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| **3GPP TSG-RAN WG2 Meeting #110-e *R2-20xxxxx***  **Electronic, 1 June - 12 June 2020**  *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **draftCR** | **rev** |  | **Current version:** | **16.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | draftCR on RLF report | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | ZTE Corporation,Sanechips | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_SON\_MDT-Core | | | | |  | ***Date:*** | | | 2020-6-9 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | |  | | | | | | | | |
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| ***Summary of change:*** | | 1. In subclause 5.3.5.8.3, the detailed procedure for storing HO failure information is deleted, instead a reference to new created session for RLF report content determination is used; 2. In subclause 5.3.10.3, the detailed procedure for storing RLF failure information is deleted, instead a reference to new created session for RLF report content determination is used; 3. A new section for determine RLF report content is created. | | | | | | | | |
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| ***Consequences if not approved:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.3.5.8.3, 5.3.10.3, 5.3.10.x | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## <1st Change>

--------------------------------- [Start of change] -----------------------------------

5.3.5.8.3 T304 expiry (Reconfiguration with sync Failure)

The UE shall:

1> if T304 of the MCG expires:

2> release dedicated preambles provided in *rach-ConfigDedicated* if configured;

2> release dedicated msgA PUSCH resources provided in *rach-ConfigDedicated* if configured;

2> store the handover failure information in *VarRLF-Report* as described in subclause 5.3.10.x;

2> if *dapsConfig* is configured for any DRB, and radio link failure is not detected in the source PCell, according to subclause 5.3.10.3:

3> release target PCell configuration;

3> reset target MAC and release the target MAC configuration;

3> for each DRB with a DAPS PDCP entity:

4> release the RLC entity and the associated logical channel for the target;

4> reconfigure the PDCP entity to normal PDCP as specified in TS 38.323 [5];

3> for each SRB:

4> if the *masterKeyUpdate* was not received:

5> configure the PDCP entity for the source with the same state variables as the PDCP entity for the target;

4> release the PDCP entity for the target;

4> release the RLC entity and the associated logical channel for the target;

3> release the physical channel configuration for the target;

3> revert back to the SDAP configuration used in the source;

3> discard the keys used in target (the KgNB key, the S-KgNB key, the S-KeNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

3> resume suspended SRBs in the source;

3> for each DRB without a DAPS PDCP entity:

4> revert back to the UE configuration used for the DRB in the source, includes PDCP, RLC states variables, the security configuration and the data stored in transmission and reception buffers in PDCP and RLC entities ;

3> revert back to the UE RRM configuration used in the source;

3> initiate the failure information procedure as specified in subclause 5.7.5 to report DAPS handover failure.

2> else:

3> revert back to the UE configuration used in the source PCell;

3> initiate the connection re-establishment procedure as specified in subclause 5.3.7.

NOTE 1: In the context above, "the UE configuration" includes state variables and parameters of each radio bearer.

1> else if T304 of a secondary cell group expires:

2> if MCG transmission is not suspended:

3> release dedicated preambles provided in *rach-ConfigDedicated,* if configured;

3> initiate the SCG failure information procedure as specified in subclause 5.7.3 to report SCG reconfiguration with sync failure, upon which the RRC reconfiguration procedure ends;

2> else:

3> initiate the connection re-establishment procedure as specified in subclause 5.3.7;

1> else if T304 expires when *RRCReconfiguration* is received via other RAT (HO to NR failure):

2> reset MAC;

2> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT.

NOTE 2: In this clause, the term ‘handover failure’ has been used to refer to ‘reconfiguration with sync failure’.

--------------------------------- [End of change] ------------------------------------

## <2nd Change>

--------------------------------- [Start of change] -----------------------------------

5.3.10.3 Detection of radio link failure

The UE shall:

1> if *dapsConfig* is configured for any DRB:

2> upon T310 expiry in source; or

2> upon random access problem indication from source MCG MAC; or

2> upon indication from source MCG RLC that the maximum number of retransmissions has been reached:

3> consider radio link failure to be detected for the source MCG i.e. source RLF;

4> suspend all DRBs in the source;

4> release the source connection.

1> else:

2> upon T310 expiry in PCell; or

2> upon T312 expiry in PCell; or

2> upon random access problem indication from MCG MAC while neither T300, T301, T304, T311 nor T319 are running; or

2> upon indication from MCG RLC that the maximum number of retransmissions has been reached; or

2> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the MCG; or

2> upon indication of consistent uplink LBT failures from MCG MAC:

3> if the indication is from MCG RLC and CA duplication is configured and activated, and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

4> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

3> else:

4> consider radio link failure to be detected for the MCG i.e. RLF;

4> discard any segments of segmented RRC messages received;

4> store the radio link failure information in the *VarRLF-Report* as described in subclause 5.3.10.x;

4> if AS security has not been activated:

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other';-

4> else if AS security has been activated but SRB2 and at least one DRB have not been setup:

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

Editor's note: FFS if the check for SRB2 activation and the setup of one DRB is applicable to IAB nodes.

4> else:

5> if T316 is configured; and

5> if SCG transmission is not suspended; and

5> if PSCell change is not ongoing (i.e. timer T304 for the NR PSCell is not running in case of NR-DC or timer T307 of the E-UTRA PSCell is not running as specified in TS 36.331 [10], clause 5.3.10.10, in NE-DC):

6> initiate the MCG failure information procedure as specified in 5.7.3b to report MCG radio link failure.

5> else:

6> initiate the connection re-establishment procedure as specified in 5.3.7.

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure is detected.

The UE shall:

1> upon T310 expiry in PSCell; or

1> upon T312 expiry in PSCell; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC that the maximum number of retransmissions has been reached; or

1> if connected as an IAB-node, upon BH RLF failure indication received on BAP entity from the SCG;

1> upon indication of consistent uplink LBT failures from SCG MAC:

2> if the indication is from SCG RLC and CA duplication is configured and activated; and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

3> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

2> else if MCG transmission is not suspended:

3> consider radio link failure to be detected for the SCG, i.e. SCG RLF;

3> initiate the SCG failure information procedure as specified in 5.7.3 to report SCG radio link failure.

2> else:

3> if the UE is in NR-DC:

4> initiate the connection re-establishment procedure as specified in 5.3.7;

3> else (the UE is in (NG)EN-DC):

4> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7;

--------------------------------- [End of change] ------------------------------------

## <3rd Change>

--------------------------------- [Start of change] -----------------------------------

5.3.10.x RLF report content determination

The UE shall determine the content in the *VarRLF-Report* as follows:

1> clear the information included in *VarRLF-Report*, if any;

1> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

1> set the *measResultLastServCell* to include the RSRP, RSRQ and the available SINR, of the source PCell(in case HO failure) or PCell (in case RLF) based on the available SSB and CSI-RS measurements collected up to the moment the UE detected failure;

1> set the *ssbRLMConfigBitmap* and/or *csi-rsRLMConfigBitmap* in *measResultLastServCell* to include the radio link monitoring configuration of the source PCell(in case HO failure) or PCell (in case RLF);

1> for each of the configured *measObjectNR* in which measurements are available:

2> if the SS/PBCH block-based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell(in case HO failure) or PCell (in case RLF), ordered such that the cell with highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the cell with highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the cell with highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

4> for each neighbour cell included, include the optional fields that are available;

2> if the CSI-RS block-based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell(in case HO failure) or PCell (in case RLF), ordered such that the cell with highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the cell with highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the cell with highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

4> for each neighbour cell included, include the optional fields that are available;

2> for each of the configured EUTRA frequencies in which measurements are available;

3> set the *measResultListEUTRA* in *measResultNeighCells* to include the best measured cells ordered such that the cell with highest RSRP is listed first if RSRP measurement results are available, otherwise the cell with highest RSRQ is listed first, and based on measurements collected up to the moment the UE detected failure;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

1> set the *c-RNTI* to the C-RNTI used in the source PCell(in case HO failure) or PCell (in case RLF);

1> if the failure is detected due to reconfiguration with sync failure as described in 5.3.5.8.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *hof*;

2> if last *RRCReconfiguration* message including *reconfigurationWithSync* concerned a failed intra-RAT handover (NR to NR):

3> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> else if last *RRCConnectionReconfiguration* message including *MobilityFromNRCommand* concerned a failed inter-RAT handover from NR to E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO (NR to EUTRA):

3> set the *eutraFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> include *previousPCellId* and set it to the global cell identity and tracking area code of the PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received;

2> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

1> else if the failure is detected due to radio link failure as described in 5.3.10.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *rlf*;

2> set the *rlf-Cause* to the trigger for detecting radio link failure in accordance with clause 5.7.10.4;

2> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and the tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

2> if an *RRCReconfiguration* message including the *reconfigurationWithSync* was received before the connection failure:

3> if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned an intra NR handover:

4> include the *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received;

4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

3> else if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned a handover to NR from E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO:

4> include the *eutraPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the E-UTRA PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync* embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

1> if *connectionfailureType* is *rlf* and the *rlf-Cause* is set to *randomAccessProblem* or *beamFailureRecoveryFailure*; or

1> if *connectionfailureType* is *hof*:

2> set the *ra-InformationCommon-r16* to include the random-access related information as described in subclause 5.7.10.5;

1> if detailed location information is available, set the content of *locationInfo* as follows:

2> if available, set the *commonLocationInfo* to include the detailed location information;

2> if available, set the *bt-LocationInfo* in *locationInfo* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

2> if available, set the *wlan-LocationInfo* in *locationInfo* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

2> if available, set the *sensor-LocationInfo* in *locationInfo* to include the sensor measurement results;

The UE may discard the radio link failure information or handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure/handover failure is detected.

NOTE 2: In this clause, the term ‘handover failure’ has been used to refer to ‘reconfiguration with sync failure’.

--------------------------------- [End of change] ------------------------------------