**3GPP TSG-RAN WG2 Meeting #110e *R2-200xxxx***

**E-meeting, 1st – 12th June 2020**

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| *CR-Form-v11.2* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** | **1603** | **rev** | **1** | **Current version:** | **16.0.0** |  |
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| *For* [*HELP*](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | CR on introduction of extended capabilities for NR-DC only BCs |
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| ***Source to WG:*** | ZTE Corporation, Sanechips, OPPO |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2020-05-22 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | In the current *RF-ParametersMRDC* structure, the field *supportedBandCombinationList* is used to indicate the (NG)EN-DC only BCs, or the BCs that support both (NG)EN-DC and NE-DC. And the fields with suffix "*-v1540/ v1550/ v1560/ v1570/ v1580/ v1590*" are used to indicate the extended features/capabilities for each BC in the *supportedBandCombinationList*.For the NE-DC only BCs, a new field *supportedBandCombinationListNEDC-Only* was introduced, the corresponding ASN.1 is copied as follow:*RF-ParametersMRDC* information element-- ASN1START-- TAG-RF-PARAMETERSMRDC-STARTRF-ParametersMRDC ::= SEQUENCE { supportedBandCombinationList BandCombinationList OPTIONAL, appliedFreqBandListFilter FreqBandList OPTIONAL, ..., [[ srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL, supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL ]], [[ supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL ]], [[ supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL, **supportedBandCombinationListNEDC-Only BandCombinationList**  OPTIONAL ]], [[ supportedBandCombinationList-v1570 BandCombinationList-v1570 OPTIONAL ]], [[ supportedBandCombinationList-v1580 BandCombinationList-v1580 OPTIONAL ]] [[ supportedBandCombinationList-v1590 BandCombinationList-v1590 OPTIONAL ]]}-- TAG-RF-PARAMETERSMRDC-STOP-- ASN1STOPHowever, for the NE-DC only BCs, only the *BandCombinationList* structure was included in *supportedBandCombinationListNEDC-Only*, therefore the UE is unable to report the extended features for the NE-DC only BCs.RAN2 discussed this issue during RAN2\_109e meeting, and an LS is sent to RAN1 to clarify whether *dl-1024QAM-TotalWeightedLayers* (included in *supportedBandCombinationList-v1570*) an *fd-MIMO-TotalWeightedLayers* ( included in *supportedBandCombinationList-v1560*) can be signalled for NE-DC. This CR aims to introduce the extensions for the NE-DC only BCs in *supportedBandCombinationListNEDC-Only*. And take into account RAN1’s reply LS in R2-2004313(R1-2002793). |
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| ***Summary of change:*** | 1. Add the *supportedBandCombinationListNEDCOnly-v15xy* field to the *RF-ParametersMRDC, includes* the extended features *(i.e.BandCombinationList-v1540/v1560/v1570/v1580/v1590)* for the NE-DC only BCs. And update the field description of *BandCombinationList-v1540/v1560/v1570/v1580/v1590*.
2. Update the field description of supportedBandCombinationList in RF-ParametersMRDC, clarify it applies to (NG)EN-DC only BC, or BC that supports both (NG)EN-DC and NE-DC.

**Impact analysis**Impacted 5G architecture options:NE-DCImpacted functionality:UE capabilityInter-operability: 1. If UE implements according to the CR and the network does not, the network will ignore the extended features sent by UE for NE-DC only BCs, and it is unclear for network how to interpret whether those features are supported or not;
2. If the network implements according to the CR and the UE does not, the UE is unable to report extended capabilities for NE-DC only BCs. The network may assume those features are not supported by UE, and results in low performance.
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| ***Consequences if not approved:*** | The UE is unable to report the extended capabilities(*e.g. BandCombinationList-v1540/v1560/v1570/v1580/v1590*) for the NE-DC only BCs. |
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| ***Clauses affected:*** | 6.3.3 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS 38.306 CR0306  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |

Start of change

### 6.3.3 UE capability information elements

#### – *BandCombinationList*

The IE *BandCombinationList* contains a list of NR CA and/or MR-DC band combinations (also including DL only or UL only band).

*BandCombinationList* information element

-- ASN1START

-- TAG-BANDCOMBINATIONLIST-START

BandCombinationList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination

BandCombinationList-v1540 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1540

BandCombinationList-v1550 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1550

BandCombinationList-v1560 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1560

BandCombinationList-v1570 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1570

BandCombinationList-v1580 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1580

BandCombinationList-v1590 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1590

BandCombinationList-v16xy ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v16xy

BandCombination ::= SEQUENCE {

 bandList SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters,

 featureSetCombination FeatureSetCombinationId,

 ca-ParametersEUTRA CA-ParametersEUTRA OPTIONAL,

 ca-ParametersNR CA-ParametersNR OPTIONAL,

 mrdc-Parameters MRDC-Parameters OPTIONAL,

 supportedBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL,

 powerClass-v1530 ENUMERATED {pc2} OPTIONAL

}

BandCombination-v1540::= SEQUENCE {

 bandList-v1540 SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters-v1540,

 ca-ParametersNR-v1540 CA-ParametersNR-v1540 OPTIONAL

}

BandCombination-v1550 ::= SEQUENCE {

 ca-ParametersNR-v1550 CA-ParametersNR-v1550

}

BandCombination-v16xy ::= SEQUENCE {

 bandList-v16xy SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters-v16xy

}

BandCombination-v1560::= SEQUENCE {

 ne-DC-BC ENUMERATED {supported} OPTIONAL,

 ca-ParametersNRDC CA-ParametersNRDC OPTIONAL,

 ca-ParametersEUTRA-v1560 CA-ParametersEUTRA-v1560 OPTIONAL,

 ca-ParametersNR-v1560 CA-ParametersNR-v1560 OPTIONAL

}

BandCombination-v1570 ::= SEQUENCE {

 ca-ParametersEUTRA-v1570 CA-ParametersEUTRA-v1570

}

BandCombination-v1580 ::= SEQUENCE {

 mrdc-Parameters-v1580 MRDC-Parameters-v1580

}

BandCombination-v1590::= SEQUENCE {

 supportedBandwidthCombinationSetIntraENDC BIT STRING (SIZE (1..32)) OPTIONAL,

 mrdc-Parameters-v1590 MRDC-Parameters-v1590

}

BandParameters ::= CHOICE {

 eutra SEQUENCE {

 bandEUTRA FreqBandIndicatorEUTRA,

 ca-BandwidthClassDL-EUTRA CA-BandwidthClassEUTRA OPTIONAL,

 ca-BandwidthClassUL-EUTRA CA-BandwidthClassEUTRA OPTIONAL

 },

 nr SEQUENCE {

 bandNR FreqBandIndicatorNR,

 ca-BandwidthClassDL-NR CA-BandwidthClassNR OPTIONAL,

 ca-BandwidthClassUL-NR CA-BandwidthClassNR OPTIONAL

 }

}

BandParameters-v1540 ::= SEQUENCE {

 srs-CarrierSwitch CHOICE {

 nr SEQUENCE {

 srs-SwitchingTimesListNR SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeNR

 },

 eutra SEQUENCE {

 srs-SwitchingTimesListEUTRA SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeEUTRA

 }

 } OPTIONAL,

 srs-TxSwitch SEQUENCE {

 supportedSRS-TxPortSwitch ENUMERATED {t1r2, t1r4, t2r4, t1r4-t2r4, t1r1, t2r2, t4r4, notSupported},

 txSwitchImpactToRx INTEGER (1..32) OPTIONAL,

 txSwitchWithAnotherBand INTEGER (1..32) OPTIONAL

 } OPTIONAL

}

BandParameters-v16xy ::= SEQUENCE {

 srs-TxSwitch SEQUENCE {

 supportedSRS-TxPortSwitch-r16 ENUMERATED {t1r1-t1r2, t1r1-t1r2-t1r4, t1r1-t1r2-t2r2-t2r4, t1r1-t1r2-t2r2-t1r4-t2r4,

 t1r1-t2r2, t1r1-t2r2-t4r4}

 } OPTIONAL

}

-- TAG-BANDCOMBINATIONLIST-STOP

-- ASN1STOP

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| *BandCombination* field descriptions |
| ***BandCombinationList-v1540, BandCombinationList-v1550, BandCombinationList-v1560, BandCombinationList-v1570, BandCombinationList-v1580, BandCombinationList-v1590, BandCombinationList-r16***The UE shall include the same number of entries, and listed in the same order, as in *BandCombinationList* (without suffix). If the field is included in *supportedBandCombinationListNEDC-Only-v15xy*, the UE shall include the same number of entries, and listed in the same order, as in *BandCombinationList* (without suffix) of *supportedBandCombinationListNEDC-Only* (without suffix) field. |
| ***ca-ParametersNRDC***If the field is included for a band combination in the NR capability container, the field indicates support of NR-DC. Otherwise, the field is absent. |
| ***ne-DC-BC***If the field is included for a band combination in the MR-DC capability container, the field indicates support of NE-DC. Otherwise, the field is absent. |
| ***srs-SwitchingTimesListNR***Indicates, for a particular pair of NR bands, the RF retuning time when switching between a NR carrier corresponding to this band entry and another (PUSCH-less) NR carrier corresponding to the band entry in the order indicated below:- For the first NR band, the UE shall include the same number of entries for NR bands as in *bandList*, i.e. first entry corresponds to first NR band in *bandList* and so on,- For the second NR band, the UE shall include one entry less, i.e. first entry corresponds to the second NR band in *bandList* and so on- And so on |
| ***srs-SwitchingTimesListEUTRA***Indicates, for a particular pair of E-UTRA bands, the RF retuning time when switching between an E-UTRA carrier corresponding to this band entry and another (PUSCH-less) E-UTRA carrier corresponding to the band entry in the order indicated below:- For the first E-UTRA band, the UE shall include the same number of entries for E-UTRA bands as in *bandList,* i.e. first entry corresponds to first E-UTRA band in *bandList* and so on,- For the second E-UTRA band, the UE shall include one entry less, i.e. first entry corresponds to the second E-UTRA band in *bandList* and so on - And so on |

#### – *RF-ParametersMRDC*

The IE *RF-ParametersMRDC* is used to convey RF related capabilities for MR-DC.

*RF-ParametersMRDC* information element

-- ASN1START

-- TAG-RF-PARAMETERSMRDC-START

RF-ParametersMRDC ::= SEQUENCE {

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL,

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL,

 supportedBandCombinationListNEDC-Only BandCombinationList OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1570 BandCombinationList-v1570 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1580 BandCombinationList-v1580 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1590 BandCombinationList-v1590 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v16xy BandCombinationList-v16xy OPTIONAL

 ]],

 [[

 supportedBandCombinationListNEDC-Only-v15xy SEQUENCE {

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

 supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL,

 supportedBandCombinationList-v1570 BandCombinationList-v1570 OPTIONAL,

 supportedBandCombinationList-v1580 BandCombinationList-v1580 OPTIONAL,

 supportedBandCombinationList-v1590 BandCombinationList-v1590 OPTIONAL

 } OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERSMRDC-STOP

-- ASN1STOP

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| *RF-ParametersMRDC* field descriptions |
| ***appliedFreqBandListFilter***In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for (NG)EN-DC, or both (NG)EN-DC and NE-DC. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-MRDC-Capability* IE. |
| ***supportedBandCombinationListNEDC-Only***A list of band combinations that the UE supports only for NE-DC. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-MRDC-Capability* IE. |

End of change