**3GPP TSG-RAN WG2 Meeting # 113bis-e *R2-210xxxx***

 **Electronic Meeting, 12th – 20th April, 2021**

|  |
| --- |
| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **2551** | **rev** | **1** | **Current version:** | **16.4.1** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
|  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

|  |
| --- |
|  |
| ***Title:***  | Miscellaneous corrections on TS 38.331 (Rapporteur CR) |
|  |  |
| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | 5G\_V2X\_NRSL-Core |  | ***Date:*** | 2021-04-01 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | 1. “Sidelink communication” (without any prepositive) refers to R12/13 D2D communication in 3CPP specifications. Therefore, the title of clause 5.3.3.1a should be modified to be more accurate.
2. In the field description of *valueN*, the reference clause number are left blank.
3. The handling of CG Type 2 while T310 is running is not clear in the specification.
4. How to handle “sl-RLC-bearerToReleaseList” for the UE is missing in current specification.
5. According to TS 38.214, the “MCS table indicator” field in SCI is set based on the RRC parameter sl-Additional-MCS-Table if two MCS tables are configured. However, the correspondence between the 1st /2nd tables and the 256QAM/qam64LowSE MCS tables in the sl-Additional-MCS-Table field is not clear. This may cause misaligned interpretation for SL UEs.
6. IE sl-CBR-CommonTxConfigList is included within SL-UE-SelectedConfig. But in definitions of pre-configured sidelink parameters, both sl-CBR-CommonTxConfigList and SL-UE-SelectedConfig are both imported. Only SL-UE-SelectedConfig is needed within IE SL-PreconfigurationNR, sl-CBR-CommonTxConfigList should be removed.
7. The parameter sl-TxPoolSelectedNormal can be used to indicate multiple resource pools, while the parameter sl-TxPoolExceptional is used to indicate a single resource pool. This has not been taken into account in some text in sub clause 5.8.8 when referring to the resource pool(s) indicated by sl-TxPoolSelectedNormal or sl-TxPoolExceptional.
8. Sensing is only required for sidelink resource allocation mode 2.
9. Some editorials still exist.
 |
|  |  |
| ***Summary of change:*** | 1. Change “sidelink communication” to “NR sidelink communication/V2X sidelink communication” in clause 5.3.3.1a.
2. Update the reference clause in the field description of *valueN*.
3. Clarified that the UE should not use sidelink configured grant type 2 resources while T310 is running.
4. Add the description of how to handle sl-RLC-bearerToReleaseList for the UE.
5. In section 6.3.5, add clarification in the field description of sl-Additional-MCS-Table when two MCS tables are configured.
6. Delete sl-CBR-CommonTxConfigList-r16 in declaration of NR-Sidelink-Preconf.
7. Clarify in sub clause 5.8.8 that for sidelink resource allocation mode 2, for sl-TxPoolExceptional, the (single) indicated resource pool is used and for sl-TxPoolSelectedNormal, one of the indicated resource pools is used.
8. Clarify in the last paragraph (i.e. on sensing) of sub clause 5.8.8 that it is only applied for sidelink resource allocation mode 2.
9. Fix typos and editorials.

**Impact analysis****Impacted 5G architecture options:** Standalone and Non-Standalone**Impacted functionality:**Sidelink RRC**Inter-operability:** If the network is implemented according to this CR while the UE is not, there is no inter-operability issue.If the UE is implemented according to this CR while the network is not, there is no inter-operability issue.If one UE is implemented according to this CR while the other UE is not, there is no inter-operability issue. |
|  |  |
| ***Consequences if not approved:*** | Some editorials still exist in the RRC specification.  |
|  |  |
| ***Clauses affected:*** | 5.3.3.1a, 5.3.5.14, 5.8.8, 5.8.12, 6.3.5, 9.3  |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

|  |
| --- |
| START OF CHANGE |

5.3.3.1a Conditions for establishing RRC Connection for NR sidelink communication/V2X sidelink communication

For NR sidelink communication, an RRC connection establishment is initiated only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency;

For V2X sidelink communication, an RRC connection is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

|  |
| --- |
| NEXT CHANGE |

#### 5.3.5.14 Sidelink dedicated configuration

Upon initiating the procedure, the UE shall:

1> if *sl-FreqInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each entry included in the received *sl-FreqInfoToReleaseList* that is part of the current UE configuration:

3> release the related configurations from the stored NR sidelink communication configurations;

1> if *sl-FreqInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> if configured to receive NR sidelink communication:

3> use the resource pool(s) indicated by *sl-RxPool* for NR sidelink communication reception, as specified in 5.8.7;

2> if configured to transmit NR sidelink communication:

3> use the resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.8.8;

2> perform CBR measurement on the transmission resource pools indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.5.3;

2> use the synchronization configuration parameters for NR sidelink communication on frequencies included in *sl-FreqInfoToAddModList*, as specified in 5.8.5;

1> if *sl-RadioBearerToReleaseList* or *sl-RLC-BearerToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB release as specified in 5.8.9.1a.1;

1> if *sl-RadioBearerToAddModList* or *sl-RLC-BearerToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB addition/modification as specified in 5.8.9.1a.2;

1> if *sl-ScheduledConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the MAC entity parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-ScheduledConfig*;

1> if *sl-UE-SelectedConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-UE-SelectedConfig*;

1> if *sl-MeasConfigInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *SL-DestinationIndex* included in the received *sl-MeasConfigInfoToReleaseList* that is part of the current UE configuration:

3> remove the entry with the matching *SL-DestinationIndex* from the stored NR sidelink measurement configuration information;

1> if *sl-MeasConfigInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is part of the current stored NR sidelink measurement configuration:

3> reconfigure the entry according to the value received for this *sl-DestinationIndex* from the stored NR sidelink measurement configuration information;

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is not part of the current stored NR sidelink measurement configuration:

3> add a new entry for this *sl-DestinationIndex* to the stored NR sidelink measurement configuration.

|  |
| --- |
| NEXT CHANGE |

### 5.8.8 Sidelink communication transmission

A UE capable of NR sidelink communication that is configured by upper layers to transmit NR sidelink communication and has related data to be transmitted shall:

1> if the conditions for NR sidelink communication operation as defined in 5.8.2 are met:

2> if the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*:

3> if the UE is in RRC\_CONNECTED and uses the frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message:

4> if the UE is configured with *sl-ScheduledConfig*:

5> if T310 for MCG or T311 is running; and if *sl-TxPoolExceptional* is included in *sl-FreqInfoList* for the concerned frequency in *SIB12* or included in *sl-ConfigDedicatedNR* in *RRCReconfiguration*; or

5> if T301 is running and the cell on which the UE initiated RRC connection re-establishment provides *SIB12* including *sl-TxPoolExceptional* for the concerned frequency; or

5> if T304 for MCG is running and the UE is configured with *sl-TxPoolExceptional* included in *sl-ConfigDedicatedNR* for the concerned frequency in *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

5> else:

6> configure lower layers to perform the sidelink resource allocation mode 1 for NR sidelink communication;

5> if T311 is running, configure the lower layers to release the resources indicated by *rrc-ConfiguredSidelinkGrant* (if any);

4> if the UE is configured with *sl-UE-SelectedConfig*:

5> if a result of sensing on the resources configured in *sl-TxPoolSelectedNormal* for the concerned frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* is not available in accordance with TS 38.214 [19];

6> if *sl-TxPoolExceptional* for the concerned frequency is included in *RRCReconfiguration*; or

6> if the PCell provides *SIB12* including *sl-TxPoolExceptional* in *sl-FreqInfoList* for the concerned frequency:

7> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

5> else, if the *sl-TxPoolSelectedNormal* for the concerned frequency is included in the *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.214 [19]) using the pools of resources indicated by *sl-TxPoolSelectedNormal* for the concerned frequency;

3> else:

4> if the cell chosen for NR sidelink communication transmission provides *SIB12*:

5> if *SIB12* includes *sl-TxPoolSelectedNormal* for the concerned frequency,and a result of sensing on the resources configured in the *sl-TxPoolSelectedNormal* is available in accordance with TS 38.214 [19]

6> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing using the pools of resources indicated by *sl-TxPoolSelectedNormal* for the concerned frequency as defined in TS 38.321 [3];

5> else if *SIB12* includes *sl-TxPoolExceptional* for the concerned frequency:

6> from the moment the UE initiates RRC connection establishment or RRC connection resume, until receiving an *RRCReconfiguration* including *sl-ConfigDedicatedNR*, or receiving an *RRCRelease* or an *RRCReject*; or

6> if a result of sensing on the resources configured in *sl-TxPoolSelectedNormal* for the concerned frequency in *SIB12* is not available in accordance with TS 38.214 [19]:

7> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection (as defined in TS 38.321 [3]) using one of the pools of resources indicated by *sl-TxPoolExceptional* for the concerned frequency;

2> else:

3> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.213 [13]) using the pools of resources indicated by *sl-TxPoolSelectedNormal* in *SidelinkPreconfigNR* for the concerned frequency.

NOTE: The UE should continue to use resources configured in *rrc-ConfiguredSidelinkGrant* (while T310 is running) until it is released (i.e. until T310 has expired). The UE should not use sidelink configured grant type 2 resources while T310 is running.

If configured to perform sidelink resource allocation mode 2, the UE capable of NR sidelink communication that is configured by upper layers to transmit NR sidelink communication shall perform sensing on all pools of resources which may be used for transmission of the sidelink control information and the corresponding data. The pools of resources are indicated by *SidelinkPreconfigNR*, *sl-TxPoolSelectedNormal* in *sl-ConfigDedicatedNR*, or *sl-TxPoolSelectedNormal* in *SIB12* for the concerned frequency, as configured above.

|  |
| --- |
| NEXT CHANGE |

5.8.12 DFN derivation from GNSS

When the UE selects GNSS as the synchronization reference source, the DFN, the subframe number within a frame and slot number within a frame used for NR sidelink communication are derived from the current UTC time, by the following formulae:

*DFN*= Floor (0.1\*(*Tcurrent* –*Tref–OffsetDFN*)) mod 1024

*SubframeNumber*= Floor (*Tcurrent* –*Tref–OffsetDFN*) mod 10

*SlotNumber*= Floor ((*Tcurrent* –Tref–*OffsetDFN*)\*2μ) mod (10\*2μ)

Where:

***Tcurrent*** is the current UTC time obtained from GNSS. This value is expressed in milliseconds;

***Tref*** is the reference UTC time 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Thursday, December 31, 1899 and Friday, January 1, 1900). This value is expressed in milliseconds;

***OffsetDFN*** is the value *sl-OffsetDFN* if configured, otherwise it is zero. This value is expressed in milliseconds.

μ=0/1/2/3 corresponding to the 15/30/60/120 kHz of SCS for SL, respectively.

NOTE 1: In case of leap second change event, how UE obtains the scheduled time of leap second change to adjust *Tcurrent* correspondingly is left to UE implementation. How UE handles to avoid the sudden discontinuity of DFN is left to UE implementation.

NOTE 2: Void.

|  |
| --- |
| NEXT CHANGE |

6.3.5 Sidelink information elements

– *SL-CBR-PriorityTxConfigList*

The IE *SL-CBR-PriorityTxConfigList* indicates the mapping between PSSCH transmission parameter (such as MCS, PRB number, retransmission number, CR limit) sets by using the indexes of the configurations provided in *sl-CBR-PSSCH-TxConfigList*, CBR ranges by an index to the entry of the CBR range configuration in *sl-CBR-RangeConfigList*, and priority ranges. It also indicates the default PSSCH transmission parameters to be used when CBR measurement results are not available.

***SL-CBR-PriorityTxConfigList* information element**

-- ASN1START

-- TAG-SL-CBR-PRIORITYTXCONFIGLIST-START

SL-CBR-PriorityTxConfigList-r16 ::= SEQUENCE (SIZE (1..8)) OF SL-PriorityTxConfigIndex-r16

SL-PriorityTxConfigIndex-r16 ::= SEQUENCE {

 sl-PriorityThreshold-r16 INTEGER (1..8) OPTIONAL, -- Need M

 sl-DefaultTxConfigIndex-r16 INTEGER (0..maxCBR-Level-1-r16) OPTIONAL, -- Need M

 sl-CBR-ConfigIndex-r16 INTEGER (0..maxCBR-Config-1-r16) OPTIONAL, -- Need M

 sl-Tx-ConfigIndexList-r16 SEQUENCE (SIZE (1.. maxCBR-Level-r16)) OF SL-TxConfigIndex-r16 OPTIONAL -- Need M

}

SL-TxConfigIndex-r16 ::= INTEGER (0..maxTxConfig-1-r16)

-- TAG-SL-CBR-PRIORITYTXCONFIGLIST-STOP

-- ASN1STOP

| ***SL-CBR-PriorityTxConfigList* field descriptions** |
| --- |
| ***sl-CBR-ConfigIndex***Indicates the CBR ranges to be used by an index to the entry of the CBR range configuration in *sl-CBR-RangeConfigList*. |
| ***sl-DefaultTxConfigIndex***Indicates the PSSCH transmission parameters to be used by the UEs which do not have available CBR measurement results, by means of an index to the corresponding entry in *tx-ConfigIndexList*. Value 0 indicates the first entry in *tx-ConfigIndexList*. The field is ignored if the UE has available CBR measurement results. |
| ***sl-PriorityThreshold***Indicates the upper bound of priority range which is associated with the configurations in *sl-CBR-ConfigIndex* and in *sl-Tx-ConfigIndexList*. The upper bounds of the priority ranges are configured in ascending order for consecutive entries of *SL-PriorityTxConfigIndex* in *SL-CBR-PriorityTxConfigList*. For the first entry of S*L-PriorityTxConfigIndex*, the lower bound of the priority range is 1. |

– *SL-FreqConfig*

The IE *SL-FreqConfig* specifies the dedicated configuration information on one particular carrier frequency for NR sidelink communication.

***SL-FreqConfig* information element**

-- ASN1START

-- TAG-SL-FREQCONFIG-START

SL-FreqConfig-r16 ::= SEQUENCE {

 sl-Freq-Id-r16 SL-Freq-Id-r16,

 sl-SCS-SpecificCarrierList-r16 SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier,

 sl-AbsoluteFrequencyPointA-r16 ARFCN-ValueNR OPTIONAL, -- Need M

 sl-AbsoluteFrequencySSB-r16 ARFCN-ValueNR OPTIONAL, -- Need R

 frequencyShift7p5khzSL-r16 ENUMERATED {true} OPTIONAL, -- Cond V2X-SL-Shared

 valueN-r16 INTEGER (-1..1),

 sl-BWP-ToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSL-BWPs-r16)) OF BWP-Id OPTIONAL, -- Need N

 sl-BWP-ToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSL-BWPs-r16)) OF SL-BWP-Config-r16 OPTIONAL, -- Need N

 sl-SyncConfigList-r16 SL-SyncConfigList-r16 OPTIONAL, -- Need M

 sl-SyncPriority-r16 ENUMERATED {gnss, gnbEnb} OPTIONAL -- Need M

}

SL-Freq-Id-r16 ::= INTEGER (1.. maxNrofFreqSL-r16)

-- TAG-SL-FREQCONFIG-STOP

-- ASN1STOP

| ***SL-FreqConfig* field descriptions** |
| --- |
| ***frequencyShift7p5khzSL***Enable the NR SL transmission with a 7.5 kHz shift to the LTE raster. If the field is absent, the frequency shift is disabled. |
| ***sl-AbsoluteFrequencyPointA***Absolute frequency of the reference resource block (Common RB 0). Its lowest subcarrier is also known as Point A. |
| ***sl-AbsoluteFrequencySSB***Indicates the frequency location of sidelink SSB. The transmission bandwidth for sidelink SSB is within the bandwidth of this sidelink BWP. |
| ***sl-BWP-ToAddModList***This field indicates the list of sidelink BWP(s) on which the NR sidelink communication configuration is to be added or reconfigured. In this release, only one BWP is allowed to be configured for NR sidelink conmunication. |
| ***sl-BWP-ToReleaseList***This field indicates the list of sidelink BWP(s) on which the NR sidelink communication configuration is to be released.  |
| ***sl-Freq-Id***This field indicates the identity of the dedicated configuration information on the carrier frequency for NR sidelink communication. |
| ***sl-SCS-SpecificCarrierList***A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. In this release, only one *SCS-SpecificCarrier* is allowed to be configured for NR sidelink conmunication. |
| ***sl-SyncPriority***This field indicates synchronization priority order, as specified in sub-clause 5.8.6. |
| ***valueN***Indicate the NR SL transmission with a valueN \*5kHz shift to the LTE raster. (see [TS 38.101-1 [15]], clause 5.4.2). |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *V2X-SL-Shared* | This field is mandatory present if the carrier frequency configured for NR sidelink communication is shared by V2X sidelink communication. It is absent, Need R, otherwise. |

– *SL-FreqConfigCommon*

The IE *FreqConfigCommon* specifies the cell-specific configuration information on one particular carrier frequency for NR sidelink communication.

***SL-FreqConfigCommon* information element**

-- ASN1START

-- TAG-SL-FREQCONFIGCOMMON-START

SL-FreqConfigCommon-r16 ::= SEQUENCE {

 sl-SCS-SpecificCarrierList-r16 SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier,

 sl-AbsoluteFrequencyPointA-r16 ARFCN-ValueNR,

 sl-AbsoluteFrequencySSB-r16 ARFCN-ValueNR OPTIONAL, -- Need R

 frequencyShift7p5khzSL-r16 ENUMERATED {true} OPTIONAL, -- Cond V2X-SL-Shared

 valueN-r16 INTEGER (-1..1),

 sl-BWP-List-r16 SEQUENCE (SIZE (1..maxNrofSL-BWPs-r16)) OF SL-BWP-ConfigCommon-r16 OPTIONAL, -- Need R

 sl-SyncPriority-r16 ENUMERATED {gnss, gnbEnb} OPTIONAL, -- Need R

 sl-NbAsSync-r16 BOOLEAN OPTIONAL, -- Need R

 sl-SyncConfigList-r16 SL-SyncConfigList-r16 OPTIONAL, -- Need R

 ...

}

-- TAG-SL-FREQCONFIGCOMMON-STOP

-- ASN1STOP

| ***SL-FreqConfigCommon* field descriptions** |
| --- |
| ***frequencyShift7p5khzSL***Enable the NR SL transmission with a 7.5 kHz shift to the LTE raster. If the field is absent, the frequency shift is disabled. |
| ***sl-AbsoluteFrequencyPointA***Absolute frequency of the reference resource block (Common RB 0). Its lowest subcarrier is also known as Point A. |
| ***sl-AbsoluteFrequencySSB***Indicates the frequency location of sidelink SSB. The transmission bandwidth for sidelink SSB is within the bandwidth of this sidelink BWP. |
| ***sl-BWP-List***This field indicates the list of sidelink BWP(s) on which the NR sidelink communication configuration. In this release, only one BWP is allowed to be configured for NR sidelink conmunication. |
| ***sl-NbAsSync***This field indicates whether the network can be selected as synchronization reference directly/indirectly only, if *sl-SyncPriority* is set to gnss. If this filed is set to TRUE, the network is enabled to be selected as synchronization reference directly/indirectly. The field is only present in *SidelinkPreconfigNR*. Otherwise it is absent. |
| ***sl-SyncPriority***This field indicates synchronization priority order, as specified in sub-clause 5.8.6.. |
| ***sl-SyncConfigList***This field indicates the configuration by which the UE is allowed to receive and transmit synchronisation information for NR sidelink communication. Network configures *sl-SyncConfig* including *txParameters* when configuring UEs to transmit synchronisation information. |
| ***valueN***Indicate the NR SL transmission with a valueN \*5kHz shift to the LTE raster (see [TS 38.101-1 [15]], clause 5.4.2.X.X). |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *V2X-SL-Shared* | This field is mandatory present if the carrier frequency configured for NR sidelink communication is shared by V2X sidelink communication. It is absent, Need R, otherwise. |

– *SL-ResourcePool*

The IE *SL-ResourcePool* specifies the configuration information for NR sidelink communication resource pool.

***SL-ResourcePool* information element**

-- ASN1START

-- TAG-SL-RESOURCEPOOL-START

SL-ResourcePool-r16 ::= SEQUENCE {

 sl-PSCCH-Config-r16 SetupRelease { SL-PSCCH-Config-r16 } OPTIONAL, -- Need M

 sl-PSSCH-Config-r16 SetupRelease { SL-PSSCH-Config-r16 } OPTIONAL, -- Need M

 sl-PSFCH-Config-r16 SetupRelease { SL-PSFCH-Config-r16 } OPTIONAL, -- Need M

 sl-SyncAllowed-r16 SL-SyncAllowed-r16 OPTIONAL, -- Need M

 sl-SubchannelSize-r16 ENUMERATED {n10, n12, n15, n20, n25, n50, n75, n100} OPTIONAL, -- Need M

 dummy INTEGER (10..160) OPTIONAL, -- Need M

 sl-StartRB-Subchannel-r16 INTEGER (0..265) OPTIONAL, -- Need M

 sl-NumSubchannel-r16 INTEGER (1..27) OPTIONAL, -- Need M

 sl-Additional-MCS-Table-r16 ENUMERATED {qam256, qam64LowSE, qam256-qam64LowSE } OPTIONAL, -- Need M

 sl-ThreshS-RSSI-CBR-r16 INTEGER (0..45) OPTIONAL, -- Need M

 sl-TimeWindowSizeCBR-r16 ENUMERATED {ms100, slot100} OPTIONAL, -- Need M

 sl-TimeWindowSizeCR-r16 ENUMERATED {ms1000, slot1000} OPTIONAL, -- Need M

 sl-PTRS-Config-r16 SL-PTRS-Config-r16 OPTIONAL, -- Need M

 sl-UE-SelectedConfigRP-r16 SL-UE-SelectedConfigRP-r16 OPTIONAL, -- Need M

 sl-RxParametersNcell-r16 SEQUENCE {

 sl-TDD-Configuration-r16 TDD-UL-DL-ConfigCommon OPTIONAL, -- Need M

 sl-SyncConfigIndex-r16 INTEGER (0..15)

 } OPTIONAL, -- Need M

 sl-ZoneConfigMCR-List-r16 SEQUENCE (SIZE (16)) OF SL-ZoneConfigMCR-r16 OPTIONAL, -- Need M

 sl-FilterCoefficient-r16 FilterCoefficient OPTIONAL, -- Need M

 sl-RB-Number-r16 INTEGER (10..275) OPTIONAL, -- Need M

 sl-PreemptionEnable-r16 ENUMERATED {enabled, pl1, pl2, pl3, pl4, pl5, pl6, pl7, pl8} OPTIONAL, -- Need R

 sl-PriorityThreshold-UL-URLLC-r16 INTEGER (1..9) OPTIONAL, -- Need M

 sl-PriorityThreshold-r16 INTEGER (1..9) OPTIONAL, -- Need M

 sl-X-Overhead-r16 ENUMERATED {n0,n3, n6, n9} OPTIONAL, -- Need S

 sl-PowerControl-r16 SL-PowerControl-r16 OPTIONAL, -- Need M

 sl-TxPercentageList-r16 SL-TxPercentageList-r16 OPTIONAL, -- Need M

 sl-MinMaxMCS-List-r16 SL-MinMaxMCS-List-r16 OPTIONAL, -- Need M

 ...,

 [[

 sl-TimeResource-r16 BIT STRING (SIZE (10..160)) OPTIONAL -- Need M

 ]]

}

SL-ZoneConfigMCR-r16 ::= SEQUENCE {

 sl-ZoneConfigMCR-Index-r16 INTEGER (0..15),

 sl-TransRange-r16 ENUMERATED {m20, m50, m80, m100, m120, m150, m180, m200, m220, m250, m270, m300, m350,

 m370, m400, m420, m450, m480, m500, m550, m600, m700, m1000, spare9, spare8,

 spare7, spare6, spare5, spare4, spare3, spare2, spare1}

 OPTIONAL, -- Need M

 sl-ZoneConfig-r16 SL-ZoneConfig-r16 OPTIONAL, -- Need M

 ...

}

SL-SyncAllowed-r16 ::= SEQUENCE {

 gnss-Sync-r16 ENUMERATED {true} OPTIONAL, -- Need R

 gnbEnb-Sync-r16 ENUMERATED {true} OPTIONAL, -- Need R

 ue-Sync-r16 ENUMERATED {true} OPTIONAL -- Need R

}

SL-PSCCH-Config-r16 ::= SEQUENCE {

 sl-TimeResourcePSCCH-r16 ENUMERATED {n2, n3} OPTIONAL, -- Need M

 sl-FreqResourcePSCCH-r16 ENUMERATED {n10,n12, n15, n20, n25} OPTIONAL, -- Need M

 sl-DMRS-ScrambleID-r16 INTEGER (0..65535) OPTIONAL, -- Need M

 sl-NumReservedBits-r16 INTEGER (2..4) OPTIONAL, -- Need M

 ...

}

SL-PSSCH-Config-r16 ::= SEQUENCE {

 sl-PSSCH-DMRS-TimePatternList-r16 SEQUENCE (SIZE (1..3)) OF INTEGER (2..4) OPTIONAL, -- Need M

 sl-BetaOffsets2ndSCI-r16 SEQUENCE (SIZE (4)) OF SL-BetaOffsets-r16 OPTIONAL, -- Need M

 sl-Scaling-r16 ENUMERATED {f0p5, f0p65, f0p8, f1} OPTIONAL, -- Need M

 ...

}

SL-PSFCH-Config-r16 ::= SEQUENCE {

 sl-PSFCH-Period-r16 ENUMERATED {sl0, sl1, sl2, sl4} OPTIONAL, -- Need M

 sl-PSFCH-RB-Set-r16 BIT STRING (SIZE (10..275)) OPTIONAL, -- Need M

 sl-NumMuxCS-Pair-r16 ENUMERATED {n1, n2, n3, n6} OPTIONAL, -- Need M

 sl-MinTimeGapPSFCH-r16 ENUMERATED {sl2, sl3} OPTIONAL, -- Need M

 sl-PSFCH-HopID-r16 INTEGER (0..1023) OPTIONAL, -- Need M

 sl-PSFCH-CandidateResourceType-r16 ENUMERATED {startSubCH, allocSubCH} OPTIONAL, -- Need M

 ...

}

SL-PTRS-Config-r16 ::= SEQUENCE {

 sl-PTRS-FreqDensity-r16 SEQUENCE (SIZE (2)) OF INTEGER (1..276) OPTIONAL, -- Need M

 sl-PTRS-TimeDensity-r16 SEQUENCE (SIZE (3)) OF INTEGER (0..29) OPTIONAL, -- Need M

 sl-PTRS-RE-Offset-r16 ENUMERATED {offset01, offset10, offset11} OPTIONAL, -- Need M

 ...

}

SL-UE-SelectedConfigRP-r16 ::= SEQUENCE {

 sl-CBR-PriorityTxConfigList-r16 SL-CBR-PriorityTxConfigList-r16 OPTIONAL, -- Need M

 sl-Thres-RSRP-List-r16 SL-Thres-RSRP-List-r16 OPTIONAL, -- Need M

 sl-MultiReserveResource-r16 ENUMERATED {enabled} OPTIONAL, -- Need M

 sl-MaxNumPerReserve-r16 ENUMERATED {n2, n3} OPTIONAL, -- Need M

 sl-SensingWindow-r16 ENUMERATED {ms100, ms1100} OPTIONAL, -- Need M

 sl-SelectionWindowList-r16 SL-SelectionWindowList-r16 OPTIONAL, -- Need M

 sl-ResourceReservePeriodList-r16 SEQUENCE (SIZE (1..16)) OF SL-ResourceReservePeriod-r16 OPTIONAL, -- Need M

 sl-RS-ForSensing-r16 ENUMERATED {pscch, pssch},

 ...

}

SL-ResourceReservePeriod-r16 ::= CHOICE {

 sl-ResourceReservePeriod1-r16 ENUMERATED {ms0, ms100, ms200, ms300, ms400, ms500, ms600, ms700, ms800, ms900, ms1000},

 sl-ResourceReservePeriod2-r16 INTEGER (1..99)

}

SL-SelectionWindowList-r16 ::= SEQUENCE (SIZE (8)) OF SL-SelectionWindowConfig-r16

SL-SelectionWindowConfig-r16 ::= SEQUENCE {

 sl-Priority-r16 INTEGER (1..8),

 sl-SelectionWindow-r16 ENUMERATED {n1, n5, n10, n20}

}

SL-TxPercentageList-r16 ::= SEQUENCE (SIZE (8)) OF SL-TxPercentageConfig-r16

SL-TxPercentageConfig-r16 ::= SEQUENCE {

 sl-Priority-r16 INTEGER (1..8),

 sl-TxPercentage-r16 ENUMERATED {p20, p35, p50}

}

SL-MinMaxMCS-List-r16 ::= SEQUENCE (SIZE (1..3)) OF SL-MinMaxMCS-Config-r16

SL-MinMaxMCS-Config-r16 ::= SEQUENCE {

 sl-MCS-Table-r16 ENUMERATED {qam64, qam256, qam64LowSE},

 sl-MinMCS-PSSCH-r16 INTEGER (0..27),

 sl-MaxMCS-PSSCH-r16 INTEGER (0..31)

}

SL-BetaOffsets-r16 ::= INTEGER (0..31)

SL-PowerControl-r16 ::= SEQUENCE {

 sl-MaxTransPower-r16 INTEGER (-30..33),

 sl-Alpha-PSSCH-PSCCH-r16 ENUMERATED {alpha0, alpha04, alpha05, alpha06, alpha07, alpha08, alpha09, alpha1} OPTIONAL, -- Need M

 dl-Alpha-PSSCH-PSCCH-r16 ENUMERATED {alpha0, alpha04, alpha05, alpha06, alpha07, alpha08, alpha09, alpha1} OPTIONAL, -- Need S

 sl-P0-PSSCH-PSCCH-r16 INTEGER (-16..15) OPTIONAL, -- Need S

 dl-P0-PSSCH-PSCCH-r16 INTEGER (-16..15) OPTIONAL, -- Need M

 dl-Alpha-PSFCH-r16 ENUMERATED {alpha0, alpha04, alpha05, alpha06, alpha07, alpha08, alpha09, alpha1} OPTIONAL, -- Need S

 dl-P0-PSFCH-r16 INTEGER (-16..15) OPTIONAL, -- Need M

 ...

}

-- TAG-SL-RESOURCEPOOL-STOP

-- ASN1STOP

| ***SL-ZoneConfigMCR* field descriptions** |
| --- |
| ***sl-TransRange***Indicates the communication range requirement for the corresponding *sl-ZoneConfigMCR-Index*. |
| ***sl-ZoneConfig***Indicates the zone configuration for the corresponding *sl-ZoneConfigMCR-Index*. |
| ***sl-ZoneConfigMCR-Index***Indicates the codepoint of the communication range requirement field in SCI. |

|  |
| --- |
| ***SL-ResourcePool* field descriptions** |
| ***dummy***This field is not used in the specification. If received it shall be ignored by the UE. |
| ***sl-FilterCoefficient***This field indicates the filtering coefficient for long-term measurement and reference signal power derivation used for sideilnk open-loop power control. |
| ***sl-Additional-MCS-Table***Indicates the MCS table(s) additionally used in the resource pool. 64QAM table is (pre-)configured as default. Zero, one or two can be additionally (pre-)configured using the 256QAM and/or low-SE MCS tables. If two MCS tables are indicated, 256QAM MCS table is the 1st table and qam64lowSE MCS table is the 2nd table as specified in TS 38.214 [19], clause 8.1.3.1. |
| ***sl-NumSubchannel***Indicates the number of subchannels in the corresponding resource pool, which consists of contiguous PRBs only. |
| ***sl-PreemptionEnable***Indicates whether pre-emption is disabled or enabled in a resource pool. If the field is present and the value is *pl1*, *pl2*, and so on (but not *enabled*), it means that pre-emption is enabled and a priority level p\_preemption is configured. If the field is present and the value is *enabled*, the pre-emption is enabled (but p\_preemption is not configured) and pre-emption is applicable to all levels. |
| ***sl-PriorityThreshold-UL-URLLC***Indicates the threshold used to determine whether NR sidelink transmission is prioritized over uplink transmission of priority index 1 as specified in TS 38.213[13], clause 16.2.4.3, or whether PUCCH transmission carrying SL HARQ is prioritized over PUCCH transmission carrying UCI of priority index 1 if they overlap in time as specified in TS 38.213 [13], clause 9.2.5.0. |
| ***sl-PriorityThreshold***Indicates the threshold used to determine whether NR sidelink transmission is prioritized over uplink transmission of priority index 0 as specified in TS 38.213[13], clause 16.2.4.3, or whether PUCCH transmission carrying SL HARQ is prioritized over PUCCH transmission carrying UCI of priority index 0 if they overlap in time as specified in TS 38.213 [13], clause 9.2.5.0. |
| ***sl-RB-Number***Indicates the number of PRBs in the corresponding resource pool, which consists of contiguous PRBs only. The remaining RB cannot be used (See TS 38.214[19], clause 8). |
| ***sl-StartRB-Subchannel***Indicates the lowest RB index of the subchannel with the lowest index in the resource pool with respect to the lowest RB index of a SL BWP. |
| ***sl-SubchannelSize***Indicates the minimum granularity in frequency domain for the sensing for PSSCH resource selection in the unit of PRB. |
| ***sl-SyncAllowed***Indicates the allowed synchronization reference(s) which is (are) allowed to use the configured resource pool. |
| ***sl-SyncConfigIndex***Indicates the synchronisation configuration that is associated with a reception pool, by means of an index to the corresponding entry *SL-SyncConfigList* of in *SIB12* for NR sidelink communication. |
| ***sl-TDD-Configuration***Indicates the TDD configuration associated with the reception pool of the cell indicated by *sl-SyncConfigIndex*. |
| ***sl-ThreshS-RSSI-CBR***Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on. |
| ***sl-TimeResource***Indicates the bitmap of the resource pool, which is defined by repeating the bitmap with a periodicity during a SFN or DFN cycle. |
| ***sl-TimeWindowSizeCBR***Indicates the time window size for CBR measurement. |
| ***sl-TimeWindowSizeCR***Indicates the time window size for CR evaluation. |
| ***sl-TxPercentageList***Indicates the portion of candidate single-slot PSSCH resources over the toal resources. Value p20 corresponds to 20%, and so on. |
| ***sl-X-Overhead***Accounts for overhead from CSI-RS, PT-RS. If the field is absent, the UE applies value *n0* (see TS 38.214 [19], clause 5.1.3.2). |

| ***SL-SyncAllowed* field descriptions** |
| --- |
| ***gnbEnb-Sync***If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to eNB or gNB (i.e., synchronized to a reference UE which is directly synchronized to eNB or gNB). |
| ***gnss-Sync***If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to GNSS (i.e., synchronized to a reference UE which is directly synchronized to GNSS). |
| ***ue-Sync***If configured, the (pre-) configured resources can be used if the UE is synchronized to a reference UE which is not synchronized to eNB, gNB and GNSS directly or indirectly. |

| ***SL-PSCCH-Config* field descriptions** |
| --- |
| ***sl-FreqResourcePSCCH***Indicates the number of PRBs for PSCCH in a resource pool where it is not greater than the number PRBs of the subchannel. |
| ***sl-DMRS-ScrambleID***Indicates the initialization value for PSCCH DMRS scrambling. |
| ***sl-NumReservedBits***Indicates the number of reserved bits in first stage SCI. |
| ***sl-TimeResourcePSCCH***Indicates the number of symbols of PSCCH in a resource pool. |

| ***SL-PSSCH-Config* field descriptions** |
| --- |
| ***sl-BetaOffsets2ndSCI***Indicates candidates of beta-offset values to determine the number of coded modulation symbols for second stage SCI. The value indicates the index of Table 9.3-2 of TS 38.213 [13]. |
| ***sl-PSSCH-DMRS-TimePatternList***Indicates the set of PSSCH DMRS time domain patterns in terms of PSSCH DMRS symbols in a slot that can be used in the resource pool. |
| ***sl-Scaling***Indicates a scaling factor to limit the number of resource elements assigned to the second stage SCI on PSSCH. Value *f0p5* corresponds to 0.5, value *f0p65* corresponds to 0.65, and so on. |

| ***SL-PSFCH-Config* field descriptions** |
| --- |
| ***sl-MinTimeGapPSFCH***The minimum time gap between PSFCH and the associated PSSCH in the unit of slots. |
| ***sl-NumMuxCS-Pair***Indicates the number of cyclic shift pairs used for a PSFCH transmission that can be multiplexed in a PRB. |
| ***sl-PSFCH-CandidateResourceType***Indicates the number of PSFCH resources available for multiplexing HARQ-ACK information in a PSFCH transmission (see TS 38.213 [13], clause 16.3). |
| ***sl-PSFCH-HopID***Scrambling ID for sequence hopping of the PSFCH used in the resource pool. |
| ***sl-PSFCH-Period***Indicates the period of PSFCH resource in the unit of slots within this resource pool. If set to *sl0*, no resource for PSFCH, and HARQ feedback for all transmissions in the resource pool is disabled. |
| ***sl-PSFCH-RB-Set***Indicates the set of PRBs that are actually used for PSFCH transmission and reception. The leftmost bit of the bitmap refers to the lowest RB index in the resource pool, and so on |

| ***SL-PTRS-Config* field descriptions** |
| --- |
| ***sl-PTRS-FreqDensity***Presence and frequency density of SL PT-RS as a function of scheduled BW. If the field is not configured, the UE uses K\_PT-RS = 2 |
| ***sl-PTRS-TimeDensity***Presence and time density of SL PT-RS as a function of MCS. If the field is not configured, the UE uses L\_PT-RS = 1 |
| ***sl-PTRS-RE-Offset***Indicates the subcarrier offset for SL PT-RS . If the field is not configured, the UE applies the value offset00 |

| ***SL-UE-SelectedConfigRP* field descriptions** |
| --- |
| ***sl-MaxNumPerReserve***Indicates the maximum number of reserved PSCCH/PSSCH resources that can be indicated by an SCI. |
| ***sl-MultiReserveResource***Indicates if it is allowed to reserve a sidelink resource for an initial transmission of a TB by an SCI associated with a different TB, based on sensing and resource selection procedure. |
| ***sl-ResourceReservePeriodList***Set of possible resource reservation period allowed in the resource pool in the unit of ms. Up to 16 values can be configured per resource pool. |
| ***sl-RS-ForSensing***Indicates whether DMRS of PSCCH or PSSCH is used for L1 RSRP measurement in the sensing operation. |
| ***sl-SensingWindow***Parameter that indicates the start of the sensing window. |
| ***sl-SelectionWindowList***Parameter that determines the end of the selection window in the resource selection for a TB with respect to priority indicated in SCI. Value n1 corresponds to 1\*2µ, value n5 corresponds to 5\*2µ, and so on, where µ = 0,1,2,3 refers to SCS 15,30,60,120 kHz respectively. |
| ***sl-Thres-RSRP-List***Indicates a list of 64 thresholds, and the threshold should be selected based on the priority in the decoded SCI and the priority in the SCI to be transmitted. A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH/PSCCH RSRP in the associated data resource is above a threshold. |

| ***SL-PowerControl* field descriptions** |
| --- |
| ***sl-MaxTransPower***Indicates the maximum value of the UE's sidelink transmission power on this resource pool. The unit is dBm. |
| ***sl-Alpha-PSSCH-PSCCH***Indicates alpha value for sidelink pathloss based power control for PSCCH/PSSCH when sl-P0-PSSCH is configured. When the field is absent the UE applies the value 1.  |
| ***sl-P0-PSSCH-PSCCH***Indicates P0 value for sidelink pathloss based power control for PSCCH/PSSCH. If not configured, sidelink pathloss based power control is disabled for PSCCH/PSSCH. |
| ***dl-Alpha-PSSCH-PSCCH***Indicates alpha value for downlink pathloss based power control for PSCCH/PSSCH when dl-P0-PSSCH is configured. When the field is absent the UE applies the value 1.  |
| ***dl-P0-PSSCH-PSCCH***Indicates P0 value for downlink pathloss based power control for PSCCH/PSSCH. If not configured, downlink pathloss based power control is disabled for PSCCH/PSSCH. |
| ***dl-Alpha-PSFCH***Indicates alpha value for downlink pathloss based power control for PSFCH when dl-P0-PSFCH is configured. When the field is absent the UE applies the value 1.  |
| ***dl-P0-PSFCH***Indicates P0 value for downlink pathloss based power control for PSFCH. If not configured, downlink pathloss based power control is disabled for PSFCH. |

| ***SL-MinMaxMCS-Config* field descriptions** |
| --- |
| ***sl-MaxMCS-PSSCH***Indicates the maximum MCS value used for Mode 1 configured and dynamic grants when using the associated MCS table. If no MCS is configured, UE autonomously selects MCS from the full range of values. |
| ***sl-MinMCS-PSSCH***Indicates the minimum MCS value for Mode 1 configured and dynamic grants when using the associated MCS table. If no MCS is configured, UE autonomously selects MCS from the full range of values. |

|  |
| --- |
| NEXT CHANGE |

## 9.3 Sidelink pre-configured parameters

This ASN.1 segment is the start of the NR definitions of pre-configured sidelink parameters.

– *NR-Sidelink-Preconf*

-- ASN1START

-- TAG-NR-SIDELINK-PRECONF-DEFINITIONS-START

NR-Sidelink-Preconf DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

SL-FreqConfigCommon-r16,

SL-RadioBearerConfig-r16,

SL-RLC-BearerConfig-r16,

SL-EUTRA-AnchorCarrierFreqList-r16,

SL-NR-AnchorCarrierFreqList-r16,

SL-MeasConfigCommon-r16,

SL-UE-SelectedConfig-r16,

TDD-UL-DL-ConfigCommon,

maxNrofFreqSL-r16,

maxNrofSLRB-r16,

maxSL-LCID-r16

FROM NR-RRC-Definitions;

-- TAG-NR-SIDELINK-PRECONF-DEFINITIONS-STOP

-- ASN1STOP