including both FR1 band(s) and FR2 band(s). Support of simultaneous transmission with different numerologies in CA for other cases is optional. | FSPC | CY | N/A | N/A |

*End of Change*