**3GPP TSG-RAN2 Meeting #116bis-e R2-22xxxxx**

**Online, January 17 – 25, 2022**

**Agenda Item: 9.1.4**

**Source: Huawei**

**Title: [AT116bis-e][303][NBIOT/eMTC R17] UE Capabilities (Huawei)**

**Document for: Discussion and decision**

# Introduction

This document summarises the following offline discussion:

* [AT116bis-e][303][NBIOT/eMTC R17] UE Capabilities (Huawei)

**Scope:** Initial discussion to progress UE capabilities discussion.

**Intended outcome:** Report in R2-22xxxxx (agreements by email if possible – will not be treated online in this meeting)

**Deadline:** Friday 21 January 1200 UTC

The discussion is based on [1] submitted to AI 9.1.4 and RAN1 LS on UE features list [2].

# Contact information

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# Discussion

## 16-QAM for unicast in UL and DL

Below is an extract of RAN1 UE features list:

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Feature group | Components | Prerequisite feature groups | Need for the eNB to know if the feature is supported | **Consequence if the feature is not supported by the UE** | **Type**  **(the ‘type’ definition from UE features should be based on the granularity of 1) Per UE or 2) Per Band or 3) Per BC or 4) Per FS or 5) Per FSPC)** | Need of FDD/TDD differentiation | Note | Mandatory/Optional |
| 16-QAM for unicast NPDSCH | 1. Reception of unicast NPDSCH modulated with 16-QAM  2. CQI report to support 16-QAM modulation  3. Downlink power allocation for 16-QAM | Category NB-2 | Yes | The network cannot schedule a unicast NPDSCH modulated with 16-QAM for the UE | Per UE | [Yes] | It is RAN1 assumption that 16-QAM for unicast in DL is compatible with all other NB-IoT features in connected-mode plus PUR | Optional with capability signaling |
| 16-QAM for unicast NPUSCH | 1. Transmission of unicast NPUSCH modulated with 16-QAM  2. New term in the UE’s transmit power control equation. | Category NB-2 | Yes | The network cannot schedule a unicast NPUSCH modulated with 16-QAM for the UE | Per UE | [Yes] | It is RAN1 assumption that 16-QAM for unicast in UL is compatible with all other NB-IoT features in connected-mode plus PUR | Optional with capability signaling |

RAN1 has not yet concluded on the need for FDD/TDD differentiation. However, all physical layer features are currently defined with FDD/TDD differentiation due to TDD having only be introduced in Rel-15.

**Proposal 1**: Introduce FDD/TDD differentiation for 16-QAM for unicast NPDSCH and 16-QAM for unicast NPUSCH. This can be revisited if RAN1 decides otherwise.

**Q1: Do you agree with Proposal 1**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

16-QAM for unicast NPDSCH and 16-QAM for unicast NPUSCH are pure RAN features and independent of which core network the UE is connected to. Thus there is no need for EPC/5GC differentiation.

**Proposal 2**: 16-QAM for unicast NPDSCH and 16-QAM for unicast NPUSCH are supported without EPC/5GC differentiation.

**Q2: Do you agree with Proposal 2**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

## 14-HARQ processes in DL, for HD-FDD Cat M1 UEs

Below is an extract of RAN1 UE features list:

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Feature group | Components | Prerequisite feature groups | Need for the eNB to know if the feature is supported | **Consequence if the feature is not supported by the UE** | **Type**  **(the ‘type’ definition from UE features should be based on the granularity of 1) Per UE or 2) Per Band or 3) Per BC or 4) Per FS or 5) Per FSPC)** | Need of FDD/TDD differentiation | Note | Mandatory/Optional |
| 14 HARQ processes for PDSCH for HD-FDD Cat. M1 UEs | 1. Support of 14 DL HARQ processes for unicast in HD-FDD in CE mode A in RRC\_CONNECTED  2. PDSCH scheduling delay  3. HARQ-ACK delay solution with Alt-1 and Alt-2e | 1. Category M1  2. HD-FDD | Yes | The network cannot enable 14 HARQ processes for the UE | Per UE | FDD only | * PDSCH scheduling delay:   + 2 BL/CE DL subframes.   + 1 BL/CE DL subframe + 1 subframe + 3 BL/CE UL subframes + 1 subframe + 1 BL/CE DL subframe.   + 1 subframe + 3 BL/CE UL subframes + 1 subframe + 2 BL/CE DL subframes. * HARQ-ACK delay:   + Alt-1: The HARQ-ACK delay is determined through an expression consisting of different subframe types (Using a similar principle as the PDSCH scheduling delay).   + Alt-2e: The HARQ-ACK delay is determined following the legacy approach. That is, the “HARQ-ACK delay” is kept expressed in terms of “absolute subframes”.   For component 3, UE reports one of {Alt-1, Alt-1 and Alt-2e} | Optional with capability signaling |

RAN2 has not discussed yet capability signalling for 14 HARQ processes for PDSCH. RAN1 has agreed that support of 14 HARQ processes for PDSCH was optional with capability signalling and that UE could report support for HARQ-ACK delay solution with Alt-1 or support of HARQ-ACK delay solution with Alt-1 and Alt-2e.

**Proposal 3**: Introduce a new UE capability ce-14HARQProcesses-r17, conditional to support of ce-ModeA-r13. Signalling of the capability implies support of HARQ-ACK delay solution with Alt-1.

**Q3: Do you agree with Proposal 3**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

**Proposal 4**: Introduce a new UE capability ce-14HARQProcesses-Alt2-r17, conditional to support of ce-14HARQProcesses-r17, for additional support of HARQ-ACK delay solution with Alt-2e.

**Q4: Do you agree with Proposal 4**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

14 HARQ processes for PDSCH is a pure RAN feature and independent of which core network the UE is connected to. Thus there is no need for EPC/5GC differentiation.

**Proposal 5**: 14 HARQ processes for PDSCH is supported without EPC/5GC differentiation.

**Q5: Do you agree with Proposal 5**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

## Connected mode measurements for RLF

Connected mode measurements for RLF introduces signaling to perform measurements when the UE is in connected and corresponding measurement requirements. The feature is independent of which carrier is actually measured which is dependent of the band supported by the UE. Thus there is no need for FDD/TDD differentiation

Connected mode measurements for RLF is a pure RAN feature and is independent of which core network the UE is connected to. Thus there is no need for EPC/5GC differentiation.

**Proposal 6**: Connected mode measurements for RLF is supported without FDD/TDD differentiation and without EPC/5GC differentiation.

**Q6: Do you agree with Proposal 6**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

## Coverage based paging carrier selection

Coverage based carrier selection introduces signaling for a new paging carrier selection criteria and is independent of which mode of operation, FDD or TDD, is used. Thus there is no need for FDD/TDD differentiation

Coverage based carrier selection for RLF is a pure RAN feature and is independent of which core network the UE is connected to. Thus there is no need for EPC/5GC differentiation.

**Proposal 7**: Coverage based paging carrier selection is supported without FDD/TDD differentiation and without EPC/5GC differentiation.

**Q7: Do you agree with Proposal 7**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

## Power reduction for PRACH, PUCCH, and full-PRB PUSCH

The feature is a pure RAN4 feature and RAN4 has not provided any information yet.

**Proposal 8**: Wait for RAN4 to decide which capability is needed for power reduction for PRACH, PUCCH, and full-PRB PUSCH.

**Q8: Do you agree with Proposal 8**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

## Maximum DL TBS of 1736 bits for HD-FDD Cat. M1 UEs in CE mode A only

Maximum DL TBS of 1736 bits is a pure RAN feature and is independent of which core network the UE is connected to. Thus there is no need for EPC/5GC differentiation.

**Proposal 9**: Maximum DL TBS of 1736 bits is supported without EPC/5GC differentiation.

**Q9: Do you agree with Proposal 9**

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| **Company** | **yes/no** | **Detailed comments** |
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Rapporteur’ summary

## Other

Please indicate any aspect missing above

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| **Company** | **Detailed comments** |
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# Conclusion

# References

1. [R2-2201450](http://ftp.3gpp.org/tsg_ran/WG2_RL2/TSGR2_116bis-e/Docs/R2-2201450.zip" \o "C:\Usersbrian.martinOneDrive - InterDigital Communications, IncDocumentsRAN2RAN2_116bis_eDocsR2-2201450.zip) UE capabilities and FDD/TDD, EPC/5GC differentiation Huawei, HiSilicon

1. [R2-2200090](http://ftp.3gpp.org/tsg_ran/WG2_RL2/TSGR2_116bis-e/Docs/R2-2200090.zip) “LS on updated Rel-17 RAN1 UE features list for LTE”, RAN1, RAN2#116bis-e, January 2022