**3GPP TSG-RAN WG2 Meeting #110-e *R2-200xxxx***

**Elbonia, Online, 01 – 12 June 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.306** | **CR** | **Num** | **rev** | **-** | **Current version:** | **16.0.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | UE radio access capabilities introduction for IAB WI (CR for 38.306) |
|  |  |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_IAB-Core |  | ***Date:*** | 2020-06 |
|  |  |  |  |  |
| ***Category:*** | **Cat B** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | Finalization of NR IAB WI requires introduction of related radio capabilities. |
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| ***Summary of change:*** | CR captures the following:1. IAB specific features introduced by RAN2.
2. Mandatory features set agreed by RAN1, RAN2 and RAN4.
3. New capabilities required following the decision that some of the Rel-15 UE features, which were mandatory for UEs as per TR 38.822, are optional with capability signaling for IAB-MTs.

Mandatory RF/RRM features and additional capabilities for RF/RRM features and bandwidth signalling are specified according to the agreements made by RAN4 and provided in LS in R4-2009051 and in the LS in R4-1916165.Mandatory Layer-1 features and additional capabilities for Layer-1 features are specified according to the following RAN1 agreements:

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| Agreement:* Wide-area IAB-MTs support the following Rel. 15 layer-1 mandatory UE features (as defined in TR38.822)
	+ Without capability
		- 0-1, 0-3, 0-4, 1-1 (only 1 preamble for component 1, component 2, component 3 except paging), 2-1, 2-5, 2-6, 2-12, 2-16, 2-16a, 2-32 (only components 1-4 and 7), 2-50 (only components 1,2), 2-52 (only components 1, 2), 3-1 (only components 1,2,3,4,5), 4-1, 5-1 (only components 1/2/3/4/5/6/7/9/10/12), 6-1, 7-1, 8-3
	+ With capability signaling which shall be set to '1'
		- 1-3, 2-22, 4-10
	+ The rest of Rel-15 layer-1 UE features other than the ones as listed above are optional for wide-area IAB-MTs.
* Note: Mandatory MT capabilities are independent from DU capabilities and do not imply a corresponding mandatory DU capability.
* The UE feature list for local-area IAB-MTs is FFS
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| ***Consequences if not approved:*** | IAB-MT radio features introduced as part of IAB WI are not specified and cannot be utilized. |
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| ***Clauses affected:*** | 2, 3.3, 4.2.7.2, 4.2.7.10, 4.2.9, 4.2.11 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  |  |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  |  |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  |  |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

*First Modified Subclause*

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception Part 1: Range 1 Standalone".

[3] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception Part 2: Range 2 Standalone".

[4] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception Part 3: Range 1 and Range 2 Interworking operation with other radios".

[5] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

[6] 3GPP TS 38.211: "NR; Physical channels and modulation".

[7] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR Multi-connectivity".

[8] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification".

[9] 3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification".

[10] 3GPP TS 38.212: "NR; Multiplexing and channel coding".

[11] 3GPP TS 38.213: "NR; Physical layer procedures for control".

[12] 3GPP TS 38.214: "NR; Physical layer procedures for data".

[13] 3GPP TS 38.215: "NR; Physical layer measurements".

[14] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA) radio transmission and reception".

[15] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA) User Equipment (UE) radio access capabilities".

[16] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) specification".

[17] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RRC); Protocol Specification".

[18] 3GPP TS 38.101-4: "NR; User Equipment (UE) radio transmission and reception Part 4: Performance requirements".

[19] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".

[20] 3GPP TS 25.306: "UE radio access capabilities".

[x] 3GPP TS 38.340: "NR; Backhaul Adaptation Protocol (BAP) specification".

[y] 3GPP TR 38.822: "NR; User Equipment (UE) feature list".

[z] 3GPP TS 37.324: "E-UTRA and NR; Service Data Adaptation Protocol (SDAP) specification"

*Next Modified Subclause*

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BAP Backhaul Adaptation Protocol

BC Band Combination

DL Downlink

FS Feature Set

FSPC Feature Set Per Component-carrier

IAB-MT Integrated Access Backhaul Mobile Termination

MAC Medium Access Control

MCG Master Cell Group

MN Master Node

MR-DC Multi-RAT Dual Connectivity

PDCP Packet Data Convergence Protocol

RLC Radio Link Control

RTT Round Trip Time

SCG Secondary Cell Group

SDAP Service Data Adaptation Protocol

SN Secondary Node

UL Uplink

*Next Modified Subclause*

### 4.2.7 Physical layer parameters

<UNCHANGED TEXT OMITTED>

#### 4.2.7.2 *BandNR parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***additionalActiveTCI-StatePDCCH***Indicates whether the UE supports one additional active TCI-State for control in addition to the supported number of active TCI-States for PDSCH. The UE can include this field only if *maxNumberActiveTCI-PerBWP* in *tci-StatePDSCH* is set to *n1*. Otherwise, the UE does not include this field. | Band | CY | No | No |
| ***aperiodicBeamReport***Indicates whether the UE supports aperiodic 'CRI/RSRP' or 'SSBRI/RSRP' reporting on PUSCH. The UE provides the capability for the band number for which the report is provided (where the measurement is performed). | Band | Yes | No | No |
| ***aperiodicTRS***Indicates whether the UE supports DCI triggering aperiodic TRS associated with periodic TRS. | Band | No | No | Yes |
| ***bandNR***Defines supported NR frequency band by NR frequency band number, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. | Band | Yes | No | No |
| ***beamCorrespondenceWithoutUL-BeamSweeping***Indicates how UE supports FR2 beam correspondence as specified in TS 38.101-2 [3], clause 6.6. The UE that fulfils the beam correspondence requirement without the uplink beam sweeping (as specified in TS 38.101-2 [3], clause 6.6) shall set the field to *supported*. The UE that fulfils the beam correspondence requirement with the uplink beam sweeping (as specified in TS 38.101-2 [3], clause 6.6) shall not report this field. | Band | Yes | No | FR2 only |
| ***beamManagementSSB-CSI-RS***Defines support of SS/PBCH and CSI-RS based RSRP measurements. The capability comprises signalling of- *maxNumberSSB-CSI-RS-ResourceOneTx* indicates maximum total number of configured one port NZP CSI-RS resources and SS/PBCH blocks that are supported by the UE to measure L1-RSRP as specified in TS 38.215 [13] within a slot and across all serving cells (see NOTE). On FR2, it is mandatory to report >=8; On FR1, it is mandatory with capability signalling to report >=8.- *maxNumberCSI-RS-Resource* indicates maximum total number of configured NZP-CSI-RS resources that are supported by the UE to measure L1-RSRP as specified in TS 38.215 [13] across all serving cells (see NOTE). It is mandated to report at least n8 for FR1.- *maxNumberCSI-RS-ResourceTwoTx* indicates maximum total number of two ports NZP CSI-RS resources that are supported by the UE to measure L1-RSRP as specified in TS 38.215 [13] within a slot and across all serving cells (see NOTE).- *supportedCSI-RS-Density* indicates density of one RE per PRB for one port NZP CSI-RS resource for RSRP reporting, if supported. On FR2, it is mandatory to report either "three" or "oneAndThree"; On FR1, it is mandatory with capability signalling to report either "three" or "oneAndThree".- *maxNumberAperiodicCSI-RS-Resource* indicates maximum number of configured aperiodic CSI-RS resources across all serving cells (see NOTE). For FR1 and FR2, the UE is mandated to report at least n4.NOTE: If the UE sets a value other than *n0* in an FR1 band, it shall set that same value in all FR1 bands. If the UE sets a value other than *n0* in an FR2 band, it shall set that same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. | Band | Yes | No | Yes |
| ***beamReportTiming***Indicates the number of OFDM symbols between the last symbol of SSB/CSI-RS and the first symbol of the transmission channel containing beam report. The UE provides the capability for the band number for which the report is provided (where the measurement is performed). The UE includes this field for each supported sub-carrier spacing. | Band | Yes | No | No |
| ***beamSwitchTiming***Indicates the minimum number of OFDM symbols between the DCI triggering of aperiodic CSI-RS and aperiodic CSI-RS transmission. The number of OFDM symbols is measured from the last symbol containing the indication to the first symbol of CSI-RS. The UE includes this field for each supported sub-carrier spacing.*beamSwitchTiming* of value (*sym224* or *sym336*) indicates the minimum number of required OFDM symbols between the DCI triggering aperiodic CSI-RS and the corresponding aperiodic CSI-RS transmission in a CSI-RS resource set configured with repetition 'ON' | Band | No | No | FR2 only |
| ***bwp-DiffNumerology***Indicates whether the UE supports BWP adaptation up to 4 BWPs with the different numerologies, via DCI and timer. For the UE capable of this feature, the bandwidth of a UE-specific RRC configured DL BWP includes the bandwidth of the CORESET#0 (if CORESET#0 is present) and SSB for PCell and PSCell (if configured). For SCell(s), the bandwidth of the UE-specific RRC configured DL BWP includes SSB, if there is SSB on SCell(s). | Band | No | No | No |
| ***bwp-SameNumerology***Defines type A/B BWP adaptation (up to 2/4 BWPs) with the same numerology, via DCI and timer. For the UE capable of this feature, the bandwidth of a UE-specific RRC configured DL BWP includes the bandwidth of the CORESET#0 (if CORESET#0 is present) and SSB for PCell and PSCell (if configured). For SCell(s), the bandwidth of the UE-specific RRC configured DL BWP includes SSB, if there is SSB on SCell(s). | Band | No | No | No |
| ***bwp-WithoutRestriction***Indicates support of BWP operation without bandwidth restriction. The Bandwidth restriction in terms of DL BWP for PCell and PSCell means that the bandwidth of a UE-specific RRC configured DL BWP may not include the bandwidth of CORESET #0 (if configured) and SSB. For SCell(s), it means that the bandwidth of DL BWP may not include SSB. | Band | No | No | No |
| ***channelBWs-DL***Indicates for each subcarrier spacing the UE supported channel bandwidths.Absence of the *channelBWs-DL* (without suffix) for a band or absence of specific scs-XXkHz entry for a supported subcarrier spacing means that the UE supports the channel bandwidths among [5, 10, 15, 20, 25, 30, 40, 50, 60, 80, 100] and [50, 100, 200] that were defined in clause 5.3.5 of TS 38.101-1 version 15.7.0 [2] and TS 38.101-2 version 15.7.0 [3] for the given band or the specific SCS entry. For IAB-MT, this field is also used to indicate the support of the maximum channel bandwidth.For FR1, the bits in *channelBWs-DL* (without suffix) starting from the leading / leftmost bit indicate 5, 10, 15, 20, 25, 30, 40, 50, 60 and 80MHz. For FR2, the bits in *channelBWs-DL* (without suffix) starting from the leading / leftmost bit indicate 50, 100 and 200MHz. The third / rightmost bit (for 200MHz) shall be set to 1. For IAB-MT, the third / rightmost bit (for 200MHz) can be set to 0 or 1.For FR1, the leading/leftmost bit in *channelBWs-DL-v1590* indicates 70MHz, and all the remaining bits in *channelBWs-DL-v1590* shall be set to 0.NOTE: To determine whether the UE supports a specific SCS for a given band, the network validates the *supportedSubCarrierSpacingDL* and the *scs-60kHz*.To determine whether the UE supports a channel bandwidth of 90 MHz, the network may ignore this capability for and validate instead the *channelBW-90mhz* and the *supportedBandwidthCombinationSet*. For serving cells with other channel bandwidths the network validates the *channelBWs-DL*, the *supportedBandwidthCombinationSet* and *supportedBandwidthDL*.To determine whether the IAB-MT supports a channel bandwidth of 100 MHz, the network may ignore this capability for and validate instead the channelBW-IAB-100mhz and the supportedBandwidthCombinationSet. For serving cells with other channel bandwidths the network validates the channelBWs-DL, the supportedBandwidthCombinationSet and supportedBandwidthDL. | Band | Yes | No | No |
| ***channelBWs-UL***Indicates for each subcarrier spacing the UE supported channel bandwidths.Absence of the *channelBWs-UL* (without suffix) for a band or absence of specific scs-XXkHz entry for a supported subcarrier spacing means that the UE supports the channel bandwidths among [5, 10, 15, 20, 25, 30, 40, 50, 60, 80, 100] and [50, 100, 200] that were defined in clause 5.3.5 of TS 38.101-1 version 15.7.0 [2] and TS 38.101-2 version 15.7.0 [3] for the given band or the specific SCS entry. For IAB-MT, this field is also used to indicate the support of the maximum channel bandwidth.For FR1, the bits in *channelBWs-UL* (without suffix) starting from the leading / leftmost bit indicate 5, 10, 15, 20, 25, 30, 40, 50, 60 and 80MHz. For FR2, the bits in *channelBWs-UL* (without suffix) starting from the leading / leftmost bit indicate 50, 100 and 200MHz. The third / rightmost bit (for 200MHz) shall be set to 1. For IAB-MT, the third / rightmost bit (for 200MHz) can be set to 0 or 1.For FR1, the leading/leftmost bit in *channelBWs-UL-v1590* indicates 70 MHz, and all the remaining bits in *channelBWs-UL-v1590* shall be set to 0.NOTE: To determine whether the UE supports a specific SCS for a given band, the network validates the *supportedSubCarrierSpacingUL* and the *scs-60kHz*.To determine whether the UE supports a channel bandwidth of 90 MHz the network may ignore this capability for and validate instead the *channelBW-90mhz* and the *supportedBandwidthCombiantionSet*. For serving cells with other channel bandwidths the network validates the *channelBWs-UL*, the *supportedBandwidthCombinationSet* and *supportedBandwidthUL*.To determine whether the IAB-MT supports a channel bandwidth of 100 MHz the network may ignore this capability for and validate instead the channelBW-IAB-100mhz and the supportedBandwidthCombiantionSet. For serving cells with other channel bandwidths the network validates the channelBWs-UL, the supportedBandwidthCombinationSet and supportedBandwidthUL. | Band | Yes | No | No |
| ***codebookParameters***Indicates the codebooks and the corresponding parameters supported by the UE.Parameters for type I single panel codebook (type1 singlePanel) supported by the UE, which are mandatory to report:- *supportedCSI-RS-ResourceList*;- *modes* indicates supported codebook modes (mode 1, both mode 1 and mode 2);- *maxNumberCSI-RS-PerResourceSet* indicates the maximum number of CSI-RS resource in a resource set.Parameters for type I multi-panel codebook (type1 multiPanel) supported by the UE, which are optional:- *supportedCSI-RS-ResourceList*;- *modes* indicates supported codebook modes (mode 1, mode 2, or both mode 1 and mode 2);- *maxNumberCSI-RS-PerResourceSet* indicates the maximum number of CSI-RS resource in a resource set;- *nrofPanels* indicates supported number of panels.Parameters for type II codebook (type2) supported by the UE, which are optional:- *supportedCSI-RS-ResourceList*;- *parameterLx* indicates the parameter "Lx" in codebook generation where x is an index of Tx ports indicated by *maxNumberTxPortsPerResource*;- *amplitudeScalingType* indicates the amplitude scaling type supported by the UE (wideband or both wideband and sub-band);- *amplitudeSubsetRestriction* indicates whether amplitude subset restriction is supported for the UE.Parameters for type II codebook with port selection (type2-PortSelection) supported by the UE, which are optional:- *supportedCSI-RS-ResourceList*;- *parameterLx* indicates the parameter "Lx" in codebook generation where x is an index of Tx ports indicated by *maxNumberTxPortsPerResource*;- *amplitudeScalingType* indicates the amplitude scaling type supported by the UE (wideband or both wideband and sub-band).*supportedCSI-RS-ResourceList* includes list of the following parameters:- *maxNumberTxPortsPerResource* indicates the maximum number of Tx ports in a resource;- *maxNumberResourcesPerBand* indicates the maximum number of resources across all CCs within a band simultaneously;- *totalNumberTxPortsPerBand* indicates the total number of Tx ports across all CCs within a band simultaneously. | Band | FD | No | No |
| ***crossCarrierScheduling-SameSCS***Indicates whether the UE supports cross carrier scheduling for the same numerology with carrier indicator field (CIF) in carrier aggregation where numerologies for the scheduling cell and scheduled cell are same. | Band | No | No | No |
| ***csi-ReportFramework***Indicates whether the UE supports CSI report framework. This capability signalling comprises the following parameters:- *maxNumberPeriodicCSI-PerBWP-ForCSI-Report* indicates the maximum number of periodic CSI report setting per BWP for CSI report;- *maxNumberPeriodicCSI-PerBWP-ForBeamReport* indicates the maximum number of periodic CSI report setting per BWP for beam report.- *maxNumberAperiodicCSI-PerBWP-ForCSI-Report* indicates the maximum number of aperiodic CSI report setting per BWP for CSI report;- *maxNumberAperiodicCSI-PerBWP-ForBeamReport* indicates the maximum number of aperiodic CSI report setting per BWP for beam report;- *maxNumberAperiodicCSI-triggeringStatePerCC* indicates the maximum number of aperiodic CSI triggering states in *CSI-AperiodicTriggerStateList* per CC;- *maxNumberSemiPersistentCSI-PerBWP-ForCSI-Report* indicates the maximum number of semi-persistent CSI report setting per BWP for CSI report;- *maxNumberSemiPersistentCSI-PerBWP-ForBeamReport* indicates the maximum number of semi-persistent CSI report setting per BWP for beam report;- *simultaneousCSI-ReportsPerCC* indicates the number of CSI report(s) for which the UE can measure and process reference signals simultaneously in a CC of the band for which this capability is provided. The CSI report comprises periodic, semi-persistent and aperiodic CSI and any latency classes and codebook types. The CSI report in simultaneousCSI-ReportsPerCC includes the beam report and CSI report. | Band or UE | Yes | No | No |
| ***csi-RS-ForTracking***Indicates support of CSI-RS for tracking (i.e. TRS). This capability signalling comprises the following parameters:- *maxBurstLength* indicates the TRS burst length. Value 1 indicates 1 slot and value 2 indicates both of 1 slot and 2 slots. In this release UE is mandated to report value 2;- *maxSimultaneousResourceSetsPerCC* indicates the maximum number of TRS resource sets per CC which the UE can track simultaneously;- *maxConfiguredResourceSetsPerCC* indicates the maximum number of TRS resource sets configured to UE per CC. It is mandated to report at least 8 for FR1 and 16 for FR2;- *maxConfiguredResourceSetsAllCC* indicates the maximum number of TRS resource sets configured to UE across CCs. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. The UE is mandated to report at least 16 for FR1 and 32 for FR2. | Band | Yes | No | No |
| ***csi-RS-IM-ReceptionForFeedback***Indicates support of CSI-RS and CSI-IM reception for CSI feedback. This capability signalling comprises the following parameters:- *maxConfigNumberNZP-CSI-RS-PerCC* indicates the maximum number of configured NZP-CSI-RS resources per CC;- *maxConfigNumberPortsAcrossNZP-CSI-RS-PerCC* indicates the maximum number of ports across all configured NZP-CSI-RS resources per CC;- *maxConfigNumberCSI-IM-PerCC* indicates the maximum number of configured CSI-IM resources per CC;- *maxNumberSimultaneousNZP-CSI-RS-PerCC* indicates the maximum number of simultaneous CSI-RS-resources per CC;- *totalNumberPortsSimultaneousNZP-CSI-RS-PerCC* indicates the total number of CSI-RS ports in simultaneous CSI-RS resources per CC. | Band or UE | Yes | No | No |
| ***csi-RS-ProcFrameworkForSRS***Indicates support of CSI-RS processing framework for SRS. This capability signalling comprises the following parameters:- *maxNumberPeriodicSRS-AssocCSI-RS-PerBWP* indicates the maximum number of periodic SRS resources associated with CSI-RS per BWP;- *maxNumberAperiodicSRS-AssocCSI-RS-PerBWP* indicates the maximum number of aperiodic SRS resources associated with CSI-RS per BWP;- *maxNumberSP-SRS-AssocCSI-RS-PerBWP* indicates the maximum number of semi-persistent SRS resources associated with CSI-RS per BWP;- *simultaneousSRS-AssocCSI-RS-PerCC* indicates the number of SRS resources that the UE can process simultaneously in a CC, including periodic, aperiodic and semi-persistent SRS. | Band or UE | No | No | No |
| ***extendedCP***Indicates whether the UE supports 60 kHz subcarrier spacing with extended CP length for reception of PDCCH, and PDSCH, and transmission of PUCCH, PUSCH, and SRS. | Band | No | No | No |
| ***groupBeamReporting***Indicates whether UE supports RSRP reporting for the group of two reference signals. | Band | No | No | No |
| ***maxNumberCSI-RS-BFD***Indicates maximal number of CSI-RS resources across all CCs, and across MCG and SCG in case of NR-DC, for UE to monitor PDCCH quality. In this release, the maximum value that can be signalled is 16. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. It is mandatory with capability signalling for FR2 and optional for FR1. | Band | CY | No | No |
| ***maxNumberCSI-RS-SSB-CBD***Defines maximal number of different CSI-RS [and/or SSB] resources across all CCs, and across MCG and SCG in case of NR-DC, for new beam identifications. In this release, the maximum value that can be signalled is 128. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. It is mandatory with capability signalling for FR2 and optional for FR1. The UE is mandated to report at least 32 for FR2. | Band | CY | No | No |
| ***maxNumberNonGroupBeamReporting***Defines support of non-group based RSRP reporting using N\_max RSRP values reported. | Band | Yes | No | No |
| ***maxNumberRxBeam***Defines whether UE supports receive beamforming switching using NZP CSI-RS resource. UE shall indicate a single value for the preferred number of NZP CSI-RS resource repetitions per CSI-RS resource set. Support of Rx beam switching is mandatory for FR2. | Band | CY | No | No |
| ***maxNumberRxTxBeamSwitchDL***Defines the number of Tx and Rx beam changes UE can perform on this band within a slot. UE shall report one value per each subcarrier spacing supported by the UE. In this release, the number of Tx and Rx beam changes for scs-15kHz and scs-30kHz are not included. | Band | No | No | FR2 only |
| ***maxNumberSSB-BFD***Defines maximal number of different SSBs across all CCs, and across MCG and SCG in case of NR-DC, for UE to monitor PDCCH quality. In this release, the maximum value that can be signalled is 16. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. It is mandatory with capability signalling for FR2 and optional for FR1. | Band | CY | No | No |
| ***maxUplinkDutyCycle-PC2-FR1***Indicates the maximum percentage of symbols during a certain evaluation period that can be scheduled for uplink transmission so as to ensure compliance with applicable electromagnetic energy absorption requirements provided by regulatory bodies. This field is only applicable for FR1 power class 2 UE as specified in clause 6.2.1 of TS 38.101-1 [2]. If the field is absent, 50% shall be applied. Value n60 corresponds to 60%, value n70 corresponds to 70% and so on. This capability is not applicable to IAB-MT. | Band | No | No | FR1 only |
| ***maxUplinkDutyCycle-FR2***Indicates the maximum percentage of symbols during 1s that can be scheduled for uplink transmission so as to ensure compliance with applicable electromagnetic power density exposure requirements provided by regulatory bodies. This field is applicable for all power classes UE in FR2 as specified in TS 38.101-2 [3]. Value n15 corresponds to 15%, value n20 corresponds to 20% and so on. If the field is absent or the percentage of uplink symbols transmitted within any 1s evaluation period is larger than *maxUplinkDutyCycle-FR2*, the UE behaviour is specified in TS 38.101-2 [3]. This capability is not applicable to IAB-MT. | Band | No | No | FR2 only |
| ***modifiedMPR-Behaviour***Indicates whether UE supports modified MPR behaviour defined in TS 38.101-1 [2] and TS 38.101-2 [3]. | Band | No | No | No |
| ***multipleTCI***Indicates whether UE supports more than one TCI state configurations per CORESET. UE is only required to track one active TCI state per CORESET. UE is required to support minimum between 64 and number of configured TCI states indicated by *tci-StatePDSCH*. This field shall be set to *supported*. | Band | Yes | No | No |
| ***pdsch-256QAM-FR2***Indicates whether the UE supports 256QAM modulation scheme for PDSCH for FR2 as defined in 7.3.1.2 of TS 38.211 [6]. | Band | No | No | FR2 only |
| ***periodicBeamReport***Indicates whether UE supports periodic 'CRI/RSRP' or 'SSBRI/RSRP' reporting using PUCCH formats 2, 3 and 4 in one slot. | Band | Yes | No | No |
| ***powerBoosting-pi2BPSK***Indicates whether UE supports power boosting for pi/2 BPSK, when applicable as defined in 6.2 of TS 38.101-1 [2]. This capability is not applicable to IAB-MT. | Band | No | TDD only | FR1 only |
| ***ptrs-DensityRecommendationSetDL***For each supported sub-carrier spacing, indicates preferred threshold sets for determining DL PTRS density. It is mandated for FR2. For each supported sub-carrier spacing, this field comprises:- two values of *frequencyDensity*;- three values of *timeDensity*. | Band | CY | No | No |
| ***ptrs-DensityRecommendationSetUL***For each supported sub-carrier spacing, indicates preferred threshold sets for determining UL PTRS density. For each supported sub-carrier spacing, this field comprises:- two values of *frequencyDensity*;- three values of *timeDensity*;- five values of *sampleDensity*. | Band | No | No | No |
| ***pucch-SpatialRelInfoMAC-CE***Indicates whether the UE supports indication of *PUCCH-spatialrelationinfo* by a MAC CE per PUCCH resource. It is mandatory for FR2 and optional for FR1. | Band | CY | No | No |
| ***pusch-256QAM***Indicates whether the UE supports 256QAM modulation scheme for PUSCH as defined in 6.3.1.2 of TS 38.211 [6]. | Band | No | No | No |
| ***pusch-TransCoherence***Defines support of the uplink codebook subset by the UE for UL precoding for PUSCH transmission as described in clause 6.1.1.1 of TS 38.214 [12]. UE indicated support of partial coherent codebook subset shall also support non-coherent codebook subset. UE indicated support of full coherent codebook subset shall also support partial and non-coherent codebook subset. | Band | No | No | No |
| ***rateMatchingLTE-CRS***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs determined by the higher layer configuration LTE-carrier configuring common RS, as specified in TS 38.214 [12]. | Band | Yes | No | No |
| ***spatialRelations***Indicates whether the UE supports spatial relations. The capability signalling comprises the following parameters.- *maxNumberConfiguredSpatialRelations* indicates the maximum number of configured spatial relations per CC for PUCCH and SRS. It is not applicable to FR1 and applicable to FR2 only. The UE is mandated to report 16 or higher values;- *maxNumberActiveSpatialRelations* indicates the maximum number of active spatial relations with regarding to PUCCH and SRS for PUSCH, per BWP per CC. It is not applicable to FR1 and applicable and mandatory to report for FR2 only;- *additionalActiveSpatialRelationPUCCH* indicates support of one additional active spatial relation for PUCCH. It is mandatory with capability signalling if *maxNumberActiveSpatialRelations* is set to n1;- *maxNumberDL-RS-QCL-TypeD* indicates the maximum number of downlink RS resources used for QCL type D in the active TCI states and active spatial relation information, which is optional. | Band | FD | No | FD |
| ***sp-BeamReportPUCCH***Indicates support of semi-persistent 'CRI/RSRP' or 'SSBRI/RSRP' reporting using PUCCH formats 2, 3 and 4 in one slot. | Band | No | No | Yes |
| ***sp-BeamReportPUSCH***Indicates support of semi-persistent 'CRI/RSRP' or 'SSBRI/RSRP' reporting on PUSCH. | Band | No | No | Yes |
| ***srs-AssocCSI-RS***Parameters for the calculation of the precoder for SRS transmission based on channel measurements using associated NZP CSI-RS resource (srs-AssocCSI-RS) as described in clause 6.1.1.2 of TS 38.214 [12]. UE supporting this feature shall also indicate support of non-codebook based PUSCH transmission.This capability signalling includes list of the following parameters:- *maxNumberTxPortsPerResource* indicates the maximum number of Tx ports in a resource;- *maxNumberResourcesPerBand* indicates the maximum number of resources across all CCs within a band simultaneously;*-* *totalNumberTxPortsPerBand* indicates the total number of Tx ports across all CCs within a band simultaneously. | Band | No | No | No |
| ***tci-StatePDSCH***Defines support of TCI-States for PDSCH. The capability signalling comprises the following parameters:- *maxNumberConfiguredTCIstatesPerCC* indicates the maximum number of configured TCI-states per CC for PDSCH. For FR2, the UE is mandated to set the value to 64. For FR1, the UE is mandated to set these values to the maximum number of allowed SSBs in the supported band;- *maxNumberActiveTCI-PerBWP* indicates the maximum number of activated TCI-states per BWP per CC, including control and data. If a UE reports X active TCI state(s), it is not expected that more than X active QCL type D assumption(s) for any PDSCH and any CORESETs for a given BWP of a serving cell become active for the UE. The UE shall include this field.Note the UE is required to track only the active TCI states. | Band | Yes | No | No |
| ***twoPortsPTRS-UL***Defines whether UE supports PT-RS with 2 antenna ports for UL transmission. | Band | No | No | No |
| ***ue-PowerClass***For FR1, if the UE supports the different UE power class than the default UE power class as defined in clause 6.2 of TS 38.101-1 [2], the UE shall report the supported UE power class in this field. For FR2, UE shall report the supported UE power class as defined in clause 6 and 7 of TS 38.101-2 [3] in this field. | Band | Yes | No | No |
| ***uplinkBeamManagement***Defines support of beam management for UL. This capability signalling comprises the following parameters:- *maxNumberSRS-ResourcePerSet-BM* indicates the maximum number of SRS resources per SRS resource set configurable for beam management, supported by the UE.- *maxNumberSRS-ResourceSet* indicates the maximum number of SRS resource sets configurable for beam management, supported by the UE.If the UE does not set *beamCorrespondenceWithoutUL-BeamSweeping* to *supported*, the UE shall report this capability. This feature is optional for the UE that supports beam correspondence without uplink beam sweeping as defined in clause 6.6, TS 38.101-2 [3].NOTE: The network uses *maxNumberSRS-ResourceSet* to determine the maximum number of SRS resource sets that can be configured to the UE for periodic/semi-persistent/aperiodic configurations as below:

|  |  |
| --- | --- |
| Maximum number of SRS resource sets across all time domain behaviour (periodic/semi-persistent/aperiodic) reported in *maxNumberSRS-ResourceSet* | Additional constraint on the maximum number of SRS resource sets configured to the UE for each supported time domain behaviour (periodic/semi-persistent/aperiodic) |
| 1 | 1 |
| 2 | 1 |
| 3 | 1 |
| 4 | 2 |
| 5 | 2 |
| 6 | 2 |
| 7 | 4 |
| 8 | 4 |

 | Band | No | No | FR2 only |

<UNCHAGED TEXT OMITTED>

#### 4.2.7.10 *Phy-Parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***absoluteTPC-Command***Indicates whether the UE supports absolute TPC command mode. | UE | No | No | Yes |
| ***almostContiguousCP-OFDM-UL***Indicates whether the UE supports almost contiguous UL CP-OFDM transmissions as defined in clause 6.2 of TS 38.101-1 [2]. | UE | No | No | Yes |
| ***bwp-SwitchingDelay***Defines whether the UE supports DCI and timer based active BWP switching delay type1 or type2 specified in clause 8.6.2 of TS 38.133 [5]. It is mandatory to report type 1 or type 2. This capability is not applicable to IAB-MT. | UE | Yes | No | No |
| ***cbg-FlushIndication-DL***Indicates whether the UE supports CBG-based (re)transmission for DL using CBG flushing out information (CBGFI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-DL***Indicates whether the UE supports CBG-based (re)transmission for DL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-UL***Indicates whether the UE supports CBG-based (re)transmission for UL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cli-RSSI-FDM-DL-r16***Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and CLI-RSSI FDMed reception is supported as specified in TS 38.215 [13]. | UE | No | TDD only | Yes |
| ***cli-SRS-RSRP-FDM-DL-r16***Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and SRS-RSRP FDMed reception is supported as specified in TS 38.215 [13]. | UE | No | TDD only | Yes |
| ***configuredUL-GrantType1***Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***configuredUL-GrantType2***Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***cqi-TableAlt***Indicates whether UE supports the CQI table with target BLER of 10^-5. | UE | No | No | Yes |
| ***csi-ReportFramework***See *csi-ReportFramework* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-ReportWithoutCQI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-ReportWithoutPMI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/CQI' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-RS-CFRA-ForHO***Indicates whether the UE can perform reconfiguration with sync using a contention free random access on PRACH resources that are associated with CSI-RS resources of the target cell. | UE | No | No | No |
| ***csi-RS-IM-ReceptionForFeedback***See *csi-RS-IM-ReceptionForFeedback* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-RS-ProcFrameworkForSRS***See *csi-RS-ProcFrameworkForSRS* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | No | No | No |
| ***dl-64QAM-MCS-TableAlt***Indicates whether the UE supports the alternative 64QAM MCS table for PDSCH. | UE | No | No | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeA***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A. | UE | Yes | Yes | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeB***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B. | UE | Yes | Yes | Yes |
| ***downlinkSPS***Indicates whether the UE supports PDSCH reception based on semi-persistent scheduling. | UE | No | No | No |
| ***dynamicBetaOffsetInd-HARQ-ACK-CSI***Indicates whether the UE supports indicating beta-offset (UCI repetition factor onto PUSCH) for HARQ-ACK and/or CSI via DCI among the RRC configured beta-offsets. | UE | No | No | No |
| ***dynamicHARQ-ACK-Codebook***Indicates whether the UE supports HARQ-ACK codebook dynamically constructed by DCI(s). This field shall be set to *supported*. | UE | Yes | No | No |
| ***dynamicHARQ-ACK-CodeB-CBG-Retx-DL***Indicates whether the UE supports HARQ-ACK codebook size for CBG-based (re)transmission based on the DAI-based solution as specified in TS 38.213 [11]. | UE | No | No | No |
| ***dynamicPRB-BundlingDL***Indicates whether UE supports DCI-based indication of the PRG size for PDSCH reception. | UE | No | No | No |
| ***dynamicSFI***Indicates whether the UE supports monitoring for DCI format 2\_0 and determination of slot formats via DCI format 2\_0. | UE | No | Yes | Yes |
| ***dynamicSwitchRA-Type0-1-PDSCH***Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PDSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***dynamicSwitchRA-Type0-1-PUSCH***Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PUSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***pucch-F0-2WithoutFH***Indicates whether the UE supports transmission of a PUCCH format 0 or 2 without frequency hopping. When included, the UE does not support PUCCH formats 0 and 2 without frequency hopping. When not included, the UE supports the PUCCH formats 0 and 2 without frequency hopping. | UE | Yes | No | Yes |
| ***pucch-F1-3-4WithoutFH***Indicates whether the UE supports transmission of a PUCCH format 1, 3 or 4 without frequency hopping. When included, the UE does not support PUCCH formats 1, 3 and 4 without frequency hopping. When not included, the UE supports the PUCCH formats 1, 3 and 4 without frequency hopping. | UE | Yes | No | Yes |
| ***interleavingVRB-ToPRB-PDSCH***Indicates whether the UE supports receiving PDSCH with interleaved VRB-to-PRB mapping as specified in TS 38.211 [6]. | UE | Yes | No | No |
| ***interSlotFreqHopping-PUSCH***Indicates whether the UE supports inter-slot frequency hopping for PUSCH transmissions. | UE | No | No | No |
| ***intraSlotFreqHopping-PUSCH***Indicates whether the UE supports intra-slot frequency hopping for PUSCH transmission, except for PUSCH scheduled by PDCCH in the Type1-PDCCH common search space before RRC connection establishment. | UE | Yes | No | Yes |
| ***maxLayersMIMO-Indication***Indicates whether the UE supports the network configuration of *maxMIMO-Layers* as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***maxNumberSearchSpaces***Indicates whether the UE supports up to 10 search spaces in an SCell per BWP. | UE | No | No | No |
| ***multipleCORESET***Indicates whether the UE supports configuration of more than one PDCCH CORESET per BWP in addition to the CORESET with CORESET-ID 0 in the BWP. It is mandatory with capability signaling for FR2 and optional for FR1. | UE | CY | No | Yes |
| ***mux-HARQ-ACK-PUSCH-DiffSymbol***Indicates whether the UE supports HARQ-ACK piggyback on a PUSCH with/without aperiodic CSI once per slot when the starting OFDM symbol of the PUSCH is different from the starting OFDM symbols of the PUCCH resource that HARQ-ACK would have been transmitted on. | UE | Yes | No | Yes |
| ***mux-MultipleGroupCtrlCH-Overlap***Indicates whether the UE supports more than one group of overlapping PUCCHs and PUSCHs per slot per PUCCH cell group for control multiplexing. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-MultiPerSlot***Indicates whether the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH more than once per slot when SR, HARQ-ACK and CSI are supposed to be sent with the same or different starting symbol in a slot. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-OncePerSlot****sameSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the same starting symbols on the PUCCH resources in a slot. *diffSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the different starting symbols in a slot. The UE is mandated to support the multiplexing and piggybacking features indicated by *sameSymbol* while the UE is optional to support the multiplexing and piggybacking features indicated by *diffSymbol*.If the UE indicates *sameSymbol* in this field and does not support *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot, when the starting OFDM symbol of the PUSCH is the same as the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on.If the UE indicates *sameSymbol* in this field and supports *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot for which case the starting OFDM symbol of the PUSCH is the different from the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on. | UE | FD | No | Yes |
| ***mux-SR-HARQ-ACK-PUCCH***Indicates whether the UE supports multiplexing SR and HARQ-ACK on a PUCCH or piggybacking on a PUSCH once per slot, when SR and HARQ-ACK are supposed to be sent with the different starting symbols in a slot. | UE | No | No | Yes |
| ***nzp-CSI-RS-IntefMgmt***Indicates whether the UE supports interference measurements using NZP CSI-RS. | UE | No | No | No |
| ***oneFL-DMRS-ThreeAdditionalDMRS-UL***Defines whether the UE supports DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | UE | No | No | Yes |
| ***oneFL-DMRS-TwoAdditionalDMRS-UL***Defines support of DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | UE | Yes | No | Yes |
| ***onePortsPTRS***Defines whether UE supports PT-RS with 1 antenna port in DL reception and/or UL transmission. It is mandatory with UE capability signalling for FR2 and optional for FR1. The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | CY | No | Yes |
| ***onePUCCH-LongAndShortFormat***Indicates whether the UE supports transmission of one long PUCCH format and one short PUCCH format in TDM in the same slot. | UE | No | No | Yes |
| ***pCell-FR2***Indicates whether the UE supports PCell operation on FR2. | UE | Yes | No | FR2 only |
| ***pdcch-MonitoringSingleOccasion***Indicates whether the UE supports receiving PDCCH scrambled with C-RNTI or CS-RNTI in a search space configured to be monitored within a single span of any three contiguous OFDM symbols in a slot with the capability of supporting at least 44 blind decodes in a slot for 15 kHz subcarrier spacing. | UE | No | No | FR1 only |
| ***pdcch-BlindDetectionCA***Indicates PDCCH blind decoding capabilities supported by the UE for CA with more than 4 CCs as specified in TS 38.213 [11]. The field value is from 4 to 16.NOTE: FR1-FR2 differentiation is not allowed in this release, although the capability signalling is supported for FR1-FR2 differentiation. | UE | No | No | No |
| ***pdcch-BlindDetectionMCG-UE***Indicates PDCCH blind decoding capabilities supported for MCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdcch-BlindDetectionSCG-UE***Indicates PDCCH blind decoding capabilities supported for SCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdsch-256QAM-FR1***Indicates whether the UE supports 256QAM modulation scheme for PDSCH for FR1 as defined in 7.3.1.2 of TS 38.211 [6]. | UE | Yes | No | FR1 only |
| ***pdsch-MappingTypeA***Indicates whether the UE supports receiving PDSCH using PDSCH mapping type A with less than seven symbols. This field shall be set to *supported*. | UE | Yes | No | No |
| ***pdsch-MappingTypeB***Indicates whether the UE supports receiving PDSCH using PDSCH mapping type B. | UE | Yes | No | No |
| ***pdsch-RepetitionMultiSlots***Indicates whether the UE supports receiving PDSCH scheduled by DCI format 1\_1 when configured with higher layer parameter *pdsch-AggregationFactor* > 1, as defined in 5.1.2.1 of TS 38.214 [12]. | UE | No | No | No |
| ***pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot***Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR1, each described as a resource (including NZP/ZP CSI-RS, CRS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CCare limited by the respective capability parameters. Value n10 means 10 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR1 only |
| ***pdsch-RE-MappingFR2-PerSymbol/pdsch-RE-MappingFR2-PerSlot***Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR2, each described as a resource (including NZP/ZP CSI-RS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CC are limited by the respective capability parameters. Value n6 means 6 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR2 only |
| ***precoderGranularityCORESET***Indicates whether the UE supports receiving PDCCH in CORESETs configured with CORESET-precoder-granularity equal to the size of the CORESET in the frequency domain as specified in TS 38.211 [6]. | UE | No | No | No |
| ***pre-EmptIndication-DL***Indicates whether the UE supports interrupted transmission indication for PDSCH reception based on reception of DCI format 2\_1 as defined in TS 38.213 [11]. | UE | No | No | No |
| ***pucch-F2-WithFH***Indicates whether the UE supports transmission of a PUCCH format 2 (2 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-WithFH***Indicates whether the UE supports transmission of a PUCCH format 3 (4~14 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-4-HalfPi-BPSK***Indicates whether the UE supports pi/2-BPSK for PUCCH format 3/4 as defined in 6.3.2.6 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. This capability is not applicable to IAB-MT. | UE | CY | No | Yes |
| ***pucch-F4-WithFH***Indicates whether the UE supports transmission of a PUCCH format 4 (4~14 OFDM symbols in total) with frequency hopping in a slot. | UE | Yes | No | Yes |
| ***pusch-RepetitionMultiSlots***Indicates whether the UE supports transmitting PUSCH scheduled by DCI format 0\_1 when configured with higher layer parameter *pusch-AggregationFactor* > 1, as defined in clause 6.1.2.1 of TS 38.214 [12]. | UE | Yes | No | No |
| ***pucch-Repetition-F1-3-4***Indicates whether the UE supports transmission of a PUCCH format 1 or 3 or 4 over multiple slots with the repetition factor 2, 4 or 8. | UE | Yes | No | No |
| ***pusch-HalfPi-BPSK***Indicates whether the UE supports pi/2-BPSK modulation scheme for PUSCH as defined in 6.3.1.2 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. This capability is not applicable to IAB-MT. | UE | CY | No | Yes |
| ***pusch-LBRM***Indicates whether the UE supports limited buffer rate matching in UL as specified in TS 38.212 [10]. | UE | No | No | Yes |
| ***ra-Type0-PUSCH***Indicates whether the UE supports resource allocation Type 0 for PUSCH as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingCtrlResrcSetDynamic***Indicates whether the UE supports dynamic rate matching for DL control resource set. | UE | Yes | No | No |
| ***rateMatchingResrcSetDynamic***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity based on dynamic indication in the scheduling DCI as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingResrcSetSemi-Static***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity following the semi-static configuration as specified in TS 38.214 [12]. | UE | Yes | No | No |
| ***scs-60kHz***Indicates whether the UE supports 60kHz subcarrier spacing for data channel in FR1 as defined in clause 4.2-1 of TS 38.211 [6]. | UE | No | No | FR1 only |
| ***semiOpenLoopCSI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1/CQI ' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***semiStaticHARQ-ACK-Codebook***Indicates whether the UE supports HARQ-ACK codebook constructed by semi-static configuration. | UE | Yes | No | No |
| ***spatialBundlingHARQ-ACK***Indicates whether the UE supports spatial bundling of HARQ-ACK bits carried on PUCCH or PUSCH per PUCCH group. With spatial bundling, two HARQ-ACK bits for a DL MIMO data is bundled into a single bit by logical "AND" operation. | UE | Yes | No | No |
| ***sp-CSI-IM***Indicates whether the UE supports semi-persistent CSI-IM. | UE | No | No | Yes |
| ***sp-CSI-ReportPUCCH***Indicates whether UE supports semi-persistent CSI reporting using PUCCH formats 2, 3 and 4. | UE | No | No | No |
| ***sp-CSI-ReportPUSCH***Indicates whether UE supports semi-persistent CSI reporting using PUSCH. | UE | No | No | No |
| ***sp-CSI-RS***Indicates whether the UE supports semi-persistent CSI-RS. | UE | Yes | No | Yes |
| ***supportedDMRS-TypeDL***Defines supported DM-RS configuration types at the UE for DL reception. Type 1 is mandatory with capability signaling. Type 2 is optional. | UE | CY | No | Yes |
| ***supportedDMRS-TypeUL***Defines supported DM-RS configuration types at the UE for UL transmission. Support of both type 1 and type 2 is mandatory with capability signalling. | UE | Yes | No | Yes |
| ***tdd-MultiDL-UL-SwitchPerSlot***Indicates whether the UE supports more than one switch points in a slot for actual DL/UL transmission(s). | UE | No | TDD only | Yes |
| ***tpc-PUCCH-RNTI***Indicates whether the UE supports group DCI message based on TPC-PUCCH-RNTI for TPC commands for PUCCH. | UE | No | No | Yes |
| ***tpc-PUSCH-RNTI***Indicates whether the UE supports group DCI message based on TPC-PUSCH-RNTI for TPC commands for PUSCH. | UE | No | No | Yes |
| ***tpc-SRS-RNTI***Indicates whether the UE supports group DCI message based on TPC-SRS-RNTI for TPC commands for SRS. | UE | No | No | Yes |
| ***twoDifferentTPC-Loop-PUCCH***Indicates whether the UE supports two different TPC loops for PUCCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoDifferentTPC-Loop-PUSCH***Indicates whether the UE supports two different TPC loops for PUSCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoFL-DMRS***Defines whether the UE supports DM-RS pattern for DL reception and/or UL transmission with 2 symbols front-loaded DM-RS without additional DM-RS symbols.The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | Yes | No | Yes |
| ***twoFL-DMRS-TwoAdditionalDMRS-UL***Defines whether the UE supports DM-RS pattern for UL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | UE | Yes | No | Yes |
| ***twoPUCCH-AnyOthersInSlot***Indicates whether the UE supports transmission of two PUCCH formats in TDM in the same slot, which are not covered by *twoPUCCH-F0-2-ConsecSymbols* and *onePUCCH-LongAndShortFormat*. | UE | No | No | Yes |
| ***twoPUCCH-F0-2-ConsecSymbols***Indicates whether the UE supports transmission of two PUCCHs of format 0 or 2 in consecutive symbols in a slot. | UE | No | Yes | Yes |
| ***type1-PUSCH-RepetitionMultiSlots***Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-PUSCH-RepetitionMultiSlots***Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-SP-CSI-Feedback-LongPUCCH***Indicates whether UE supports Type II CSI semi-persistent CSI reporting over PUCCH Formats 3 and 4 as defined in clause 5.2.4 of TS 38.214 [12]. | UE | No | No | No |
| ***uci-CodeBlockSegmentation***Indicates whether the UE supports segmenting UCI into multiple code blocks depending on the payload size. | UE | Yes | No | Yes |
| ***ul-64QAM-MCS-TableAlt***Indicates whether the UE supports the alternative 64QAM MCS table for PUSCH with and without transform precoding respectively. | UE | No | No | Yes |
| ***ul-SchedulingOffset***Indicates whether the UE supports UL scheduling slot offset (K2) greater than 12. | UE | Yes | Yes | Yes |

*Next Modified Subclause*

### 4.2.9 *MeasAndMobParameters*

| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| --- | --- | --- | --- | --- |
| ***cli-RSSI-Meas-r16***Indicates whether the UE can perform CLI RSSI measurements as specified in TS 38.215 [13] and supports periodical reporting and measurement event triggering as specified in TS 38.331 [9]. | UE | No | TDD only | Yes |
| ***cli-SRS-RSRP-Meas-r16***Indicates whether the UE can perform SRS RSRP measurements as specified in TS 38.215 [13] and supports periodical reporting and measurement event triggering based on SRS-RSRP as specified in TS 38.331 [9]. | UE | No | TDD only | Yes |
| ***csi-RS-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of CSI-RS as specified in TS 38.213 [11] and TS 38.133 [5]. This parameter needs FR1 and FR2 differentiation. If the UE supports this feature, the UE needs to report *maxNumberResource-CSI-RS-RLM*. | UE | Yes | No | Yes |
| ***csi-RSRP-AndRSRQ-MeasWithSSB***Indicates whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured with an associated SS/PBCH. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. | UE | No | No | Yes |
| ***csi-RSRP-AndRSRQ-MeasWithoutSSB***Indicates whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured for a cell that transmits SS/PBCH block and without an associated SS/PBCH block. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. | UE | No | No | Yes |
| ***csi-SINR-Meas***Indicates whether the UE can perform CSI-SINR measurements based on configured CSI-RS resources as specified in TS 38.215 [13]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponding to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. | UE | No | No | Yes |
| ***eutra-AutonomousGaps-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when MR-DC is not configured.  | UE | No | Yes | No |
| ***eutra-CGI-Reporting***Defines whether the UE supports acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the EN-DC is not configured. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***eventA-MeasAndReport***Indicates whether the UE supports NR measurements and events A triggered reporting as specified in TS 38.331 [9]. This field only applies to SN configured measurement when EN-DC is configured. For NR SA, this feature is mandatory supported. For IAB-MT, this feature is optional for both EN-DC and NR SA. | UE | Yes | Yes | No |
| ***eventB-MeasAndReport***Indicates whether the UE supports EUTRA measurement and event B triggered reporting as specified in TS 38.331 [9]. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***handoverLTE-5GC***Indicates whether the UE supports HO to EUTRA connected to 5GC. It is mandated if the UE supports EUTRA connected to 5GC. | UE | CY | Yes | Yes |
| ***handoverFDD-TDD***Indicates whether the UE supports HO between FDD and TDD. It is mandated if the UE supports both FDD and TDD. This field only applies to NR SA (e.g. PCell handover). For PSCell change when EN-DC is configured, this feature is mandatory supported. | UE | Yes | No | No |
| ***handoverFR1-FR2***Indicates whether the UE supports HO between FR1 and FR2. Support is mandatory for the UE supporting both FR1 and FR2. This field only applies to NR SA(e.g. PCell handover). For PSCell change when EN-DC is configured, this feature is mandatory supported. | UE | Yes | No | No |
| ***handoverInterF***Indicates whether the UE supports inter-frequency HO. It indicates the support for inter-frequency HO from the corresponding duplex mode if this capability is included in *fdd-Add-UE-NR-Capabilities* or *tdd-Add-UE-NR-Capabilities*. It indicates the support for inter-frequency HO from the corresponding frequency range if this capability is included in *fr1-Add-UE-NR-Capabilities* or *fr2-Add-UE-NR-Capabilities*. This field only applies to NR SA (e.g. PCell handover). For PSCell change when EN-DC is configured, this feature is mandatory supported. | UE | Yes | Yes | Yes |
| ***handoverLTE-EPC***Indicates whether the UE supports HO to EUTRA connected to EPC. It is mandated if the UE supports EUTRA connected to EPC. | UE | CY | Yes | Yes |
| ***handoverUTRA-FDD-r16***Indicates whether the UE supports NR to UTRA-FDD CELL\_DCH CS handover. It is mandatory to support both UTRA-FDD measurement and event B triggered reporting, and periodic UTRA-FDD measurement and reporting if the UE supports HO to UTRA-FDD. If this field is included, then UE shall support IMS voice over NR. | UE | No | Yes | Yes |
| ***independentGapConfig***This field indicates whether the UE supports two independent measurement gap configurations for FR1 and FR2 specified in clause 9.1.2 of TS 38.133 [5]. The field also indicates whether the UE supports the FR2 inter-RAT measurement without gaps when EN-DC is not configured. | UE | No | No | No |
| ***intraAndInterF-MeasAndReport***Indicates whether the UE supports NR intra-frequency and inter-frequency measurements and at least periodical reporting. This field only applies to SN configured measurement when EN-DC is configured. For NR SA, this feature is mandatory supported. For IAB-MT, this feature is optional for both EN-DC and NR SA. | UE | Yes | Yes | No |
| ***periodicEUTRA-MeasAndReport***Indicates whether the UE supports periodic EUTRA measurement and reporting. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***maxNumberCSI-RS-RRM-RS-SINR***Defines the maximum number of CSI-RS resources for RRM and RS-SINR measurement across all measurement frequencies per slot. If UE supports any of *csi-RSRP-AndRSRQ-MeasWithSSB*, *csi-RSRP-AndRSRQ-MeasWithoutSSB*, and *csi-SINR-Meas*, UE shall report this capability. | UE | CY | No | No |
| ***maxNumberResource-CSI-RS-RLM***Defines the maximum number of CSI-RS resources within a slot per spCell for CSI-RS based RLM. If UE supports any of *csi-RS-RLM* and *ssb-AndCSI-RS-RLM*, UE shall report this capability. | UE | CY | No | Yes |
| ***nr-AutonomousGaps-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when MR-DC is not configured.  | UE | No | Yes | Yes |
| ***nr-AutonomousGaps-ENDC-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when (NG)EN-DC is configured. | UE | No | Yes | Yes |
| ***nr-CGI-Reporting***Defines whether the UE supports acquisition of relevant information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when EN-DC is not configured. | UE | Yes | No | No |
| ***nr-CGI-Reporting-ENDC***Defines whether the UE supports acquisition of relevant information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the (NG)EN-DC is configured. | UE | Yes | No | No |
| ***simultaneousRxDataSSB-DiffNumerology***Indicates whether the UE supports concurrent intra-frequency measurement on serving cell or neighbouring cell and PDCCH or PDSCH reception from the serving cell with a different numerology as defined in clause 8 and 9 of TS 38.133 [5]. | UE | No | No | Yes |
| ***sftd-MeasPSCell***Indicates whether the UE supports SFTD measurements between the PCell and a configured PSCell. If this capability is included in UE-MRDC-Capability, it indicates that the UE supports SFTD measurement between PCell and PSCell in (NG)EN-DC. If this capability is included in UE-NR-Capability, it indicates that the UE supports SFTD measurement between PCell and PSCell in NR-DC. | UE | No | Yes | No |
| ***sftd-MeasPSCell-NEDC***Indicates whether the UE supports SFTD measurement between the NR PCell and a configured E-UTRA PSCell in NE-DC. | UE | No | Yes | No |
| ***sftd-MeasNR-Cell***Indicates whether the SFTD measurement with and without measurement gaps between the EUTRA PCell and the NR cells is supported by the UE which is capable of EN-DC/NGEN-DC when EN-DC/NGEN-DC is not configured. The SFTD measurement without gaps can be used when the UE supports at least one EN-DC band combination consisting of the set of the current E-UTRA serving frequencies and the NR frequency where SFTD measurement is configured. In UE-NR-Capability, this field is not used, and UE does not include the field. | UE | No | Yes | No |
| ***sftd-MeasNR-Neigh***Indicates whether the inter-frequency SFTD measurement with and without measurement gaps between the NR PCell and inter-frequency NR neighbour cells is supported by the UE when MR-DC is not configured. The SFTD measurement without gaps can be used when the UE supports at least one DC or CA band combination consisting of the set of the current NR serving frequencies and the NR frequency where SFTD measurement is configured.  | UE | No | Yes | No |
| ***sftd-MeasNR-Neigh-DRX***Indicates whether the inter-frequency SFTD measurement using DRX off period between the NR PCell and the inter-frequency NR neighbour cells is supported by the UE when MR-DC is not configured. | UE | No | Yes | No |
| ***ssb-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of SS/PBCH block as specified in TS 38.213 [11] and TS 38.133 [5]. This field shall be set to *supported*. | UE | Yes | No | No |
| ***ssb-AndCSI-RS-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of SS/PBCH block and CSI-RS as specified in TS 38.213 [11] and TS 38.133 [5]. If the UE supports this feature, the UE needs to report *maxNumberResource-CSI-RS-RLM*. | UE | No | No | No |
| ***ss-SINR-Meas***Indicates whether the UE can perform SS-SINR measurement as specified in TS 38.215 [13]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***supportedGapPattern***Indicates measurement gap pattern(s) optionally supported by the UE for NR SA, for NR-DC, for NE-DC and for independent measurement gap configuration on FR2 in (NG)EN-DC. The leading / leftmost bit (bit 0) corresponds to the gap pattern 2, the next bit corresponds to the gap pattern 3, as specified in TS 38.133 [5] and so on. The UE shall set the bits corresponding to the measurement gap pattern 13 and 14 to 1 if the UE is an NR standalone capable UE that supports a band in FR2 or if the UE is an (NG)EN-DC capable UE that supports *independentGapConfig* and supports a band in FR2. | UE | CY | No | No |

*Next Modified Subclause*

### 4.2.11 IAB Parameters

#### 4.2.11.1 Mandatory IAB-MT features

Table 4.2.11.1-1, Table 4.2.11.1-2 and Table 4.2.11.1-3 capture feature groups, which are mandatory for an IAB-MT. All other feature groups or components of the feature groups as captured in TR 38.822 [y] as well as capabilities specified in this specification are optional for an IAB-MT.

Table 4.2.11.1-1: Layer-1 mandatory features for IAB-MT

| Features | Index | Feature group | Components | Additional information |
| --- | --- | --- | --- | --- |
| 0. Waveform, modulation, subcarrier spacings, and CP | 0-1 | CP-OFDM waveform for DL and UL | 1) CP-OFDM for DL2) CP -OFDM for UL |  |
| 0-3 | DL modulation scheme | 1) QPSK modulation2) 16QAM modulation3) 64QAM modulation for FR1 |  |
| 0-4 | UL modulation scheme | 1) QPSK modulation2) 16QAM modulation |  |
| 1. Initial access and mobility | 1-1 | Basic initial access channels and procedures | 1) RACH preamble format 2) SS block based RRM measurement 3) Broadcast SIB reception including RMSI/OSI and paging | Only 1 preamble for component 1), component 2), component 3) except paging |
| 1-3 | SS block based RLM | SS-SINR measurement |  |
| 2. MIMO | 2-1 | Basic PDSCH reception | 1) Data RE mapping2) Single layer transmission3) Support one TCI state |  |
| 2-5 | Basic downlink DMRSfor scheduling type A | 1) Support 1 symbol FL DMRS without additional symbol(s) 2) Support 1 symbol FL DMRS and 1 additional DMRS symbol 3) Support 1 symbol FL DMRS and 2 additional DMRS symbols for at least one port. |  |
| 2-6 | Basic downlink DMRSfor scheduling type B | 1) Support 1 symbol FL DMRS without additional symbol(s)2) Support 1 symbol FL DMRS and 1 additional DMRS symbol |  |
| 2-12 | Basic PUSCH transmission | Data RE mappingSingle layer (single Tx) transmission Single port, single resource SRS transmission (SRS set use is configured as for codebook) |  |
| 2-16 | Basic uplink DMRS (uplink) for scheduling type A | 1) Support 1 symbol FL DMRS without additional symbol(s)2) Support 1 symbol FL DMRS and 1 additional DMRS symbols 3) Support 1 symbol FL DMRS and 2 additional DMRS symbols |  |
| 2-16a | Basic uplink DMRSfor scheduling type B | 1) Support 1 symbol FL DMRS without additional symbol(s)2) Support 1 symbol FL DMRS and 1 additional DMRS symbol |  |
| 2-22 | Aperiodic beam report | Support aperiodic report on PUSCH |  |
| 2-32 | Basic CSI feedback | 1) Type I single panel codebook based PMI (further discuss which mode or both to be supported as mandatory) 2) 2Tx codebook for FR1 and FR2 3) 4Tx codebook for FR14) 8Tx codebook for FR1 when configured as wideband CSI report7) a-CSI on PUSCH (at least Z value >= 14 symbols, detail processing time to be discussed separately) further check a-CSI on p-CSI-RS and/or SP-CSI-RS from component-7 |  |
| 2-50 | Basic TRS | 1) Support of TRS (mandatory)2) All the periodicity are supported. |  |
| 2-52 | Basic SRS | 1) Support 1 port SRS transmission2) Support periodic/aperiodic SRS transmission |  |
| 3. DL control channel and procedure | 3-1 | Basic DL control channel | 1) One configured CORESET per BWP per cell in addition to CORESET0- CORESET resource allocation of 6RB bit-map and duration of 1 – 3 OFDM symbols for FR1- For type 1 CSS without dedicated RRC configuration and for type 0, 0A, and 2 CSSs, CORESET resource allocation of 6RB bit-map and duration 1-3 OFDM symbols for FR2- For type 1 CSS with dedicated RRC configuration and for type 3 CSS, UE specific SS, CORESET resource allocation of 6RB bit-map and duration 1-2 OFDM symbols for FR2- REG-bundle sizes of 2/3 RBs or 6 RBs- Interleaved and non-interleaved CCE-to-REG mapping- Precoder-granularity of REG-bundle size - PDCCH DMRS scrambling determination- TCI state(s) for a CORESET configuration2) CSS and UE-SS configurations for unicast PDCCH transmission per BWP per cell- PDCCH aggregation levels 1, 2, 4, 8, 16- UP to 3 search space sets in a slot for a scheduled SCell per BWPThis search space limit is before applying all dropping rules. - For type 1 CSS with dedicated RRC configuration, type 3 CSS, and UE-SS, the monitoring occasion is within the first 3 OFDM symbols of a slot- For type 1 CSS without dedicated RRC configuration and for type 0, 0A, and 2 CSS, the monitoring occasion can be any OFDM symbol(s) of a slot, with the monitoring occasions for any of Type 1- CSS without dedicated RRC configuration, or Types 0, 0A, or 2 CSS configurations within a single span of three consecutive OFDM symbols within a slot3) Monitoring DCI formats 0\_0, 1\_0, 0\_1, 1\_14) Number of PDCCH blind decodes per slot with a given SCS follows Case 1-1 table5) Processing one unicast DCI scheduling DL and one unicast DCI scheduling UL per slot per scheduled CC for FDD |  |
| 4. UL control channel and procedure | 4-1 | Basic UL control channel | 1) PUCCH format 0 over 1 OFDM symbols once per slot 2) PUCCH format 0 over 2 OFDM symbols once per slot with frequency hopping as "enabled"3) PUCCH format 1 over 4 – 14 OFDM symbols once per slot with intra-slot frequency hopping as "enabled"5) One SR configuration per PUCCH group6) HARQ-ACK transmission once per slot with its resource/timing determined by using the DCI7)SR/HARQ multiplexing once per slot using a PUCCH when SR/HARQ-ACK are supposed to be sent by overlapping PUCCH resources with the same starting symbols in a slot8) HARQ-ACK piggyback on PUSCH with/without aperiodic CSI once per slot when the starting OFDM symbol of the PUSCH is the same as the starting OFDM symbols of the PUCCH resource that HARQ-ACK would have been transmitted on9) Semi-static beta-offset configuration for HARQ-ACK10) Single group of overlapping PUCCH/PUCCH and overlapping PUCCH/PUSCH s per slot per PUCCH cell group for control multiplexing |  |
| 4-10 | Dynamic HARQ-ACK codebook | Dynamic HARQ-ACK codebook |  |
| 5. Scheduling/HARQ operation | 5-1 | Basic scheduling/HARQ operation | 1) Frequency-domain resource allocation- RA Type 0 only and Type 1 only for PDSCH without interleaving- RA Type 1 for PUSCH without interleaving2) Time-domain resource allocation- 1-14 OFDM symbols for PUSCH once per slot- One unicast PDSCH per slot - Starting symbol, and duration are determined by using the DCI- PDSCH mapping type A with 7-14 OFDM symbols- PUSCH mapping type A and type B- For type 1 CSS without dedicated RRC configuration and for type 0, 0A, and 2 CSS, PDSCH mapping type A with {4-14} OFDM symbols and type B with {2, 4, 7} OFDM symbols3) TBS determination4) Nominal UE processing time for N1 and N2 (Capability #1)5) HARQ process operation with configurable number of DL HARQ processes of up to 166) Cell specific RRC configured UL/DL assignment for TDD7) Dynamic UL/DL determination based on L1 scheduling DCI with/without cell specific RRC configured UL/DL assignment9) In TDD support at most one switch point per slot for actual DL/UL transmission(s)10) DL scheduling slot offset K0=012) UL scheduling slot offset K2<=12For type 1 CSS without dedicated RRC configuration and for type 0, 0A, and 2 CSS, interleaving for VRB-to-PRB mapping for PDSCH |  |
| 6. CA/DC, BWP, SUL | 6-1 | Basic BWP operation with restriction | 1) 1 UE-specific RRC configured DL BWP per carrier2) 1 UE-specific RRC configured UL BWP per carrier3) RRC reconfiguration of any parameters related to BWP4) BW of a UE-specific RRC configured BWP includes BW of CORESET#0 (if CORESET#0 is present) and SSB for PCell/PSCell (if configured) and BW of the UE-specific RRC configured BWP includes SSB for SCell if there is SSB on SCell |  |
| 7. Channel coding | 7-1 | Channel coding | 1) LDPC encoding and associated functions for data on DL and UL2) Polar encoding and associated functions for PBCH, DCI, and UCI3) Coding for very small blocks |  |
| 8. UL TPC | 8-3 | Basic power control operation | 1) Accumulated power control mode for closed loop2) 1 TPC command loop for PUSCH, PUCCH respectively3) One or multiple DL RS configured for pathloss estimation4) One or multiple p0-alpha values configured for open loop PC5) PUSCH power control 6) PUCCH power control 7) PRACH power control8) SRS power control 9) PHR |  |

Table 4.2.11.1-2: Layer-2 and Layer-3 mandatory features for IAB-MT

| Features | Index | Feature group | Components | Additional information |
| --- | --- | --- | --- | --- |
| 0. General | N/A | IAB procedures | 1) Routing using BAP protocol, as specified in TS 38.340 [x]2) Bearer mapping using BAP protocol, as specified in TS 38.340 [x]3) IAB-node IP address signalling over RRC, as specified in TS 38.331 [9] |  |
| 1. PDCP | 1-0 | Basic PDCP procedures | 1) (de)Ciphering on DRB/SRB2) Integrity protection on SRB3) Timer based SDU discard4) Re-ordering and in-order delivery5) Status reporting6) Duplicate discarding7) 18bits SN |  |
| 2. RLC | 2-0 | Basic RLC procedures | 1) RLC TM2) RLC AM with 18bits SN3) SDU discard |  |
| 2-4 | NR RLC SN size for SRB | NR RLC SN size for SRB |  |
| 3. MAC | 3-0 | Basic MAC procedures | 1) RA procedure on PCell2) IAB-MT initiated RA procedure (including for beam recovery purpose)3) NW initiated RA procedure (i.e. based on PDCCH)4) Support of ssb-Threshold and association between preamble/PRACH occasion and SSB5) Preamble grouping6) UL single TA maintenance7) HARQ operation for DL and UL8) LCH prioritization9) Prioritized bit rate10) Multiplexing11) SR with single SR configuration12) BSR13) PHR14) 8bits and 16bits L field |  |
| 9. RRC | 9-1 | RRC buffer size | Maximum overall RRC configuration size | 45 Kbytes |
| 9-2 | RRC processing time | 1) RRC connection establishment2) RRC connection resume without SCell addition/release and SCG establishment/modification/release3) RRC connection reconfiguration without SCell addition/release and SCG establishment/modification/release4) RRC connection re-establishment.5) RRC connection reconfiguration with sync procedure6) RRC connection reconfiguration with SCell addition/release or SCG establishment/modification/release7) RRC connection resume8) Initial security activation9) Counter check10) UE capability transfer | 1) to 3) 10ms4) 10ms5): 10ms + additional delay (cell search time and synchronization) defined in TS 38.1336) and 7) 16ms7) 10 or 6ms(See details in section 12, TS 38.331)8) and 9) 5ms10) 80ms |

Table 4.2.11.1-3: RF/RRM mandatory features for IAB-MT

| Features | Index | Feature group | Components | Additional information |
| --- | --- | --- | --- | --- |
| 1. System parameter | 1-2 | 64QAM modulation for FR2 PDSCH | 64QAM modulation for FR2 PDSCH |  |
| 1-3 | 64QAM for PUSCH | 64QAM for PUSCH |  |

#### 4.2.11.2 General Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***bh-RLF-Indication-r16***Indicates whether the IAB-MT supports BH RLF indication handling as specified in TS 38.331 [9] and in TS 38.340 [x] | IAB-MT | No | No | No |
| ***directSN-AdditionFirstRRC-IAB-r16***Indicates whether the IAB-MT supports direct SN addition in the first RRC connection reconfiguration after RRC connection establishment. | IAB-MT | No | No | No |

#### 4.2.11.3 SDAP Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***sdap-QOS-IAB-r16***Indicates whether the IAB-MT supports flow-based QoS and multiple flows to 1 DRB mapping, as specified in TS 37.324 [z]. | IAB-MT | No | No | No |
| ***sdapHeaderIAB-r16***Indicates whether the IAB-MT supports UL SDAP header and SDAP End-marker, as specified in TS 37.324 [z]. | IAB-MT | No | No | No |

#### 4.2.11.4 BAP Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***flowControlBH-RLC-ChannelBased-r16***Indicates whether the IAB-MT supports flow control procedures and flow control feedback per backhaul RLC channel, as specified in TS 38.340 [x]. | IAB-MT | No | No | No |
| ***flowControlRouting-ID-Based-r16***Indicates whether the IAB-MT supports flow control procedures and flow control feedback per Routing ID, as specified in TS 38.340 [x]. | IAB-MT | No | No | No |

#### 4.2.11.5 MAC Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***lcid-ExtensionIAB-r16***Indicates whether the IAB-MT supports extended Logical Channel ID space using two-octet eLCID, as specified in TS 38.321 [8]. | IAB-MT | No | No | No |
| ***preEmptiveBSR-r16***Indicates whether the IAB-MT supports Pre-emptive BSR as specified in TS 38.321 [8]. | IAB-MT | No | No | No |

#### 4.2.11.6 Physical layer parameters

##### 4.2.11.6.1 BandNR parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***rasterShift7dot5-IAB-r16***Indicates whether the IAB-MT supports 7.5kHz UL raster shift in the indicated band. | Band | No | No | No |

##### 4.2.11.6.2 Phy-Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***dft-S-OFDM-WaveformUL-IAB-r16***Indicates whether the IAB-MT supports DFT-S-OFDM waveform for UL and transform precoding for single-layer PUSCH. | IAB-MT | No | No | No |

#### 4.2.11.7 MeasAndMobParameters Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***handoverIntraF-IAB-r16***Indicates whether the IAB-MT supports intra-frequency HO. It indicates the support for intra-frequency HO from the corresponding duplex mode if this capability is included in *fdd-Add-UE-NR-Capabilities* or *tdd-Add-UE-NR-Capabilities*. It indicates the support for intra-frequency HO in the corresponding frequency range if this capability is included in *fr1-Add-UE-NR-Capabilities* or *fr2-Add-UE-NR-Capabilities*.  | IAB-MT | No | Yes | Yes |
| ***mfbi-IAB-r16***Indicates whether the IAB-MT supports multiple frequency band indication. | IAB-MT | No | No | No |
| ***multipleNS-And-Pmax-IAB-r16***Indicates whether the IAB-MT supports multiple multiple NS/P-Max. | IAB-MT | No | No | No |

#### 4.2.11.8 Inter-RAT Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***f1c-OverEUTRA-r16***Indicates whether the IAB-MT supports F1-C signalling over DLInformationTransfer and ULInformationTransfer messages via MN when IAB-MT operates in EN-DC mode, as specified in TS 36.331 [17]. | IAB-MT | No | No | No |