**3GPP TSG-RAN WG2 #111-e *Draft R2-2008150***

**Online meeting, 17 – 28 Aug 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** | **1993** | **rev** | **-** | **Current version:** | **16.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Correction on HARQ ACK spatial bundling configurations for secondary PUCCH group |
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| ***Source to WG:*** |  |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | LTE\_NR\_DC\_CA\_enh-Core |  | ***Date:*** | 2020-08-20 |
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| ***Category:*** | **F** |  | ***Release:*** | 6 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | When harq-ACK-SpatialBundlingPUCCH/PUSCH is present and harq-ACK-SpatialBundlingPUCCH/PUSCH-secondaryPUCCHgroup-r16 is absent, spatial bundling of PUCCH/PUSCH HARQ ACK for the secondary PUCCH group is:- **enabled** according to TS 38.331 **Rel-15**- **disabled** according to TS 38.331 **Rel-16**Such discrepancy will lead to decoding failure of HARQ ACK:- when a Rel-15 network sends this configuration to a Rel-16 UE- when a Rel-16 network sends this configuration to a Rel-15 UE |
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| ***Summary of change:*** | Change harq-ACK-SpatialBundlingPUCCH-secondaryPUCCH/PUSCHgroup-r16 from ENUMERATED {true} to ENUMERATED {enabled, disabled} and update field descriptions, so that absence of the parameter has the same meaning like in TS 38.331 Rel-15 (i.e. follow the Rel-15 parameter)**Impact analysis:**Impacted 5G architectures: NR standalone, (NG)EN-DC, NR-DC, NE-DCImpacted functionality: spatial bundling of PUCCH/PUSCH HARQ ACKInter-operability:If the UE implements the CR but not the network, or vice-versa, the UE will fail to decode the RRC message if the network includes harq-ACK-SpatialBundlingPUCCH-secondaryPUCCH/PUSCH-group-r16. |
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| ***Consequences if not approved:*** | If the Rel-15 network (respectively, if the Rel-16 network) configures two PUCCH groups and spatial bundling of PUSCH or PUCCH HARQ ACKs to a Rel-16 UE (respectively, to a Rel-15 UE), the network will fail to decode the corresponding HARQ ACK of the secondary PUCCH cell group. |
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| ***Clauses affected:*** | 6.3.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ... |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
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| ***This CR's revision history:*** |  |

– *PhysicalCellGroupConfig*

The IE *PhysicalCellGroupConfig* is used to configure cell-group specific L1 parameters.

***PhysicalCellGroupConfig* information element**

-- ASN1START

-- TAG-PHYSICALCELLGROUPCONFIG-START

PhysicalCellGroupConfig ::= SEQUENCE {

 harq-ACK-SpatialBundlingPUCCH ENUMERATED {true} OPTIONAL, -- Need S

 harq-ACK-SpatialBundlingPUSCH ENUMERATED {true} OPTIONAL, -- Need S

 p-NR-FR1 P-Max OPTIONAL, -- Need R

 pdsch-HARQ-ACK-Codebook ENUMERATED {semiStatic, dynamic},

 tpc-SRS-RNTI RNTI-Value OPTIONAL, -- Need R

 tpc-PUCCH-RNTI RNTI-Value OPTIONAL, -- Need R

 tpc-PUSCH-RNTI RNTI-Value OPTIONAL, -- Need R

 sp-CSI-RNTI RNTI-Value OPTIONAL, -- Need R

 cs-RNTI SetupRelease { RNTI-Value } OPTIONAL, -- Need M

 ...,

 [[

 mcs-C-RNTI RNTI-Value OPTIONAL, -- Need R

 p-UE-FR1 P-Max OPTIONAL -- Cond MCG-Only

 ]],

 [[

 xScale ENUMERATED {dB0, dB6, spare2, spare1} OPTIONAL -- Cond SCG-Only

 ]],

 [[

 pdcch-BlindDetection SetupRelease { PDCCH-BlindDetection } OPTIONAL -- Need M

 ]],

 [[

 dcp-Config-r16 SetupRelease { DCP-Config-r16 } OPTIONAL, -- Need M

 harq-ACK-SpatialBundlingPUCCH-secondaryPUCCHgroup-r16 ENUMERATED {enabled, disabled} OPTIONAL, -- Cond twoPUCCHgroup

 harq-ACK-SpatialBundlingPUSCH-secondaryPUCCHgroup-r16 ENUMERATED {enabled, disabled} OPTIONAL, -- Cond twoPUCCHgroup

 pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup-r16 ENUMERATED {semiStatic, dynamic} OPTIONAL, -- Cond twoPUCCHgroup

 p-NR-FR2-r16 P-Max OPTIONAL, -- Need R

 p-UE-FR2-r16 P-Max OPTIONAL, -- Cond MCG-Only

 nrdc-PCmode-FR1-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL, -- Cond MCG-Only

 nrdc-PCmode-FR2-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL, -- Cond MCG-Only

 pdsch-HARQ-ACK-Codebook-r16 ENUMERATED {enhancedDynamic} OPTIONAL, -- Need R

 nfi-TotalDAI-Included-r16 ENUMERATED {true} OPTIONAL, -- Need M

 ul-TotalDAI-Included-r16 ENUMERATED {true} OPTIONAL, -- Need R

 pdsch-HARQ-ACK-OneShotFeedback-r16 ENUMERATED {true} OPTIONAL, -- Need R

 pdsch-HARQ-ACK-OneShotFeedbackNDI-r16 ENUMERATED {true} OPTIONAL, -- Need R

 pdsch-HARQ-ACK-OneShotFeedbackCBG-r16 ENUMERATED {true} OPTIONAL, -- Need R

 downlinkAssignmentIndexForDCI-Format0-2-r16 ENUMERATED { enabled } OPTIONAL, -- Need S

 downlinkAssignmentIndexForDCI-Format1-2-r16 ENUMERATED {n1, n2, n4} OPTIONAL, -- Need S

 pdsch-HARQ-ACK-CodebookList-r16 SetupRelease {PDSCH-HARQ-ACK-CodebookList-r16} OPTIONAL, -- Need M

 ackNackFeedbackMode-r16 ENUMERATED {joint, separate} OPTIONAL, -- Need R

 pdcch-BlindDetectionCA-CombIndicator-r16 SetupRelease { PDCCH-BlindDetectionCA-CombIndicator-r16 } OPTIONAL, -- Need M

 pdcch-BlindDetection2-r16 SetupRelease { PDCCH-BlindDetection2-r16 } OPTIONAL, -- Need M

 pdcch-BlindDetection3-r16 SetupRelease { PDCCH-BlindDetection3-r16 } OPTIONAL, -- Need M

 bdFactorR-r16 ENUMERATED {n1} OPTIONAL -- Need R

 ]]

}

PDCCH-BlindDetection ::= INTEGER (1..15)

DCP-Config-r16 ::= SEQUENCE {

 ps-RNTI-r16 RNTI-Value,

 ps-Offset-r16 INTEGER (1..120),

 sizeDCI-2-6-r16 INTEGER (1..maxDCI-2-6-Size-r16),

 ps-PositionDCI-2-6-r16 INTEGER (0..maxDCI-2-6-Size-1-r16),

 ps-WakeUp-r16 ENUMERATED {true} OPTIONAL, -- Need S

 ps-TransmitPeriodicL1-RSRP-r16 ENUMERATED {true} OPTIONAL, -- Need S

 ps-TransmitOtherPeriodicCSI-r16 ENUMERATED {true} OPTIONAL -- Need S

}

PDSCH-HARQ-ACK-CodebookList-r16 ::= SEQUENCE (SIZE (1..2)) OF ENUMERATED {semiStatic, dynamic}

PDCCH-BlindDetectionCA-CombIndicator-r16 ::= SEQUENCE {

 pdcch-BlindDetectionCA1-r16 INTEGER (1..15),

 pdcch-BlindDetectionCA2-r16 INTEGER (1..15)

}

PDCCH-BlindDetection2-r16 ::= INTEGER (1..15)

PDCCH-BlindDetection3-r16 ::= INTEGER (1..15)

-- TAG-PHYSICALCELLGROUPCONFIG-STOP

-- ASN1STOP

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| ***PhysicalCellGroupConfig* field descriptions** |
| ***ackNackFeedbackMode***Indicates which among the joint and separate ACK/NACK feedback modes to use within a slot as sapecified in TS 38.213 (clause 9). Field is present only when two different values of CORESETPoolIndex in ControlResourceSet are configured in a cell. |
| ***bdFactorR***Parameter for determining and distributing the maximum numbers of BD/CCE for mPDCCH based mPDSCH transmission as specified in TS 38.213 [13] Clause 10.1. |
| ***cs-RNTI***RNTI value for downlink SPS (see *SPS-Config*) and uplink configured grant (see *ConfiguredGrantConfig*). |
| ***downlinkAssignmentIndexForDCI-Format0-2***Indicates if "Downlink assignment index" is present or absent in DCI format 0\_2. If the field "*downlinkAssignmentIndexForDCI-Format0-2*" is absent, then 0 bit for "Downlink assignment index" in DCI format 0\_2. If the field "*downlinkAssignmentIndexForDCI-Format0-2*" is present, then the bitwidth of "Downlink assignment index" in DCI format 0\_2 is defined in the same was as that in DCI format 0\_1 (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.1). |
| ***downlinkAssignmentIndexForDCI-Format1-2***Configures the number of bits for "Downlink assignment index" in DCI format 1\_2. If the field is absent, then 0 bit for "Downlink assignment index" in DCI format 1\_2. Note that 1 bit and 2 bits are applied if only one serving cell is configured in the DL and the higher layer parameter pdsch-HARQ-ACK-Codebook=dynamic. 4 bits is applied if more than one serving cell are configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook* is set to *dynamic* (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.1). |
| ***harq-ACK-SpatialBundlingPUCCH***Enables spatial bundling of HARQ ACKs. It is configured per cell group (i.e. for all the cells within the cell group) for PUCCH reporting of HARQ-ACK. It is only applicable when more than 4 layers are possible to schedule. When the field is absent, the spatial bundling of PUCCH HARQ ACKs for the primary PUCCH group is disabled (see TS 38.213 [13], clause 9.1.2.1). If the field *harq-ACK SpatialBundlingPUCCH-secondaryPUCCHgroup* is present, *harq-ACK-SpatialBundlingPUCCH* is only applied to primary PUCCH group. |
| ***harq-ACK-SpatialBundlingPUCCH-secondaryPUCCHgroup***Indicates whether spatial bundling of PUCCH HARQ ACKs for the secondary PUCCH group is enabled or disabled. The field is only applicable when more than 4 layers are possible to schedule (see TS 38.213 [13], clause 9.1.2.1). When the field is absent, the use of spatial bundling of PUCCH HARQ ACKs for the secondary PUCCH group is indicated by *harq-ACK-SpatialBundlingPUCCH*. See TS 38.213 [13], clause 9.1.2.1. |
| ***harq-ACK-SpatialBundlingPUSCH***Enables spatial bundling of HARQ ACKs. It is configured per cell group (i.e. for all the cells within the cell group) for PUSCH reporting of HARQ-ACK. It is only applicable when more than 4 layers are possible to schedule. When the field is absent, the spatial bundling of PUSCH HARQ ACKs for the primary PUCCH group is disabled (see TS 38.213 [13], clauses 9.1.2.2 and 9.1.3.2). If the field *harq-ACK SpatialBundlingPUSCH-secondaryPUCCHgroup* is present, *harq-ACK-SpatialBundlingPUSCH* is only applied to primary PUCCH group. |
| ***harq-ACK-SpatialBundlingPUSCH-secondaryPUCCHgroup***Indicates whether spatial bundling of PUSCH HARQ ACKs for the secondary PUCCH group is enabled or disabled. The field is only applicable when more than 4 layers are possible to schedule (see TS 38.213 [13], clauses 9.1.2.2 and 9.1.3.2). When the field is absent, the use of spatial bundling of PUSCH HARQ ACKs for the secondary PUCCH group is indicated by *harq-ACK-SpatialBundlingPUSCH*. See TS 38.213 [13], clauses 9.1.2.2 and 9.1.3.2. |
| ***mcs-C-RNTI***RNTI to indicate use of *qam64LowSE* for grant-based transmissions. When the *mcs*-*C-RNT*I is configured, RNTI scrambling of DCI CRC is used to choose the corresponding MCS table. |
| ***nfi-TotalDAI-Included***Indicates whether the NFI and total DAI fields of the non-scheduled PDSCH group is included in the non-fallback DL grant DCI (see TS 38.212 [17], clause 7.3.1). The network configures this only when enhanced dynamic codebook is configured (*pdsch-HARQ-ACK-Codebook* is set to *enhancedDynamic*). |
| ***nrdc-PCmode-FR1***Indicates the uplink power sharing mode that the UE uses in NR-DC in frequency range 1 (FR1) (see TS 38.213 [13], clause 7.6). |
| ***nrdc-PCmode-FR2***Indicates the uplink power sharing mode that the UE uses in NR-DC in frequency range 2 (FR2) (see TS 38.213 [13], clause 7.6). |
| ***pdcch-BlindDetection, pdcch-BlindDetection2, pdcch-BlindDetection3***Indicates the reference number of cells for PDCCH blind detection for the CG. Network configures the field for each CG when the UE is in NR DC and sets the value in accordance with the constraints specified in TS 38.213 [13]. The network configures *pdcch-BlindDetection* only if the UE is in NR-DC. The network configures *pdcch-BlindDetection2* only if the UE is in NR-DC with at least one downlink cell using Rel-16 PDCCH monitoring capability. The network configures *pdcch-BlindDetection3* only if the UE is in NR-DC with at least one downlink cell using Rel-15 PDCCH monitoring capability. |
| ***pdcch-BlindDetectionCA-CombIndicator***Configure one combination of pdcch-BlindDetectionCA1 (for R15) and pdcch-BlindDetectionCA2 (for R16) for UE to use for scaling PDCCH monitoring capability if the number of serving cells configured to a UE is larger than the reported capability, and if UE reports more than one combination of pdcch-BlindDetectionCA1 and pdcch-BlindDetectionCA2 as UE capability. The combination of pdcch-BlindDetectionCA1 and pdcch-BlindDetectionCA2) configured by pdcch-BlindDetectionCACombIndicator is from the more than one combination of pdcch-BlindDetectionCA1 and pdcch-BlindDetectionCA2 reported by UE (see TS 38.213 [13], clause 10). |
| ***p-NR-FR1***The maximum total transmit power to be used by the UE in this NR cell group across all serving cells in frequency range 1 (FR1). The maximum transmit power that the UE may use may be additionally limited by *p-Max* (configured in *FrequencyInfoUL*) and by *p-UE-FR1* (configured total for all serving cells operating on FR1). |
| ***p-NR-FR2***The maximum total transmit power to be used by the UE in this NR cell group across all serving cells in frequency range 2 (FR2). The maximum transmit power that the UE may use may be additionally limited by *p-Max* (configured in *FrequencyInfoUL*) and by *p-UE-FR2* (configured total for all serving cells operating on FR2). This field is only used in NR-DC. |
| ***ps-RNTI***RNTI value for scrambling CRC of DCI format 2-6 used for power saving (see TS 38.213 [13], clause 10.1). |
| ***ps-Offset***The start of the search-time of DCI format 2-6 with CRC scrambled by PS-RNTI relative to the start of the *drx-onDurationTimer* of Long DRX (see TS 38.213 [13], clause 11.5). Value in multiples of 0.125ms (milliseconds). 1 corresponds to 0.125 ms, 2corresponds to 0.25 ms, 3 corresponds to 0.375 ms and so on. |
| ***ps-WakeUp***Indicates the UE to wake-up if DCI format 2-6 is not detected outside active time (see TS 38.213 [13], clause 11.5). If the field is absent, the UE does not wake-up if DCI format 2-6 is not detected outside active time. |
| ***ps-PositionDCI-2-6***Starting position of UE wakeup and SCell dormancy indication in DCI format 2-6 (see TS 38.213 [13], clause 11.5). |
| ***ps-TransmitPeriodicL1-RSRP***Indicates the UE to transmit periodic L1-RSRP report(s) when the *drx-onDurationTimer* does not start (see TS 38.321 [3], clause 5.7). If the field is absent, the UE does not transmit periodic L1-RSRP report(s) when the *drx-onDurationTimer* does not start. |
| ***ps-TransmitOtherPeriodicCSI***Indicates the UE to transmit periodic CSI report(s) other than L1-RSRP reports when the *drx-onDurationTimer* does not start (see TS 38.321 [3], clause 5.7). If the field is absent, the UE does not transmit periodic CSI report(s) when the *drx-onDurationTimer* does not start. |
| ***p-UE-FR1***The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited by *p-Max* (configured in *FrequencyInfoUL*) and by *p-NR-FR1* (configured for the cell group). |
| ***p-UE-FR2***The maximum total transmit power to be used by the UE across all serving cells in frequency range 2 (FR2) across all cell groups. The maximum transmit power that the UE may use may be additionally limited by p-Max (configured in FrequencyInfoUL) and by p-NR-FR2 (configured for the cell group). |
| ***pdsch-HARQ-ACK-Codebook***The PDSCH HARQ-ACK codebook is either semi-static or dynamic. This is applicable to both CA and none CA operation (see TS 38.213 [13], clauses 9.1.2 and 9.1.3). If *pdsch-HARQ-ACK-Codebook -r16* is signalled, UE shall ignore the *pdsch-HARQ-ACK-Codebook* (without suffix). If the field *pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup* is present, *pdsch-HARQ-ACK-Codebook* is applied to primary PUCCH group. Otherwise, this field is applied to the cell group (i.e. for all the cells within the cell group). |
| ***pdsch-HARQ-ACK-CodebookList***A list of configuration for at least two simultaneously constructed HARQ-ACK codebooks. Each configuration in the list is defined in the same way as *pdsch-HARQ-ACK-Codebook* (see TS 38.212 [17], clause 7.3.1.2.2 and TS 38.213 [13], clauses 7.2.1, 9.1.2, 9.1.3 and 9.2.1). If this field is present, the field *pdsch-HARQ-ACK-Codebook* is ignored for the case at least two HARQ-ACK codebooks are simultaneously constructed. |
| ***pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup***The PDSCH HARQ-ACK codebook is either semi-static or dynamic. This is applicable to both CA and none CA operation (see TS 38.213 [13], clauses 9.1.2 and 9.1.3). It is configured for secondary PUCCH group*.* |
| ***pdsch-HARQ-ACK-OneShotFeedback***When configured, the DCI\_format 1\_1 can request the UE to report A/N for all HARQ processes and all CCs configured in the PUCCH group (see TS 38.212 [17], clause 7.3.1). |
| ***pdsch-HARQ-ACK-OneShotFeedbackCBG***When configured, the DCI\_format 1\_1 can request the UE to include CBG level A/N for each CC with CBG level transmission configured. When not configured, the UE will report TB level A/N even if CBG level transmission is configured for a CC.The network configures this only when *pdsch-HARQ-ACK-OneShotFeedback* is configured. |
| ***pdsch-HARQ-ACK-OneShotFeedbackNDI***When configured, the DCI\_format 1\_1 can request the UE to include NDI for each A/N reported.The network configures this only when *pdsch-HARQ-ACK-OneShotFeedback* is configured. |
| ***sizeDCI-2-6***Size of DCI format 2-6 (see TS 38.213 [13], clause 11.5). |
| ***sp-CSI-RNTI***RNTI for Semi-Persistent CSI reporting on PUSCH (see *CSI-ReportConfig*) (see TS 38.214 [19], clause 5.2.1.5.2). Network always configures the UE with a value for this field when at least one *CSI-ReportConfig* with *reportConfigType* set to *semiPersistentOnPUSCH* is configured. |
| ***tpc-PUCCH-RNTI***RNTI used for PUCCH TPC commands on DCI (see TS 38.213 [13], clause 10.1). |
| ***tpc-PUSCH-RNTI***RNTI used for PUSCH TPC commands on DCI (see TS 38.213 [13], clause 10.1). |
| ***tpc-SRS-RNTI***RNTI used for SRS TPC commands on DCI (see TS 38.213 [13], clause 10.1). |
| ***ul-TotalDAI-Included***Indicaes whether the total DAI fields of the additonal PDSCH group is included in the non-fallback UL grant DCI (see TS 38.212 [17], clause 7.3.1). The network configures this only when enhanced dynamic codebook is configured (*pdsch-HARQ-ACK-Codebook* is set to *enhancedDynamic*). |
| ***xScale***The UE is allowed to drop NR only if the power scaling applied to NR results in a difference between scaled and unscaled NR UL of more than *xScale* dB (see TS 38.213 [13]). If the value is not configured for dynamic power sharing, the UE assumes default value of 6 dB. |

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| **Conditional Presence** | **Explanation** |
| *MCG-Only* | This field is optionally present, Need R, in the *PhysicalCellGroupConfig* of the MCG. It is absent otherwise.  |
| *SCG-Only* | This field is optionally present, Need S, in the *PhysicalCellGroupConfig* of the SCG in (NG)EN-DC as defined in TS 38.213 [13]. It is absent otherwise. |
| *twoPUCCHgroup* | This field is optionally present, Need R, if secondary PUCCH group is configured. It is absent otherwise.  |

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