**3GPP TSG-RAN2#110-e R2-20xxx**

**1 June – 12 June 2020**

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| *CR-Form-v11.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** |  | **rev** |  | **Current version:** | **16.0.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | UE capabilities for NR Shared Spectrum |
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| ***Source to WG:*** | Qualcomm Incorporated (Rapporteur) |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_unlic-Core |  | ***Date:*** | 2019-06-12 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | To introduce UE capability for uplink LBT failure detection and recovery for NR operation with shared spectrum channel access.The existing UE capability for RSSI measurement in the specification will be re-introduced separately with RAN1/RAN4 features and thus it should be deleted to prevent duplication.There is an Editor’s Note on the structure of NR-U capability parameters. However, such Notes will not be permitted in 16.1.0 version of TS 38.331. |
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| ***Summary of change:*** | * Add the UE capability for LBT failure detection and recovery.
* Delete the UE capability for RSSI measurements.
* Delete the Editor’s Note regarding the structure of NR-U capability parameters
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| ***Consequences if not approved:*** | The UE capabilities for NR operation with shared spectrum will not be complete and correct. |
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| ***Clauses affected:*** | 6.3.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  |  |
| ***affected:*** |  | **x** |  Test specifications |  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications |  |
|  |  |
| ***Other comments:*** |  |

*Start of Changes*

### 6.3.3 UE capability information elements

>>Skipped unchanged parts

#### – *MAC-Parameters*

The IE *MAC-Parameters* is used to convey capabilities related to MAC.

*MAC-Parameters* information element

-- ASN1START

-- TAG-MAC-PARAMETERS-START

MAC-Parameters ::= SEQUENCE {

 mac-ParametersCommon MAC-ParametersCommon OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL

}

MAC-ParametersCommon ::= SEQUENCE {

 lcp-Restriction ENUMERATED {supported} OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 lch-ToSCellRestriction ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 recommendedBitRate ENUMERATED {supported} OPTIONAL,

 recommendedBitRateQuery ENUMERATED {supported} OPTIONAL

 ]],

 [[

 recommendedBitRateMultiplier-r16 ENUMERATED {supported} OPTIONAL,

 ul-LBT-FailureDetectionRecovery-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

MAC-ParametersXDD-Diff ::= SEQUENCE {

 skipUplinkTxDynamic ENUMERATED {supported} OPTIONAL,

 logicalChannelSR-DelayTimer ENUMERATED {supported} OPTIONAL,

 longDRX-Cycle ENUMERATED {supported} OPTIONAL,

 shortDRX-Cycle ENUMERATED {supported} OPTIONAL,

 multipleSR-Configurations ENUMERATED {supported} OPTIONAL,

 multipleConfiguredGrants ENUMERATED {supported} OPTIONAL,

 ...

}

-- TAG-MAC-PARAMETERS-STOP

-- ASN1STOP

>>Skipped unchanged parts

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

UE-NR-Capability-v1530 ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

 sdap-Parameters SDAP-Parameters OPTIONAL,

 overheatingInd ENUMERATED {supported} OPTIONAL,

 ims-Parameters IMS-Parameters OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

 reducedCP-Latency ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

 nrdc-Parameters NRDC-Parameters OPTIONAL,

 receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

 nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v16xy OPTIONAL

}

UE-NR-Capability-v16xy ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

 ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

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| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |
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*End of changes*