**3GPP TSG-RAN WG2 Meeting #110-eR2-2005693**

**eMeeting, 1st – 12th , June, 2020**

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| *CR-Form-v11.4* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** | **1453** | **rev** | **5** | **Current version:** | **16.0.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Introduction of NeedForGap capability for NR measurement |
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| ***Source to WG:*** | MediaTek Inc. |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core, TEI16 |  | ***Date:*** | 2020/06/01 |
|  |  |  |  |  |
| ***Category:*** | B |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | In release 15, the capability for requirement of measurement gap on NR measurement is not introduced due to time limitation. This results in the network configures measurement gap in most case even if the UE is capable of doing gapless in some scenario. Thus, there is a need to report this capability in release 16.In addition, it is proposed to report the capability dynamically in the RRC response message according to current band combination and other physical layer configurations. This could reduce message size of capability information and provided more flexibility on UE reporting of the capability (i.e. the UE could reports based on not only the band combination but also the other physical layer configurations).The basic rule to include the capability information in RRC response message is summarized as following:* The UE always includes the NeedForGap signalling in RRC Resume Complete message.
* The UE includes the NeedForGap signalling in RRC Reconfiguration Complete message if the NeedForGap information is changed (e.g. due to L1 parameters change or handover or SCell addition/release).
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| ***Summary of change:*** | 1. In 5.3.5.3, specify that the UE include the NR needForGap information in Reconfiguration Complete according to the following rules
* add the procedure text to handle the configuration of dynamic reporting of needForGap information
* The UE always includes it if the function is enabled for the first time
* The UE includes the signalling if it is changed
1. In 5.3.13.4, specify that the UE include the NR needForGap information in Resume Complete if the function is enabled
2. In 6.2.2, add the *needForGapsConfigNR* control field in RRC Reconfiguration message so that network could enable or disable the reporting of NR measurement gap information.
3. In 6.2.2, add ASN.1 define for NeedForGap information in RRC Reconfiguration complete message
4. In 6.2.2, add the *needForGapsConfigNR* control field in RRC Resume message so that network could enable or disable the reporting of NR measurement gap information
5. In 6.2.2, add ASN.1 define for NeedForGap information in RRC Resume complete message
6. In 6.3.2, add the definition of IE *NeedForGapsConfigNR* (Need for gap configuration) and IE *NeedForGapsInfoNR* (NR measurement gap requirement information)
7. In 11.2.2, add *NeedForGapsInfoNR* in *HandoverPreparationInformation* inter-node message so that it could be forwarded to the target node during handover.
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| ***Consequences if not approved:*** | The network always has to configure measurement gap for NR measurement. It will result in performance lost. |
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| ***Clauses affected:*** | 5.3.5.3, 5.3.13.4, 6.2.2, 6.3.2, 11.2.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 38.306 CR 0238 TS 38.300 CR 0191TS 38.331 CR 1702 |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |

1st change

#### 5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional configuration (CHO or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional configurationexecution upon cell selection while timer T311 is running, as defined in 5.3.7.3:

2> remove all the entries within *VarConditionalConfig*, if any;

NOTE: This step is performed so the UE only performs conditional configuration execution while timer T311 is running once for a given failure detection.

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> reset source MAC and release the source MAC configuration;

2> for each DRB with a DAPS PDCP entity:

3> release the RLC entity and the associated logical channel for the source;

3> reconfigure the PDCP entity to normal PDCP as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source;

3> release the RLC entity and the associated logical channel for the source;

2> release the physical channel configuration for the source;

2> discard the keys used in source (the KgNB key, the S-KgNB key, the S-KeNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

NOTE 0: If this *RRCReconfiguration* is associated to the MCG and includes *reconfigurationWithSync* in *spCellConfig* and *dedicatedSIB1-Delivery*, the UE initiates (if needed) the request to acquire required SIBs, according to clause 5.2.2.3.5, only after the random access procedure towards the target SpCell is completed.

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.12;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional configuration as specified in 5.3.5.13;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.8;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedEUTRA*:

2> if *sl-V2X-ConfigDedicated* is included in *sl-ConfigDedicatedEUTRA*

3> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a in TS 36.331 [10];

2> if *sl-V2X-SPS-Config* is included in *sl-ConfigDedicatedEUTRA*

3> perform V2X sidelink SPS reconfiguration as specified in 5.3.10.5 in TS 36.331 [10];

1> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each SCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each SCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was included in an *RRCResume* message:

3> include the *RRCReconfigurationComplete* message in the *nr-SCG-Response* within the *scg-Response* in the *RRCResumeComplete* message;

2> if the *RRCReconfiguration* message was included in E-UTRA *RRCConnectionResume* message:

3> include the *RRCReconfigurationComplete* message in the E-UTRA MCG RRC message *RRCConnectionResumeComplete* in accordance with TS 36.313 [10], clause 5.3.3.4a;

2> if the *RRCReconfiguration* is applied due to a conditional configuration execution and included a s*econdaryCellGroupConfig*:

3> if the applied *RRCReconfiguration* message was received via SRB1:

4> if the applied *RRCReconfiguration* message was received via E-UTRAN:

5> FFS;

Editor's note: FFS How the *RRCReconfigurationComplete* is transmitted when the UE is in EN-DC e.g. *ULInformationTransferMRDC* or *RRCConnectionReconfigurationComplete.*

4> else:

5> submit the *RRCReconfigurationComplete* to lower layers for transmissionvia SRB1;

Editor's note: FFS on whether to inform MN upon the CPC execution if CPC configured via SRB3

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCReconfigurationComplete* message;

2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableBT* in the *RRCReconfigurationComplete* message;

2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableWLAN* in the *RRCReconfigurationComplete* message;

2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received via SRB1, but not within *mrdc-SecondaryCellGroup* or E-UTRA *RRCConnectionReconfiguration*:

3> if the UE is configured to provide the measurement gap requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*; or

4> if the *NeedForGapsInfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapsInfoNR* and set the contents as follows:

6> include *intraFreq-needForGap* and set the gap requirement informantion of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):

2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10]; or

2> if the *RRCReconfiguration* message was received via SRB3 within *DLInformationTransferMRDC*

3> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3) but not within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1 or within *DLInformationTransferMRDC* via SRB3, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3 but not within *DLInformationTransferMRDC*, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received via SRB1 within the *nr-SCG* within *mrdc-SecondaryCellGroup* (UE in NR-DC, *mrdc-SecondaryCellGroup* was received in *RRCReconfiguration* via SRB1):

2> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

2> else

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3 (UE in NR-DC):

2> if the *RRCReconfiguration* message was received within *DLInformationTransferMRDC*:

3> if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

4> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> the procedure ends;

3> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

3> the procedure ends;

2> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(*RRCReconfiguration* was received via SRB1):

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2 and DRBs that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG, and when MAC of an NR cell group successfully completes a Random Access procedure triggered above;

2> stop timer T304 for that cell group;

2> stop timer T310 for source if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG; or:

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an SCG and the CPC was configured

3> remove all the entries within *VarConditionalConfig*, if any;

3> for each *measId* of the source SpCell configuration, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

4> for the associated *reportConfigId*:

5> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *cho-TriggerConfig*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup*; and

2> if the UE transmitted a *UEAssistanceInformation* message during the last 1 second, and the UE is still configured to provide UE assistance information:

3> initiate transmission of a *UEAssistanceInformation* message to re-send the UE assistance information that UE is still configured to provide with the same contents;

2> if *SIB12* is provided by the target PCell; and the UE transmitted a *SidelinkUEInformationNR* message indicating a change of NR sidelink communication related parameters relevant in target PCell (i.e. change of *sl-RxInterestedFreqList* or *sl-TxResourceReqList*) during the last 1 second preceding reception of the *RRCReconfiguration* message including *reconfigurationWithSync*:

3> initiate transmission of the *SidelinkUEInformationNR* message in accordance with 5.8.3.3;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast data reception, i.e. the broadcast and unicast beams are quasi co-located.

2nd change

#### 5.3.13.4 Reception of the *RRCResume* by the UE

The UE shall:

1> stop timer T319;

1> stop timer T380, if running;

1> if T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.7.8.3;

1> if the *RRCResume* includes the *fullConfig*:

2> perform the full configuration procedure as specified in 5.3.5.11;

1> else:

2> if the *RRCResume* does not include the *restoreMCG-SCells*:

3> release the MCG SCell(s) from the UE Inactive AS context, if stored;

2> if the *RRCResume* does not include the *restoreSCG*:

3> if the UE is in NE-DC or NR-DC:

4> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

2> restore the *masterCellGroup, mrdc-SecondaryCellGroup*, if stored, and *pdcp-Config* from the UE Inactive AS context;

2> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

1> discard the UE Inactive AS context;

1> release the *suspendConfig* except the *ran-NotificationAreaInfo*;

1> if the *RRCResume* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCResume* includes the *mrdc-SecondaryCellGroup:*

2> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

3> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

2> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

3> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

1> if the *RRCResume* includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCResume* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> resume SRB2, SRB3 (if configured), and all DRBs;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T320, if running;

1> if the *RRCResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> resume measurements if suspended;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of the of *RRCResumeComplete* message as follows:

2> if the upper layer provides NAS PDU, set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if the upper layer provides a PLMN, set the *selectedPLMN-Identity* to PLMN selected by upper layers (TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityList* in *SIB1;*

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:

3> if the *idleModeMeasurementReq* is included in the *RRCResume* message:

Editor's note: FFS if the *idleModeMeasuremnetReq* indicates all results (EUTRA and NR), or can request only NR results. The procedure below assumes the former.

4> set the *measResultIdleEUTRA* in the *RRCResumeComplete* message to the value of *measReportIdleEUTRA* in the *VarMeasIdleReport,* if available;

4> set the *measResultIdleNR* in the *RRCResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if measurement information concerning cells other than the PCell is available;

4> discard the *VarMeasIdleReport* upon successful delivery of the *RRCResumeComplete* message is confirmed by lower layers;

3> else if the SIB1 contains *idleModeMeasurements*:

4> include the *idleMeasAvailable*;

2> if the *RRCResume* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCResume* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the SCG *RRCReconfigurationComplete* message;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCResumeComplete* message*;*

2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableBT* in the *RRCResumeComplete* message;

2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableWLAN* in the *RRCResumeComplete* message;

2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCResumeComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> include *rlf-InfoAvailable* in the *RRCResumeComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCResumeComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCResumeComplete* message;

2> include the *mobilityState* in the *RRCResumeComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

2> if the UE is configured to provide the measurement gap requirement information of NR target bands:

3> include the *NeedForGapsInfoNR* and set the contents as follows:

4> include *intraFreq-needForGap* and set the gap requirement informantion of intra-frequency measurement for each NR serving cell;

4> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> submit the *RRCResumeComplete* message to lower layers for transmission;

1> the procedure ends.

6.2.2 Message definitions

<Skip unrelated parts>

3rd change

– *RRCReconfiguration*

The *RRCReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) and AS security configuration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCReconfiguration message***

-- ASN1START

-- TAG-RRCRECONFIGURATION-START

RRCReconfiguration ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcReconfiguration RRCReconfiguration-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCReconfiguration-IEs ::= SEQUENCE {

 radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

 secondaryCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

 measConfig MeasConfig OPTIONAL, -- Need M

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCReconfiguration-v1530-IEs OPTIONAL

}

RRCReconfiguration-v1530-IEs ::= SEQUENCE {

 masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

 fullConfig ENUMERATED {true} OPTIONAL, -- Cond FullConfig

 dedicatedNAS-MessageList SEQUENCE (SIZE(1..maxDRB)) OF DedicatedNAS-Message OPTIONAL, -- Cond nonHO

 masterKeyUpdate MasterKeyUpdate OPTIONAL, -- Cond MasterKeyChange

 dedicatedSIB1-Delivery OCTET STRING (CONTAINING SIB1) OPTIONAL, -- Need N

 dedicatedSystemInformationDelivery OCTET STRING (CONTAINING SystemInformation) OPTIONAL, -- Need N

 otherConfig OtherConfig OPTIONAL, -- Need M

 nonCriticalExtension RRCReconfiguration-v1540-IEs OPTIONAL

}

RRCReconfiguration-v1540-IEs ::= SEQUENCE {

 otherConfig-v1540 OtherConfig-v1540 OPTIONAL, -- Need M

 nonCriticalExtension RRCReconfiguration-v1560-IEs OPTIONAL

}

RRCReconfiguration-v1560-IEs ::= SEQUENCE {

 mrdc-SecondaryCellGroupConfig SetupRelease { MRDC-SecondaryCellGroupConfig } OPTIONAL, -- Need M

 radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

 sk-Counter SK-Counter OPTIONAL, -- Need N

 nonCriticalExtension RRCReconfiguration-v16xy-IEs OPTIONAL

}

RRCReconfiguration-v16xy-IEs ::= SEQUENCE {

 otherConfig-v16xy OtherConfig-v16xy OPTIONAL, -- Need M

 bap-Config-r16 SetupRelease { BAP-Config-r16 } OPTIONAL, -- Need M

 conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need M

 daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need N

 sl-ConfigDedicatedNR-r16 SetupRelease {SL-ConfigDedicatedNR-r16} OPTIONAL, -- Need M

 sl-ConfigDedicatedEUTRA-r16 SetupRelease {SL-ConfigDedicatedEUTRA-r16} OPTIONAL, -- Need M

 needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Editor's Note: Whether an explicit indication is needed to configure/deconfigure the on-demand SIB request for CONNECTED UEs is FFS.

MRDC-SecondaryCellGroupConfig ::= SEQUENCE {

 mrdc-ReleaseAndAdd ENUMERATED {true} OPTIONAL, -- Need N

 mrdc-SecondaryCellGroup CHOICE {

 nr-SCG OCTET STRING (CONTAINING RRCReconfiguration),

 eutra-SCG OCTET STRING

 }

}

BAP-Config-r16 ::= SEQUENCE {

 bap-Address-r16 BIT STRING (SIZE (10)),

 defaultUL-BAProutingID-r16 BAP-Routing-ID-r16 OPTIONAL, -- Need FFS

 defaultUL-BH-RLC-Channel-r16 BH-LogicalChannelIdentity-r16 OPTIONAL, -- Need M

 ...

}

MasterKeyUpdate ::= SEQUENCE {

 keySetChangeIndicator BOOLEAN,

 nextHopChainingCount NextHopChainingCount,

 nas-Container OCTET STRING OPTIONAL, -- Cond securityNASC

 ...

}

-- TAG-RRCRECONFIGURATION-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCReconfiguration-IEs* field descriptions** |
| ***bap-Config***This field is used to configure the BAP entity at the IAB-MT [47]. It is only used for IAB nodes. |
| ***bap-Address***Indicates the BAP address of an IAB node. |
| ***conditionalReconfiguration***Configuration of candidate target SpCell(s) and execution condition(s) for conditional handover or conditional PSCell change. For conditional PSCell change, this field may only be present in an *RRCReconfiguration* message for intra-SN PSCell change. The network does not configure a UE with both conditional PCell change and conditional PSCell change simultaneously. The field is absent if *dapsConfig* is configured for any DRB or the cell indicated in *masterCellGroup* is different from the serving cell. |
| ***daps-SourceRelease***Indicates the UE to release the source. |
| ***dedicatedNAS-MessageList***This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list.  |
| ***dedicatedSIB1-Delivery***This field is used to transfer *SIB1* to the UE. The field has the same values as the corresponding configuration in *servingCellConfigCommon*. |
| ***dedicatedSystemInformationDelivery***This field is used to transfer *SIB6*, *SIB7*, *SIB8* to the UE in RRC\_IDLE and RRC\_INACTIVE. For UEs in RRC\_CONNECTED, this field is used to transfer the SIBs requested on-demand. |
| ***DefaultUL-BAProutingID***This field is used to configure the BAP entity at the IAB-MT [47]. It is only used for IAB nodes to configure the default uplink Routing ID *during IAB node bootstrapping for F1-AP and non-F1 traffic*. |
| ***DefaultUL-BH-RLC-Channel***This field is used to configure the BAP entity at the IAB-MT [47]. It is only used for IAB nodes to configure the default uplink *bh-RLC-Channel during IAB node bootstrapping for F1-AP and non-F1 traffic*. |
| ***fullConfig***Indicates that the full configuration option is applicable for the *RRCReconfiguration* message for intra-system intra-RAT HO. For inter-RAT HO from E-UTRA to NR, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent if *dapsConfig* is configured for any DRB or when the *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***keySetChangeIndicator***Indicates whether UE shall derive a new KgNB. If *reconfigurationWithSync* is included, value *true* indicates that a KgNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, or N2 handover procedure with KAMF change, as described in TS 33.501 [11] for KgNB re-keying. Value *false* indicates that the new KgNB key is obtained from the current KgNB key or from the NH as described in TS 33.501 [11]. |
| ***masterCellGroup***Configuration of master cell group. |
| ***mrdc-ReleaseAndAdd***This field indicates that the current SCG configuration is released and a new SCG is added at the same time. |
| ***mrdc-SecondaryCellGroup***Includes an RRC message for SCG configuration in NR-DC or NE-DC.For NR-DC (nr-SCG), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup* and *measConfig*.For NE-DC (eutra-SCG), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. |
| ***nas-Container***This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS security after inter-system handover to NR. The content is defined in TS 24.501 [23]. |
| ***nextHopChainingCount***Parameter NCC: See TS 33.501 [11] |
| ***needForGapsConfigNR*** Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***otherConfig***Contains configuration related to other configurations. |
| ***radioBearerConfig***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. In EN-DC this field may only be present if the *RRCReconfiguration* is transmitted over SRB3. |
| ***radioBearerConfig2***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***secondaryCellGroup***Configuration of secondary cell group ((NG)EN-DC or NR-DC). This field can only be present in an *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***sk-Counter***A counter used upon initial configuration of S-KgNB or S-KeNB, as well as upon refresh of S-KgNB or S-KeNB. This field is always included either upon initial configuration of an NR SCG or upon configuration of the first RB with *keyToUse* set to *secondary*, whichever happens first. This field is absent if there is neither any NR SCG nor any RB with *keyToUse* set to *secondary*. |
| ***sl-ConfigDedicatedNR***This field is used to provide the dedicated configurations for NR sidelink communication. |
| ***sl-ConfigDedicatedEUTRA***This field is used to provide the dedicated configurations for V2X sidelink communication. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *nonHO* | The field is absent in case of reconfiguration with sync within NR or to NR; otherwise it is optionally present, need N. |
| *securityNASC* | This field is mandatory present in case of inter system handover. Otherwise the field is optionally present, need N. |
| *MasterKeyChange* | This field is mandatory present in case *masterCellGroup* includes *ReconfigurationWithSync* and *RadioBearerConfig* includes *SecurityConfig* with *SecurityAlgorithmConfig*, indicating a change of the AS security algorithms associated to the master key. If *ReconfigurationWithSync* is included for other cases, this field is optionally present, need N. Otherwise the field is absent. |
| *FullConfig* | The field is mandatory present in case of inter-system handover from E-UTRA/EPC to NR. It is optionally present, Need N, during reconfiguration with sync and also in first reconfiguration after reestablishment; or for intra-system handover from E-UTRA/5GC to NR. It is absent otherwise. |

4th change

*– RRCReconfigurationComplete*

The *RRCReconfigurationComplete* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

***RRCReconfigurationComplete message***

-- ASN1START

-- TAG-RRCRECONFIGURATIONCOMPLETE-START

RRCReconfigurationComplete ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcReconfigurationComplete RRCReconfigurationComplete-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCReconfigurationComplete-IEs ::= SEQUENCE {

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1530-IEs OPTIONAL

}

RRCReconfigurationComplete-v1530-IEs ::= SEQUENCE {

 uplinkTxDirectCurrentList UplinkTxDirectCurrentList OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1560-IEs OPTIONAL

}

RRCReconfigurationComplete-v1560-IEs ::= SEQUENCE {

 scg-Response CHOICE {

 nr-SCG-Response OCTET STRING (CONTAINING RRCReconfigurationComplete),

 eutra-SCG-Response OCTET STRING

 } OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v16xy-IEs OPTIONAL

}

RRCReconfigurationComplete-v16xy-IEs ::= SEQUENCE {

 logMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

 logMeasAvailableBT-r16 ENUMERATED {true} OPTIONAL,

 logMeasAvailableWLAN-r16 ENUMERATED {true} OPTIONAL,

 connEstFailInfoAvailable-r16 ENUMERATED {true} OPTIONAL,

 rlf-InfoAvailable-r16 ENUMERATED {true} OPTIONAL,

 needForGapsInfoNR-r16 NeedForGapsInfoNR-r16 OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCRECONFIGURATIONCOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCReconfigurationComplete-IEs* field descriptions** |
| ***needForGapsInfoNR*** This field is used to indicate the measurement gap requirement information of the UE for NR target bands. |
| ***scg-Response***In case of NR-DC (*nr-SCG-Response*), this field includes the *RRCReconfigurationComplete* message. In case of NE-DC (*eutra-SCG-Response*), this field includes the E-UTRA *RRCConnectionReconfigurationComplete* message as specified in TS 36.331 [10]*.* |
| ***uplinkTxDirectCurrentList***The Tx Direct Current locations for the configured serving cells and BWPs if requested by the NW (see *reportUplinkTxDirectCurrent* in *CellGroupConfig*). |

5th change

– *RRCResume*

The *RRCResume* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCResume* message**

-- ASN1START

-- TAG-RRCRESUME-START

RRCResume ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcResume RRCResume-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCResume-IEs ::= SEQUENCE {

 radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

 masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

 measConfig MeasConfig OPTIONAL, -- Need M

 fullConfig ENUMERATED {true} OPTIONAL, -- Need N

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCResume-v1560-IEs OPTIONAL

}

RRCResume-v1560-IEs ::= SEQUENCE {

 radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

 sk-Counter SK-Counter OPTIONAL, -- Need N

 nonCriticalExtension RRCResume-v16xy-IEs OPTIONAL

}

RRCResume-v16xy-IEs ::= SEQUENCE {

 idleModeMeasurementReq-r16 ENUMERATED {ffs} OPTIONAL, -- Need N

 restoreMCG-SCells-r16 ENUMERATED {true} OPTIONAL, -- Need N

 restoreSCG-r16 ENUMERATED {true} OPTIONAL, -- Need N

 mrdc-SecondaryCellGroup-r16 CHOICE {

 nr-SCG-r16 OCTET STRING (CONTAINING RRCReconfiguration),

 eutra-SCG-r16 OCTET STRING

 } OPTIONAL, -- Need M

 needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

 nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- TAG-RRCRESUME-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCResume-IEs* field descriptions** |
| ***idleModeMeasurementReq***This field indicates that the UE shall report the idle/inactive measurements to the network in the *RRCResumeComplete* message |
| ***masterCellGroup***Configuration of the master cell group. |
| ***mrdc-SecondaryCellGroup***Includes an RRC message for SCG configuration in NR-DC or NE-DC. For NR-DC (*nr-SCG*), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message only includes fields *secondaryCellGroup* and *measConfig*.For NE-DC (*eutra-SCG*), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message only include the field *scg-Configuration*. |
| ***needForGapsConfigNR*** Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***radioBearerConfig***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. |
| ***radioBearerConfig2***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***restoreMCG-SCells***Indicates that the UE shall restore the MCG SCells from the UE Inactive AS Context, if stored. |
| ***restoreSCG***Indicates that the UE shall not release the SCG configurations, if configured. |
| ***sk-Counter***A counter used to derive S-KgNB or S-KeNB based on the newly derived KgNB during RRC Resume. The field is only included when there is one or more RB with *keyToUse* set to *secondary*.  |

6th change

– *RRCResumeComplete*

The *RRCResumeComplete* message is used to confirm the successful completion of an RRC connection resumption.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

***RRCResumeComplete* message**

-- ASN1START

-- TAG-RRCRESUMECOMPLETE-START

RRCResumeComplete ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcResumeComplete RRCResumeComplete-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCResumeComplete-IEs ::= SEQUENCE {

 dedicatedNAS-Message DedicatedNAS-Message OPTIONAL,

 selectedPLMN-Identity INTEGER (1..maxPLMN) OPTIONAL,

 uplinkTxDirectCurrentList UplinkTxDirectCurrentList OPTIONAL,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCResumeComplete-v16xy-IEs OPTIONAL

}

RRCResumeComplete-v16xy-IEs ::= SEQUENCE {

 idleMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

 measResultIdleEUTRA-r16 MeasResultIdleEUTRA-r16 OPTIONAL,

 measResultIdleNR-r16 MeasResultIdleNR-r16 OPTIONAL,

 scg-Response CHOICE {

 nr-SCG-Response OCTET STRING (CONTAINING RRCReconfigurationComplete),

 eutra-SCG-Response OCTET STRING

 } OPTIONAL,

 logMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

 logMeasAvailableBT-r16 ENUMERATED {true} OPTIONAL,

 logMeasAvailableWLAN-r16 ENUMERATED {true} OPTIONAL,

 connEstFailInfoAvailable-r16 ENUMERATED {true} OPTIONAL,

 rlf-InfoAvailable-r16 ENUMERATED {true} OPTIONAL,

 mobilityHistoryAvail-r16 ENUMERATED {true} OPTIONAL,

 mobilityState-r16 ENUMERATED {normal, medium, high, spare} OPTIONAL,

 needForGapsInfoNR-r16 NeedForGapsInfoNR-r16 OPTIONAL,

 nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- TAG-RRCRESUMECOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCResumeComplete-IEs* field descriptions** |
| ***idleMeasAvailable***Indication that the UE has idle/inactive measurement report available. |
| ***measResultIdleEUTRA***EUTRA measurement results performed during RRC\_INACTIVE. |
| ***measResultIdleNR***NR measurement results performed during RRC\_INACTIVE. |
| ***needForGapsInfoNR*** This field is used to indicate the measurement gap requirement information of the UE for NR target bands. |
| ***selectedPLMN-Identity***Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in *SIB1*. |
| ***uplinkTxDirectCurrentList***The Tx Direct Current locations for the configured serving cells and BWPs if requested by the NW (see *reportUplinkTxDirectCurrent* in *CellGroupConfig*). |

7th change

### 6.3.2 Radio resource control information elements

<Skip unrelated parts>

– *NeedForGapsConfigNR*

The IE *NeedForGapsConfigNR* contains configuration related to the reporting of measurement gap requirement information.

***NeedForGapsConfigNR* information element**

-- ASN1START

-- TAG-NeedForGapsConfigNR-START

NeedForGapsConfigNR-r16 ::= SEQUENCE {

 requestedTargetBandFilterNR-r16 SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicatorNR OPTIONAL -- Need R

}

-- TAG-NeedForGapsConfigNR-STOP

-- ASN1STOP

|  |
| --- |
| ***NeedForGapsConfigNR* field descriptions** |
| ***requestedTargetBandFilterNR*** Indicates the target NR bands that the UE is requested to report the gap requirement information. |

– *NeedForGapsInfoNR*

The IE *NeedForGapsInfoNR* indicates whether measurement gap is required for the UE to perform SSB based measurements on an NR target band while NR-DC or NE-DC is not configured.

***NeedForGapsInfoNR* information element**

-- ASN1START

-- TAG-NeedForGapsInfoNR-START

NeedForGapsInfoNR-r16 ::= SEQUENCE {

 intraFreq-needForGap-r16 NeedForGapsIntraFreqlist-r16,

 interFreq-needForGap-r16 NeedForGapsBandlistNR-r16

}

NeedForGapsIntraFreqlist-r16 ::= SEQUENCE (SIZE (1.. maxNrofServingCells)) OF NeedForGapsIntraFreq-r16

NeedForGapsBandlistNR-r16 ::= SEQUENCE (SIZE (1..maxBands)) OF NeedForGapsNR-r16

NeedForGapsIntraFreq-r16 ::= SEQUENCE {

 servCellId-r16 ServCellIndex,

 gapIndicationIntra-r16 ENUMERATED {gap, no-gap}

}

NeedForGapsNR-r16 ::= SEQUENCE {

 bandNR-r16 FreqBandIndicatorNR,

 gapIndication-r16 ENUMERATED {gap, no-gap}

}

-- TAG-NeedForGapsInfoNR-STOP

-- ASN1STOP

|  |
| --- |
| ***NeedForGapsInfoNR* field descriptions** |
| ***intraFreq-needForGap*** Indicates the measurement gap requirement information for NR intra-frequency measurement. |
| ***interFreq-needForGap*** Indicates the measurement gap requirement information for NR inter-frequency measurement. |

|  |
| --- |
| ***NeedForGapsIntraFreq* field descriptions** |
| ***servCellId*** Indicates the serving cell which contains the target SSB (associated with the initial DL BWP) to be measured.  |
| ***gapIndicationIntra***Indicates whether measurement gap is required for the UE to perform intra-frequency SSB based measurements on the concerned serving cell. Value *gap* indicates that a measurement gap is needed if any of the UE configured BWPs do not contain the frequency domain resources of the SSB associated to the initial DL BWP. Value *no-gap* indicates a measurement gap is not needed to measure the SSB associated to the initial DL BWP for all configured BWPs, no matter the SSB is within the configured BWP or not.  |

|  |
| --- |
| ***NeedForGapsNR* field descriptions** |
| ***bandNR***Indicates the NR target band to be measured. |
| ***gapIndication***Indicates whether measurement gap is required for the UE to perform SSB based measurements on the concerned NR target band while NR-DC or NE-DC is not configured. The UE determines this information based on the resultant configuration of the *RRCReconfiguration* or *RRCResume* message that triggers this response. Value *gap* indicates that a measurement gap is needed, value *no-gap* indicates a measurement gap is not needed.  |

<Skip unrelated parts>

8th change

### 11.2.2 Message definitions

<Skip unrelated parts>

– *HandoverPreparationInformation*

This message is used to transfer the NR RRC information used by the target gNB during handover preparation or UE context retrieval, e.g. in case of resume or re-establishment, including UE capability information. This message is also used for transferring the information between the CU and DU.

Direction: source gNB/source RAN to target gNB or CU to DU.

***HandoverPreparationInformation* message**

-- ASN1START

-- TAG-HANDOVER-PREPARATION-INFORMATION-START

HandoverPreparationInformation ::= SEQUENCE {

 criticalExtensions CHOICE {

 c1 CHOICE{

 handoverPreparationInformation HandoverPreparationInformation-IEs,

 spare3 NULL, spare2 NULL, spare1 NULL

 },

 criticalExtensionsFuture SEQUENCE {}

 }

}

HandoverPreparationInformation-IEs ::= SEQUENCE {

 ue-CapabilityRAT-List UE-CapabilityRAT-ContainerList,

 sourceConfig AS-Config OPTIONAL, -- Cond HO

 rrm-Config RRM-Config OPTIONAL,

 as-Context AS-Context OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

AS-Config ::= SEQUENCE {

 rrcReconfiguration OCTET STRING (CONTAINING RRCReconfiguration),

 ...,

 [[

 sourceRB-SN-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

 sourceSCG-NR-Config OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

 sourceSCG-EUTRA-Config OCTET STRING OPTIONAL

 ]],

 [[

 sourceSCG-Configured ENUMERATED {true} OPTIONAL

 ]]

}

AS-Context ::= SEQUENCE {

 reestablishmentInfo ReestablishmentInfo OPTIONAL,

 configRestrictInfo ConfigRestrictInfoSCG OPTIONAL,

 ...,

 [[ ran-NotificationAreaInfo RAN-NotificationAreaInfo OPTIONAL

 ]],

 [[ ueAssistanceInformation OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL -- Cond HO2

 ]],

 [[

 selectedBandCombinationSN BandCombinationInfoSN OPTIONAL

 ]],

 [[

 configRestrictInfoDAPS-r16 ConfigRestrictInfoDAPS-r16 OPTIONAL,

 sidelinkUEInformationNR-r16 OCTET STRING OPTIONAL,

 sidelinkUEInformationEUTRA-r16 OCTET STRING OPTIONAL,

 ueAssistanceInformationEUTRA-r16 OCTET STRING OPTIONAL,

 needForGapsInfoNR-r16 NeedForGapsInfoNR-r16 OPTIONAL

 ]]

}

ConfigRestrictInfoDAPS-r16 ::= SEQUENCE {

 powerCoordination-FR1-r16 SEQUENCE {

 p-maxNR-Source-r16 P-Max OPTIONAL,

 p-maxNR-Target-r16 P-Max OPTIONAL,

 powerControlMode-r16 INTEGER (1..2) OPTIONAL

 } OPTIONAL,

 maxSCH-TB-BitsDL-r16 INTEGER (1..100) OPTIONAL,

 maxSCH-TB-BitsUL-r16 INTEGER (1..100) OPTIONAL

}

ReestablishmentInfo ::= SEQUENCE {

 sourcePhysCellId PhysCellId,

 targetCellShortMAC-I ShortMAC-I,

 additionalReestabInfoList ReestabNCellInfoList OPTIONAL

}

ReestabNCellInfoList ::= SEQUENCE ( SIZE (1..maxCellPrep) ) OF ReestabNCellInfo

ReestabNCellInfo::= SEQUENCE{

 cellIdentity CellIdentity,

 key-gNodeB-Star BIT STRING (SIZE (256)),

 shortMAC-I ShortMAC-I

}

RRM-Config ::= SEQUENCE {

 ue-InactiveTime ENUMERATED {

 s1, s2, s3, s5, s7, s10, s15, s20,

 s25, s30, s40, s50, min1, min1s20, min1s40,

 min2, min2s30, min3, min3s30, min4, min5, min6,

 min7, min8, min9, min10, min12, min14, min17, min20,

 min24, min28, min33, min38, min44, min50, hr1,

 hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,

 hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,

 day2hr12, day3, day4, day5, day7, day10, day14, day19,

 day24, day30, dayMoreThan30} OPTIONAL,

 candidateCellInfoList MeasResultList2NR OPTIONAL,

 ...,

 [[

 candidateCellInfoListSN-EUTRA MeasResultServFreqListEUTRA-SCG OPTIONAL

 ]]

}

-- TAG-HANDOVER-PREPARATION-INFORMATION-STOP

-- ASN1STOP

|  |
| --- |
| ***HandoverPreparationInformation* field descriptions** |
| ***as-Context***Local RAN context required by the target gNB or DU. |
| ***rrm-Config***Local RAN context used mainly for RRM purposes. |
| ***sourceConfig***The radio resource configuration as used in the source cell. |
| ***ue-CapabilityRAT-List***The UE radio access related capabilities concerning RATs supported by the UE. A gNB that retrieves MRDC related capability containers ensures that the set of included MRDC containers is consistent w.r.t. the feature set related information. |
| ***ue-InactiveTime***Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value *s1* corresponds to 1 second, *s2* corresponds to 2 seconds and so on. Value *min1* corresponds to 1 minute, value *min1s20* corresponds to 1 minute and 20 seconds, value *min1s40* corresponds to 1 minute and 40 seconds and so on. Value *hr1* corresponds to 1 hour, *hr1min30* corresponds to 1 hour and 30 minutes and so on. |

|  |
| --- |
| ***AS-Config* field descriptions** |
| ***rrcReconfiguration***Contains the *RRCReconfiguration* configuration as generated entirely by the MN. |
| ***sourceRB-SN-Config***Contains the IE *RadioBearerConfig* as generated entirely by the SN. This field is only used when the UE is configured with SN terminated RB(s). |
| ***sourceSCG-Configured***Value *true* indicates that the UE is configured with NR or EUTRA SCG in source configuration. The field is only used in NR-DC and NE-DC and is included only if the fields *sourceSCG-NR-Config* and *sourceSCG-EUTRA-Config* are absent. |
| ***sourceSCG-EUTRA-Config***Contains the current dedicated SCG configuration in *RRCConnectionReconfiguration* message as specified in TS 36.331 [10] and generated entirely by the SN. In this version of the specification, the E-UTRA *RRCConnectionReconfiguration* message can only include the field *scg-Configuration* . This field is only used in NE-DC. |
| ***sourceSCG-NR-Config***Contains the current dedicated SCG configuration in *RRCReconfiguration* message as generated entirely by the SN. In this version of the specification, the *RRCReconfiguration* message can only include fields *secondaryCellGroup* and *measConfig*. This field is only used in NR-DC. |

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| --- |
| ***AS-Context* field descriptions** |
| ***needForGapsInfoNR***Includes measurement gap requirement information of the UE for NR target bands. |
| ***selectedBandCombinationSN***Indicates the band combination selected by SN in (NG)EN-DC, NE-DC, and NR-DC. |
| ***sidelinkUEInformationEUTRA***This field includes *SidelinkUEInformation* IE as specified in TS 36.331 [10]. |
| ***sidelinkUEInformationNR***This field includes *SidelinkUEInformationNR* IE. |
| ***ueAssistanceInformation***Includes for each UE assistance feature the information last reported by the UE, if any. |

|  |
| --- |
| ***RRM-Config* field descriptions** |
| ***candidateCellInfoList***A list of the best cells on each frequency for which measurement information was available |
| ***candidateCellInfoListSN-EUTRA***A list of EUTRA cells including serving cells and best neighbour cells on each serving frequency, for which measurement results were available. This field is only used in NE-DC.  |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *HO* | The field is mandatory present in case of handover within NR or UE context retrieval, e.g. in case of resume or re-establishment. The field is optionally present in case of handover from E-UTRA/5GC. Otherwise the field is absent. |
| *HO2* | The field is optionally present in case of handover within NR; otherwise the field is absent. |

NOTE 1: The following table indicates per source RAT whether RAT capabilities are included or not.

|  |  |  |  |
| --- | --- | --- | --- |
| **Source RAT** | **NR capabilites** | **E-UTRA capabilities** | **MR-DC capabilities** |
| NR | Included | May be included | May be included |
| E-UTRAN | Included | May be included | May be included |

NOTE 2: The following table indicates, in case of inter-RAT handover from E-UTRA, which additional IEs are included or not:

|  |  |  |  |
| --- | --- | --- | --- |
| **Source system** | **sourceConfig** | **rrm-Config** | **as-Context** |
| E-UTRA/EPC | Not included | May be included | Not included |
| E-UTRA/5GC | May be included, but only *radioBearerConfig* is included in the *RRCReconfiguration*. | May be included | Not included |