**3GPP TSG-RAN WG2 Meeting #110 Electronic R2-200xxxx**

**Electronic, 1 June – 12 June, 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.306** | **CR** |  | **rev** |  | **Current version:** | **16.0.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Correction on UE capability signalling for simultaneous SRS antenna and carrier switching |
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| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2020-06-01 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | The SRS antenna switch capability is reported per band per BC as below. This capability might be absent for a band not associated with UL feature set. However, if the capability is used simultaneously with SRS carrier switching, the UE shall be allowed to report this capability for a band not associated with UL feature set if this band is a target band in SRS carrier switching. *BandParameters-v1540 ::= SEQUENCE {* *srs-CarrierSwitch CHOICE {* *nr SEQUENCE {* *srs-SwitchingTimesListNR SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeNR* *},* *eutra SEQUENCE {* *srs-SwitchingTimesListEUTRA SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeEUTRA* *}* *} OPTIONAL,* *srs-TxSwitch SEQUENCE {* *supportedSRS-TxPortSwitch ENUMERATED {t1r2, t1r4, t2r4, t1r4-t2r4, t1r1, t2r2, t4r4, notSupported},* *txSwitchImpactToRx INTEGER (1..32) OPTIONAL,* *txSwitchWithAnotherBand INTEGER (1..32) OPTIONAL* *} OPTIONAL**}* |
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| ***Summary of change:*** | In field description for *txSwitchImpactToRx* and *txSwitchWithAnotherBand*,clarify that “the first-listed band with UL” includes target band for SRS carrier switching**Impact analysis**Impacted 5G architecture options:Standalone, EN-DC, NE-DC, NR-DCImpacted functionality:SRS SwitchingInter-operability:1. If the network is implemented according to the CR and the UE is not, there is no compatibilty issues as network will not configure UE with the SRS carrier switching for DL-only carriers because UE doesn’t indicate the SRS carrier switching capabilities..2. If the UE is implemented according to the CR and the network is not, there is no compatiblity issues since network will not configure UE with the SRS carrier switching for DL-only carriers because network will not comprehend the UE capabilities. |
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| ***Consequences if not approved:*** | It is not clear for the network on how to derive the UE SRS capability for a PUSCH-less SCell on a band where no PUSCH on any cell is supported and the SRS carrier switching configuration will fail. |
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| ***Clauses affected:*** | 4.2.7.1 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | 38331 R2-200xxxx |
| ***affected:*** |  | **X** |  Test specifications |  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications |  |
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| ***Other comments:*** |  |
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| ***This CR's revision history:*** |  |

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| *<Start of modification>* |

### 4.2.7 Physical layer parameters

#### 4.2.7.1 *BandCombinationList* parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***bandEUTRA***Defines supported EUTRA frequency band by NR frequency band number, as specified in TS 36.101 [14]. | Band | Yes | No | No |
| ***bandList***Each entry of the list should include at least one bandwidth class for UL or DL. | BC | Yes | No | No |
| ***bandNR***Defines supported NR frequency band by NR frequency band number, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. | Band | Yes | No | No |
| ***ca-BandwidthClassDL-EUTRA***Defines for DL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 36.101 [14]. When all FeatureSetEUTRA-DownlinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-BandwidthClassDL-NR***Defines for DL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. When all FeatureSetDownlinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-BandwidthClassUL-EUTRA***Defines for UL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 36.101 [14]. When all FeatureSetEUTRA-UplinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-BandwidthClassUL-NR***Defines for UL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. When all FeatureSetUplinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-ParametersEUTRA***Contains the EUTRA part of band combination parameters for a given EN-DC band combination. | BC | No | No | No |
| ***ca-ParametersNR***Contains the NR band combination parameters for a given EN-DC and/or NR CA band combination. | BC | No | No | No |
| ***ca-ParametersNRDC***Indicates whether the UE supports NR-DC for the band combination. It contains the NR band combination parameters applicable across MCG and SCG. | BC | No | No | No |
| ***featureSetCombination***Indicates the feature set that the UE supports on the NR and/or MR-DC band combination by FeatureSetCombinationId. | BC | N/A | No | No |
| ***mrdc-Parameters***Contains the band combination parameters for a given EN-DC band combination. | BC | No | No | No |
| ***ne-DC-BC***Indicates whether the UE supports NE-DC for the band combination. | BC | No | No | No |
| ***powerClass***Indicates power class the UE supports when operating according to this band combination. If the field is absent, the UE supports the default power class. If this power class is higher than the power class that the UE supports on the individual bands of this band combination (*ue-PowerClass* in *BandNR*), the latter determines maximum TX power available in each band. The UE sets the power class parameter only in band combinations with two FR1 uplink serving cells. | BC | No | No | FR1 only |
| ***SRS-SwitchingTimeNR***Indicates the interruption time on DL/UL reception within a NR band pair during the RF retuning for switching between a carrier on one band and another (PUSCH-less) carrier on the other band to transmit SRS. *switchingTimeDL/ switchingTimeUL*:n0us represents 0 us, n30us represents 30us, and so on. *switchingTimeDL/ switchingTimeUL* is mandatory present if switching between the NR band pair is supported, otherwise the field is absent. It is signalled per pair of bands per band combination. | FD | No | No | No |
| ***SRS-SwitchingTimeEUTRA***Indicates the interruption time on DL/UL reception within a EUTRA band pair during the RF retuning for switching between a carrier on one band and another (PUSCH-less) carrier on the other band to transmit SRS. *switchingTimeDL/ switchingTimeUL:* n0 represents 0 OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so on. *switchingTimeDL/ switchingTimeUL* is mandatory present if switching between the EUTRA band pair is supported, otherwise the field is absent. It is signalled per pair of bands per band combination. | FD | No | No | No |
| ***srs-TxSwitch***Defines whether UE supports SRS for DL CSI acquisition as defined in clause 6.2.1.2 of TS 38.214 [12]. The capability signalling comprises of the following parameters:- *supportedSRS-TxPortSwitch* indicates SRS Tx port switching pattern supported by the UE, which is mandatory with capability signaling. The indicated UE antenna switching capability of ′xTyR′ corresponds to a UE, capable of SRS transmission on ′x′ antenna ports over total of ′y′ antennas, where ′y′ corresponds to all or subset of UE receive antennas, where 2T4R is two pairs of antennas. *supportedSRS-TxPortSwitch-r16*, which is optional to report, indicates downgrading configuration of SRS Tx port switching pattern. If the UE indicates the support of downgrading configuration of SRS Tx port switching pattern using *supportedSRS-TxPortSwitch-r16*, the UE shall report the values for this as below, based on what is reported in *supportedSRS-TxPortSwitch*.

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| --- | --- |
| *supportedSRS-TxPortSwitch* | *supportedSRS-TxPortSwitch-r16* |
| *t1r2* | *t1r1-t1r2* |
| *t1r4* | *t1r1-t1r2-t1r4* |
| *t2r4* | *t1r1-t1r2-t2r2-t2r4* |
| *t2r2* | *t1r1-t2r2* |
| *t4r4* | *t1r1-t2r2-t4r4* |
| *t1r4-t2r4* | *t1r1-t1r2-t2r2-t1r4-t2r4* |

- *txSwitchImpactToRx* indicates the entry number of the first-listed band with UL(see NOTE) in the band combination that affects this DL, which is mandatory with capability signaling;- *txSwitchWithAnotherBand* indicates the entry number of the first-listed band with UL(see NOTE) in the band combination that switches together with this UL, which is mandatory with capability signaling.For *txSwitchImpactToRx* and *txSwitchWithAnotherBand*, value 1 means first entry, value 2 means second entry and so on. All DL and UL that switch together indicate the same entry number.The UE is restricted not to include fallback band combinations for the purpose of indicating different SRS antenna switching capabilities.NOTE: The first-listed band with UL includes a band associated with *FeatureSetUplinkId* set to 0 corresponding to the support of SRS-SwitchingTimeNR. | BC | FD | No | No |
| ***supportedBandwidthCombinationSet***Defines the supported bandwidth combination for the band combination set as defined in the TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. For NR SA CA, NR-DC, inter-band EN-DC without intra-band EN-DC component and intra-band EN-DC with additional inter-band NR CA component, the field defines the bandwidth combinations for the NR part of the band combination. For intra-band EN-DC without additional inter-band NR and LTE CA component, the field indicates the supported bandwidth combination set applicable to the NR and LTE band combinations. Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination as defined in the TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. It is mandatory if the band combination has more than one NR carrier (at least one SCell in an NR cell group) or is an intra-band EN-DC combination or both. | BC | CY | No | No |
| ***supportedBandwidthCombinationSetIntraENDC***Defines the supported bandwidth combination for the band combination set as defined in the TS 38.101-3 [4]. For intra-band EN-DC with additional inter-band CA component(s) of LTE and/or NR, the field defines the bandwidth combinations for the intra-band EN-DC component. Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination as defined in the TS 38.101-3 [4]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. It is mandatory if the band combination is an intra-band EN-DC combination with additional inter-band NR/LTE CA component. | BC | CY | No | No |

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| *<End of modification>* |