**3GPP TSG-RAN WG2 Meeting #111 electronic *R2-200XXXX***

**Online, August 17th - 28th, 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** |  **1764** | **rev** | **1** | **Current version:** | **16.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Correction on the Cross Carrier Scheduling Configuration |
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| ***Source to WG:*** | CATT |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2020-08-01 |
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| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | In current specification, there is a restriction, i.e., “For a scheduled cell in the case of cross carrier scheduling, except for *nrofCandidates*, all the optional fields are absent”, for the IE *searchSpace*. However, the presence condition of the sub-field *controlResourceSetId* is SetupOnly which is described as following:

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| *SetupOnly* | This field is mandatory present upon creation of a new *SearchSpace*. It is absent, Need M, otherwise. |

As per the presence condition description, the field *controlResourceSetId* should be present upon creation of new *SearchSpace*, which conflicts with the previously mentioned restriction. So if the *searchSpace* is configured for scheduled SCell in case of cross carrier scheduling, there is a confusion whehther the *controlResourceSetId* should be present or not.It is also specified “If this IE is used for the scheduled cell in case of cross carrier scheduling, the fields other than *searchSpacesToAddModList* and *searchSpacesToReleaseList* are absent” for the *PDCCH-Config*. So it is clear that it is useless to configured the *controlResourceSetId* for a scheduled scell in case of cross carrier scheduling. So a clarification should be added to make it clear.The same clarification should also be added for the presence condition of *Setup* and *Setup2*. As the *nrofCandidates* should be configured no matter it is scheduling cell or scheduled scell, the current presence condition can be kept.Therefore such collision needs to be fixed. |
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| ***Summary of change:*** | 1. Add “(regardless of their presence conditions)” at the end of “For a scheduled cell in the case of cross carrier scheduling, except for nrofCandidates, all the optional fields are absent”, for the IE searchSpace.

**Impact analysis**Impacted 5G architecture options: Standalone, (NG)EN-DC, NR-DC, NE-DCImpacted functionality: Cross carrier scheduling Inter-operability:1. If the network is implemented according to the CR and the UE is not, UE may assume the the network should configure the *controlResourceSetId* and the other fields upon the creation of new search space for scheduled SCell, therefore UE assume configuration error.
2. If the UE is implemented according to the CR and the network is not, the network may configure the *controlResourceSetId* and the other fields upon the creation of new search space for scheduled SCell, therefore UE assume configuration error.
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| ***Consequences if not approved:*** | It is not clear whether the *controlResourceSetId* and the other fields needed to be present upon the creation of new search space for a scheduled SCell in case of cross carrier scheduling. |
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| ***Clauses affected:*** | 6.3.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
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| ***This CR's revision history:*** | Revision of R2-2006996 |

START OF CHANGE

– *SearchSpace*

The IE *SearchSpace* defines how/where to search for PDCCH candidates. Each search space is associated with one *ControlResourceSet*. For a scheduled cell in the case of cross carrier scheduling, except for *nrofCandidates*, all the optional fields are absent (regardless of their presence conditions).

***SearchSpace* information element**

-- ASN1START

-- TAG-SEARCHSPACE-START

SearchSpace ::= SEQUENCE {

 searchSpaceId SearchSpaceId,

 controlResourceSetId ControlResourceSetId OPTIONAL, -- Cond SetupOnly

 monitoringSlotPeriodicityAndOffset CHOICE {

 sl1 NULL,

 sl2 INTEGER (0..1),

 sl4 INTEGER (0..3),

 sl5 INTEGER (0..4),

 sl8 INTEGER (0..7),

 sl10 INTEGER (0..9),

 sl16 INTEGER (0..15),

 sl20 INTEGER (0..19),

 sl40 INTEGER (0..39),

 sl80 INTEGER (0..79),

 sl160 INTEGER (0..159),

 sl320 INTEGER (0..319),

 sl640 INTEGER (0..639),

 sl1280 INTEGER (0..1279),

 sl2560 INTEGER (0..2559)

 } OPTIONAL, -- Cond Setup

 duration INTEGER (2..2559) OPTIONAL, -- Need R

 monitoringSymbolsWithinSlot BIT STRING (SIZE (14)) OPTIONAL, -- Cond Setup

 nrofCandidates SEQUENCE {

 aggregationLevel1 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

 aggregationLevel2 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

 aggregationLevel4 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

 aggregationLevel8 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

 aggregationLevel16 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8}

 } OPTIONAL, -- Cond Setup

 searchSpaceType CHOICE {

 common SEQUENCE {

 dci-Format0-0-AndFormat1-0 SEQUENCE {

 ...

 } OPTIONAL, -- Need R

 dci-Format2-0 SEQUENCE {

 nrofCandidates-SFI SEQUENCE {

 aggregationLevel1 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel2 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel4 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel8 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel16 ENUMERATED {n1, n2} OPTIONAL -- Need R

 },

 ...

 } OPTIONAL, -- Need R

 dci-Format2-1 SEQUENCE {

 ...

 } OPTIONAL, -- Need R

 dci-Format2-2 SEQUENCE {

 ...

 } OPTIONAL, -- Need R

 dci-Format2-3 SEQUENCE {

 dummy1 ENUMERATED {sl1, sl2, sl4, sl5, sl8, sl10, sl16, sl20} OPTIONAL, -- Cond Setup

 dummy2 ENUMERATED {n1, n2},

 ...

 } OPTIONAL -- Need R

 },

 ue-Specific SEQUENCE {

 dci-Formats ENUMERATED {formats0-0-And-1-0, formats0-1-And-1-1},

 ...,

 [[

 dci-Formats-MT-r16 ENUMERATED {formats2-5} OPTIONAL, -- Need R

 dci-FormatsSL-r16 ENUMERATED {formats0-0-And-1-0, formats0-1-And-1-1, formats3-0, formats3-1,

 formats3-0-And-3-1} OPTIONAL, -- Need R

 dci-FormatsExt-r16 ENUMERATED {formats0-2-And-1-2, formats0-1-And-1-1And-0-2-And-1-2}

 OPTIONAL -- Need R

 ]]

 }

 } OPTIONAL -- Cond Setup2

}

SearchSpaceExt-r16 ::= SEQUENCE {

 controlResourceSetId-r16 ControlResourceSetId-r16 OPTIONAL, -- Cond SetupOnly2

 searchSpaceType-r16 SEQUENCE {

 common-r16 SEQUENCE {

 dci-Format2-4-r16 SEQUENCE {

 nrofCandidates-CI-r16 SEQUENCE {

 aggregationLevel1-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel2-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel4-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel8-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel16-r16 ENUMERATED {n1, n2} OPTIONAL -- Need R

 },

 ...

 } OPTIONAL, -- Need R

 dci-Format2-5-r16 SEQUENCE {

 nrofCandidates-IAB-r16 SEQUENCE {

 aggregationLevel1-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel2-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel4-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel8-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

 aggregationLevel16-r16 ENUMERATED {n1, n2} OPTIONAL -- Need R

 },

 ...

 } OPTIONAL, -- Need R

 dci-Format2-6-r16 SEQUENCE {

 ...

 } OPTIONAL, -- Need R

 ...

 }

 } OPTIONAL, -- Cond Setup3

 searchSpaceGroupIdList-r16 SEQUENCE (SIZE (1.. 2)) OF INTEGER (0..1) OPTIONAL, -- Need R

 freqMonitorLocations-r16 BIT STRING (SIZE (5)) OPTIONAL -- Need R

}

-- TAG-SEARCHSPACE-STOP

-- ASN1STOP

|  |
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| ***SearchSpace* field descriptions** |
| ***common***Configures this search space as common search space (CSS) and DCI formats to monitor. |
| ***controlResourceSetId***The CORESET applicable for this SearchSpace. Value 0 identifies the common CORESET#0 configured in MIB and in *ServingCellConfigCommon*. Values 1..*maxNrofControlResourceSets-1* identify CORESETs configured in System Information or by dedicated signalling. The CORESETs with *non-zero controlResourceSetId* are configured in the same BWP as this *SearchSpace*. If the field *controlResourceSetId-r16* is present, UE shall ignore the *controlResourceSetId* (without suffix). |
| ***dummy1, dummy2***This field is not used in the specification. If received it shall be ignored by the UE. |
| ***dci-Format0-0-AndFormat1-0***If configured, the UE monitors the DCI formats 0\_0 and 1\_0 according to TS 38.213 [13], clause 10.1. |
| ***dci-Format2-0***If configured, UE monitors the DCI format 2\_0 according to TS 38.213 [13], clause 10.1, 11.1.1. |
| ***dci-Format2-1***If configured, UE monitors the DCI format 2\_1 according to TS 38.213 [13], clause 10.1, 11.2. |
| ***dci-Format2-2***If configured, UE monitors the DCI format 2\_2 according to TS 38.213 [13], clause 10.1, 11.3. |
| ***dci-Format2-3***If configured, UE monitors the DCI format 2\_3 according to TS 38.213 [13], clause 10.1, 11.4 |
| ***dci-Format2-4***If configured, UE monitors the DCI format 2\_4 according to TS 38.213 [13], clause 11.2A. |
| ***dci-Format2-5***If configured, IAB-MT monitors the DCI format 2\_5 according to TS 38.213 [13], clause 14. |
| ***dci-Format2-6***If configured, UE monitors the DCI format 2\_6 according to TS 38.213 [13], clause 10.1, 11.5. DCI format 2\_6 can only be configured on the SpCell. |
| ***dci-Formats***Indicates whether the UE monitors in this USS for DCI formats 0-0 and 1-0 or for formats 0-1 and 1-1. |
| ***dci-FormatsExt***If this field is present, the field *dci-Formats* is ignored and *dci-FormatsExt* is used instead to indicate whether the UE monitors in this USS for DCI format 0\_2 and 1\_2 or formats 0\_1 and 1\_1 and 0\_2 and 1\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 10.1). |
| ***dci-Formats-MT***Indicates whether the IAB-MT monitors the DCI formats 2-5 according to TS 38.213 [13], clause 14. |
| ***dci-FormatsSL***Indicates whether the UE monitors in this USS for DCI formats 0-0 and 1-0 or for formats 0-1 and 1-1 or for format 3-0 of dynamic grant or for format 3-1 or for formats 3-0 of dynamic grant and 3-1. |
| ***duration***Number of consecutive slots that a SearchSpace lasts in every occasion, i.e., upon every period as given in the *periodicityAndOffset*. If the field is absent, the UE applies the value 1 slot, except for DCI format 2\_0. The UE ignores this field for DCI format 2\_0. The maximum valid duration is periodicity-1 (periodicity as given in the *monitoringSlotPeriodicityAndOffset*).For IAB-MT, duration indicates number of consecutive slots that a SearchSpace lasts in every occasion, i.e., upon every period as given in the *periodicityAndOffset*. If the field is absent, the IAB-MT applies the value 1 slot, except for DCI format 2\_0 and DCI format 2\_5. The UE ignores this field for DCI format 2\_0 and DCI format 2\_5. The maximum valid duration is periodicity-1 (periodicity as given in the *monitoringSlotPeriodicityAndOffset*). |
| ***freqMonitorLocations***Value 1 indicates that a frequency domain resource allocation replicated from the pattern configured in the associated CORESET is mapped to the RB set. LSB corresponds to lowest RB set in the BWP. For a RB set indicated in the bitmap, the first PRB of the frequency domain monitoring location confined within the RB set is aligned with {the first PRB of the RB set + *rb-Offset* provided by the associated CORESET. |
| ***monitoringSlotPeriodicityAndOffset***Slots for PDCCH Monitoring configured as periodicity and offset. If the UE is configured to monitor DCI format 2\_1, only the values 'sl1', 'sl2' or 'sl4' are applicable. If the UE is configured to monitor DCI format 2\_0, only the values ′sl1′, ′sl2′, ′sl4′, ′sl5′, ′sl8′, ′sl10′, ′sl16′, and ′sl20′ are applicable (see TS 38.213 [13], clause 10). For IAB-MT, If the IAB-MT is configured to monitor DCI format 2\_1, only the values 'sl1', 'sl2' or 'sl4' are applicable. If the IAB-MT is configured to monitor DCI format 2\_0 or DCI format 2\_5, only the values ′sl1′, ′sl2′, ′sl4′, ′sl5′, ′sl8′, ′sl10′, ′sl16′, and ′sl20′ are applicable (see TS 38.213, clause 10). If the UE is configured to monitor DCI format 2\_4, only the values 'sl1', 'sl2', 'sl4', 'sl5', 'sl8' and 'sl10' are applicable. |
| ***monitoringSymbolsWithinSlot***The first symbol(s) for PDCCH monitoring in the slots configured for PDCCH monitoring (see *monitoringSlotPeriodicityAndOffset* and *duration*). The most significant (left) bit represents the first OFDM in a slot, and the second most significant (left) bit represents the second OFDM symbol in a slot and so on. The bit(s) set to one identify the first OFDM symbol(s) of the control resource set within a slot. If the cyclic prefix of the BWP is set to extended CP, the last two bits within the bit string shall be ignored by the UE .For DCI format 2\_0, the first one symbol applies if the *duration* of CORESET (in the IE *ControlResourceSet*) identified by *controlResourceSetId* indicates 3 symbols, the first two symbols apply if the *duration* of CORESET identified by *controlResourceSetId* indicates 2 symbols, and the first three symbols apply if the *duration* of CORESET identified by *controlResourceSetId* indicates 1 symbol.See TS 38.213 [13], clause 10. |
| ***nrofCandidates-CI***The number of PDCCH candidates specifically for format 2-4 for the configured aggregation level. If an aggregation level is absent, the UE does not search for any candidates with that aggregation level. The network configures only one aggregationLevel and the corresponding number of candidates (see TS 38.213 [13], clause 10.1). |
| ***nrofCandidates-SFI***The number of PDCCH candidates specifically for format 2-0 for the configured aggregation level. If an aggregation level is absent, the UE does not search for any candidates with that aggregation level. The network configures only one aggregationLevel and the corresponding number of candidates (see TS 38.213 [13], clause 11.1.1). |
| ***nrofCandidates***Number of PDCCH candidates per aggregation level. The number of candidates and aggregation levels configured here applies to all formats unless a particular value is specified or a format-specific value is provided (see inside *searchSpaceType*). If configured in the *SearchSpace* of a cross carrier scheduled cell, this field determines the number of candidates and aggregation levels to be used on the linked scheduling cell (see TS 38.213 [13], clause 10). |
| ***searchSpaceGroupIdList***List of search space group IDs which the search space is associated with. The network configures at most 2 search space groups per BWP where the group ID is either 0 or 1. |
| ***searchSpaceId***Identity of the search space. SearchSpaceId = 0 identifies the *searchSpaceZero* configured via PBCH (MIB) or *ServingCellConfigCommon* and may hence not be used in the *SearchSpace* IE. The *searchSpaceId* is unique among the BWPs of a Serving Cell. In case of cross carrier scheduling, search spaces with the same *searchSpaceId* in scheduled cell and scheduling cell are linked to each other. The UE applies the search space for the scheduled cell only if the DL BWPs in which the linked search spaces are configured in scheduling cell and scheduled cell are both active.For an IAB-MT, the search space defines how/where to search for PDCCH candidates for an IAB-MT. Each search space is associated with one ControlResearchSet. For a scheduled cell in the case of cross carrier scheduling, except for nrofCandidates, all the optional fields are absent. |
| ***searchSpaceType***Indicates whether this is a common search space (present) or a UE specific search space as well as DCI formats to monitor for. |
| ***ue-Specific***Configures this search space as UE specific search space (USS). The UE monitors the DCI format with CRC scrambled by C-RNTI, CS-RNTI (if configured), and SP-CSI-RNTI (if configured) |

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| **Conditional Presence** | **Explanation** |
| *Setup* | This field is mandatory present upon creation of a new *SearchSpace*. It is optionally present, Need M, otherwise. |
| *Setup2* | This field is mandatory present when a new *SearchSpace* is set up, if the same *SearchSpace* ID is not included in *searchSpacesToAddModListExt-r16* of the parent IE with the field *searchSpaceType-r16* included. Otherwise it is optionally present, Need M. |
| *Setup3* | This field is mandatory present when a new *SearchSpace* is set up, if the same *SearchSpace* ID is not included in *searchSpacesToAddModListExt* (without suffix) of the parent IE with the field *searchSpaceType* (without suffix) included. Otherwise it is optionally present, Need M. |
| *SetupOnly* | This field is mandatory present upon creation of a new *SearchSpace*. It is absent, Need M, otherwise. |
| *SetupOnly2* | In PDCCH-Config, the field is optionally present upon creation of a new SearchSpace and absent, Need M upon reconfiguration of an existing SearchSpace.In PDCCH-ConfigCommon, the field is absent. |

END OF CHANGE