**3GPP TSG-RAN WG2 Meeting #110e *R2-200xxxx***

**E-meeting, 1st – 12th June 2020**

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| *CR-Form-v11.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **1603** | **rev** | **1** | **Current version:** | **16.0.0** |  |
|  | | | | | | | | |
| *For* [*HELP*](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | CR on introduction of extended capabilities for NR-DC only BCs | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | ZTE Corporation, Sanechips, OPPO | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | | ***Date:*** | | 2020-05-22 |
|  |  | | | |  | | |  | |  |
| ***Category:*** | **A** |  | | | | | | ***Release:*** | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12) Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In the current *RF-ParametersMRDC* structure, the field *supportedBandCombinationList* is used to indicate the (NG)EN-DC only BCs, or the BCs that support both (NG)EN-DC and NE-DC. And the fields with suffix "*-v1540/ v1550/ v1560/ v1570/ v1580/ v1590*" are used to indicate the extended features/capabilities for each BC in the *supportedBandCombinationList*.  For the NE-DC only BCs, a new field *supportedBandCombinationListNEDC-Only* was introduced, the corresponding ASN.1 is copied as follow:  *RF-ParametersMRDC* information element  -- ASN1START  -- TAG-RF-PARAMETERSMRDC-START  RF-ParametersMRDC ::= SEQUENCE {  supportedBandCombinationList BandCombinationList OPTIONAL,  appliedFreqBandListFilter FreqBandList OPTIONAL,  ...,  [[  srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL,  supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL  ]],  [[  supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL  ]],  [[  supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL,  **supportedBandCombinationListNEDC-Only BandCombinationList**  OPTIONAL  ]],  [[  supportedBandCombinationList-v1570 BandCombinationList-v1570 OPTIONAL  ]],  [[  supportedBandCombinationList-v1580 BandCombinationList-v1580 OPTIONAL  ]]  [[  supportedBandCombinationList-v1590 BandCombinationList-v1590 OPTIONAL  ]]  }  -- TAG-RF-PARAMETERSMRDC-STOP  -- ASN1STOP  However, for the NE-DC only BCs, only the *BandCombinationList* structure was included in *supportedBandCombinationListNEDC-Only*, therefore the UE is unable to report the extended features for the NE-DC only BCs.  RAN2 discussed this issue during RAN2\_109e meeting, and an LS is sent to RAN1 to clarify whether *dl-1024QAM-TotalWeightedLayers* (included in *supportedBandCombinationList-v1570*) an *fd-MIMO-TotalWeightedLayers* ( included in *supportedBandCombinationList-v1560*) can be signalled for NE-DC.  This CR aims to introduce the extensions for the NE-DC only BCs in *supportedBandCombinationListNEDC-Only*. And take into account RAN1’s reply LS in R2-2004313(R1-2002793). | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Add the *supportedBandCombinationListNEDCOnly-v15xy* field to the *RF-ParametersMRDC, includes* the extended features *(i.e.BandCombinationList-v1540/v1560/v1570/v1580/v1590)* for the NE-DC only BCs. And update the field description of *BandCombinationList-v1540/v1560/v1570/v1580/v1590*. 2. Update the field description of supportedBandCombinationList in RF-ParametersMRDC, clarify it applies to (NG)EN-DC only BC, or BC that supports both (NG)EN-DC and NE-DC.   **Impact analysis**  Impacted 5G architecture options:  NE-DC  Impacted functionality:  UE capability  Inter-operability:   1. If UE implements according to the CR and the network does not, the network will ignore the extended features sent by UE for NE-DC only BCs, and it is unclear for network how to interpret whether those features are supported or not; 2. If the network implements according to the CR and the UE does not, the UE is unable to report extended capabilities for NE-DC only BCs. The network may assume those features are not supported by UE, and results in low performance. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The UE is unable to report the extended capabilities(*e.g. BandCombinationList-v1540/v1560/v1570/v1580/v1590*) for the NE-DC only BCs. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | |  | | | |
| ***Other specs*** | | **x** |  | Other core specifications | | | TS 38.306 CR0306 | | | |
| ***affected:*** | |  | **x** | Test specifications | | | TS/TR ... CR ... | | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | TS/TR ... CR ... | | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

Start of change

### 6.3.3 UE capability information elements

#### – *BandCombinationList*

The IE *BandCombinationList* contains a list of NR CA and/or MR-DC band combinations (also including DL only or UL only band).

*BandCombinationList* information element

-- ASN1START

-- TAG-BANDCOMBINATIONLIST-START

BandCombinationList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination

BandCombinationList-v1540 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1540

BandCombinationList-v1550 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1550

BandCombinationList-v1560 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1560

BandCombinationList-v1570 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1570

BandCombinationList-v1580 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1580

BandCombinationList-v1590 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1590

BandCombinationList-v16xy ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v16xy

BandCombination ::= SEQUENCE {

bandList SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters,

featureSetCombination FeatureSetCombinationId,

ca-ParametersEUTRA CA-ParametersEUTRA OPTIONAL,

ca-ParametersNR CA-ParametersNR OPTIONAL,

mrdc-Parameters MRDC-Parameters OPTIONAL,

supportedBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL,

powerClass-v1530 ENUMERATED {pc2} OPTIONAL

}

BandCombination-v1540::= SEQUENCE {

bandList-v1540 SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters-v1540,

ca-ParametersNR-v1540 CA-ParametersNR-v1540 OPTIONAL

}

BandCombination-v1550 ::= SEQUENCE {

ca-ParametersNR-v1550 CA-ParametersNR-v1550

}

BandCombination-v16xy ::= SEQUENCE {

bandList-v16xy SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters-v16xy

}

BandCombination-v1560::= SEQUENCE {

ne-DC-BC ENUMERATED {supported} OPTIONAL,

ca-ParametersNRDC CA-ParametersNRDC OPTIONAL,

ca-ParametersEUTRA-v1560 CA-ParametersEUTRA-v1560 OPTIONAL,

ca-ParametersNR-v1560 CA-ParametersNR-v1560 OPTIONAL

}

BandCombination-v1570 ::= SEQUENCE {

ca-ParametersEUTRA-v1570 CA-ParametersEUTRA-v1570

}

BandCombination-v1580 ::= SEQUENCE {

mrdc-Parameters-v1580 MRDC-Parameters-v1580

}

BandCombination-v1590::= SEQUENCE {

supportedBandwidthCombinationSetIntraENDC BIT STRING (SIZE (1..32)) OPTIONAL,

mrdc-Parameters-v1590 MRDC-Parameters-v1590

}

BandParameters ::= CHOICE {

eutra SEQUENCE {

bandEUTRA FreqBandIndicatorEUTRA,

ca-BandwidthClassDL-EUTRA CA-BandwidthClassEUTRA OPTIONAL,

ca-BandwidthClassUL-EUTRA CA-BandwidthClassEUTRA OPTIONAL

},

nr SEQUENCE {

bandNR FreqBandIndicatorNR,

ca-BandwidthClassDL-NR CA-BandwidthClassNR OPTIONAL,

ca-BandwidthClassUL-NR CA-BandwidthClassNR OPTIONAL

}

}

BandParameters-v1540 ::= SEQUENCE {

srs-CarrierSwitch CHOICE {

nr SEQUENCE {

srs-SwitchingTimesListNR SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeNR

},

eutra SEQUENCE {

srs-SwitchingTimesListEUTRA SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeEUTRA

}

} OPTIONAL,

srs-TxSwitch SEQUENCE {

supportedSRS-TxPortSwitch ENUMERATED {t1r2, t1r4, t2r4, t1r4-t2r4, t1r1, t2r2, t4r4, notSupported},

txSwitchImpactToRx INTEGER (1..32) OPTIONAL,

txSwitchWithAnotherBand INTEGER (1..32) OPTIONAL

} OPTIONAL

}

BandParameters-v16xy ::= SEQUENCE {

srs-TxSwitch SEQUENCE {

supportedSRS-TxPortSwitch-r16 ENUMERATED {t1r1-t1r2, t1r1-t1r2-t1r4, t1r1-t1r2-t2r2-t2r4, t1r1-t1r2-t2r2-t1r4-t2r4,

t1r1-t2r2, t1r1-t2r2-t4r4}

} OPTIONAL

}

-- TAG-BANDCOMBINATIONLIST-STOP

-- ASN1STOP

|  |
| --- |
| *BandCombination* field descriptions |
| ***BandCombinationList-v1540, BandCombinationList-v1550, BandCombinationList-v1560, BandCombinationList-v1570, BandCombinationList-v1580, BandCombinationList-v1590, BandCombinationList-r16***  The UE shall include the same number of entries, and listed in the same order, as in *BandCombinationList* (without suffix). If the field is included in *supportedBandCombinationListNEDC-Only-v15xy*, the UE shall include the same number of entries, and listed in the same order, as in *BandCombinationList* (without suffix) of *supportedBandCombinationListNEDC-Only* (without suffix) field. |
| ***ca-ParametersNRDC***  If the field is included for a band combination in the NR capability container, the field indicates support of NR-DC. Otherwise, the field is absent. |
| ***ne-DC-BC***  If the field is included for a band combination in the MR-DC capability container, the field indicates support of NE-DC. Otherwise, the field is absent. |
| ***srs-SwitchingTimesListNR***  Indicates, for a particular pair of NR bands, the RF retuning time when switching between a NR carrier corresponding to this band entry and another (PUSCH-less) NR carrier corresponding to the band entry in the order indicated below:  - For the first NR band, the UE shall include the same number of entries for NR bands as in *bandList*, i.e. first entry corresponds to first NR band in *bandList* and so on,  - For the second NR band, the UE shall include one entry less, i.e. first entry corresponds to the second NR band in *bandList* and so on  - And so on |
| ***srs-SwitchingTimesListEUTRA***  Indicates, for a particular pair of E-UTRA bands, the RF retuning time when switching between an E-UTRA carrier corresponding to this band entry and another (PUSCH-less) E-UTRA carrier corresponding to the band entry in the order indicated below:  - For the first E-UTRA band, the UE shall include the same number of entries for E-UTRA bands as in *bandList,* i.e. first entry corresponds to first E-UTRA band in *bandList* and so on,  - For the second E-UTRA band, the UE shall include one entry less, i.e. first entry corresponds to the second E-UTRA band in *bandList* and so on  - And so on |

#### – *RF-ParametersMRDC*

The IE *RF-ParametersMRDC* is used to convey RF related capabilities for MR-DC.

*RF-ParametersMRDC* information element

-- ASN1START

-- TAG-RF-PARAMETERSMRDC-START

RF-ParametersMRDC ::= SEQUENCE {

supportedBandCombinationList BandCombinationList OPTIONAL,

appliedFreqBandListFilter FreqBandList OPTIONAL,

...,

[[

srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL,

supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL

]],

[[

supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

]],

[[

supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL,

supportedBandCombinationListNEDC-Only BandCombinationList OPTIONAL

]],

[[

supportedBandCombinationList-v1570 BandCombinationList-v1570 OPTIONAL

]],

[[

supportedBandCombinationList-v1580 BandCombinationList-v1580 OPTIONAL

]],

[[

supportedBandCombinationList-v1590 BandCombinationList-v1590 OPTIONAL

]],

[[

supportedBandCombinationList-v16xy BandCombinationList-v16xy OPTIONAL

]],

[[

supportedBandCombinationListNEDC-Only-v15xy SEQUENCE {

supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL,

supportedBandCombinationList-v1570 BandCombinationList-v1570 OPTIONAL,

supportedBandCombinationList-v1580 BandCombinationList-v1580 OPTIONAL,

supportedBandCombinationList-v1590 BandCombinationList-v1590 OPTIONAL

} OPTIONAL

]]

}

-- TAG-RF-PARAMETERSMRDC-STOP

-- ASN1STOP

|  |
| --- |
| *RF-ParametersMRDC* field descriptions |
| ***appliedFreqBandListFilter***  In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. |
| ***supportedBandCombinationList***  A list of band combinations that the UE supports for (NG)EN-DC, or both (NG)EN-DC and NE-DC. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-MRDC-Capability* IE. |
| ***supportedBandCombinationListNEDC-Only***  A list of band combinations that the UE supports only for NE-DC. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-MRDC-Capability* IE. |

End of change