**3GPP TSG- Meeting # *R2-200xxxx***

**Electronic Meeting, 1st – 12th June 2020**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **xxxx** | **rev** | **-** | **Current version:** | **16.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Correction on MN-SN measurements coordination in INM | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson, NEC, ZTE Corporation, Sanechips, Vivo, Softbank, Turkcell, Deutsche Telekom, NTT DOCOMO INC., China Unicom, Qualcomm Incorporated, InterDigital | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | TEI16 | | | | |  | ***Date:*** | | | 2020-05-20 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | According to the new signaling introduces in the agreed CR R2-2002344, the MN is allowed to send the maximum allowed number of measurement identites for intra- and inter-frequency measurements to the SN.  However, even if such coordination is in place, there are still open issue to be solved that may lead to exceeding UE capabilities and thus to a drop of the connectivity. For instance, in case the MN want to set new restrictions to the SN, is not clear how the SN should handle such new restriction in case e.g., the MN wants to allocated more measurements identities in addition to that ones previously configured.  Further, if the SN needs to allocate additional measurements identities, there is no mean at the moment to do it. In such a case, the missing signaling should be added. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Section 11.2.2  - Added two new fields in the CG-Config (*requestedMaxInterFreqMeasIdSCG* and *requestedMaxIntraFreqMeasIdSCG*) in order to allow the SN to request new maximum number of measurement identities to the MN.  **Impact analysis:**  Impacted architectures: EN-DC, NGEN-DC, NE-DC, NR-DC  Impacted functionality: inter-node messages  Inter-operatibility impact:  If the source node implements the CR and the target node does not, the MN may set new restrictions on the measurements identities without knowing if the SN is really applying them and this may lead to exceeding the UE capabilities.  If the target node implements the CR and the source node does not, the MN may set new restrictions on the measurements identities without knowing if the SN is really applying them and this may lead exceeding the UE capabilities. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | If the CR is not approved, the MN may set new restrictions on the measurements identities without knowing if the SN is really applying them and this may lead exceeding the UE capabilities. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 11.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **Y** |  | Other core specifications | | | | TS 37.340 CR xxxx | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*START OF CHANGES*

### 11.2.2 Message definitions

#### – *CG-Config*

This message is used to transfer the SCG radio configuration as generated by the SgNB or SeNB. It can also be used by a CU to request a DU to perform certain actions, e.g. to request the DU to perform a new lower layer configuration.

Direction: Secondary gNB or eNB to master gNB or eNB, alternatively CU to DU.

*CG-Config* message

-- ASN1START

-- TAG-CG-CONFIG-START

CG-Config ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE{

cg-Config CG-Config-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

CG-Config-IEs ::= SEQUENCE {

scg-CellGroupConfig OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

scg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

configRestrictModReq ConfigRestrictModReqSCG OPTIONAL,

drx-InfoSCG DRX-Info OPTIONAL,

candidateCellInfoListSN OCTET STRING (CONTAINING MeasResultList2NR) OPTIONAL,

measConfigSN MeasConfigSN OPTIONAL,

selectedBandCombination BandCombinationInfoSN OPTIONAL,

fr-InfoListSCG FR-InfoList OPTIONAL,

candidateServingFreqListNR CandidateServingFreqListNR OPTIONAL,

nonCriticalExtension CG-Config-v1540-IEs OPTIONAL

}

CG-Config-v1540-IEs ::= SEQUENCE {

pSCellFrequency ARFCN-ValueNR OPTIONAL,

reportCGI-RequestNR SEQUENCE {

requestedCellInfo SEQUENCE {

ssbFrequency ARFCN-ValueNR,

cellForWhichToReportCGI PhysCellId

} OPTIONAL

} OPTIONAL,

ph-InfoSCG PH-TypeListSCG OPTIONAL,

nonCriticalExtension CG-Config-v1560-IEs OPTIONAL

}

CG-Config-v1560-IEs ::= SEQUENCE {

pSCellFrequencyEUTRA ARFCN-ValueEUTRA OPTIONAL,

scg-CellGroupConfigEUTRA OCTET STRING OPTIONAL,

candidateCellInfoListSN-EUTRA OCTET STRING OPTIONAL,

candidateServingFreqListEUTRA CandidateServingFreqListEUTRA OPTIONAL,

needForGaps ENUMERATED {true} OPTIONAL,

drx-ConfigSCG DRX-Config OPTIONAL,

reportCGI-RequestEUTRA SEQUENCE {

requestedCellInfoEUTRA SEQUENCE {

eutraFrequency ARFCN-ValueEUTRA,

cellForWhichToReportCGI-EUTRA EUTRA-PhysCellId

} OPTIONAL

} OPTIONAL,

nonCriticalExtension CG-Config-v1590-IEs OPTIONAL

}

CG-Config-v1590-IEs ::= SEQUENCE {

scellFrequenciesSN-NR SEQUENCE (SIZE (1.. maxNrofServingCells-1)) OF ARFCN-ValueNR OPTIONAL,

scellFrequenciesSN-EUTRA SEQUENCE (SIZE (1.. maxNrofServingCells-1)) OF ARFCN-ValueEUTRA OPTIONAL,

nonCriticalExtension CG-Config-v16xx-IEs OPTIONAL

}

CG-Config-v16xx-IEs ::= SEQUENCE {

drx-InfoSCG2 DRX-Info2 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

PH-TypeListSCG ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF PH-InfoSCG

PH-InfoSCG ::= SEQUENCE {

servCellIndex ServCellIndex,

ph-Uplink PH-UplinkCarrierSCG,

ph-SupplementaryUplink PH-UplinkCarrierSCG OPTIONAL,

...

}

PH-UplinkCarrierSCG ::= SEQUENCE{

ph-Type1or3 ENUMERATED {type1, type3},

...

}

MeasConfigSN ::= SEQUENCE {

measuredFrequenciesSN SEQUENCE (SIZE (1..maxMeasFreqsSN)) OF NR-FreqInfo OPTIONAL,

...

}

NR-FreqInfo ::= SEQUENCE {

measuredFrequency ARFCN-ValueNR OPTIONAL,

...

}

ConfigRestrictModReqSCG ::= SEQUENCE {

requestedBC-MRDC BandCombinationInfoSN OPTIONAL,

requestedP-MaxFR1 P-Max OPTIONAL,

...,

[[

requestedPDCCH-BlindDetectionSCG INTEGER (1..15) OPTIONAL,

requestedP-MaxEUTRA P-Max OPTIONAL

]],

[[

requestedP-MaxFR2-r16 P-Max OPTIONAL

]],

[[

requestedMaxInterFreqMeasIdSCG INTEGER(1..maxMeasIdentitiesSN) OPTIONAL,

requestedMaxIntraFreqMeasIdSCG INTEGER(1..maxMeasIdentitiesSN) OPTIONAL

]]

}

BandCombinationIndex ::= INTEGER (1..maxBandComb)

BandCombinationInfoSN ::= SEQUENCE {

bandCombinationIndex BandCombinationIndex,

requestedFeatureSets FeatureSetEntryIndex

}

FR-InfoList ::= SEQUENCE (SIZE (1..maxNrofServingCells-1)) OF FR-Info

FR-Info ::= SEQUENCE {

servCellIndex ServCellIndex,

fr-Type ENUMERATED {fr1, fr2}

}

CandidateServingFreqListNR ::= SEQUENCE (SIZE (1.. maxFreqIDC-MRDC)) OF ARFCN-ValueNR

CandidateServingFreqListEUTRA ::= SEQUENCE (SIZE (1.. maxFreqIDC-MRDC)) OF ARFCN-ValueEUTRA

-- TAG-CG-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *CG-Config* field descriptions |
| ***candidateCellInfoListSN***  Contains information regarding cells that the source secondary node suggests the target secondary gNB to consider configuring. |
| ***candidateCellInfoListSN-EUTRA***  Includes the *MeasResultList3EUTRA* as specified in TS 36.331 [10]. Contains information regarding cells that the source secondary node suggests the target secondary eNB to consider configuring. This field is only used in NE-DC. |
| ***candidateServingFreqListNR, candidateServingFreqListEUTRA***  Indicates frequencies of candidate serving cells for In-Device Co-existence Indication (see TS 36.331 [10]). |
| ***configRestrictModReq***  Used by SN to request changes to SCG configuration restrictions previously set by MN to ensure UE capabilities are respected. E.g. can be used to request configuring an NR band combination whose use MN has previously forbidden. |
| ***drx-ConfigSCG***  This field contains the complete DRX configuration of the SCG. This field is only used in NR-DC. |
| ***drx-InfoSCG***  This field contains the DRX long and short cycle configuration of the SCG. This field is used in (NG)EN-DC and NE-DC. |
| ***drx-InfoSCG2***  This field contains the drx-onDurationTimer configuration of the SCG. This field is only used in (NG)EN-DC. |
| ***fr-InfoListSCG***  Contains information of FR information of serving cells that include PScell and SCells configured in SCG. |
| ***measuredFrequenciesSN***  Used by SN to indicate a list of frequencies measured by the UE. |
| ***needForGaps***  In NE-DC, indicates wheter the SN requests gNB to configure measurements gaps. |
| ***ph-InfoSCG***  Power headroom information in SCG that is needed in the reception of PHR MAC CE of MCG |
| ***ph-SupplementaryUplink***  Power headroom information for supplementary uplink. In the case of (NG)EN-DC and NR-DC, this field is only present when two UL carriers are configued for a serving cell and one UL carrier reports type1 PH while the other reports type 3 PH. |
| ***ph-Type1or3***  Type of power headroom for a certain serving cell in SCG (PSCell and activated SCells). Value *type1* refers to type 1 power headroom, value *type3* refers to type 3 power headroom. (See TS 38.321 [3]). |
| ***ph-Uplink***  Power headroom information for uplink. |
| ***pSCellFrequency, pSCellFrequencyEUTRA***  Indicates the frequency of PSCell in NR (i.e., *pSCellFrequency*) or E-UTRA (i.e., *pSCellFrequencyEUTRA*). In this version of the specification, *pSCellFrequency* is not used in NE-DC whereas *pSCellFrequencyEUTRA* is only used in NE-DC. |
| ***reportCGI-RequestNR, reportCGI-RequestEUTRA***  Used by SN to indicate to MN about configuring *reportCGI* procedure. The request may optionally contain information about the cell for which SN intends to configure *reportCGI* procedure. In this version of the specification, the *reportCGI-RequestNR* is used in (NG)EN-DC and NR-DC whereas *reportCGI-RequestEUTRA* is used only for NE-DC. |
| ***requestedBC-MRDC***  Used to request configuring a band combination and corresponding feature sets which are forbidden to use by MN (i.e. outside of the *allowedBC-ListMRDC*) to allow re-negotiation of the UE capabilities for SCG configuration. |
| ***requestedMaxInterFreqMeasIdSCG***  Used to request the maximum number of allowed measurement identities to configure for inter-frequency measurement. This field is only used in NR-DC. |
| ***requestedMaxIntraFreqMeasIdSCG***  Used to request the maximum number of allowed measurement identities to configure for intra-frequency measurement on each serving frequency. |
| ***requestedPDCCH-BlindDetectionSCG***  Requested value of the reference number of cells for PDCCH blind detection allowed to be configured for the SCG. |
| ***requestedP-MaxEUTRA***  Requested value for the maximum power for the serving cells the UE can use in E-UTRA SCG. This field is only used in NE-DC. |
| ***requestedP-MaxFR1***  Requested value for the maximum power for the serving cells on frequency range 1 (FR1) in this secondary cell group (see TS 38.104 [12]) the UE can use in NR SCG. |
| ***requestedP-MaxFR2***  Requested value for the maximum power for the serving cells on frequency range 2 (FR2) in this secondary cell group the UE can use in NR SCG. This field is only used in NR-DC. |
| ***scellFrequenciesSN-EUTRA, scellFrequenciesSN-NR***  Indicates the frequency of all SCells configured in SCG. The field *scellFrequenciesSN-EUTRA* is used in NE-DC; the field *scellFrequenciesSN-NR* is used in (NG)EN-DC and NR-DC. In (NG)EN-DC, the field is optionally provided to the MN. |
| ***scg-CellGroupConfig***  Contains the *RRCReconfiguration* message (containing only *secondaryCellGroup* and/or *measConfig*):  - to be sent to the UE, used upon SCG establishment or modification, as generated (entirely) by the (target) SgNB. In this case, the SN sets the *RRCReconfiguration* message in accordance with clause 6 e.g. regarding the "Need" or "Cond" statements.  or  - including the current SCG configuration of the UE, when provided in response to a query from MN, or in SN triggered SN change in order to enable delta signaling by the target SN. In this case, the SN sets the *RRCReconfiguration* message in accordance with clause 11.2.3.  The field is absent if neither SCG (re)configuration nor SCG configuration query nor SN triggered SN change is performed, e.g. at inter-node capability/configuration coordination which does not result in SCG (re)configuration towards the UE. This field is not applicable in NE-DC. |
| ***scg-CellGroupConfigEUTRA***  Includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. Used to (re-)configure the SCG configuration upon SCG establishment or modification, as generated (entirely) by the (target) SeNB. This field is only used in NE-DC. |
| ***scg-RB-Config***  Contains the IE *RadioBearerConfig*:  - to be sent to the UE, used to (re-)configure the SCG RB configuration upon SCG establishment or modification, as generated (entirely) by the (target) SgNB or SeNB. In this case, the SN sets the *RadioBearerConfig* in accordance with clause 6, e.g. regarding the "Need" or "Cond" statements.  or  - including the current SCG RB configuration of the UE, when provided in response to a query from MN or in SN triggered SN change or bearer type change between SN terminated bearer to MN terminated bearer in order to enable delta signaling by the MN or target SN. In this case, the SN sets the *RadioBearerConfig* in accordance with clause 11.2.3.  The field is absent if neither SCG (re)configuration nor SCG configuration query nor SN triggered SN change is performed, e.g. at inter-node capability/configuration coordination which does not result in SCG RB (re)configuration. |
| ***selectedBandCombination***  Indicates the band combination selected by SN in (NG)EN-DC, NE-DC, and NR-DC. The SN should inform the MN with this field whenever the band combination and/or feature set it selected for the SCG changes (i.e. even if the new selection concerns a band combination and/or feature set that is allowed by the *allowedBC-ListMRDC*) |

|  |
| --- |
| *BandCombinationInfoSN* field descriptions |
| ***bandCombinationIndex***  In case of (NG)EN-DC and NR-DC, this field indicates the position of a band combination in the *supportedBandCombinationList*. In case of NE-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationListNEDC-Only*. Band combination entries in *supportedBandCombinationList* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationListNEDC-Only* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationListNEDC-Only* increased by the number of entries in *supportedBandCombinationList*. |
| ***requestedFeatureSets***  The position in the *FeatureSetCombination* which identifies one *FeatureSetUplink*/*Downlink* for each band entry in the associated band combination |

*START OF CHANGES*