**3GPP TSG-RAN2 Meeting #115 electronic *R2-2108812***

**Online, August 09 – August 27, 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **36.331** | **CR** | **2799** | **rev** | **-** | **Current version:** | **16.5.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Correction on reconfigurationWithSync |
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| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | RAN2 |
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| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2021-08-27 |
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| ***Category:*** | **A** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…**Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | The presence conditions for reconfigurationWithSync are:The field is mandatory present in the *RRCReconfiguration* message:- in each configured *CellGroupConfig* for which the SpCell changes,- in the *masterCellGroup* at change of AS security key derived from KgNB,- in the *secondaryCellGroup* at:- PSCell addition,- update of required SI for PSCell,- change of AS security key derived from S-KgNB while the UE is configured with at least one radio bearer with *keyToUse* set to *secondary* and that is not released by this *RRCReconfiguration* message.Otherwise it is optionally present, need M. The field is absent in *RRCResume* or *RRCSetup* messages.The conditions allow reconfigurationWithSync to be absent in NR SCG in case of change of AS security key derived from S-KgNB if no SN terminated bearer is configured (i.e. only MN terminated bearers are configured).However, in 36.331 for (NG)EN-DC, it says:If an SCG is configured, handover involves either SCG release or either SCG change (in case of DC) or an NR SCG reconfiguration with sync and key change (in case of EN-DC and NGEN-DC).Basically, it means that in (NG)EN-DC, in case of LTE handover which will cause S-KgNB change, NR SCG should perform reconfiguration with sync (or SCG release).TS 36.331 and TS 38.331 are not aligned in this regard. |
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| ***Summary of change:*** | Clarify that for (NG)EN-DC, it is mandatary to signal reconfigurationWithSync in NR SCG in case of change of AS security key derived from S-KgNB.**Impact Analysis**Impacted 5G architecture options:EN-DC, NGEN-DCImpacted functionality:Reconfiguration with syncInter-operability:1. If the UE is implemented according to the CR and the NW is not, the network may not perform reconfigurationWithSync in NR SCG in case of LTE handover in (NG)EN-DC, and the reconfiguration may fail.
2. If the NW is implemented according to the CR and the UE is not, there is no inter-operability issue forseen.
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| ***Consequences if not approved:*** | TS 36.331 and TS 38.331 are not aligned on whether to perform reconfigurationWithSync in NR SCG in case of LTE handover in (NG)EN-DC. |
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| ***Clauses affected:*** | 6.3.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ... |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
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| ***This CR's revision history:*** |  |

*START OF CHANGE*

– *CellGroupConfig*

The *CellGroupConfig* IE is used to configure a master cell group (MCG) or secondary cell group (SCG). A cell group comprises of one MAC entity, a set of logical channels with associated RLC entities and of a primary cell (SpCell) and one or more secondary cells (SCells).

***CellGroupConfig* information element**

-- ASN1START

-- TAG-CELLGROUPCONFIG-START

-- Configuration of one Cell-Group:

CellGroupConfig ::= SEQUENCE {

 cellGroupId CellGroupId,

 rlc-BearerToAddModList SEQUENCE (SIZE(1..maxLC-ID)) OF RLC-BearerConfig OPTIONAL, -- Need N

 rlc-BearerToReleaseList SEQUENCE (SIZE(1..maxLC-ID)) OF LogicalChannelIdentity OPTIONAL, -- Need N

 mac-CellGroupConfig MAC-CellGroupConfig OPTIONAL, -- Need M

 physicalCellGroupConfig PhysicalCellGroupConfig OPTIONAL, -- Need M

 spCellConfig SpCellConfig OPTIONAL, -- Need M

 sCellToAddModList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellConfig OPTIONAL, -- Need N

 sCellToReleaseList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellIndex OPTIONAL, -- Need N

 ...,

 [[

 reportUplinkTxDirectCurrent ENUMERATED {true} OPTIONAL -- Cond BWP-Reconfig

 ]],

 [[

 bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

 bh-RLC-ChannelToAddModList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelConfig-r16 OPTIONAL, -- Need N

 bh-RLC-ChannelToReleaseList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelID-r16 OPTIONAL, -- Need N

 f1c-TransferPath-r16 ENUMERATED {lte, nr, both} OPTIONAL, -- Need M

 simultaneousTCI-UpdateList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 simultaneousTCI-UpdateList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 simultaneousSpatial-UpdatedList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 simultaneousSpatial-UpdatedList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 uplinkTxSwitchingOption-r16 ENUMERATED {switchedUL, dualUL} OPTIONAL, -- Need R

 uplinkTxSwitchingPowerBoosting-r16 ENUMERATED {enabled} OPTIONAL -- Need R

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 [[

 reportUplinkTxDirectCurrentTwoCarrier-r16 ENUMERATED {true} OPTIONAL -- Need N

 ]]

}

-- Serving cell specific MAC and PHY parameters for a SpCell:

SpCellConfig ::= SEQUENCE {

 servCellIndex ServCellIndex OPTIONAL, -- Cond SCG

 reconfigurationWithSync ReconfigurationWithSync OPTIONAL, -- Cond ReconfWithSync

 rlf-TimersAndConstants SetupRelease { RLF-TimersAndConstants } OPTIONAL, -- Need M

 rlmInSyncOutOfSyncThreshold ENUMERATED {n1} OPTIONAL, -- Need S

 spCellConfigDedicated ServingCellConfig OPTIONAL, -- Need M

 ...

}

ReconfigurationWithSync ::= SEQUENCE {

 spCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Need M

 newUE-Identity RNTI-Value,

 t304 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

 rach-ConfigDedicated CHOICE {

 uplink RACH-ConfigDedicated,

 supplementaryUplink RACH-ConfigDedicated

 } OPTIONAL, -- Need N

 ...,

 [[

 smtc SSB-MTC OPTIONAL -- Need S

 ]],

 [[

 daps-UplinkPowerConfig-r16 DAPS-UplinkPowerConfig-r16 OPTIONAL -- Need N

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}

DAPS-UplinkPowerConfig-r16 ::= SEQUENCE {

 p-DAPS-Source-r16 P-Max,

 p-DAPS-Target-r16 P-Max,

 uplinkPowerSharingDAPS-Mode-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic }

}

SCellConfig ::= SEQUENCE {

 sCellIndex SCellIndex,

 sCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Cond SCellAdd

 sCellConfigDedicated ServingCellConfig OPTIONAL, -- Cond SCellAddMod

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 smtc SSB-MTC OPTIONAL -- Need S

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 [[

 sCellState-r16 ENUMERATED {activated} OPTIONAL, -- Cond SCellAddSync

 secondaryDRX-GroupConfig-r16 ENUMERATED {true} OPTIONAL -- Cond DRX-Config2

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-- TAG-CELLGROUPCONFIG-STOP

-- ASN1STOP

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| ***CellGroupConfig* field descriptions** |
| ***bap-Address***BAP address of the parent node in cell group. |
| ***bh-RLC-ChannelToAddModList***Configuration of the backhaul RLC entities and the corresponding MAC Logical Channels to be added and modified. |
| ***bh-RLC-ChannelToReleaseList***List of the backhaul RLC entities and the corresponding MAC Logical Channels to be released. |
| ***f1c-TransferPath***The F1-C transfer path that an EN-DC IAB-MT should use for transferring F1-C packets to the IAB-donor-CU. If IAB-MT is configured with *lte*, IAB-MT can only use LTE leg for F1-C transfer. If IAB-MT is configured with *nr*, IAB-MT can only use NR leg for F1-C transfer. If IAB-MT is configured with *both*, it is up to IAB-MT to select an LTE leg or a NR leg for F1-C transfer. If the field is not configured, the IAB node uses the NR leg as the default one. |
| ***mac-CellGroupConfig***MAC parameters applicable for the entire cell group. |
| ***rlc-BearerToAddModList***Configuration of the MAC Logical Channel, the corresponding RLC entities and association with radio bearers. |
| ***reportUplinkTxDirectCurrent***Enables reporting of uplink and supplementary uplink Direct Current location information upon BWP configuration and reconfiguration. This field is only present when the BWP configuration is modified or any serving cell is added or removed. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. If UE is configured with SUL carrier, UE reports both UL and SUL Direct Current locations. |
| ***reportUplinkTxDirectCurrentTwoCarrier***Enables reporting of uplink Direct Current location information when the UE is configured with uplink intra-band CA with two carriers. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. |
| ***rlmInSyncOutOfSyncThreshold***BLER threshold pair index for IS/OOS indication generation, see TS 38.133 [14], table 8.1.1-1. *n1* corresponds to the value 1. When the field is absent, the UE applies the value 0. Whenever this is reconfigured, UE resets N310 and N311, and stops T310, if running. Network does not include this field. |
| ***sCellState***Indicates whether the SCell shall be considered to be in activated state upon SCell configuration. |
| ***sCellToAddModList***List of secondary serving cells (SCells) to be added or modified. |
| ***sCellToReleaseList***List of secondary serving cells (SCells) to be released. |
| ***secondaryDRX-GroupConfig***The field is used to indicate whether the SCell belongs to the secondary DRX group. All serving cells in the secondary DRX group shall belong to one Frequency Range and all serving cells in the legacy DRX group shall belong to another Frequency Range. |
| ***simultaneousTCI-UpdateList1, simultaneousTCI-UpdateList2***List of serving cells which can be updated simultaneously for TCI relation with a MAC CE. The *simultaneousTCI-UpdateList1* and *simultaneousTCI-UpdateList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***simultaneousSpatial-UpdatedList1, simultaneousSpatial-UpdatedList2***List of serving cells which can be updated simultaneously for spatial relation with a MAC CE. The *simultaneousSpatial-UpdatedList1* and *simultaneousSpatial-UpdatedList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***spCellConfig***Parameters for the SpCell of this cell group (PCell of MCG or PSCell of SCG).  |
| ***uplinkTxSwitchingOption***Indicates which option is configured for dynamic UL Tx switching for inter-band UL CA or (NG)EN-DC. The field is set to *switchedUL* if network configures option 1 as specified in TS 38.214 [19], or *dualUL* if network configures option 2 as specified in TS 38.214 [19]. Network always configures UE with a value for this field in inter-band UL CA case and (NG)EN-DC case where UE supports dynamic UL Tx switching. |
| ***uplinkTxSwitchingPowerBoosting***Indicates whether the UE is allowed to enable 3dB boosting on the maximum output power for transmission on carrier2 under the operation state in which 2-port transmission can be supported on carrier2 for inter-band UL CA case with dynamic UL Tx switching as defined in TS 38.101-1 [15]. Network can only configure this field for dynamic UL Tx switching in inter-band UL CA case with power Class 3 as defined in TS 38.101-1 [15]. |

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| ***DAPS-UplinkPowerConfig* field descriptions** |
| ***p-DAPS-Source***The maximum total transmit power to be used by the UE in the source cell group during DAPS handover. |
| ***p-DAPS-Target***The maximum total transmit power to be used by the UE in the target cell group during DAPS handover. |
| ***uplinkPowerSharingDAPS-Mode***Indicates the uplink power sharing mode that the UE uses in DAPS handover (see TS 38.213 [13]). |

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| ***ReconfigurationWithSync* field descriptions** |
| ***rach-ConfigDedicated***Random access configuration to be used for the reconfiguration with sync (e.g. handover). The UE performs the RA according to these parameters in the *firstActiveUplinkBWP* (see *UplinkConfig*). |
| ***smtc***The SSB periodicity/offset/duration configuration of target cell for NR PSCell change, and NR PCell change. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *spCellConfigCommon*.For case of NR PCell change, the *smtc* is based on the timing reference of (source) PCell. For case of NR PSCell change, it is based on the timing reference of source PSCell.If both this field and *targetCellSMTC-SCG* are absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

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| ***SCellConfig* field descriptions** |
| ***smtc***The SSB periodicity/offset/duration configuration of target cell for NR SCell addition. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *sCellConfigCommon*. The *smtc* is based on the timing of the SpCell of associated cell group. In case of inter-RAT handover to NR, the timing reference is the NR PCell. In case of intra-NR PCell change (standalone NR) or NR PSCell change (EN-DC), the timing reference is the target SpCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

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| ***SpCellConfig* field descriptions** |
| ***reconfigurationWithSync***Parameters for the synchronous reconfiguration to the target SpCell. |
| ***rlf-TimersAndConstants***Timers and constants for detecting and triggering cell-level radio link failure. For the SCG, *rlf-TimersAndConstants* can only be set to *setup* and is always included at SCG addition. |
| ***servCellIndex***Serving cell ID of a PSCell. The PCell of the Master Cell Group uses ID = 0. |

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| **Conditional Presence** | **Explanation** |
| *BWP-Reconfig* | The field is optionally present, Need N, if the BWPs are reconfigured or if serving cells are added or removed. Otherwise it is absent.  |
| *DRX-Config2* | The field is optionally present, Need N, if *drx-ConfigSecondaryGroup* is configured. It is absent otherwise. |
| *ReconfWithSync* | The field is mandatory present in the *RRCReconfiguration* message:- in each configured *CellGroupConfig* for which the SpCell changes,- in the *masterCellGroup* at change of AS security key derived from KgNB,- in the *secondaryCellGroup* at:- PSCell addition,- SCG resume with NR-DC or (NG)EN-DC,- update of required SI for PSCell,- change of AS security key derived from S-KgNB in NR-DC while the UE is configured with at least one radio bearer with *keyToUse* set to *secondary* and that is not released by this *RRCReconfiguration* message,- change of AS security key derived from S-KgNB in (NG)EN-DC.Otherwise, it is optionally present, need M. The field is absent in the *masterCellGroup* in *RRCResume* and *RRCSetup* messages and is absent in the *masterCellGroup* in *RRCReconfiguration* messages if source configuration is not released during DAPS handover. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is absent, Need M. |
| *SCellAddMod* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need M. |
| *SCellAddSync* | The field is optionally present, Need N, in case of SCell addition, reconfiguration with sync, and resuming an RRC connection. It is absent otherwise. |
| *SCG* | The field is mandatory present in an *SpCellConfig* for the PSCell. It is absent otherwise.  |

NOTE: In case of change of AS security key derived from S-KgNB/S-KeNB, if *reconfigurationWithSync* is not included in the *masterCellGroup*, the network releases all existing MCG RLC bearers associated with a radio bearer with *keyToUse* set to *secondary*. In case of change of AS security key derived from KgNB/KeNB, if *reconfigurationWithSync* is not included in the *secondaryCellGroup*, the network releases all existing SCG RLC bearers associated with a radio bearer with *keyToUse* set to *primary*.

END OF CHANGE