**3GPP TSG-RAN WG2 Meeting #113bis-e *R2-2104543***

**Online, 12th – 20th April, 2021**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **2540** | **rev** | **1** | **Current version:** | **16.4.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **x** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Correction on failureType in FailureReportSCG-EUTRA and scgFailureInfoEUTRA | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_unlic-Core, NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2021-04-16 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In RAN2#113-e meeting, it has agreed to remove the *bh-RLF-r16* from *failureTypeEUTRA* within *scgFailureInfoEUTRA-r16*. The reason is that BH RLF cannot be detected in EUTRAN leg since EUTRAN leg cannot support the functionality of backhauling. Some other similar issues still exist in the current NR RRC spec.  The IE *FailureReportSCG-EUTRA* is used to report information about E-UTRA SCG failures detected by the UE. In the RRC spec, it is specified as:   |  | | --- | | The UE shall set the contents of the *SCGFailureInformationEUTRA* message as follows:  1> include *failureType* within *failureReportSCG-EUTRA* and set it to indicate the SCG failure in accordance with TS 36.331 [10] clause 5.6.13.4; |   According to clause 5.6.13.4 of TS 36.331, *failureType* can be set as *t313-Expiry, randomAccessProblem, rlc-MaxNumRetx, scg-ChangeFailure;* however *failureType* cannot be set as *scg-lbtFailure*, *beamFailureRecoveryFailure*, or *t312-Expiry*. The text is excerpted as follows:   |  | | --- | | 5.6.13.4 Failure type determination in NE-DC  The UE shall:  1> if SCG failure is due to T313 expiry:  2> consider the *failureType* to be *t313-Expiry*;  1> else if SCG failure is due to indication from SCG MAC that a random access problem was detected:  2> consider the *failureType* to be *randomAccessProblem*;  1> else if SCG failure is due to indication from SCG RLC that the maximum number of retransmissions was reached:  2> consider the *failureType* to be *rlc-MaxNumRetx*;  1> else if SCG failure is due to SCG change failure:  2> consider the *failureType* to be *scg-ChangeFailure*; |   For a LTE eNB, the SpCell cannot be configured on the shared spectrum, thus *failureType* with *scg-lbtFailure* will never be reported in IE *failureReportedSCG-EUTRA*. Beam related operation is not supported for LTE and T312 will not be configured for LTE SCG, therefore *failureType* will never be set as *beamFailureRecoveryFailure* or *t312-Expiry* in IE *failureReportSCG-EUTRA*.  Similarly, for *failureTypeEUTRA* in the IE *scgFailureInfoEUTRA* of *CG-ConfigInfo* message, the values *scg-lbtFailure*, *beamFailureRecoveryFailure*, and *t312-Expiry* are of no use and can be removed. The consenquence is that *failureTypeEUTRA* in the IE *scgFailureInfoEUTRA* will only contain spare values. Considering *measResultSCG-EUTRA* within the same IEwill never be reported either, we can dummify *scgFailureInfoEUTRA* from the *CG-ConfigInfo* message. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | In section 6.2.2, *scg-lbtFailure*, *beamFailureRecoveryFailure*, and *t312-Expiry* are removed from *failureType* of IE *FailureReportedSCG-EUTRA* within *SCGFailureInformationEUTRA* message.  In section11.2.2, *scgFailureInfoEUTRA* is dummified.  **Impact analysis**  Impacted 5G architecture options:  NE-DC  Impacted functionality:  EUTRA SCG failure information, Inter-node RRC messages  Inter-operability:  If the UE is implemented according to this CR while the network is not, there is no inter-operability issue.  If the network is implemented according to this CR while the UE is not, there is no inter-operability issue. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The values *scg-lbtFailure*, *beamFailureRecoveryFailure*, and *t312-Expiry* are of no use for failureType of IE failureReportSCG-EUTRA within SCGFailureInformationEUTRA message. Besides, the values *scg-lbtFailure*, *beamFailureRecoveryFailure*, and *t312-Expiry* are of no use for failureTypeEUTRA of IE scgFailureInfoEUTRA from CG-ConfigInfo message. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.2.2, 11.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*START OF FIRST CHANGE*

#### *– SCGFailureInformationEUTRA*

The *SCGFailureInformationEUTRA* message is used to provide information regarding E-UTRA SCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

*SCGFailureInformationEUTRA* message

-- ASN1START

-- TAG-SCGFAILUREINFORMATIONEUTRA-START

SCGFailureInformationEUTRA ::= SEQUENCE {

criticalExtensions CHOICE {

scgFailureInformationEUTRA SCGFailureInformationEUTRA-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

SCGFailureInformationEUTRA-IEs ::= SEQUENCE {

failureReportSCG-EUTRA FailureReportSCG-EUTRA OPTIONAL,

nonCriticalExtension SCGFailureInformationEUTRA-v1590-IEs OPTIONAL

}

SCGFailureInformationEUTRA-v1590-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

FailureReportSCG-EUTRA ::= SEQUENCE {

failureType ENUMERATED {

t313-Expiry, randomAccessProblem,rlc-MaxNumRetx,

scg-ChangeFailure,spare4,

spare3, spare2, spare1},

measResultFreqListMRDC MeasResultFreqListFailMRDC OPTIONAL,

measResultSCG-FailureMRDC OCTET STRING OPTIONAL,

...,

[[

locationInfo-r16 LocationInfo-r16 OPTIONAL

]]

}

MeasResultFreqListFailMRDC ::= SEQUENCE (SIZE (1.. maxFreq)) OF MeasResult2EUTRA

-- TAG-SCGFAILUREINFORMATIONEUTRA-STOP

-- ASN1STOP

| *SCGFailureInformationEUTRA field descriptions* |
| --- |
| ***measResultFreqListMRDC***  The field contains available results of measurements on E-UTRA frequencies the UE is configured to measure by *measConfig*. |
| ***measResultSCG-FailureMRDC***  Includes the E-UTRA *MeasResultSCG-FailureMRDC* IE as specified in TS 36.331 [10]. The field contains available results of measurements on E-UTRA frequencies the UE is configured to measure by the E-UTRA *RRCConnectionReconfiguration* message. |

*END of FIRST CHANGE*

*START of SECOND CHANGE*

#### *– CG-ConfigInfo*

This message is used by master eNB or gNB to request the SgNB or SeNB to perform certain actions e.g. to establish, modify or release an SCG. The message may include additional information e.g. to assist the SgNB or SeNB to set the SCG configuration. It can also be used by a CU to request a DU to perform certain actions, e.g. to establish, or modify an MCG or SCG.

Direction: Master eNB or gNB to secondary gNB or eNB, alternatively CU to DU.

*CG-ConfigInfo* message

-- ASN1START

-- TAG-CG-CONFIG-INFO-START

CG-ConfigInfo ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE{

cg-ConfigInfo CG-ConfigInfo-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

CG-ConfigInfo-IEs ::= SEQUENCE {

ue-CapabilityInfo OCTET STRING (CONTAINING UE-CapabilityRAT-ContainerList) OPTIONAL,-- Cond SN-AddMod

candidateCellInfoListMN MeasResultList2NR OPTIONAL,

candidateCellInfoListSN OCTET STRING (CONTAINING MeasResultList2NR) OPTIONAL,

measResultCellListSFTD-NR MeasResultCellListSFTD-NR OPTIONAL,

scgFailureInfo SEQUENCE {

failureType ENUMERATED { t310-Expiry, randomAccessProblem,

rlc-MaxNumRetx, synchReconfigFailure-SCG,

scg-reconfigFailure,

srb3-IntegrityFailure},

measResultSCG OCTET STRING (CONTAINING MeasResultSCG-Failure)

} OPTIONAL,

configRestrictInfo ConfigRestrictInfoSCG OPTIONAL,

drx-InfoMCG DRX-Info OPTIONAL,

measConfigMN MeasConfigMN OPTIONAL,

sourceConfigSCG OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

scg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

mcg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

mrdc-AssistanceInfo MRDC-AssistanceInfo OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1540-IEs OPTIONAL

}

CG-ConfigInfo-v1540-IEs ::= SEQUENCE {

ph-InfoMCG PH-TypeListMCG OPTIONAL,

measResultReportCGI SEQUENCE {

ssbFrequency ARFCN-ValueNR,

cellForWhichToReportCGI PhysCellId,

cgi-Info CGI-InfoNR

} OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1560-IEs OPTIONAL

}

CG-ConfigInfo-v1560-IEs ::= SEQUENCE {

candidateCellInfoListMN-EUTRA OCTET STRING OPTIONAL,

candidateCellInfoListSN-EUTRA OCTET STRING OPTIONAL,

sourceConfigSCG-EUTRA OCTET STRING OPTIONAL,

scgFailureInfoEUTRA SEQUENCE {

failureTypeEUTRA ENUMERATED { t313-Expiry, randomAccessProblem,

rlc-MaxNumRetx, scg-ChangeFailure},

measResultSCG-EUTRA OCTET STRING

} OPTIONAL,

drx-ConfigMCG DRX-Config OPTIONAL,

measResultReportCGI-EUTRA SEQUENCE {

eutraFrequency ARFCN-ValueEUTRA,

cellForWhichToReportCGI-EUTRA EUTRA-PhysCellId,

cgi-InfoEUTRA CGI-InfoEUTRA

} OPTIONAL,

measResultCellListSFTD-EUTRA MeasResultCellListSFTD-EUTRA OPTIONAL,

fr-InfoListMCG FR-InfoList OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1570-IEs OPTIONAL

}

CG-ConfigInfo-v1570-IEs ::= SEQUENCE {

sftdFrequencyList-NR SFTD-FrequencyList-NR OPTIONAL,

sftdFrequencyList-EUTRA SFTD-FrequencyList-EUTRA OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1590-IEs OPTIONAL

}

CG-ConfigInfo-v1590-IEs ::= SEQUENCE {

servFrequenciesMN-NR SEQUENCE (SIZE (1.. maxNrofServingCells-1)) OF ARFCN-ValueNR OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1610-IEs OPTIONAL

}

CG-ConfigInfo-v1610-IEs ::= SEQUENCE {

drx-InfoMCG2 DRX-Info2 OPTIONAL,

alignedDRX-Indication ENUMERATED {true} OPTIONAL,

scgFailureInfo-r16 SEQUENCE {

failureType-r16 ENUMERATED { scg-lbtFailure-r16, beamFailureRecoveryFailure-r16,

t312-Expiry-r16, bh-RLF-r16,

spare4, spare3, spare2, spare1},

measResultSCG-r16 OCTET STRING (CONTAINING MeasResultSCG-Failure)

} OPTIONAL,

dummy1 SEQUENCE {

failureTypeEUTRA-r16 ENUMERATED { scg-lbtFailure-r16, beamFailureRecoveryFailure-r16,

t312-Expiry-r16, spare5,

spare4, spare3, spare2, spare1},

measResultSCG-EUTRA-r16 OCTET STRING

} OPTIONAL,

sidelinkUEInformationNR-r16 OCTET STRING (CONTAINING SidelinkUEInformationNR-r16) OPTIONAL,

sidelinkUEInformationEUTRA-r16 OCTET STRING OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1620-IEs OPTIONAL

}

CG-ConfigInfo-v1620-IEs ::= SEQUENCE {

ueAssistanceInformationSourceSCG-r16 OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1640-IEs OPTIONAL

}

CG-ConfigInfo-v1640-IEs ::= SEQUENCE {

servCellInfoListMCG-NR-r16 ServCellInfoListMCG-NR-r16 OPTIONAL,

servCellInfoListMCG-EUTRA-r16 ServCellInfoListMCG-EUTRA-r16 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

ServCellInfoListMCG-NR-r16 ::= SEQUENCE (SIZE (1.. maxNrofServingCells)) OF ServCellInfoXCG-NR-r16

ServCellInfoListMCG-EUTRA-r16 ::= SEQUENCE (SIZE (1.. maxNrofServingCellsEUTRA)) OF ServCellInfoXCG-EUTRA-r16

SFTD-FrequencyList-NR ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueNR

SFTD-FrequencyList-EUTRA ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueEUTRA

ConfigRestrictInfoSCG ::= SEQUENCE {

allowedBC-ListMRDC BandCombinationInfoList OPTIONAL,

powerCoordination-FR1 SEQUENCE {

p-maxNR-FR1 P-Max OPTIONAL,

p-maxEUTRA P-Max OPTIONAL,

p-maxUE-FR1 P-Max OPTIONAL

} OPTIONAL,

servCellIndexRangeSCG SEQUENCE {

lowBound ServCellIndex,

upBound ServCellIndex

} OPTIONAL, -- Cond SN-AddMod

maxMeasFreqsSCG INTEGER(1..maxMeasFreqsMN) OPTIONAL,

dummy INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

...,

[[

selectedBandEntriesMNList SEQUENCE (SIZE (1..maxBandComb)) OF SelectedBandEntriesMN OPTIONAL,

pdcch-BlindDetectionSCG INTEGER (1..15) OPTIONAL,

maxNumberROHC-ContextSessionsSN INTEGER(0.. 16384) OPTIONAL

]],

[[

maxIntraFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

maxInterFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL

]],

[[

p-maxNR-FR1-MCG-r16 P-Max OPTIONAL,

powerCoordination-FR2-r16 SEQUENCE {

p-maxNR-FR2-MCG-r16 P-Max OPTIONAL,

p-maxNR-FR2-SCG-r16 P-Max OPTIONAL,

p-maxUE-FR2-r16 P-Max OPTIONAL

} OPTIONAL,

nrdc-PC-mode-FR1-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

nrdc-PC-mode-FR2-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

maxMeasSRS-ResourceSCG-r16 INTEGER(0..maxNrofCLI-SRS-Resources-r16) OPTIONAL,

maxMeasCLI-ResourceSCG-r16 INTEGER(0..maxNrofCLI-RSSI-Resources-r16) OPTIONAL,

maxNumberEHC-ContextsSN-r16 INTEGER(0..65536) OPTIONAL,

allowedReducedConfigForOverheating-r16 OverheatingAssistance OPTIONAL,

maxToffset-r16 T-Offset-r16 OPTIONAL

]]

}

SelectedBandEntriesMN ::= SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandEntryIndex

BandEntryIndex ::= INTEGER (0.. maxNrofServingCells)

PH-TypeListMCG ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF PH-InfoMCG

PH-InfoMCG ::= SEQUENCE {

servCellIndex ServCellIndex,

ph-Uplink PH-UplinkCarrierMCG,

ph-SupplementaryUplink PH-UplinkCarrierMCG OPTIONAL,

...

}

PH-UplinkCarrierMCG ::= SEQUENCE{

ph-Type1or3 ENUMERATED {type1, type3},

...

}

BandCombinationInfoList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombinationInfo

BandCombinationInfo ::= SEQUENCE {

bandCombinationIndex BandCombinationIndex,

allowedFeatureSetsList SEQUENCE (SIZE (1..maxFeatureSetsPerBand)) OF FeatureSetEntryIndex

}

FeatureSetEntryIndex ::= INTEGER (1.. maxFeatureSetsPerBand)

DRX-Info ::= SEQUENCE {

drx-LongCycleStartOffset CHOICE {

ms10 INTEGER(0..9),

ms20 INTEGER(0..19),

ms32 INTEGER(0..31),

ms40 INTEGER(0..39),

ms60 INTEGER(0..59),

ms64 INTEGER(0..63),

ms70 INTEGER(0..69),

ms80 INTEGER(0..79),

ms128 INTEGER(0..127),

ms160 INTEGER(0..159),

ms256 INTEGER(0..255),

ms320 INTEGER(0..319),

ms512 INTEGER(0..511),

ms640 INTEGER(0..639),

ms1024 INTEGER(0..1023),

ms1280 INTEGER(0..1279),

ms2048 INTEGER(0..2047),

ms2560 INTEGER(0..2559),

ms5120 INTEGER(0..5119),

ms10240 INTEGER(0..10239)

},

shortDRX SEQUENCE {

drx-ShortCycle ENUMERATED {

ms2, ms3, ms4, ms5, ms6, ms7, ms8, ms10, ms14, ms16, ms20, ms30, ms32,

ms35, ms40, ms64, ms80, ms128, ms160, ms256, ms320, ms512, ms640, spare9,

spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 },

drx-ShortCycleTimer INTEGER (1..16)

} OPTIONAL

}

DRX-Info2 ::= SEQUENCE {

drx-onDurationTimer CHOICE {

subMilliSeconds INTEGER (1..31),

milliSeconds ENUMERATED {

ms1, ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30, ms40, ms50, ms60,

ms80, ms100, ms200, ms300, ms400, ms500, ms600, ms800, ms1000, ms1200,

ms1600, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 }

}

}

MeasConfigMN ::= SEQUENCE {

measuredFrequenciesMN SEQUENCE (SIZE (1..maxMeasFreqsMN)) OF NR-FreqInfo OPTIONAL,

measGapConfig SetupRelease { GapConfig } OPTIONAL,

gapPurpose ENUMERATED {perUE, perFR1} OPTIONAL,

...,

[[

measGapConfigFR2 SetupRelease { GapConfig } OPTIONAL

]]

}

MRDC-AssistanceInfo ::= SEQUENCE {

affectedCarrierFreqCombInfoListMRDC SEQUENCE (SIZE (1..maxNrofCombIDC)) OF AffectedCarrierFreqCombInfoMRDC,

...,

[[

overheatingAssistanceSCG-r16 OCTET STRING (CONTAINING OverheatingAssistance) OPTIONAL

]]

}

AffectedCarrierFreqCombInfoMRDC ::= SEQUENCE {

victimSystemType VictimSystemType,

interferenceDirectionMRDC ENUMERATED {eutra-nr, nr, other, utra-nr-other, nr-other, spare3, spare2, spare1},

affectedCarrierFreqCombMRDC SEQUENCE {

affectedCarrierFreqCombEUTRA AffectedCarrierFreqCombEUTRA OPTIONAL,

affectedCarrierFreqCombNR AffectedCarrierFreqCombNR

} OPTIONAL

}

VictimSystemType ::= SEQUENCE {

gps ENUMERATED {true} OPTIONAL,

glonass ENUMERATED {true} OPTIONAL,

bds ENUMERATED {true} OPTIONAL,

galileo ENUMERATED {true} OPTIONAL,

wlan ENUMERATED {true} OPTIONAL,

bluetooth ENUMERATED {true} OPTIONAL

}

AffectedCarrierFreqCombEUTRA ::= SEQUENCE (SIZE (1..maxNrofServingCellsEUTRA)) OF ARFCN-ValueEUTRA

AffectedCarrierFreqCombNR ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF ARFCN-ValueNR

-- TAG-CG-CONFIG-INFO-STOP

-- ASN1STOP

|  |
| --- |
| *CG-ConfigInfo* field descriptions |
| ***alignedDRX-Indication***  This field is signalled upon MN triggered CGI reporting by the UE that requires aligned DRX configurations between the MCG and the SCG (i.e. same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN). |
| ***allowedBC-ListMRDC***  A list of indices referring to band combinations in MR-DC capabilities from which SN is allowed to select the SCG band combination. Each entry refers to:  - a band combination numbered according to *supportedBandCombinationList* and *supportedBandCombinationList-UplinkTxSwitch* in the *UE-MRDC-Capability* (in case of (NG)EN-DC), or according to *supportedBandCombinationList* and *supportedBandCombinationListNEDC-Only* in the *UE-MRDC-Capability* (in case of NE-DC), or according to *supportedBandCombinationList* in the UE-NR-Capability (in case of NR-DC),  - and the Feature Sets allowed for each band entry. All MR-DC band combinations indicated by this field comprise the MCG band combination, which is a superset of the MCG band(s) selected by MN. |
| ***allowedReducedConfigForOverheating***  Indicates the reduced configuration that the SCG is allowed to configure.  *reducedMaxCCs* in *allowedReducedConfigForOverheating* indicates the maximum number of downlink/uplink PSCell/SCells that the SCG is allowed to configure. This field is used in (NG)EN-DC and NR-DC.  *reducedMaxBW-FR1* and *reducedMaxBW-FR2* in *allowedReducedConfigForOverheating* indicates the maximum aggregated bandwidth across all downlink/uplink carriers of FR1 and FR2, respectively that the SCG is allowed to configure. This field is only used in NR-DC.  *reducedMaxMIMO-LayersFR1* and *reducedMaxMIMO-LayersFR2* in *allowedReducedConfigForOverheating* indicates the maximum number of downlink/uplink MIMO layers of each serving cell operating on FR1 and FR2, respectively that the SCG is allowed to configure. This field is only used in NR-DC. |
| ***candidateCellInfoListMN***, ***candidateCellInfoListSN***  Contains information regarding cells that the master node or the source node suggests the target gNB or DU to consider configuring.  For (NG)EN-DC, including CSI-RS measurement results in *candidateCellInfoListMN* is not supported in this version of the specification. For NR-DC, including SSB and/or CSI-RS measurement results in *candidateCellInfoListMN* is supported. |
| ***candidateCellInfoListMN-EUTRA***, ***candidateCellInfoListSN-EUTRA***  Includes the *MeasResultList3EUTRA* as specified in TS 36.331 [10]. Contains information regarding cells that the master node or the source node suggests the target secondary eNB to consider configuring. These fields are only used in NE-DC. |
| ***configRestrictInfo***  Includes fields for which SgNB is explictly indicated to observe a configuration restriction. |
| ***drx-ConfigMCG***  This field contains the complete DRX configuration of the MCG. This field is only used in NR-DC. |
| ***drx-InfoMCG***  This field contains the DRX long and short cycle configuration of the MCG. This field is used in (NG)EN-DC and NE-DC. |
| ***drx-InfoMCG2***  This field contains the *drx-onDurationTimer* configuration of the MCG. This field is only used in (NG)EN-DC. |
| ***fr-InfoListMCG***  Contains information of FR information of serving cells that include PCell and SCell(s) configured in MCG. |
| ***dummy, dummy1***  These fields are not used in the specification and SN ignores the received value(s). |
| ***maxInterFreqMeasIdentitiesSCG***  Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for inter-frequency measurement. The maximum value for this field is 10. If the field is absent, the SCG is allowed to configure inter-frequency measurements up to the maximum value. This field is only used in NR-DC. |
| ***maxIntraFreqMeasIdentitiesSCG***  Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for intra-frequency measurement on each serving frequency. The maximum value for this field is 9 (in case of (NG)EN-DC or NR-DC) or 10 (in case of NE-DC). If the field is absent, the SCG is allowed to configure intra-frequency measurements up to the maximum value on each serving frequency. |
| ***maxMeasCLI-ResourceSCG***  Indicates the maximum number of CLI RSSI resources that the SCG is allowed to configure. |
| ***maxMeasFreqsSCG***  Indicates the maximum number of NR inter-frequency carriers the SN is allowed to configure with PSCell for measurements. |
| ***maxMeasSRS-ResourceSCG***  Indicates the maximum number of SRS resources that the SCG is allowed to configure for CLI measurement. |
| ***maxNumberROHC-ContextSessionsSN***  Indicates the maximum number of ROHC context sessions allowed to SN terminated bearer, excluding context sessions that leave all headers uncompressed. |
| ***maxNumberEHC-ContextsSN***  Indicates the maximum number of EHC contexts allowed to the SN terminated bearer. The field indicates the number of contexts in addition to CID = "all zeros", as specified in TS 38.323 [5]. |
| ***maxToffset***  Indicates the maximum Toffset value the SN is allowed to use for scheduling SCG transmissions (see TS 38.213 [13]). This field is used in NR-DC only when the fields *nrdc-PC-mode-FR1-r16* or *nrdc-PC-mode-FR2-r16* are set to dynamic. Value *ms0dot5* corresponds to 0.5 ms, value *ms0dot75* corresponds to 0.75 ms, value *ms1* corresponds to 1 ms and so on. |
| ***measuredFrequenciesMN***  Used by MN to indicate a list of frequencies measured by the UE. |
| ***measGapConfig***  Indicates the FR1 and perUE measurement gap configuration configured by MN. |
| ***measGapConfigFR2***  Indicates the FR2 measurement gap configuration configured by MN. |
| ***mcg-RB-Config***  Contains all of the fields in the IE *RadioBearerConfig* used in MCG, used by the SN to support delta configuration to UE, for bearer type change between MN terminated bearer with NR PDCP to SN terminated bearer. It is also used to indicate the PDCP duplication related information for MN terminated split bearer (whether duplication is configured and if so, whether it is initially activated) in SN Addition/Modification procedure. Otherwise, this field is absent. |
| ***measResultReportCGI, measResultReportCGI-EUTRA***  Used by MN to provide SN with CGI-Info for the cell as per SN′s request. In this version of the specification, the *measResultReportCGI* is used for (NG)EN-DC and NR-DC and the *measResultReportCGI-EUTRA* is used only for NE-DC. |
| ***measResultSCG-EUTRA***  This field includes the *MeasResultSCG-FailureMRDC* IE as specified in TS 36.331 [10]. This field is only used in NE-DC. |
| ***measResultSFTD-EUTRA***  SFTD measurement results between the PCell and the E-UTRA PScell in NE-DC. This field is only used in NE-DC. |
| ***mrdc-AssistanceInfo***  Contains the IDC assistance information for MR-DC reported by the UE (see TS 36.331 [10]). |
| ***nrdc-PC-mode-FR1***  Indicates the uplink power sharing mode that the UE uses in NR-DC FR1 (see TS 38.213 [13], clause 7.6). |
| ***nrdc-PC-mode-FR2***  Indicates the uplink power sharing mode that the UE uses in NR-DC FR2 (see TS 38.213 [13], clause 7.6). |
| ***overheatingAssistanceSCG***  Contains the UE's preference on reduced configuration for NR SCG to address overheating. This field is only used in (NG)EN-DC. |
| ***p-maxEUTRA***  Indicates the maximum total transmit power to be used by the UE in the E-UTRA cell group (see TS 36.104 [33]). This field is used in (NG)EN-DC and NE-DC. |
| ***p-maxNR-FR1***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]). The field is used in (NG)EN-DC and NE-DC. |
| ***p-maxUE-FR1***  Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1). |
| ***p-maxNR-FR1-MCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]) the UE can use in NR MCG. This field is only used in NR-DC. |
| ***p-maxNR-FR2-SCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR SCG. |
| ***p-maxUE-FR2***  Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 2 (FR2). |
| ***p-maxNR-FR2-MCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR MCG. |
| ***pdcch-BlindDetectionSCG***  Indicates the maximum value of the reference number of cells for PDCCH blind detection allowed to be configured for the SCG. |
| ***ph-InfoMCG***  Power headroom information in MCG that is needed in the reception of PHR MAC CE in SCG. |
| ***ph-SupplementaryUplink***  Power headroom information for supplementary uplink. For UE in (NG)EN-DC, this field is absent. |
| ***ph-Type1or3***  Type of power headroom for a serving cell in MCG (PCell and activated SCells). *type1* refers to type 1 power headroom, *type3* refers to type 3 power headroom. (See TS 38.321 [3]). |
| ***ph-Uplink***  Power headroom information for uplink. |
| ***powerCoordination-FR1***  Indicates the maximum power that the UE can use in FR1. |
| ***powerCoordination-FR2***  Indicates the maximum power that the UE can use in frequency range 2 (FR2). This field is only used in NR-DC. |
| ***scgFailureInfo***  Contains SCG failure type and measurement results. In case the sender has no measurement results available, the sender may include one empty entry (i.e. without any optional fields present) in *measResultPerMOList*. This field is used in (NG)EN-DC and NR-DC. |
|  |
| ***scg-RB-Config***  Contains all of the fields in the IE RadioBearerConfig used in SCG, used to allow the target SN to use delta configuration to the UE, e.g. during SN change. The field is signalled upon change of SN. Otherwise, the field is absent. This field is also absent when master eNB uses full configuration option. |
| ***selectedBandEntriesMNList***  A list of indices referring to the position of a band entry selected by the MN, in each band combination entry in *allowedBC-ListMRDC* IE. *BandEntryIndex* 0 identifies the first band in the *bandList* of the *BandCombination*, *BandEntryIndex* 1 identifies the second band in the *bandList* of the *BandCombination*, and so on. This *selectedBandEntriesMNList* includes the same number of entries, and listed in the same order as in *allowedBC-ListMRDC*. The SN uses this information to determine which bands out of the NR band combinations in *allowedBC-ListMRDC* it can configure in SCG. This field is only used in NR-DC. |
| ***servCellIndexRangeSCG***  Range of serving cell indices that SN is allowed to configure for SCG serving cells. |
| ***servCellInfoListMCG-EUTRA***  Indicates the carrier frequency and the transmission bandwidth of the serving cell(s) in the MCG in intra-band (NG)EN-DC. The field is needed when MN and SN operate serving cells in the same band for either contiguous or non-contiguous intra-band band combination or LTE NR inter-band band combinations where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [34]) in (NG)EN-DC. |
| ***servCellInfoListMCG-NR***  Indicates the frequency band indicator, carrier center frequency, UE specific channel bandwidth and SCS of the serving cell(s) in the MCG in intra-band NE-DC. The field is needed when MN and SN operate serving cells in the same band for either contiguous or non-contiguous intra-band band combination or LTE NR inter-band band combinations where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [34]) in NE-DC. |
| ***servFrequenciesMN-NR***  Indicates the frequency of all serving cells that include PCell and SCell(s) with SSB configured in MCG. This field is only used in NR-DC. servFrequenciesMN-NR indicates absoluteFrequencySSB. |
| ***sftdFrequencyList-NR***  Includes a list of SSB frequencies. Each entry identifies the SSB frequency of a PSCell, which corresponds to one *MeasResultCellSFTD-NR* entry in the *MeasResultCellListSFTD-NR*. |
| ***sftdFrequencyList-EUTRA***  Includes a list of E-UTRA frequencies. Each entry identifies the carrier frequency of a PSCell, which corresponds to one *MeasResultSFTD-EUTRA* entry in the *MeasResultCellListSFTD-EUTRA*. |
| ***sidelinkUEInformationEUTRA***  This field contains the E-UTRA *SidelinkUEInformation* message as specified in TS 36.331 [10]. |
| ***sidelinkUEInformationNR***  This field contains the NR *SidelinkUEInformationNR* message. |
| ***sourceConfigSCG***  Includes all of the current SCG configurations used by the target SN to build delta configuration to be sent to UE, e.g. during SN change. The field contains the *RRCReconfiguration* message, i.e. including *secondaryCellGroup* and *measConfig*. The field is signalled upon change of SN, unless MN uses full configuration option. Otherwise, the field is absent. |
| ***sourceConfigSCG-EUTRA***  Includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration.* In this version of the specification, this field is absent when master gNB uses full configuration option. This field is only used in NE-DC. |
| ***ueAssistanceInformationSourceSCG***  Includes for each UE assistance feature associated with the SCG, the information last reported by the UE in the NR *UEAssistanceInformation* message for the source SCG, if any. |
| ***ue-CapabilityInfo***  Contains the IE *UE-CapabilityRAT-ContainerList* supported by the UE (see NOTE 3). A gNB that retrieves MRDC related capability containers ensures that the set of included MRDC containers is consistent w.r.t. the feature set related information. |

|  |
| --- |
| *BandCombinationInfo* field descriptions |
| ***allowedFeatureSetsList***  Defines a subset of the entries in a *FeatureSetCombination*. Each index identifies a position in the *FeatureSetCombination*, which corresponds to one *FeatureSetUplink*/*Downlink* for each band entry in the associated band combination. |
| ***bandCombinationIndex***  In case of NR-DC, this field indicates the position of a band combination in the *supportedBandCombinationList*. In case of NE-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationListNEDC-Only*. In case of (NG)EN-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationList-UplinkTxSwitch*. Band combination entries in *supportedBandCombinationList* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationListNEDC-Only* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationListNEDC-Only* increased by the number of entries in *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationList-UplinkTxSwitch* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList-UplinkTxSwitch* increased by the number of entries in *supportedBandCombinationList*. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *SN-AddMod* | The field is mandatory present upon SN addition and SN change. It is optionally present upon SN modification and inter-MN handover without SN change. Otherwise, the field is absent. |

NOTE 3: The following table indicates per MN RAT and SN RAT whether RAT capabilities are included or not in *ue-CapabilityInfo*.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| MN RAT | SN RAT | NR capabilities | E-UTRA capabilities | MR-DC capabilities |
| E-UTRA | NR | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Not included | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise |
| NR | E-UTRA | Not included | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise |
| NR | NR | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Not included | Not included |

*END OF SECOND CHANGE*