**3GPP TSG-RAN2 Meeting #111 electronic *R2-200xxxx***

**Online, August 17th - 28th, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **1908** | **rev** | **1** | **Current version:** | **16.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Correction on UE assistance information transmission for handover case | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-08-06 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **A** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1. After handover to a different cell, UE can trigger transmission of a *UEAssistanceInformation* message that was transmitted during the last 1 second before handover, to ensure that the target gNB can receive the UE assistance information successfully. However, based on the current description in the specification, the following case may happen:  * UE transmits *UEAssistanceInformation* message with delay budget report during the last 1 second before handover, which satisfies condition of “if the UE transmitted a *UEAssistanceInformation* message during the last 1 second” * UE is configured to be able to transmit overheating assistance in the target gNB, which satisfies condition of “and the UE is still configured to provide UE assistance information” * UE can initiate the transmission of a *UEAssistanceInformation* with clause 5.7.4.3, that is, UE can transmit overheating assistance information to the target gNB but it is not transmitted to the source gNB and UE may even not have any preference for overheating.  1. To avoid the unnecessary singalling above, UE can only transmit the *UEAssistanceInformation* with the type (i.e. delay budget report, overheating) that was transmitted during the last 1 second before handover and still configured to be able to transmit in the target gNB. To be note, UE can set the different value for the concerned UAI to be retransmitted.In RAN2#110-e meeting, CR#1688 is agreed. After handover to a different cell, the UE is not restricted to send the UE assistance information with same value. However, if the UE initates the transmission of UE assistance information message, the corresponding prohibit timer should be started or restarted which is aligned with section 5.7.4.2 Initiation. | | | | | | | | |
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| ***Summary of change:*** | | 1. Add description to restrict the type(s) of UE assistance information to be transmitted for handover case. 2. Add the description that UE starts or restarts the corresponding prohibit timer (if there is the timer configured for the UE assistance information) with the timer value set to the value in corresponding configuration. 3. Add “5.3.5.3” in 5.7.4.3 as the transmission of *UEAssistanceInformation* message can be triggered due to handover. | | | | | | | | |
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| ***Consequences if not approved:*** | | There is unnecessary singalling that UE can transmit type(s) of UE assistance information to the target gNB which was not transmitted to the source gNB before handover and UE may even not have any preference for these type(s) of UE assistance information.  The prohibit timer is not running after UE send assistance information which is an improper UE behaviour. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.5.3, 5.7.4.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*START OF CHANGE*

5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional reconfiguration (CHO or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution upon cell selection while timer T311 is running, as defined in 5.3.7.3:

2> remove all the entries within *VarConditionalReconfig*, if any;

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> release source SpCell configuration;

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

NOTE 0: If this *RRCReconfiguration* is associated to the MCG and includes *reconfigurationWithSync* in *spCellConfig* and *dedicatedSIB1-Delivery*, the UE initiates (if needed) the request to acquire required SIBs, according to clause 5.2.2.3.5, only after the random access procedure towards the target SpCell is completed.

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *dedicatedPosSysInfoDelivery*:

2> perform the action upon reception of the contained posSIB(s), as specified in sub-clause 5.2.2.4.16;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.12;

1> if the *RRCReconfiguration* message includes the *iab-IP-AddressConfigurationList*:

2> if iab-IP-AddressToReleaseList is included:

3> for each IAB-IP-AddressIndex received in the *iab-IP-AddressToReleaseList*

4> perform release of IP address as specified in 5.3.5.12a.1.1;

2> if iab-IP-AddressToAddModList is included:

3> for each *IAB-IP-AddressIndex* received in the *iab-IP-AddressToAddModList*

4> perform IAB IP address addition/update as specified in 5.3.5.12a.1.2;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.13;

1> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.14;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedEUTRA*:

2> if *sl-V2X-ConfigDedicated* is included in *sl-ConfigDedicatedEUTRA*

3> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a in TS 36.331 [10];

2> if *sl-V2X-SPS-Config* is included in *sl-ConfigDedicatedEUTRA*

3> perform V2X sidelink SPS reconfiguration as specified in 5.3.10.5 in TS 36.331 [10];

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each SCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each SCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was included in an *RRCResume* message:

3> include the *RRCReconfigurationComplete* message in the *nr-SCG-Response* within the *scg-Response* in the *RRCResumeComplete* message;

2> if the *RRCReconfiguration* message was included in E-UTRA *RRCConnectionResume* message:

3> include the *RRCReconfigurationComplete* message in the E-UTRA MCG RRC message *RRCConnectionResumeComplete* in accordance with TS 36.331 [10], clause 5.3.3.4a;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCReconfigurationComplete* message;

2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableBT* in the *RRCReconfigurationComplete* message;

2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableWLAN* in the *RRCReconfigurationComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received in response to the *MCGFailureInformation* message:

3> clear the information included in *VarRLF-Report,* if any;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received via SRB1, but not within *mrdc-SecondaryCellGroup* or E-UTRA *RRCConnectionReconfiguration*:

3> if the UE is configured to provide the measurement gap requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*; or

4> if the *NeedForGapsInfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapsInfoNR* and set the contents as follows:

6> include *intraFreq-needForGap* and set the gap requirement informantion of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):

2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10]; or

2> if the *RRCReconfiguration* message was received via SRB3 within *DLInformationTransferMRDC*;

3> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution:

4> submit the *RRCReconfigurationComplete* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10], clause 5.6.2a.

3> else:

4> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3) but not within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1 or within *DLInformationTransferMRDC* via SRB3, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3 but not within *DLInformationTransferMRDC*, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received via SRB1 within the *nr-SCG* within *mrdc-SecondaryCellGroup* (UE in NR-DC, *mrdc-SecondaryCellGroup* was received in *RRCReconfiguration* via SRB1):

2> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution:

3> submit the *RRCReconfigurationComplete* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in clause 5.7.2a.3.

2> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

2> else

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3 (UE in NR-DC):

2> if the *RRCReconfiguration* message was received within *DLInformationTransferMRDC*:

3> if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

4> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else:

5> the procedure ends;

3> else:

4> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> else:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(*RRCReconfiguration* was received via SRB1):

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2 and DRBs that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG, and when MAC of an NR cell group successfully completes a Random Access procedure triggered above:

2> stop timer T304 for that cell group;

2> stop timer T310 for source SpCell if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> for each DRB configured as DAPS bearer, request uplink data switching to the PDCP entity, as specified in TS 38.323 [5];

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if T350 is running:

4> stop timer T350;

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG; or:

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an SCG and the CPC was configured

3> remove all the entries within *VarConditionalReconfig*, if any;

3> for each *measId* of the source SpCell configuration, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

4> for the associated *reportConfigId*:

5> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *cho-TriggerConfig*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup* or *secondaryCellGroup*; and

2> if the UE transmitted a *UEAssistanceInformation* message for the corresponding cell group during the last 1 second, and the UE is still configured to provide the concerned UE assistance information for the corresponding cell group:

3> initiate transmission of a *UEAssistanceInformation* message for the corresponding cell group in accordance with clause 5.7.4.3 to provide the concerned UE assistance information;

3> start or restart the prohibit timer (if exists) associated with the concerned UE assistance information with the timer value set to the value in corresponding configuration;

2> if *SIB12* is provided by the target PCell; and the UE transmitted a *SidelinkUEInformationNR* message indicating a change of NR sidelink communication related parameters relevant in target PCell (i.e. change of *sl-RxInterestedFreqList* or *sl-TxResourceReqList*) during the last 1 second preceding reception of the *RRCReconfiguration* message including *reconfigurationWithSync* in *spCellConfig* of an MCG:

3> initiate transmission of the *SidelinkUEInformationNR* message in accordance with 5.8.3.3;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast data reception, i.e. the broadcast and unicast beams are quasi co-located.

NOTE X: The UE sets the content of *UEAssistanceInformation* according to latest configuration (i.e. the configuration after applying the *RRCReconfiguration* message) and latest UE preference. The UE may include more than the concerned UE assistance information within the *UEAssistanceInformation* according to 5.7.4.2. Therefore, the content of *UEAssistanceInformation* message may not be exactly the same with previous one.

*START OF NEXT CHANGE*

#### 5.7.4.3 Actions related to transmission of *UEAssistanceInformation* message

The UE shall set the contents of the *UEAssistanceInformation* message as follows:

1> if transmission of the *UEAssistanceInformation* message is initiated to provide a delay budget report according to 5.7.4.2 or 5.3.5.3;

2> set *delayBudgetReport* to *type1* according to a desired value;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide overheating assistance information according to 5.7.4.2 or 5.3.5.3;

2> if the UE experiences internal overheating:

3> if the UE prefers to temporarily reduce the number of maximum secondary component carriers:

4> include reducedMaxCCs in the OverheatingAssistance IE;

4> set reducedCCsDL to the number of maximum SCells the UE prefers to be temporarily configured in downlink;

4> set reducedCCsUL to the number of maximum SCells the UE prefers to be temporarily configured in uplink;

3> if the UE prefers to temporarily reduce maximum aggregated bandwidth of FR1:

4> include reducedMaxBW-FR1 in the OverheatingAssistance IE;

4> set reducedBW-DL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all downlink carriers of FR1;

4> set reducedBW-UL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all uplink carriers of FR1;

3> if the UE prefers to temporarily reduce maximum aggregated bandwidth of FR2:

4> include reducedMaxBW-FR2 in the OverheatingAssistance IE;

4> set reducedBW-DL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all downlink carriers of FR2;

4> set reducedBW-UL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all uplink carriers of FR2;

3> if the UE prefers to temporarily reduce the number of maximum MIMO layers of each serving cell operating on FR1:

4> include reducedMaxMIMO-LayersFR1 in the OverheatingAssistance IE;

4> set reducedMIMO-LayersFR1-DL to the number of maximum MIMO layers of each serving cell operating on FR1 the UE prefers to be temporarily configured in downlink;

4> set reducedMIMO-LayersFR1-UL to the number of maximum MIMO layers of each serving cell operating on FR1 the UE prefers to be temporarily configured in uplink;

3> if the UE prefers to temporarily reduce the number of maximum MIMO layers of each serving cell operating on FR2:

4> include reducedMaxMIMO-LayersFR2 in the OverheatingAssistance IE;

4> set reducedMIMO-LayersFR2-DL to the number of maximum MIMO layers of each serving cell operating on FR2 the UE prefers to be temporarily configured in downlink;

4> set reducedMIMO-LayersFR2-UL to the number of maximum MIMO layers of each serving cell operating on FR2 the UE prefers to be temporarily configured in uplink;

2> else (if the UE no longer experiences an overheating condition):

3> do not include reducedMaxCCs, reducedMaxBW-FR1, reducedMaxBW-FR2, reducedMaxMIMO-LayersFR1 and reducedMaxMIMO-LayersFR2 in OverheatingAssistance IE;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide IDC assistance information according to 5.7.4.2 or 5.3.5.3:

2> if there is at least one carrier frequency included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:

3> include the field *affectedCarrierFreqList* with an entry for each affected carrier frequency included in *candidateServingFreqListNR*;

3> for each carrier frequency included in the field *affectedCarrierFreqList*, include *interferenceDirection* and set it accordingly;

2> if there is at least one supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:

3> include *victimSystemType* for each UL CA combination included in *affectedCarrierFreqCombList*;

3> if the UE sets *victimSystemType* to *wlan* or *bluetooth*:

4> include *affectedCarrierFreqCombList* with an entry for each supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, that is affected by IDC problems;

3> else:

4> optionally include *affectedCarrierFreqCombList* with an entry for each supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, that is affected by IDC problems;

NOTE 1: When sending an *UEAssistanceInformation* message to inform the IDC problems, the UE includes all IDC assistance information (rather than providing e.g. the changed part(s) of the IDC assistance information).

NOTE 2: Upon not anymore experiencing a particular IDC problem that the UE previously reported, the UE provides an IDC indication with the modified contents of the *UEAssistanceInformation* message (e.g. by not including the IDC assistance information in the *idc-Assistance* field).

1> if transmission of the *UEAssistanceInformation* message is initiated to provide *drx-Preference* of a cell group for power saving according to 5.7.4.2 or 5.3.5.3:

2> include *drx-Preference* in the *UEAssistanceInformation* message;

2> if the UE has a preference on DRX parameters for the cell group:

3> if the UE has a preference for the long DRX cycle:

4> include *preferredDRX-LongCycle* in the *DRX-Preference* IE andset it to the preferred value;

3> if the UE has a preference for the DRX inactivity timer:

4> include *preferredDRX-InactivityTimer* in the *DRX-Preference* IE and set it to the preferred value;

3> if the UE has a preference for the short DRX cycle:

4> include *preferredDRX-ShortCycle* in the *DRX-Preference* IE and set it to the preferred value;

3> if the UE has a preference for the short DRX timer:

4> include *preferredDRX-ShortCycleTimer* in the *DRX-Preference* IE and set it to the preferred value;

2> else (if the UE has no preference on DRX parameters for the cell group):

3> do not include *preferredDRX-LongCycle, preferredDRX-InactivityTimer, preferredDRX-ShortCycle* and *preferredDRX-ShortCycleTimer* in the *DRX-Preference* IE;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide *maxBW-Preference* of a cell group for power saving according to 5.7.4.2 or 5.3.5.3:

2> include *maxBW-Preference* in the *UEAssistanceInformation* message;

2> if the UE has a preference on the maximum aggregated bandwidth for the cell group:

3> if the UE prefers to reduce the maximum aggregated bandwidth of FR1:

4> include reducedMaxBW-FR1 in the MaxBW-Preference IE;

4> set reducedBW-DL to the maximum aggregated bandwidth the UE desires to have configured across all downlink carriers of FR1;

4> set reducedBW-UL to the maximum aggregated bandwidth the UE desires to have configured across all uplink carriers of FR1;

3> if the UE prefers to reduce the maximum aggregated bandwidth of FR2:

4> include reducedMaxBW-FR2 in the MaxBW-Preference IE;

4> set reducedBW-DL to the maximum aggregated bandwidth the UE desires to have configured across all downlink carriers of FR2;

4> set reducedBW-UL to the maximum aggregated bandwidth the UE desires to have configured across all uplink carriers of FR2;

2> else (if the UE has no preference on the maximum aggregated bandwidth for the cell group):

3> do not include *reducedMaxBW-FR1* and *reducedMaxBW-FR2* in the *MaxBW-Preference* IE;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide *maxCC-Preference* of a cell group for power saving according to 5.7.4.2 or 5.3.5.3:

2> include *maxCC-Preference* in the *UEAssistanceInformation* message;

2> if the UE has a preference on the maximum number of secondary component carriers for the cell group:

3> include *ReducedMaxCCs* in the *MaxCC-Preference* IE;

3> set *reducedCCsDL* to the number of maximum SCells the UE desires to have configured in downlink;

3> set *reducedCCsUL* to the number of maximum SCells the UE desires to have configured in uplink;

2> else (if the UE has no preference on the maximum number of secondary component carriers for the cell group):

3> do not include *ReducedMaxCCs* in the *MaxCC-Preference* IE;

NOTE 3: The UE can implicitly indicate a preference for NR SCG release by reporting the maximum aggregated bandwidth preference for power saving of the cell group, if configured, as zero for both FR1 and FR2, and by reporting the maximum number of secondary component carriers for power saving of the cell group, if configured, as zero for both uplink and downlink.

1> if transmission of the *UEAssistanceInformation* message is initiated to provide *maxMIMO-LayerPreference* of a cell group for power saving according to 5.7.4.2 or 5.3.5.3:

2> include *maxMIMO-LayerPreference* in the *UEAssistanceInformation* message;

2> if the UE has a preference on the maximum number of MIMO layers for the cell group:

3> if the UE prefers to reduce the number of maximum MIMO layers of each serving cell operating on FR1:

4> include reducedMaxMIMO-LayersFR1 in the MaxMIMO-LayerPreference IE;

4> set reducedMIMO-LayersFR1-DL to the preferred maximum number of downlink MIMO layers of each BWP of each FR1 serving cell that the UE operates on;

4> set reducedMIMO-LayersFR1-UL to the preferred maximum number of uplink MIMO layers of each FR1 serving cell that the UE operates on;

3> if the UE prefers to reduce the number of maximum MIMO layers of each serving cell operating on FR2:

4> include reducedMaxMIMO-LayersFR2 in the MaxMIMO-LayerPreference IE;

4> set reducedMIMO-LayersFR2-DL to the preferred maximum number of downlink MIMO layers of each BWP of each FR2 serving cell that the UE operates on;

4> set reducedMIMO-LayersFR2-UL to the preferred maximum number of uplink MIMO layers of each FR2 serving cell that the UE operates on;

2> else (if the UE has no preference on the maximum number of MIMO layers for the cell group):

3> do not include *reducedMaxMIMO-LayersFR1* and *reducedMaxMIMO-LayersFR2* in the *MaxMIMO-LayerPreference* IE;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide *minSchedulingOffsetPreference* of a cell group for power saving according to 5.7.4.2 or 5.3.5.3:

2> include *minSchedulingOffsetPreference* in the *UEAssistanceInformation* message;

2> if the UE has a preference on the minimum scheduling offset for cross-slot scheduling for the cell group:

3> if the UE has a preference for the value of K0 (TS 38.214 [19], clause 5.1.2.1) for cross-slot scheduling with 15 kHz SCS:

4> include *preferredK0-SCS-15kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*0;

3> if the UE has a preference for the value of K0 for cross-slot scheduling with 30 kHz SCS:

4> include *preferredK0-SCS-30kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*0;

3> if the UE has a preference for the value of K0 for cross-slot scheduling with 60 kHz SCS:

4> include *preferredK0-SCS-60kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*0;

3> if the UE has a preference for the value of K0 for cross-slot scheduling with 120 kHz SCS:

4> include *preferredK0-SCS-120kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*0;

3> if the UE has a preference for the value of K2 (TS 38.214 [19], clause 6.1.2.1) for cross-slot scheduling with 15 kHz SCS:

4> include *preferredK2-SCS-15kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*2;

3> if the UE has a preference for the value of K2 for cross-slot scheduling with 30 kHz SCS:

4> include *preferredK2-SCS-30kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*2;

3> if the UE has a preference for the value of K2 for cross-slot scheduling with 60 kHz SCS:

4> include *preferredK2-SCS-60kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*2;

3> if the UE has a preference for the value of K2 for cross-slot scheduling with 120 kHz SCS:

4> include *preferredK2-SCS-120kHz* in the *minSchedulingOffsetPreference* IE and set it to the desired value of *K*2;

2> else (if the UE has no preference on the minimum scheduling offset for cross-slot scheduling for the cell group):

3> do not include *preferredK0* and *preferredK2* in the *minSchedulingOffsetPreference* IE;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide a release preference according to 5.7.4.2 or 5.3.5.3:

2> include *releasePreference* in the *UEAssistanceInformation* message;

2> set *preferredRRC-State* to the desired RRC state on transmission of the *UEAssistanceInformation* message;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide an indication of preference in being provisioned with reference time information according to 5.7.4.2 or 5.3.5.3:

2> if the UE has a preference in being provisioned with reference time information:

3> set *referenceTimeInfoPreference* to *true*;

2> else:

3> set *referenceTimeInfoPreference* to *false*.

The UE shall set the contents of the *UEAssistanceInformation* message for configured grant assistance information for NR sidelink communication:

1> if configured to provide configured grant assistance information for NR sidelink communication:

2> include the sl-UE-AssistanceInformationNR;

NOTE 4: It is up to UE implementation when and how to trigger configured grant assistance information for NR sidelink communication.

1> if the procedure was triggered to provide configured grant assistance information for NR sidelink communication by an NR *RRCReconfiguration* message that was embedded within an E-UTRA *RRCConnectionReconfiguration*:

2> submit the *UEAssistanceInformation* to lower layers via SRB1, embedded in LTE RRC message *ULInformationTransferIRAT* as specified in TS 36.331 [10], clause 5.6.x;

1> else:

2> submit the *UEAssistanceInformation* message to lower layers for transmission.

The UE shall:

1> if the UE is in (NG)EN-DC:

2> if SRB3 is configured:

3> submit the *UEAssistanceInformation* message via SRB3 to lower layers for transmission;

2> else:

3> submit the *UEAssistanceInformation* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10].

1> else if the UE is in NR-DC:

2> if the UE assistance configuration that triggered this UE assistance information is associated with the SCG:

3> if SRB3 is configured:

4> submit the *UEAssistanceInformation* message via SRB3 to lower layers for transmission;

3> else:

4> submit the *UEAssistanceInformation* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in5.7.2a.3;

2> else:

3> submit the *UEAssistanceInformation* message via SRB1 to lower layers for transmission;

1> else:

2> submit the *UEAssistanceInformation* message to lower layers for transmission.

END OF CHANGE