**3GPP TSG-RAN2#109bis-e R2-2002847**

**20-30 April 2020**

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| *CR-Form-v11.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **1528** | **rev** | **1** | **Current version:** | **16.0.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Miscellaneous corrections for NR-U | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Qualcomm Incorporated (Rapporteur) | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_unlic-Core | | | | |  | | ***Date:*** | | 2019-04-09 |
|  |  | | | |  | | |  | |  |
| ***Category:*** | **F** |  | | | | | | ***Release:*** | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | To implement corrections identified during the email discussion during [Post109e#38][NR-U] RRC open issues (Qualcomm) R2-2002843 and agreements in RAN2#10bis-e Meetint Report for NR-U (R2-2003804). | | | | | | | | |
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| ***Summary of change:*** | | Adds accepted solutions for the open issues listed in R2-200846, agreements in RAN2#109bis-e Chair Notes in R2-2003804 and Z016 in R2-2004278. | | | | | | | | |
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| ***Consequences if not approved:*** | | The specification for NR operation with shared spectrum channel access will contain errors. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.2.2.2.2., 5.3.10.3, 5.5.2.10a, 5.5.3.1, 5.7.3.1, 5.7.3.5, 6.3.1, 6.3.2, 6.3.3, 6.5 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | |  | | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | TS 38.300, TS 38.304, TS 38.321, TS 37.213, TS 38.211, TS 38.212, TS 38.213, TS 38.214, TS 38.215 | | | |
| ***affected:*** | |  | **x** | Test specifications | | |  | | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | |  | | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | Rev0: Includes agreements in R2-2002846. Revions marks by user “RAN2#109bis-e”.  Rev1: Adds agreements in R2-2003804 and resolves Z016 in R2-2004278. Revision marks by user “Post\_RAN2#109bis-e”. | | | | | | | | |

*Start of Changes*

##### 5.2.2.2.2 SI change indication and PWS notification

A modification period is used, i.e. updated SI message (other than SI message for ETWS, CMAS and positioning assistance data) is broadcasted in the modification period following the one where SI change indication is transmitted. The modification period boundaries are defined by SFN values for which SFN mod m = 0, where m is the number of radio frames comprising the modification period. The modification period is configured by system information. The UE receives indications about SI modifications and/or PWS notifications using Short Message transmitted with P-RNTI over DCI (see clause 6.5). Repetitions of SI change indication may occur within preceding modification period. SI change indication is not applicable for SI messages containing posSIBs.

UEs in RRC\_IDLE or in RRC\_INACTIVE shall monitor for SI change indication in its own paging occasion every DRX cycle. UEs in RRC\_CONNECTED shall monitor for SI change indication in any paging occasion at least once per modification period if the UE is provided with common search space on the active BWP to monitor paging, as specified in TS 38.213 [13], clause 13.

ETWS or CMAS capable UEs in RRC\_IDLE or in RRC\_INACTIVE shall monitor for indications about PWS notification in its own paging occasion every DRX cycle. ETWS or CMAS capable UEs in RRC\_CONNECTED shall monitor for indication about PWS notification in any paging occasion at least once every *defaultPagingCycle* if the UE is provided with common search space on the active BWP to monitor paging.

For Short Message reception in a paging occasion, the UE monitors the PDCCH monitoring occasion(s) for paging as specified in TS 38.304 [20] and TS 38.213 [13].

If the UE receives a Short Message, the UE shall:

1> if the UE is ETWS capable or CMAS capable, the *etwsAndCmasIndication* bit of Short Message is set, and the UE is provided with *searchSpaceOtherSystemInformation* on the active BWP or the initial BWP:

2> immediately re-acquire the *SIB1*;

2> if the UE is ETWS capable and *si-SchedulingInfo* includes scheduling information for *SIB6*:

3> acquire *SIB6*, as specified in sub-clause 5.2.2.3.2,immediately;

2> if the UE is ETWS capable and *si-SchedulingInfo* includes scheduling information for *SIB7*:

3> acquire *SIB7*, as specified in sub-clause 5.2.2.3.2,immediately;

2> if the UE is CMAS capable and *si-SchedulingInfo* includes scheduling information for *SIB8*:

3> acquire *SIB8*, as specified in sub-clause 5.2.2.3.2,immediately;

1> if the *systemInfoModification* bit of Short Message is set:

2> apply the SI acquisition procedure as defined in sub-clause 5.2.2.3 from the start of the next modification period.

*Next Change*

#### 5.3.10.3 Detection of radio link failure

The UE shall:

1> if *dapsConfig* is configured for any DRB:

2> upon T310 expiry in source; or

2> upon random access problem indication from source MCG MAC; or

2> upon indication from source MCG RLC that the maximum number of retransmissions has been reached:

3> consider radio link failure to be detected for the source MCG i.e. source RLF;

4> suspend all DRBs in the source;

4> release the source connection.

1> else:

2> upon T310 expiry in PCell; or

2> upon T312 expiry in PCell; or

2> upon random access problem indication from MCG MAC while neither T300, T301, T304, T311 nor T319 are running; or

2> upon indication from MCG RLC that the maximum number of retransmissions has been reached; or

2> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the MCG; or

2> upon indication of consistent uplink LBT failures from MCG MAC:

3> if the indication is from MCG RLC and CA duplication is configured and activated, and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

4> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

3> else:

4> consider radio link failure to be detected for the MCG i.e. RLF;

4> discard any segments of segmented RRC messages received;

4> store the following radio link failure information in the *VarRLF-Report* by setting its fields as follows:

5> clear the information included in *VarRLF-Report*, if any;

5> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

5> set the *measResultLastServCell* to include the RSRP, RSRQ and the available SINR, of the source PCell based on the available SSB and CSI-RS measurements collected up to the moment the UE detected radio link failure;

5> set the *ssbRLMConfigBitmap* and/or *csi-rsRLMConfigBitmap* in *measResultLastServCell* to include the radio link monitoring configuration of the source PCell;

5> for each of the configured NR frequencies in which measurements are available:

6> if the SS/PBCH block-based measurement quantities are available:

7> set the measResultListNR in measResultNeighCells to include all the available measurement quantities of the best measured cells, other than the source PCell, ordered such that the cell with highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the cell with highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the cell with highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected radio link failure;

8> for each neighbour cell included, include the optional fields that are available;

6> if the CSI-RS based measurement quantities are available:

7> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell, ordered such that the cell with highest CSI-RS RSRP is listed first if CSI-RS RSRP measurement results are available, otherwise the cell with highest CSI-RS RSRQ is listed first if CSI-RS RSRQ measurement results are available, otherwise the cell with highest CSI-RS SINR is listed first, based on the available CSI-RS based measurements collected up to the moment the UE detected radio link failure;

8> for each neighbour cell included, include the optional fields that are available;

5> for each of the configured EUTRA frequencies in which measurements are available:

6> set the *measResultListEUTRA* in *measResultNeighCells* to include the best measured cells ordered such that the cell with highest RSRP is listed first if RSRP measurement results are available, otherwise the cell with highest RSRQ is listed first, and based on measurements collected up to the moment the UE detected radio link failure;

NOTE: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

5> if detailed location information is available, set the content of *locationInfo* as follows:

6> if available, set the *commonLocationInfo* to include the detailed location information;

6> if available, set the *bt-LocationInfo* in *locationInfo* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

6> if available, set the *wlan-LocationInfo* in *locationInfo* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

6> if available, set the *sensor-LocationInfo* in *locationInfo* to include the sensor measurement results;

5> set the *failedPCellId* to the global cell identity and the tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

5> if an *RRCReconfiguration* message including the *reconfigurationWithSync* was received before the connection failure:

6> if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned an intra NR handover:

7> include the *previousPCellId* and set it to the global cell identity and the tracking area code of the PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received;

7> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

5> set the connectionFailureType to rlf;

5> set the c-RNTI to the C-RNTI used in the PCell;

5> set the rlf-Cause to the trigger for detecting radio link failure;

5> if the rlf-Cause is set to randomAccessProblem or beamFailureRecoveryFailure:

6> set the *absoluteFrequencyPointA* to indicate the absolute frequency of the reference resource block associated to the random-access resources;

6> set the *locationAndBandwidth* and *subcarrierSpacing* associated to the UL BWP of the random-access resources;

6> set the *msg1-FrequencyStart, msg1-FDM* and *msg1-SubcarrierSpacing* associated to the random-access resources;

6> set the parameters associated to individual random-access attempt in the chronological order of attmepts in the *perRAInfoList* as follows:

7> if the random-access resource used is associated to a SS/PBCH block, set the associated random-access parameters for the successive random-access attempts associated to the same SS/PBCH block for one or more radom-access attempts as follows:

8> set the *ssb-Index* to include the SS/PBCH block index associated to the used random-access resource;

8> set the *numberOfPreamblesSentOnSSB* to indicate the number of successive random access attempts associated to the SS/PBCH block;

8> for each random-access attempt performed on the random-access resource, include the following parameters in the chronological order of the random-access attempt:

9> if contention resolution was not successful as specified in TS 38.321 [6] for the transmitted preamble:

10> set the contentionDetected to true;

9> else:

10> set the contentionDetected to false;

9> if the SS/PBCH block RSRP of the SS/PBCH block corresponding to the random-access resource used in the random-access attempt is above *rsrp-ThresholdSSB*:

10> set the dlRSRPAboveThreshold to true;

9> else:

10> set the dlRSRPAboveThreshold to false;

7> else if the random-access resource used is associated to a CSI-RS, set the associated random-access parameters for the successive random-access attempts associated to the same CSI-RS for one or more radom-access attempts as follows:

8> set the *csi-RS-Index* to include the CSI-RS index associated to the used random-access resource;

8> set the *numberOfPreamblesSentOnCSI-RS* to indicate the number of successive random-access attempts associated to the CSI-RS;

8> for each random-access attempt performed on the random-access resource, include the following parameters in the chronological order of the random-access attempt:

9> if contention resolution was not successful as specified in TS 38.321 [6] for the transmitted preamble:

10> set the contentionDetected to true;

9> else:

10> set the contentionDetected to false;

9> if the CSI-RS RSRP of the CSI-RS corresponding to the random-access resource used in the random-access attempt is above *rsrp-ThresholdCSI-RS*:

10> set the dlRSRPAboveThreshold to true;

9> else:

10> set the dlRSRPAboveThreshold to false;

4> if AS security has not been activated:

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other';-

4> else if AS security has been activated but SRB2 and at least one DRB have not been setup:

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

Editor's note: FFS if the check for SRB2 activation and the setup of one DRB is applicable to IAB nodes.

4> else:

5> if T316 is configured; and

5> if SCG transmission is not suspended; and

5> if PSCell change is not ongoing (i.e. timer T304 for the NR PSCell is not running in case of NR-DC or timer T307 of the E-UTRA PSCell is not running as specified in TS 36.331 [10], clause 5.3.10.10, in NE-DC):

6> initiate the MCG failure information procedure as specified in 5.7.3b to report MCG radio link failure.

5> else:

6> initiate the connection re-establishment procedure as specified in 5.3.7.

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure is detected.

The UE shall:

1> upon T310 expiry in PSCell; or

1> upon T312 expiry in PSCell; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC that the maximum number of retransmissions has been reached; or

1> if connected as an IAB-node, upon BH RLF failure indication received on BAP entity from the SCG; or

1> upon indication of consistent uplink LBT failures from SCG MAC:

2> if the indication is from SCG RLC and CA duplication is configured and activated; and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

3> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

2> else if MCG transmission is not suspended:

3> consider radio link failure to be detected for the SCG, i.e. SCG RLF;

3> initiate the SCG failure information procedure as specified in 5.7.3 to report SCG radio link failure.

2> else:

3> if the UE is in NR-DC:

4> initiate the connection re-establishment procedure as specified in 5.3.7;

3> else (the UE is in (NG)EN-DC):

4> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7;

*Next Change*

#### 5.5.2.5 Measurement object addition/modification

The UE shall:

1> for each *measObjectId* included in the received *measObjectToAddModList*:

2> if an entry with the matching *measObjectId* exists in the *measObjectList* within the *VarMeasConfig*, for this entry:

3> reconfigure the entry with the value received for this *measObject*, except for the fields *cellsToAddModList*, *blackCellsToAddModList*, *whiteCellsToAddModList*, *cellsToRemoveList*, *blackCellsToRemoveList* and *whiteCellsToRemoveList*;

3> if the received *measObject* includes the *cellsToRemoveList*:

4> for each *physCellId* included in the *cellsToRemoveList*:

5> remove the entry with the matching *physCellId* from the *cellsToAddModList*;

3> if the received *measObject* includes the *cellsToAddModList*:

4> for each *physCellId* value included in the *cellsToAddModList*:

5> if an entry with the matching *physCellId* exists in the *cellsToAddModList*:

6> replace the entry with the value received for this *physCellId*;

5> else:

6> add a new entry for the received *physCellId* to the *cellsToAddModList*;

3> if the received *measObject* includes the *blackCellsToRemoveList*:

4> for each *pci-RangeIndex* included in the *blackCellsToRemoveList*:

5> remove the entry with the matching *pci-RangeIndex* from the *blackCellsToAddModList*;

NOTE 1: For each *pci-RangeIndex* included in the *blackCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the blacklist of cells only if all PCI ranges containing it are removed.

3> if the received *measObject* includes the *blackCellsToAddModList*:

4> for each *pci-RangeIndex* included in the *blackCellsToAddModList*:

5> if an entry with the matching *pci-RangeIndex* is included in the *blackCellsToAddModList*:

6> replace the entry with the value received for this *pci-RangeIndex*;

5> else:

6> add a new entry for the received *pci-RangeIndex* to the *blackCellsToAddModList*;

3> if the received *measObject* includes the *whiteCellsToRemoveList*:

4> for each *pci-RangeIndex* included in the whiteCellsToRemoveList:

5> remove the entry with the matching *pci-RangeIndex* from the *whiteCellsToAddModList*;

NOTE2: For each *pci-RangeIndex* included in the *whiteCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the whitelist of cells only if all PCI ranges containing it are removed.

3> if the received *measObject* includes the *whiteCellsToAddModList*:

4> for each *pci-RangeIndex* included in the *whiteCellsToAddModList*:

5> if an entry with the matching *pci-RangeIndex* is included in the *whiteCellsToAddModList*:

6> replace the entry with the value received for this *pci-RangeIndex*;

5> else:

6> add a new entry for the received *pci-RangeIndex* to the *whiteCellsToAddModList*

3> for each *measId* associated with this *measObjectId* in the *measIdList* within the *VarMeasConfig*, if any:

4> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;

4> stop the periodical reporting timer or timer T321 or timer T322, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

3> if the received *measObject* includes the *tx-PoolMeasToRemoveList* (for NR sidelink communication):

4> for each transmission resource pool indicated in *tx-PoolMeasToRemoveList*:

5> remove the entry with the matching identity of the transmission resource pool from the *tx-PoolMeasToAddModList*;

3> if the received *measObject* includes the *tx-PoolMeasToAddModList* (for NR sidelink communication):

4> for each transmission resource pool indicated in *tx-PoolMeasToAddModList*:

5> if an entry with the matchingidentity of the transmission resource poolexists in the *tx-PoolMeasToAddModList*:

6> replace the entry with the value received for this transmission resource pool;

5> else:

6> add a new entry for the received identity of the transmission resource pool to the *tx-PoolMeasToAddModList*;

3> if the received *measObject* includes the *ssb-PositionQCL-CellsToAddList*:

4> for each *physCellId* included in the *ssb-PositionQCL-CellsToAddList*:

5> if an entry with the matching *physCellId* exists in the *ssb-PositionQCL-CellsToAddList*:

6> replace the entry with the value received for this *physCellId*;

5> else:

6> add a new entry for the received *physCellId* to the *ssb-PositionQCL-CellsToAddList*;

3> if the received *measObject* includes the *ssb-PositionQCL-CellsToRemoveList*:

4> for each *physCellId* included in the *ssb-PositionQCL-CellsToRemoveList*:

5> remove the entry with the matching *physCellId* from the *ssb-PositionQCL-CellsToAddModList*;

2> else:

3> add a new entry for the received *measObject* to the *measObjectList* within *VarMeasConfig*.

*Next Change*

#### 5.5.2.10a RSSI measurement timing configuration

The UE shall setup the RSSI measurement timing configuration (RMTC) in accordance with the received *rmtc-Periodicity* and, if configured, with *rmtc-SubframeOffset*, otherwise determined by the UE randomly, i.e. the first symbol of each RMTC occasion occurs at first symbol of an SFN and subframe of the PCell meeting the following condition:

SFN mod *T* = FLOOR(*rmtc-SubframeOffset*/10);

subframe = *rmtc-SubframeOffset* mod 10;

with *T* = *rmtc-Periodicity*/10;

On the frequency configured by *rmtc-Frequency*, the UE shall not consider RSSI measurements outside the configured RMTC occasion which lasts for *measDuration* for RSSI and channel occupancy measurements.

*Next Change*

#### 5.5.3.1 General

An RRC\_CONNECTED UE shall derive cell measurement results by measuring one or multiple beams associated per cell as configured by the network, as described in 5.5.3.3. For all cell measurement results and CLI measurement results in RRC\_CONNECTED, except for RSSI, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, measurement reporting or the criteria to trigger conditional configuration execution. For cell measurements, the network can configure RSRP, RSRQ, SINR, RSCP or EcN0 as trigger quantity. For CLI measurements, the network can configure SRS-RSRP or CLI-RSSI as trigger quantity. For cell and beam measurements, reporting quantities can be any combination of quantities (i.e. only RSRP; only RSRQ; only SINR; RSRP and RSRQ; RSRP and SINR; RSRQ and SINR; RSRP, RSRQ and SINR; only RSCP; only EcN0; RSCP and EcN0), irrespective of the trigger quantity, and for CLI measurements, reporting quantities can be only SRS-RSRP or only CLI-RSSI. For conditional configuration execution triggering quantities, the network can configure up to 2 quantities. The UE does not apply the layer 3 filtering as specified in 5.5.3.2 to derive the CBR measurements.

The network may also configure the UE to report measurement information per beam (which can either be measurement results per beam with respective beam identifier(s) or only beam identifier(s)), derived as described in 5.5.3.3a. If beam measurement information is configured to be included in measurement reports, the UE applies the layer 3 beam filtering as specified in 5.5.3.2. On the other hand, the exact L1 filtering of beam measurements used to derive cell measurement results is implementation dependent.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell for which *servingCellMO* is configured as follows:

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *ssb*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell measurement results based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *csi-rs*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell measurement results based on CSI-RS, as described in 5.5.3.3;

1> for each serving cell for which *servingCellMO* is configured, if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains SINR as trigger quantity and/or reporting quantity:

2> if the *reportConfig* contains *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell SINR based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* contains *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell SINR based on CSI-RS, as described in 5.5.3.3;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *reportType* for the associated *reportConfig* is set to *reportCGI* and timer T321 is running:

3> if *useAutonomousGaps* is configured for the associated *reportConfig*:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

3> else:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods;

3> if the cell indicated by *reportCGI* field for the associated *measObject* is an NR cell and that indicated cell is broadcasting *SIB1* (see TS 38.213 [13], clause 13):

4> try to acquire *SIB1* in the concerned cell;

3> if the cell indicated by *reportCGI* field is an E-UTRA cell:

4> try to acquire *SystemInformationBlockType1* in the concerned cell;

2> if the *ul-DelayValueConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject;*

3> for each of the configured DRBs*,* configure the PDCP layer to perform corresponding average UL PDCP packet delay measurement per DRB;

2> if the *reportType* for the associated *reportConfig* is *periodical*, *eventTriggered* or *condTriggerConfig*:

3> if a measurement gap configuration is setup, or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-MeasureConfig* is not configured, or

4> if *s-MeasureConfig* is set to *ssb-RSRP* and the NR SpCell RSRP based on SS/PBCH block, after layer 3 filtering, is lower than *ssb-RSRP,* or

4> if *s-MeasureConfig* is set to *csi-RSRP* and the NR SpCell RSRP based on CSI-RS, after layer 3 filtering, is lower than *csi-RSRP*:

5> if the *measObject* is associated to NR and the *rsType* is set to *csi-rs*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 filtered beam measurements only based on CSI-RS for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on CSI-RS for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to NR and the *rsType* is set to *ssb*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 beam measurements only based on SS/PBCH block for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on SS/PBCH block for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to E-UTRA:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

5> if the measObject is associated to UTRA-FDD:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:

5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is set to *reportSFTD*:

3> if the *reportSFTD-Meas* is set to *true:*

4> if the *measObject* is associated to E-UTRA:

5> perform SFTD measurements between the PCell and the E-UTRA PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the E-UTRA PSCell;

4> else if the *measObject* is associated to NR:

5> perform SFTD measurements between the PCell and the NR PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the NR PSCell based on SSB;

3> else if the *reportSFTD-NeighMeas* is included*:*

4> if the *measObject* is associated to NR:

5> if the *drx-SFTD-NeighMeas* is included:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject* using available idle periods;

5> else:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

5> if the *reportRSRP* is set to *true*:

6> perform RSRP measurements based on SSB for the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is *cli-Periodical* or *cli-EventTriggered*:

3> perform the corresponding measurements associated to CLI measurement resources indicated in the concerned *measObjectCLI*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condTriggerConfig*.

NOTE 1: The evaluation of conditional configuration execution criteria is specified in 5.3.5.13.

The UE capable of CBR measurement when configured to transmit NR sidelink communication shall:

1> If the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*:

2> if the UE is in RRC\_IDLE or in RRC\_INACTIVE:

3> if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pools in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

2> if the UE is in RRC\_CONNECTED:

3> if tx-PoolMeasToAddModList is included in VarMeasConfig:

4> perform CBR measurements on each transmission resource pool indicated in the *tx-PoolMeasToAddModList*;

3> if sl-TxPoolSelectedNormal, sl-TxPoolScheduling or sl-TxPoolExceptional is included in sl-ConfigDedicatedNR for the concerned frequency within RRCReconfiguration:

4> perform CBR measurement on pools in sl-TxPoolSelectedNormal, sl-TxPoolScheduling or sl-TxPoolExceptional if included in sl-ConfigDedicatedNR for the concerned frequency within RRCReconfiguration;

3> else if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pools in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

1> else:

2> perform CBR measurement on pools in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* in *sl-PreconfigurationNR* for the concerned frequency.

NOTE 2: In case the configurations for NR sidelink communication and CBR measurement are acquired via the E-UTRA, configurations for NR sidelink communication in *SIB12*, *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in this subclause are provided by the configurations in *SystemInformationBlockTypeXX2*, *sl-ConfigDedicatedNR* within *RRCConnectionReconfiguration* as specified in TS 36.331[10], respectively.

If a UE that is configured by upper layers to transmit V2X sidelink communication is configured with transmission resource pool(s) and the measurement objects concerning V2X sidelink communication (i.e. *measObjectEUTRA-SL*) by NR, it shall perform CBR measurement as specified in subclause 5.5.3.X of TS 36.331 [10], based on the transmission resource pool(s) and the measurement object(s) concerning V2X sidelink communication configured by NR.

*Next Change*

#### 5.7.3.1 General



Figure 5.7.3.1-1: SCG failure information

The purpose of this procedure is to inform E-UTRAN or NR MN about an SCG failure the UE has experienced i.e. SCG radio link failure, failure of SCG reconfiguration with sync, SCG configuration failure for RRC message on SRB3, SCG integrity check failure, and consistent uplink LBT failures on PSCell for operation with shared spectrum channel access.

*Next Change*

#### 5.7.3.5 Actions related to transmission of *SCGFailureInformation* message

The UE shall set the contents of the *SCGFailureInformation* message as follows:

1> if the UE initiates transmission of the *SCGFailureInformation* message due to T310 expiry:

2> set the *failureType* as *t310-Expiry*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message due to T312 expiry:

2> set the *failureType* as *t312-Expiry*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide reconfiguration with sync failure information for an SCG:

2> set the *failureType* as *synchReconfigFailure-SCG*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide random access problem indication from SCG MAC:

2> set the *failureType* as *randomAccessProblem*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide indication from SCG RLC that the maximum number of retransmissions has been reached:

2> set the *failureType* as *rlc-MaxNumRetx*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message due to SRB3 IP check failure:

2> set the *failureType* as *srb3-IntegrityFailure*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message due to Reconfiguration failure of NR RRC reconfiguration message:

2> set the *failureType* as *scg-reconfigFailure*;

1> else if the UE initiates transmission of the *SCGFailureInformationNR* message due to consistent uplink LBT failures:

2> set the *failureType* as *scg-lbtFailure*.

1> include and set *MeasResultSCG*-Failure in accordance with 5.7.3.4;

1> for each *MeasObjectNR* configured by a *MeasConfig* associated with the MCG, and for which measurement results are available:

2> include an entry in *measResultFreqList*;

2> if there is a *measId* configured with the *MeasObjectNR* and a *reportConfig* which has *rsType* set to *ssb*:

3> set *ssbFrequency* in *measResultFreqList* to the value indicated by *ssbFrequency* as included in the *MeasObjectNR*;

2> if there is a *measId* configured with the *MeasObjectNR* and a *reportConfig* which has *rsType* set to *csi-rs*:

3> set *refFreqCSI-RS* in *measResultFreqList* to the value indicated by *refFreqCSI-RS* as included in the associated measurement object;

2> if a serving cell is associated with the *MeasObjectNR*:

3> set *measResultServingCell* in *measResultFreqList* to include the available quantities of the concerned cell and in accordance with the performance requirements in TS 38.133 [14];

2> set the *measResultNeighCellList* in *measResultFreqList* to include the best measured cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows;

3> ordering the cells with sorting as follows:

4> based on SS/PBCH block if SS/PBCH block measurement results are available and otherwise based on CSI-RS;

4> using RSRP if RSRP measurement results are available, otherwise using RSRQ if RSRQ measurement results are available, otherwise using SINR;

3> for each neighbour cell included:

4> include the optional fields that are available.

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

NOTE 2: Field *measResultSCG-Failure* is used to report available results for NR frequencies the UE is configured to measure by SCG RRC signalling.

1> if available, set the *locationInfo* as follows:

2> if available, set the *commonLocationInfo* to include the detailed location information;

2> if available, set the *bt-LocationInfo* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

2> if available, set the *wlan-LocationInfo* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs.

2> if available, set the *sensor-LocationInfo* to include the sensor measurement results.

The UE shall submit the *SCGFailureInformation* message to lower layers for transmission.

*Next Change*

### 6.3.1 System information blocks

#### – *SIB2*

*SIB2* contains cell re-selection information common for intra-frequency, inter-frequency and/or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

*SIB2* information element

-- ASN1START

-- TAG-SIB2-START

SIB2 ::= SEQUENCE {

cellReselectionInfoCommon SEQUENCE {

nrofSS-BlocksToAverage INTEGER (2..maxNrofSS-BlocksToAverage) OPTIONAL, -- Need S

absThreshSS-BlocksConsolidation ThresholdNR OPTIONAL, -- Need S

rangeToBestCell RangeToBestCell OPTIONAL, -- Need R

q-Hyst ENUMERATED {

dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,

dB12, dB14, dB16, dB18, dB20, dB22, dB24},

speedStateReselectionPars SEQUENCE {

mobilityStateParameters MobilityStateParameters,

q-HystSF SEQUENCE {

sf-Medium ENUMERATED {dB-6, dB-4, dB-2, dB0},

sf-High ENUMERATED {dB-6, dB-4, dB-2, dB0}

}

} OPTIONAL, -- Need R

...,

[[

relaxedMeasurement-r16 SEQUENCE {

lowMobilityEvalutation-r16 SEQUENCE {

s-SearchDeltaP-r16 ENUMERATED {

dB3, dB6, dB9, dB12, dB15,

spare3, spare2, spare1} OPTIONAL, -- Need S

t-SearchDeltaP-r16 ENUMERATED {

s5, s10, s20, s30, s60, s120, s180,

s240, s300, spare7, spare6, spare5,

spare4, spare3, spare2, spare1} OPTIONAL -- Need S

} OPTIONAL, -- Cond OptMandatory

cellEdgeEvalutation-r16 SEQUENCE {

s-SearchThresholdP-r16 ReselectionThreshold OPTIONAL, -- Need R

s-SearchThresholdQ-r16 ReselectionThresholdQ OPTIONAL -- Need R

} OPTIONAL, -- Cond OptMandatory

relaxedMeasCondition-r16 ENUMERATED {

lowMobilityOrNotAtCellEdge,

lowMobilityAndNotAtCellEdge} OPTIONAL, -- Cond MultRelaxCriteria

highPriorityMeasRelax-r16 ENUMERATED {true} OPTIONAL -- Need R

} OPTIONAL -- Need R

]]

},

cellReselectionServingFreqInfo SEQUENCE {

s-NonIntraSearchP ReselectionThreshold OPTIONAL, -- Need S

s-NonIntraSearchQ ReselectionThresholdQ OPTIONAL, -- Need S

threshServingLowP ReselectionThreshold,

threshServingLowQ ReselectionThresholdQ OPTIONAL, -- Need R

cellReselectionPriority CellReselectionPriority,

cellReselectionSubPriority CellReselectionSubPriority OPTIONAL, -- Need R

...

},

intraFreqCellReselectionInfo SEQUENCE {

q-RxLevMin Q-RxLevMin,

q-RxLevMinSUL Q-RxLevMin OPTIONAL, -- Need R

q-QualMin Q-QualMin OPTIONAL, -- Need S

s-IntraSearchP ReselectionThreshold,

s-IntraSearchQ ReselectionThresholdQ OPTIONAL, -- Need S

t-ReselectionNR T-Reselection,

frequencyBandList MultiFrequencyBandListNR-SIB OPTIONAL, -- Need S

frequencyBandListSUL MultiFrequencyBandListNR-SIB OPTIONAL, -- Need R

p-Max P-Max OPTIONAL, -- Need S

smtc SSB-MTC OPTIONAL, -- Need S

ss-RSSI-Measurement SS-RSSI-Measurement OPTIONAL, -- Need R

ssb-ToMeasure SSB-ToMeasure OPTIONAL, -- Need S

deriveSSB-IndexFromCell BOOLEAN,

...,

[[

t-ReselectionNR-SF SpeedStateScaleFactors OPTIONAL -- Need N

]],

[[

smtc2-LP-r16 SSB-MTC2-LP-r16 OPTIONAL, -- Need R

ssb-PositionQCL-Common-r16 SSB-PositionQCL-Relationship-r16 OPTIONAL -- Cond SharedSpectrum

]]

}, ...

}

RangeToBestCell ::= Q-OffsetRange

-- TAG-SIB2-STOP

-- ASN1STOP

| *SIB2* field descriptions |
| --- |
| ***absThreshSS-BlocksConsolidation***  Threshold for consolidation of L1 measurements per RS index. If the field is absent, the UE uses the measurement quantity as specified in TS 38.304 [20]. |
| ***cellEdgeEvalutation***  Indicates the criteria for a UE to detect that it is not at cell edge, in order to relax measurement requirements for cell reselection (see TS 38.304 [20], clause 5.2.4.X.2). |
| ***cellReselectionInfoCommon***  Cell re-selection information common for intra-frequency, inter-frequency and/ or inter-RAT cell re-selection. |
| ***cellReselectionServingFreqInfo***  Information common for non-intra-frequency cell re-selection i.e. cell re-selection to inter-frequency and inter-RAT cells. |
| ***deriveSSB-IndexFromCell***  This field indicates whether the UE can utilize serving cell timing to derive the index of SS block transmitted by neighbour cell. If this field is set to *true*, the UE assumes SFN and frame boundary alignment across cells on the serving frequency as specified in TS 38.133 [14]. |
| ***frequencyBandList***  Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE behaviour in case the field is absent is described in subclause 5.2.2.4.3. |
| ***highPriorityMeasRelax***  Indicates whether measurements can be relaxed on high priority frequencies (see TS 38.304 [20], clause 5.2.4.X.0). If the field is absent, the UE shall not relax measurements on high priority frequencies |
| ***intraFreqCellReselectionInfo***  Cell re-selection information common for intra-frequency cells. |
| ***lowMobilityEvalutation***  Indicates the criteria for a UE to detect low mobility, in order to relax measurement requirements for cell reselection (see TS 38.304 [20], clause 5.2.4.X.1). |
| ***nrofSS-BlocksToAverage***  Number of SS blocks to average for cell measurement derivation. If the field is absent the UE uses the measurement quantity as specified in TS 38.304 [20]. |
| ***p-Max***  Value in dBm applicable for the intra-frequency neighbouring NR cells. If absent the UE applies the maximum power according to TS 38.101-1 [15] in case of an FR1 cell or TS 38.101-2 [39] in case of an FR2 cell. In this release of the specification, if *p-Max* is present on a carrier frequency in FR2, the UE shall ignore the field and applies the maximum power according to TS 38.101-2 [39]. |
| ***q-Hyst***  Parameter "*Qhyst*" in TS 38.304 [20], Value in dB. Value *dB1* corresponds to 1 dB, *dB2* corresponds to 2 dB and so on. |
| ***q-HystSF***  Parameter "Speed dependent ScalingFactor for Qhyst" in TS 38.304 [20]. The *sf-Medium* and *sf-High* concern the additional hysteresis to be applied, in Medium and High Mobility state respectively, to Qhyst as defined in TS 38.304 [20]. In dB. Value *dB-6* corresponds to -6dB, *dB-4* corresponds to -4dB and so on. |
| ***q-QualMin***  Parameter "Qqualmin" in TS 38.304 [20], applicable for intra-frequency neighbour cells. If the field is absent, the UE applies the (default) value of negative infinity for Qqualmin. |
| ***q-RxLevMin***  Parameter "Qrxlevmin" in TS 38.304 [20], applicable for intra-frequency neighbour cells. |
| ***q-RxLevMinSUL***  Parameter "Qrxlevmin" in TS 38.304 [20], applicable for intra-frequency neighbour cells. |
| ***rangeToBestCell***  Parameter "rangeToBestCell" in TS 38.304 [20]. The network configures only non-negative (in dB) values. |
| ***relaxedMeasCondition***  When both *lowMobilityEvalutation* and *cellEdgeEvalutation* are present in *SIB2*, this parameter configures the condition for the UE to relax measurements (see TS 38.304 [20], clause 5.2.4.X.0). |
| ***relaxedMeasurement***  Configuration to allow relaxation of RRM measurement requirements for cell reselection (see TS 38.304 [20], clause 5.2.4.X). |
| ***s-IntraSearchP***  Parameter "SIntraSearchP" in TS 38.304 [20]. |
| ***s-IntraSearchQ***  Parameter "SIntraSearchQ2 in TS 38.304 [20]. If the field is absent, the UE applies the (default) value of 0 dB for SIntraSearchQ. |
| ***s-NonIntraSearchP***  Parameter "SnonIntraSearchP" in TS 38.304 [20]. If this field is absent, the UE applies the (default) value of infinity for SnonIntraSearchP. |
| ***s-NonIntraSearchQ***  Parameter "SnonIntraSearchQ" in TS 38.304 [20]. If the field is absent, the UE applies the (default) value of 0 dB for SnonIntraSearchQ. |
| ***s-SearchDeltaP***  Parameter "SSearchDeltaP" in TS 38.304 [20]. Value dB3 corresponds to 3 dB, dB6 corresponds to 6 dB and so on. If the field is absent, the UE applies the (default) value of 6 dB for *s-SearchDeltaP*. |
| ***s-SearchThresholdP***  Parameter "SSearchThresholdP" in TS 38.304 [20]. |
| ***s-SearchThresholdQ***  Parameter "SSearchThresholdQ" in TS 38.304 [20]. |
| ***smtc***  Measurement timing configuration for intra-frequency measurement. If this field is absent, the UE assumes that SSB periodicity is 5 ms for the intra-frequnecy cells. |
| ***smtc2-LP-r16***  Measurement timing configuration for intra-frequency neighbour cells with a Long Periodicity (LP) indicated by periodicity in *smtc2-LP-r16*. The timing offset and duration are equal to the offset and duration indicated in *smtc* in *intraFreqCellReselectionInfo*. The periodicity in *smtc2-LP-r16* can only be set to a value strictly larger than the periodicity in *smtc* in *intraFreqCellReselectionInfo* (e.g. if *smtc* indicates sf20 the Long Periodicity can only be set to sf40, sf80 or sf160, if *smtc* indicates sf160, *smtc2-LP-r16* cannot be configured). The *pci-List*, if present, includes the physical cell identities of the intra-frequency neighbour cells with Long Periodicity. If *smtc2-LP-r16* is absent, the UE assumes that there are no intra-frequency neighbour cells with a Long Periodicity. |
| ***ssb-PositionQCL-Common***  Indicates the QCL relationship between SS/PBCH blocks for intra-frequency neighbor cells as specified in TS 38.213 [13], clause 4.1. The network configures this field only for operation with shared spectrum channel access. |
| ***ssb-ToMeasure***  The set of SS blocks to be measured within the SMTC measurement duration (see TS 38.215 [9]). When the field is absent the UE measures on all SS-blocks. |
| ***t-ReselectionNR***  Parameter "TreselectionNR" in TS 38.304 [20]. |
| ***t-ReselectionNR-SF***  Parameter "Speed dependent ScalingFactor for TreselectionNR" in TS 38.304 [20]. If the field is absent, the UE behaviour is specified in TS 38.304 [20]. |
| ***threshServingLowP***  Parameter "ThreshServing, LowP" in TS 38.304 [20]. |
| ***threshServingLowQ***  Parameter "ThreshServing, LowQ" in TS 38.304 [20]. |
| ***t-SearchDeltaP***  Parameter "TSearchDeltaP" in TS 38.304 [20]. Value in seconds. Value *s5* means 5 seconds, value *s10* means 10 seconds and so on. If the field is absent, the UE applies the (default) value of 60 seconds for *t-SearchDeltaP*. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *MultRelaxCriteria* | The field is mandatory present if *lowMobilityEvalutation* and *cellEdgeEvalutation* are present in *SIB2*; otherwise it is absent. |
| *OptMandatory* | Either *lowMobilityEvalutation* or *cellEdgeEvalutation* field is mandatory present if *relaxedMeasurement* is configured. The field is optionally present, Need R, otherwise. |
| *SharedSpectrum* | This field is mandatory present if this frequency operates with shared spectrum channel access. Otherwise, it is absent, Need R. |

#### – *SIB3*

*SIB3* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters as well as blacklisted cells.

*SIB3* information element

-- ASN1START

-- TAG-SIB3-START

SIB3 ::= SEQUENCE {

intraFreqNeighCellList IntraFreqNeighCellList OPTIONAL, -- Need R

intraFreqBlackCellList IntraFreqBlackCellList OPTIONAL, -- Need R

lateNonCriticalExtension OCTET STRING OPTIONAL,

...,

[[

intraFreqWhiteCellList-r16 IntraFreqWhiteCellList-r16 OPTIONAL -- Need R

]]

}

IntraFreqNeighCellList ::= SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo

IntraFreqNeighCellInfo ::= SEQUENCE {

physCellId PhysCellId,

q-OffsetCell Q-OffsetRange,

q-RxLevMinOffsetCell INTEGER (1..8) OPTIONAL, -- Need R

q-RxLevMinOffsetCellSUL INTEGER (1..8) OPTIONAL, -- Need R

q-QualMinOffsetCell INTEGER (1..8) OPTIONAL, -- Need R

...,

[[

ssb-PositionQCL-r16 SSB-PositionQCL-Relationship-r16 OPTIONAL -- Need R

]]

}

IntraFreqBlackCellList ::= SEQUENCE (SIZE (1..maxCellBlack)) OF PCI-Range

IntraFreqWhiteCellList-r16 ::= SEQUENCE (SIZE (1..maxCellWhite)) OF PCI-Range

-- TAG-SIB3-STOP

-- ASN1STOP

| *SIB3* field descriptions |
| --- |
| ***intraFreqBlackCellList***  List of blacklisted intra-frequency neighbouring cells. |
| ***intraFreqNeighCellList***  List of intra-frequency neighbouring cells with specific cell re-selection parameters. |
| ***intraFreqWhiteCellList***  List of whitelisted intra-frequency neighbouring cells, see TS 38.304 [20], clause 5.2.4. The network configures this field only for operation with shared spectrum channel access. |
| ***q-OffsetCell***  Parameter "Qoffsets,n" in TS 38.304 [20]. |
| ***q-QualMinOffsetCell***  Parameter "Qqualminoffsetcell" in TS 38.304 [20]. Actual value Qqualminoffsetcell = field value [dB]. |
| ***q-RxLevMinOffsetCell***  Parameter "Qrxlevminoffsetcell" in TS 38.304 [20]. Actual value Qrxlevminoffsetcell = field value \* 2 [dB]. |
| ***q-RxLevMinOffsetCellSUL***  Parameter "QrxlevminoffsetcellSUL" in TS 38.304 [20]. Actual value QrxlevminoffsetcellSUL = field value \* 2 [dB]. |
| ***ssb-PositionQCL***  Indicates the QCL relationship between SS/PBCH blocks for a specific intra-frequency neighbor cell as specified in TS 38.213 [13], clause 4.1. If provided, the cell specific value overwrites the value signalled by *ssb-PositionQCL-Common* in *SIB2* for the indicated cell. The network configures this field only for operation with shared spectrum channel access. |

#### – *SIB4*

*SIB4* contains information relevant only for inter-frequency cell re-selection i.e. information about other NR frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

*SIB4* information element

-- ASN1START

-- TAG-SIB4-START

SIB4 ::= SEQUENCE {

interFreqCarrierFreqList InterFreqCarrierFreqList,

lateNonCriticalExtension OCTET STRING OPTIONAL,

...

}

InterFreqCarrierFreqList ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo

InterFreqCarrierFreqInfo ::= SEQUENCE {

dl-CarrierFreq ARFCN-ValueNR,

frequencyBandList MultiFrequencyBandListNR-SIB OPTIONAL, -- Cond Mandatory

frequencyBandListSUL MultiFrequencyBandListNR-SIB OPTIONAL, -- Need R

nrofSS-BlocksToAverage INTEGER (2..maxNrofSS-BlocksToAverage) OPTIONAL, -- Need S

absThreshSS-BlocksConsolidation ThresholdNR OPTIONAL, -- Need S

smtc SSB-MTC OPTIONAL, -- Need S

ssbSubcarrierSpacing SubcarrierSpacing,

ssb-ToMeasure SSB-ToMeasure OPTIONAL, -- Need S

deriveSSB-IndexFromCell BOOLEAN,

ss-RSSI-Measurement SS-RSSI-Measurement OPTIONAL,

q-RxLevMin Q-RxLevMin,

q-RxLevMinSUL Q-RxLevMin OPTIONAL, -- Need R

q-QualMin Q-QualMin OPTIONAL, -- Need S

p-Max P-Max OPTIONAL, -- Need S

t-ReselectionNR T-Reselection,

t-ReselectionNR-SF SpeedStateScaleFactors OPTIONAL, -- Need S

threshX-HighP ReselectionThreshold,

threshX-LowP ReselectionThreshold,

threshX-Q SEQUENCE {

threshX-HighQ ReselectionThresholdQ,

threshX-LowQ ReselectionThresholdQ

} OPTIONAL, -- Cond RSRQ

cellReselectionPriority CellReselectionPriority OPTIONAL, -- Need R

cellReselectionSubPriority CellReselectionSubPriority OPTIONAL, -- Need R

q-OffsetFreq Q-OffsetRange DEFAULT dB0,

interFreqNeighCellList InterFreqNeighCellList OPTIONAL, -- Need R

interFreqBlackCellList InterFreqBlackCellList OPTIONAL, -- Need R

...,

[[

smtc2-LP-r16 SSB-MTC2-LP-r16 OPTIONAL, -- Need R

interFreqWhiteCellList-r16 InterFreqWhiteCellList-r16 OPTIONAL, -- Need R

ssb-PositionQCL-Common-r16 SSB-PositionQCL-Relationship-r16 OPTIONAL -- Cond SharedSpectrum

]]

}

InterFreqNeighCellList ::= SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo

InterFreqNeighCellInfo ::= SEQUENCE {

physCellId PhysCellId,

q-OffsetCell Q-OffsetRange,

q-RxLevMinOffsetCell INTEGER (1..8) OPTIONAL, -- Need R

q-RxLevMinOffsetCellSUL INTEGER (1..8) OPTIONAL, -- Need R

q-QualMinOffsetCell INTEGER (1..8) OPTIONAL, -- Need R

...,

[[

ssb-PositionQCL-r16 SSB-PositionQCL-Relationship-r16 OPTIONAL -- Need R

]]

}

InterFreqBlackCellList ::= SEQUENCE (SIZE (1..maxCellBlack)) OF PCI-Range

InterFreqWhiteCellList-r16 ::= SEQUENCE (SIZE (1..maxCellWhite)) OF PCI-Range

-- TAG-SIB4-STOP

-- ASN1STOP

| *SIB4* field descriptions |
| --- |
| ***absThreshSS-BlocksConsolidation***  Threshold for consolidation of L1 measurements per RS index. If the field is absent, the UE uses the measurement quantity as specified in TS 38.304 [20]. |
| ***deriveSSB-IndexFromCell***  This field indicates whether the UE may use the timing of any detected cell on that frequency to derive the SSB index of all neighbour cells on that frequency. If this field is set to *true*, the UE assumes SFN and frame boundary alignment across cells on the neighbor frequency as specified in TS 38.133 [14]. |
| ***dl-CarrierFreq***  This field indicates center frequency of the SS block of the neighbour cells, where the frequency corresponds to a GSCN value as specified in TS 38.101-1 [15]. |
| ***frequencyBandList***  Indicates the list of frequency bands for which the NR cell reselection parameters apply. |
| ***interFreqBlackCellList***  List of blacklisted inter-frequency neighbouring cells. |
| ***interFreqCarrierFreqList***  List of neighbouring carrier frequencies and frequency specific cell re-selection information. |
| ***interFreqNeighCellList***  List of inter-frequency neighbouring cells with specific cell re-selection parameters. |
| ***interFreqWhiteCellList***  List of whitelisted inter-frequency neighbouring cells, see TS 38.304 [20], clause 5.2.4. The network configures this field only for operation with shared spectrum channel access. |
| ***nrofSS-BlocksToAverage***  Number of SS blocks to average for cell measurement derivation. If the field is absent, the UE uses the measurement quantity as specified in TS 38.304 [20]. |
| ***p-Max***  Value in dBm applicable for the neighbouring NR cells on this carrier frequency. If absent the UE applies the maximum power according to TS 38.101-1 [15] in case of an FR1 cell or TS 38.101-2 [39] in case of an FR2 cell. In this release of the specification, if *p-Max* is present on a carrier frequency in FR2, the UE shall ignore the field and applies the maximum power according to TS 38.101-2 [39]. |
| ***q-OffsetCell***  Parameter "Qoffsets,n" in TS 38.304 [20]. |
| ***q-OffsetFreq***  Parameter "Qoffsetfrequency" in TS 38.304 [20]. |
| ***q-QualMin***  Parameter "Qqualmin" in TS 38.304 [20]. If the field is absent, the UE applies the (default) value of negative infinity for Qqualmin. |
| ***q-QualMinOffsetCell***  Parameter "Qqualminoffsetcell" in TS 38.304 [20]. Actual value Qqualminoffsetcell = field value [dB]. |
| ***q-RxLevMin***  Parameter "Qrxlevmin" in TS 38.304 [20]. |
| ***q-RxLevMinOffsetCell***  Parameter "Qrxlevminoffsetcell" in TS 38.304 [20]. Actual value Qrxlevminoffsetcell = field value \* 2 [dB]. |
| ***q-RxLevMinOffsetCellSUL***  Parameter "QrxlevminoffsetcellSUL" in TS 38.304 [20]. Actual value QrxlevminoffsetcellSUL = field value \* 2 [dB]. |
| ***q-RxLevMinSUL***  Parameter "Qrxlevmin" in TS 38.304 [20]. |
| ***smtc***  Measurement timing configuration for inter-frequency measurement. If this field is absent, the UE assumes that SSB periodicity is 5 ms in this frequency. |
| ***smtc2-LP-r16***  Measurement timing configuration for inter-frequency neighbour cells with a Long Periodicity (LP) indicated by periodicity in *smtc2-LP-r16*. The timing offset and duration are equal to the offset and duration indicated in *smtc* in *InterFreqCarrierFreqInfo*. The periodicity in *smtc2-LP-r16* can only be set to a value strictly larger than the periodicity in *smtc* in *InterFreqCarrierFreqInfo* (e.g. if *smtc* indicates sf20 the Long Periodicity can only be set to sf40, sf80 or sf160, if *smtc* indicates sf160, *smtc2-LP-r16* cannot be configured). The *pci-List*, if present, includes the physical cell identities of the inter-frequency neighbour cells with Long Periodicity. If *smtc2-LP-r16* is absent, the UE assumes that there are no inter-frequency neighbour cells with a Long Periodicity. |
| ***ssb-PositionQCL***  Indicates the QCL relationship between SS/PBCH blocks for a specific neighbor cell as specified in TS 38.213 [13], clause 4.1. If provided, the cell specific value overwrites the common value signalled by *ssb-PositionQCL-Common* in *SIB4* for the indicated cell. The network configures this field only for operation with shared spectrum channel access. |
| ***ssb-PositionQCL-Common***  Indicates the QCL relationship between SS/PBCH blocks for inter-frequency neighbor cells as specified in TS 38.213 [13], clause 4.1. The network configures this field only for operation with shared spectrum channel access. |
| ***ssb-ToMeasure***  The set of SS blocks to be measured within the SMTC measurement duration (see TS 38.215 [9]). When the field is absent the UE measures on all SS-blocks. |
| ***ssbSubcarrierSpacing***  Subcarrier spacing of SSB. Only the values 15 kHz or 30 kHz (FR1), and 120 kHz or 240 kHz (FR2) are applicable. |
| ***threshX-HighP***  Parameter "ThreshX, HighP" in TS 38.304 [20]. |
| ***threshX-HighQ***  Parameter "ThreshX, HighQ" in TS 38.304 [20]. |
| ***threshX-LowP***  Parameter "ThreshX, LowP" in TS 38.304 [20]. |
| ***threshX-LowQ***  Parameter "ThreshX, LowQ" in TS 38.304 [20]. |
| ***t-ReselectionNR***  Parameter "TreselectionNR" in TS 38.304 [20]. |
| ***t-ReselectionNR-SF***  Parameter "Speed dependent ScalingFactor for TreselectionNR" in TS 38.304 [20]. If the field is absent, the UE behaviour is specified in TS 38.304 [20]. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *Mandatory* | The field is mandatory present in SIB4. |
| *RSRQ* | The field is mandatory present if *threshServingLowQ* is present in *SIB2*; otherwise it is absent. |
| *SharedSpectrum* | This field is mandatory present if this frequency operates with shared spectrum channel access. Otherwise, it is absent, Need R. |

*Next Change*

### 6.3.2 Radio resource control information elements

>>Skipped unchanged parts



>>Skipped unchanged parts

#### – *BWP-UplinkCommon*

The IE *BWP-UplinkCommon* is used to configure the common parameters of an uplink BWP. They are "cell specific" and the network ensures the necessary alignment with corresponding parameters of other UEs. The common parameters of the initial bandwidth part of the PCell are also provided via system information. For all other serving cells, the network provides the common parameters via dedicated signalling.

*BWP-UplinkCommon* information element

-- ASN1START

-- TAG-BWP-UPLINKCOMMON-START

BWP-UplinkCommon ::= SEQUENCE {

genericParameters BWP,

rach-ConfigCommon SetupRelease { RACH-ConfigCommon } OPTIONAL, -- Need M

pusch-ConfigCommon SetupRelease { PUSCH-ConfigCommon } OPTIONAL, -- Need M

pucch-ConfigCommon SetupRelease { PUCCH-ConfigCommon } OPTIONAL, -- Need M

...,

[[

rach-ConfigCommonIAB-r16 SetupRelease { RACH-ConfigCommonIAB-r16 } OPTIONAL, -- Need M

useInterlacePUCCH-PUSCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need M

rach-ConfigCommonTwoStepRA-r16 SetupRelease { RACH-ConfigCommonTwoStepRA-r16 } OPTIONAL, -- Need M

msgA-PUSCH-Config-r16 SetupRelease { MsgA-PUSCH-Config-r16 } OPTIONAL -- Need M

]]

}

-- TAG-BWP-UPLINKCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| *BWP-UplinkCommon* field descriptions |
| ***msgA-PUSCH-Config***  Configuration of cell-specific MsgA PUSCH parameters which the UE uses for contention-based MsgA PUSCH transmission of this BWP. |
| ***pucch-ConfigCommon***  Cell specific parameters for the PUCCH of this BWP. |
| ***pusch-ConfigCommon***  Cell specific parameters for the PUSCH of this BWP. |
| ***rach-ConfigCommon***  Configuration of cell specific random access parameters which the UE uses for contention based and contention free random access as well as for contention based beam failure recovery in this BWP. The NW configures SSB-based RA (and hence *RACH-ConfigCommon*) only for UL BWPs if the linked DL BWPs (same *bwp-Id* as UL-BWP) are the initial DL BWPs or DL BWPs containing the SSB associated to the initial DL BWP. The network configures *rach-ConfigCommon*, whenever it configures contention free random access (for reconfiguration with sync or for beam failure recovery). |
| ***rach-ConfigCommonIAB***  Configuration of cell specific random access parameters for the IAB-MT. |
| ***rach-ConfigCommonTwoStepRA***  Configuration of cell specific random access parameters which the UE uses for contention based and contention free 2-step random access type procedure as well as for 2-step RA type contention based beam failure recovery in this BWP. The NW configures SSB-based RA (and hence *RACH-ConfigCommonTwoStepRA*) only for UL BWPs if the linked DL BWPs (same bwp-Id as UL-BWP) are the initial DL BWPs or DL BWPs containing the SSB associated to the initial BL BWP. The network configures *rach-ConfigCommonTwoStepRA* whenever it configures CFRA with 2-step type (for reconfiguration with sync). |
| ***useInterlacePUCCH-PUSCH***  If the field is present, the UE uses uplink frequency domain resource allocation Type 2 for cell-specific PUSCH, e.g., PUSCH scheduled by RAR UL grant (see 38.213 clause 8.3 and 38.214 clause 6.1.2.2) and uses interlaced PUCCH Format 0 and 1 for cell-specific PUCCH (see TS 38.213 [13], clause 9.2.1). |

#### – *BWP-UplinkDedicated*

The IE *BWP-UplinkDedicated* is used to configure the dedicated (UE specific) parameters of an uplink BWP.

*BWP-UplinkDedicated* information element

-- ASN1START

-- TAG-BWP-UPLINKDEDICATED-START

BWP-UplinkDedicated ::= SEQUENCE {

pucch-Config SetupRelease { PUCCH-Config } OPTIONAL, -- Need M

pusch-Config SetupRelease { PUSCH-Config } OPTIONAL, -- Need M

configuredGrantConfig SetupRelease { ConfiguredGrantConfig } OPTIONAL, -- Need M

srs-Config SetupRelease { SRS-Config } OPTIONAL, -- Need M

beamFailureRecoveryConfig SetupRelease { BeamFailureRecoveryConfig } OPTIONAL, -- Cond SpCellOnly

...,

[[

cp-ExtensionC2-r16 INTEGER (1..28) OPTIONAL, -- Need R

cp-ExtensionC3-r16 INTEGER (1..28) OPTIONAL, -- Need R

useInterlacePUCCH-PUSCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need M

pucch-ConfigurationList-r16 SetupRelease { PUCCH-ConfigurationList-r16 } OPTIONAL, -- Need M

configuredGrantConfigList-r16 SetupRelease { ConfiguredGrantConfigList-r16 } OPTIONAL -- Need M

]]

}

-- TAG-BWP-UPLINKDEDICATED-STOP

-- ASN1STOP

|  |
| --- |
| *BWP-UplinkDedicated* field descriptions |
| ***beamFailureRecoveryConfig***  Configuration of beam failure recovery. If *supplementaryUplink* is present, the field is present only in one of the uplink carriers, either UL or SUL. |
| ***configuredGrantConfig***  A *Configured-Grant* of *type1* or *type2*. It may be configured for UL or SUL but in case of *type1* not for both at a time. Except for reconfiguration with sync, the NW does not reconfigure *configuredGrantConfig* when there is an active configured uplink grant Type 2 (see TS 38.321 [3]). However, the NW may release the *configuredGrantConfig* at any time. |
| ***configuredGrantConfigList***  A list of multiple configured grant configurations for one BWP. Except for reconfiguration with sync, the NW does not reconfigure a Type 2 configured grant configuration when it is active (see TS 38.321 [3]). However, the NW may release a configured grant configuration at any time. |
| ***cp-ExtensionC2, cp-ExtensionC3***  Configures the cyclic prefix (CP) extension (see TS 38.211 [16], clause 5.3.1). For 15 and 30 kHz SCS, {1..28} are valid for both *cp-ExtensionC2* and *cp-ExtensionC3*. For 30 kHz SCS, {1..28} are valid for *cp-ExtensionC2* and {2..28} are valid for *cp-ExtensionC3.* For 60 kHz SCS, {2..28} are valid for *cp-ExtensionC2* and {3..28} are valid for *cp-ExtensionC3*. |
| ***pucch-Config***  PUCCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL, the network configures PUCCH only on the BWPs of one of the uplinks (normal UL or SUL). The network configures *PUCCH-Config* at least on non-initial BWP(s) for SpCell and PUCCH SCell. If supported by the UE, the network may configure at most one additional SCell of a cell group with *PUCCH-Config* (i.e. PUCCH SCell).  In EN-DC, The NW configures at most one serving cell per frequency range with PUCCH. And in EN-DC, if two PUCCH groups are configured, the serving cells of the NR PUCCH group in FR2 use the same numerology.  The NW may configure PUCCH for a BWP when setting up the BWP. The network may also add/remove the *pucch-Config* in an *RRCReconfiguration* with *reconfigurationWithSync* (for SpCell or PUCCH SCell) or with SCell release and add (for PUCCH SCell) to move the PUCCH between the UL and SUL carrier of one serving cell. In other cases, only modifications of a previously configured *pucch-Config* are allowed.  If one (S)UL BWP of a serving cell is configured with PUCCH, all other (S)UL BWPs must be configured with PUCCH, too. |
| ***pucch-ConfigurationList***  PUCCH configurations for two simultaneously constructed HARQ-ACK codebooks (see TS 38.213 [13], clause 9.1).  Editor's note: From RAN1 Rapporteur Note: We don't have agreement on whether to do separate configuration for schedulingRequestResourceToAddModList and multi-CSI-PUCCH-ResourceList yet. However, we agreed to do separate configuration for all the remaining RRC parameter. From RRC parameter implementation perspective, it seems easier to introduce separate PUCCH-Confi for different HARQ-ACK codebooks. If there is no need to do separate configuration for schedulingRequestResourceToAddModList and multi-CSI-PUCCH-ResourceList, the corrsponding configuration can not include these two optional parameters and then in RAN1 spec can indicate that SR PUCCH resource and multi-CSI PUCCH resource can just follow the configuration in one of the PUCCH configurations.  Editor's note: It is not clear about how to use the *pucch-ConfigurationList* for PUCCH resources for SR and CSI in RAN2 understandings, for example, whether to use a PUCCH Config ID to indicate the corresponding *pucch-Config* in the *pucch-ConfigurationList* for a PUCCH resource. More RAN1 inputs are needed. |
| ***pusch-Config***  PUSCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL and if it has a *PUSCH-Config* for both UL and SUL, an UL/SUL indicator field in DCI indicates which of the two to use. See TS 38.212 [17], clause 7.3.1. |
| ***srs-Config***  Uplink sounding reference signal configuration. |
| ***useInterlacePUCCH-PUSCH***  If the field is present, the UE uses uplink frequency domain resource allocation Type 2 for PUSCH (see 38.213 clause 8.3 and 38.214 clause 6.1.2.2) and uses interlaced PUCCH Format 0, 1, 2, and 3 for PUCCH (see TS 38.213 [13], clause 9.2.1). |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *SpCellOnly* | The field is optionally present, Need M, in the *BWP-UplinkDedicated* of an SpCell. It is absent otherwise. |

>>Skipped unchanged parts

#### – *ConfiguredGrantConfig*

The IE *ConfiguredGrantConfig* is used to configure uplink transmission without dynamic grant according to two possible schemes. The actual uplink grant may either be configured via RRC (*type1*) or provided via the PDCCH (addressed to CS-RNTI) (*type2*). Multiple Configured Grant configurations may be configured in one BWP of a serving cell.

*ConfiguredGrantConfig* information element

-- ASN1START

-- TAG-CONFIGUREDGRANTCONFIG-START

ConfiguredGrantConfig ::= SEQUENCE {

frequencyHopping ENUMERATED {intraSlot, interSlot} OPTIONAL, -- Need S

cg-DMRS-Configuration DMRS-UplinkConfig,

mcs-Table ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

mcs-TableTransformPrecoder ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

uci-OnPUSCH SetupRelease { CG-UCI-OnPUSCH } OPTIONAL, -- Need M

resourceAllocation ENUMERATED { resourceAllocationType0, resourceAllocationType1, dynamicSwitch },

rbg-Size ENUMERATED {config2} OPTIONAL, -- Need S

powerControlLoopToUse ENUMERATED {n0, n1},

p0-PUSCH-Alpha P0-PUSCH-AlphaSetId,

transformPrecoder ENUMERATED {enabled, disabled} OPTIONAL, -- Need S

nrofHARQ-Processes INTEGER(1..16),

repK ENUMERATED {n1, n2, n4, n8},

repK-RV ENUMERATED {s1-0231, s2-0303, s3-0000} OPTIONAL, -- Need R

periodicity ENUMERATED {

sym2, sym7, sym1x14, sym2x14, sym4x14, sym5x14, sym8x14, sym10x14, sym16x14, sym20x14,

sym32x14, sym40x14, sym64x14, sym80x14, sym128x14, sym160x14, sym256x14, sym320x14, sym512x14,

sym640x14, sym1024x14, sym1280x14, sym2560x14, sym5120x14,

sym6, sym1x12, sym2x12, sym4x12, sym5x12, sym8x12, sym10x12, sym16x12, sym20x12, sym32x12,

sym40x12, sym64x12, sym80x12, sym128x12, sym160x12, sym256x12, sym320x12, sym512x12, sym640x12,

sym1280x12, sym2560x12

},

configuredGrantTimer INTEGER (1..64) OPTIONAL, -- Need R

rrc-ConfiguredUplinkGrant SEQUENCE {

timeDomainOffset INTEGER (0..5119),

timeDomainAllocation INTEGER (0..15),

frequencyDomainAllocation BIT STRING (SIZE(18)),

antennaPort INTEGER (0..31),

dmrs-SeqInitialization INTEGER (0..1) OPTIONAL, -- Need R

precodingAndNumberOfLayers INTEGER (0..63),

srs-ResourceIndicator INTEGER (0..15) OPTIONAL, -- Need R

mcsAndTBS INTEGER (0..31),

frequencyHoppingOffset INTEGER (1.. maxNrofPhysicalResourceBlocks-1) OPTIONAL, -- Need R

pathlossReferenceIndex INTEGER (0..maxNrofPUSCH-PathlossReferenceRSs-1),

...,

[[

pusch-RepTypeIndicator-r16 ENUMERATED {pusch-RepTypeA,pusch-RepTypeB} OPTIONAL, -- Need M

frequencyHoppingPUSCH-RepTypeB-r16 ENUMERATED {interRepetition, interSlot} OPTIONAL, -- Cond RepTypeB

timeReferenceSFN-r16 ENUMERATED {sfn512} OPTIONAL -- Need R

]]

} OPTIONAL, -- Need R

...,

[[

cg-RetransmissionTimer-r16 INTEGER (1..64) OPTIONAL, -- Need R

cg-minDFI-Delay-r16 ENUMERATED

{sym7, sym1x14, sym2x14, sym3x14, sym4x14, sym5x14, sym6x14, sym7x14, sym8x14,

sym9x14, sym10x14, sym11x14, sym12x14, sym13x14, sym14x14,sym15x14, sym16x14

} OPTIONAL, -- Need R

cg-nrofPUSCH-InSlot-r16 INTEGER (1..ffsValue) OPTIONAL, -- Need R

cg-nrofSlots-r16 INTEGER (1..40) OPTIONAL, -- Need R

cg-StartingFullBW-InsideCOT-r16 SEQUENCE (SIZE (1..ffsValue)) OF INTEGER (0..6) OPTIONAL, -- Need R

cg-StartingFullBW-OutsideCOT-r16 SEQUENCE (SIZE (1..ffsValue)) OF INTEGER (0..6) OPTIONAL, -- Need R

cg-StartingPartialBW-InsideCOT-r16 INTEGER (0..6) OPTIONAL, -- Need R

cg-StartingPartialBW-OutsideCOT-r16 INTEGER (0..6) OPTIONAL, -- Need R

cg-UCI-Multiplexing ENUMERATED {enabled} OPTIONAL, -- Need R

cg-COT-SharingOffset-r16 INTEGER (1..ffsValue) OPTIONAL, -- Need R

betaOffsetCG-UCI-r16 INTEGER (0..31) OPTIONAL, -- Need R

cg-COT-SharingList-r16 SEQUENCE (SIZE (1..ffsValue)) OF CG-COT-Sharing-r16 OPTIONAL, -- Need R

harq-ProcID-Offset-r16 INTEGER (0..15) OPTIONAL, -- Need M

harq-ProcID-Offset2-r16 INTEGER (0..15) OPTIONAL, -- Need M

configuredGrantConfigIndex-r16 ConfiguredGrantConfigIndex-r16 OPTIONAL, -- Need M

configuredGrantConfigIndexMAC-r16 ConfiguredGrantConfigIndexMAC-r16 OPTIONAL, -- Need M

periodicityExt-r16 INTEGER (1..5120) OPTIONAL, -- Need M

startingFromRV0-r16 ENUMERATED {on, off} OPTIONAL, -- Need M

phy-PriorityIndex-r16 ENUMERATED {p0, p1} OPTIONAL, -- Need M

autonomousReTx-r16 ENUMERATED {enabled} OPTIONAL -- Cond LCH-BasedPrioritization

]]

}

CG-UCI-OnPUSCH ::= CHOICE {

dynamic SEQUENCE (SIZE (1..4)) OF BetaOffsets,

semiStatic BetaOffsets

}

CG-COT-Sharing-r16 ::= SEQUENCE {

duration-r16 INTEGER (1..ffsValue),

offset-r16 INTEGER (1..ffsValue),

channelAccessPriority-r16 INTEGER (1..4)

}

-- TAG-CONFIGUREDGRANTCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *ConfiguredGrantConfig* field descriptions |
| ***antennaPort***  Indicates the antenna port(s) to be used for this configuration, and the maximum bitwidth is 5. See TS 38.214 [19], clause 6.1.2, and TS 38.212 [17], clause 7.3.1. |
| ***autonomousReTx***  If this field is present, the Configured Grant configuration is configured with autonomous retransmission, see TS 38.321 [3].  Editor's Note: The name *autonomousReTx* needs to be confirmed. |
| ***betaOffsetCG-UCI***  Beta offset for CG-UCI in CG-PUSCH, see TS 38.213 [13], clause 9.3 |
| ***cg-COT-SharingList***  Indicates a table for COT sharing combinations (see 37.213 [48], clause 4.1.3). The following minimum delay values are supported depending on the configured subcarrier spacing [symbols]:  15 kHz: 7, m\*14, where m = {1, 2, 3, 4}  30 kHz: 7, m\*14, where m = {1, 2, 3, 4, 5, 6, 7, 8}  60 kHz: 7, m\*14, where m = {1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16} |
| ***cg-COT-SharingOffset***  Indicates the number of symbols from the end of the slot where the COT sharing indication in UCI is enabled. Applicable when *ULtoDL-COT-SharingED-Threshold-r16* is not configured (see 37.213 [48], clause 4.1.3). |
| ***cg-DMRS-Configuration***  DMRS configuration (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-minDFI-Delay***  Indicates the minimum duration (in unit of symbols) from the ending symbol of the CG-PUSCH to the starting symbol of the DFI carrying HARQ-ACK for that PUSCH. UE assumes HARQ-ACK is valid only for PUSCH transmissions ending before n-cg-DFIDelay-r16, where n is the time corresponding to the beginning of the start symbol of the DFI (see TS 38.213 [13], clause 10.3). |
| ***cg-nrofPUSCH-InSlot***  Indicates the number of consecutive PUSCH configured to CG within a slot where the SLIV indicating the first PUSCH and additional PUSCH appended with the same length (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-nrofSlots***  Indicates the number of allocated slots in a configured grant periodicity following the time instance of configured grant offset (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-RetransmissionTimer***  Indicates the initial value of the configured retransmission timer (see TS 38.321 [3]) in multiples of *periodicity*. The value of *cg-RetransmissionTimer* is always less than the value of *configuredGrantTimer.* This field is always configured for operation with shared spectrum channel access together with *harq-ProcID-Offset*.This field is not configured for operation in licensed spectrum or simultaneously with *harq-ProcID-Offset2.* |
| ***cg-StartingFullBW-InsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation includes all interlaces in the allocated RB set(s) and the CG PUSCH resource is inside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingFullBW-OutsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation includes all interlaces in the allocated RB set(s) and the CG PUSCH resource is outside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingPartialBW-InsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation does not include all interlaces in the allocated RB set(s) and the CG PUSCH resource is inside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingPartialBW-OutsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation does not include all interlaces in the allocated RB set(s) and the CG PUSCH resource is outside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-UCI-Multiplexing***  When configured, in the case of PUCCH overlapping with CG-PUSCH(s) within a PUCCH group, the CG-UCI and HARQ-ACK are jointly encoded (CG-UCI is treated as the same type as a HARQ-ACK). When not configured, In the case of PUCCH overlapping with CG-PUSCH(s) within a PUCCH group and PUCCH carries HARQ ACK feedback, configured grant PUSCH is skipped (see TS 38.214 [19], clause 6.3.2.1.4). |
| ***configuredGrantConfigIndex***  Indicates the index of the Configured Grant configurations within the BWP. |
| ***configuredGrantConfigIndexMAC***  Indicates the index of the Configured Grant configurations within the MAC entity. |
| ***configuredGrantTimer***  Indicates the initial value of the configured grant timer (see TS 38.321 [3]) in multiples of periodicity. When *cg-RetransmissonTimer* is configured, if HARQ processes are shared among different configured grants on the same BWP, *configuredGrantTimer* is set to the same value for all of configurations on this BWP. |
| ***dmrs-SeqInitialization***  The network configures this field if *transformPrecoder* is disabled. Otherwise the field is absent. |
| ***frequencyDomainAllocation***  Indicates the frequency domain resource allocation, see TS 38.214 [19], clause 6.1.2, and TS 38.212 [17], clause 7.3.1). |
| ***frequencyHopping***  The value *intraSlot* enables 'Intra-slot frequency hopping' and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, frequency hopping is not configured. The field *frequencyHopping* refers to configured grant for 'pusch-RepTypeA' (see TS 38.214 [19], clause 6.3.1). |
| ***frequencyHoppingOffset***  Frequency hopping offset used when frequency hopping is enabled (see TS 38.214 [19], clause 6.1.2 and clause 6.3). |
| ***frequencyHoppingPUSCH-RepTypeB***  Indicates the frequency hopping scheme for Type 1 CG when *pusch-RepTypeIndicator* is set to 'pusch-RepTypeB' (see TS 38.214 [19], clause 6.1). The value *interRepetition* enables 'Inter-repetition frequency hopping', and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, the frequency hopping is not enabled for Type 1 CG.  Editor's note: FFS on intraRepetition for frequency hopping for PUSCH repetition type B.  Editor's note: FFS on CG Type 2 for frequency hopping indication. |
| ***harq-ProcID-Offset***  For operation with shared spectrum channel access, this configures the range of HARQ process IDs which can be used for this configured grant where the UE can select a HARQ process ID within [*harq-procID-offset, ..,* (*harq-procID-offset + nrofHARQ-Processes* – 1)]. |
| ***harq-ProcID-Offset2***  Indicates the offset used in deriving the HARQ process IDs, see TS 38.321 [3], clause 5.4.1. This field is not configured for operation with shared spectrum channel access. |
| ***mcs-Table***  Indicates the MCS table the UE shall use for PUSCH without transform precoding. If the field is absent the UE applies the value *qam64*. |
| ***mcs-TableTransformPrecoder***  Indicates the MCS table the UE shall use for PUSCH with transform precoding. If the field is absent the UE applies the value *qam64*. |
| ***mcsAndTBS***  The modulation order, target code rate and TB size (see TS 38.214 [19], clause 6.1.2). The NW does not configure the values 28~31 in this version of the specification. |
| ***nrofHARQ-Processes***  The number of HARQ processes configured. It applies for both Type 1 and Type 2. See TS 38.321 [3], clause 5.4.1. |
| ***p0-PUSCH-Alpha***  Index of the *P0-PUSCH-AlphaSet* to be used for this configuration. |
| ***periodicity***  Periodicity for UL transmission without UL grant for type 1 and type 2 (see TS 38.321 [3], clause 5.8.2).  The following periodicities are supported depending on the configured subcarrier spacing [symbols]:  15 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 320, 640}  30 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 640, 1280}  60 kHz with normal CP 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1280, 2560}  60 kHz with ECP: 2, 6, n\*12, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1280, 2560}  120 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1024, 1280, 2560, 5120} |
| ***periodicityExt***  This field is used to calculate the periodicity for UL transmission without UL grant for type 1 and type 2 (see TS 38.321 [3], clause 5,8.2). If this field is present, the field *periodicity* is ignored.  The following periodicites are supported depending on the configured subcarrier spacing [symbols]:  15 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 640.  30 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 1280.  60 kHz with normal CP: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 2560.  60 kHz with ECP: *periodicityExt*\*12, where *periodicityExt* has a value between 1 and 2560.  120 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 5120. |
| ***phy-PriorityIndex***  Indicates the PHY priority of CG PUSCH at least for PHY-layer collision handling. Value *p0* indicates low priority and value *p1* indicates high priority. |
| ***powerControlLoopToUse***  Closed control loop to apply (see TS 38.213 [13], clause 7.1.1). |
| ***pusch-RepTypeIndicator***  Indicates whether UE follows the behavior for PUSCH repetition type A or the behavior for PUSCH repetition type B for each Type 1 configured grant configuration. The value *pusch-RepTypeA* enables the 'PUSCH repetition type A' and the value *pusch-RepTypeB* enables the 'PUSCH repetition type B' (see TS 38.214 [19], clause 6.1.2.3). |
| ***rbg-Size***  Selection between configuration 1 and configuration 2 for RBG size for PUSCH. The UE does not apply this field if *resourceAllocation* is set to *resourceAllocationType1*. Otherwise, the UE applies the value *config1* when the field is absent. Note: *rbg-Size* is used when the *transformPrecoder* parameter is disabled. |
| ***repK-RV***  The redundancy version (RV) sequence to use. See TS 38.214 [19], clause 6.1.2. The network configures this field if repetitions are used, i.e., if *repK* is set to *n2*, *n4* or *n8*. Otherwise, the field is absent. |
| ***repK***  The number of repetitions of K. |
| ***resourceAllocation***  Configuration of resource allocation type 0 and resource allocation type 1. For Type 1 UL data transmission without grant, *resourceAllocation* should be *resourceAllocationType0* or *resourceAllocationType1*. |
| ***rrc-ConfiguredUplinkGrant***  Configuration for "configured grant" transmission with fully RRC-configured UL grant (Type1). If this field is absent the UE uses UL grant configured by DCI addressed to CS-RNTI (Type2). Type 1 configured grant may be configured for UL or SUL, but not for both simultaneously. |
| ***srs-ResourceIndicator***  Indicates the SRS resource to be used. |
| ***startingFromRV0***  This field is used to determine the initial transmission occasion of a transport block for a given RV sequence, see TS 38.214 [19], clause 6.1.2.3.1. |
| ***timeDomainAllocation***  Indicates a combination of start symbol and length and PUSCH mapping type, see TS 38.214 [19], clause 6.1.2 and TS 38.212 [17], clause 7.3.1. |
| ***timeDomainOffset***  Offset related to the reference SFN indicated by *timeReferenceSFN*, see TS 38.321 [3], clause 5.8.2. If the field *timeReferenceSFN* is not present, the reference SFN is 0. |
| ***timeReferenceSFN***  Indicates SFN used for determination of the offset of a resource in time domain. The UE uses the closest SFN with the indicated number preceding the reception of the configured grant configuration, see TS 38.321 [3], clause 5.8.2. |
| ***transformPrecoder***  Enables or disables transform precoding for *type1* and *type2*. If the field is absent, the UE enables or disables transform precoding in accordance with the field *msg3-transformPrecoder* in *RACH-ConfigCommon*, see TS 38.214 [19], clause 6.1.3. |
| ***uci-OnPUSCH***  Selection between and configuration of dynamic and semi-static beta-offset. For Type 1 UL data transmission without grant, *uci-OnPUSCH* should be set to *semiStatic.* |

|  |
| --- |
| *CG-COT-Sharing* field descriptions |
| ***channelAccessPriority***  Indicates the Channel Access Priority Class that the gNB can assume when sharing the UE initiated COT (see 37.213 [48], clause 4.1.3). |
| ***duration***  Indicates the number of DL transmission slots within UE initiated COT (see 37.213 [48], clause 4.1.3). |
| ***offset***  Indicates the number of DL transmission slots from the end of the slot where CG-UCI is detected after which COT sharing can be used (see 37.213 [48], clause 4.1.3). |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *LCH-BasedPrioritization* | This fiels is optionally present, Need R, if *lch-BasedPrioritization* is configured in the MAC entity. It is absent otherwise. |
| *RepTypeB* | The field is optionally present if pusch-RepTypeIndicator is set to pusch-RepTypeB, Need S, and absent otherwise. |

>>Skipped unchanged parts

#### – *ControlResourceSet*

The IE *ControlResourceSet* is used to configure a time/frequency control resource set (CORESET) in which to search for downlink control information (see TS 38.213 [13], clause 10.1).

*ControlResourceSet* information element

-- ASN1START

-- TAG-CONTROLRESOURCESET-START

ControlResourceSet ::= SEQUENCE {

controlResourceSetId ControlResourceSetId,

frequencyDomainResources BIT STRING (SIZE (45)),

duration INTEGER (1..maxCoReSetDuration),

cce-REG-MappingType CHOICE {

interleaved SEQUENCE {

reg-BundleSize ENUMERATED {n2, n3, n6},

interleaverSize ENUMERATED {n2, n3, n6},

shiftIndex INTEGER(0..maxNrofPhysicalResourceBlocks-1) OPTIONAL -- Need S

},

nonInterleaved NULL

},

precoderGranularity ENUMERATED {sameAsREG-bundle, allContiguousRBs},

tci-StatesPDCCH-ToAddList SEQUENCE(SIZE (1..maxNrofTCI-StatesPDCCH)) OF TCI-StateId OPTIONAL, -- Cond NotSIB1-initialBWP

tci-StatesPDCCH-ToReleaseList SEQUENCE(SIZE (1..maxNrofTCI-StatesPDCCH)) OF TCI-StateId OPTIONAL, -- Cond NotSIB1-initialBWP

tci-PresentInDCI ENUMERATED {enabled} OPTIONAL, -- Need S

pdcch-DMRS-ScramblingID INTEGER (0..65535) OPTIONAL, -- Need S

...,

[[

rb-Offset-r16 INTEGER (0..5) OPTIONAL, -- Need S

tci-PresentInDCI-ForDCI-Format1-2-r16 INTEGER (1..3) OPTIONAL, -- Need S

coresetPoolIndex-r16 INTEGER (0..1) OPTIONAL, -- Need R

controlResourceSetId-r16 ControlResourceSetId-r16 OPTIONAL -- Need S

]]

}

-- TAG-CONTROLRESOURCESET-STOP

-- ASN1STOP

|  |
| --- |
| *ControlResourceSet* field descriptions |
| ***cce-REG-MappingType***  Mapping of Control Channel Elements (CCE) to Resource Element Groups (REG) (see TS 38.211 [16], clauses 7.3.2.2 and 7.4.1.3.2). |
| ***controlResourceSetId***  Identifies the instance of the *ControlResourceSet* IE. Value 0 identifies the common CORESET configured in *MIB* and in *ServingCellConfigCommon* (*controlResourceSetZero*) and is hence not used here in the *ControlResourceSet* IE. Other values identify CORESETs configured by dedicated signalling or in *SIB1*. The *controlResourceSetId* is unique among the BWPs of a serving cell.  If the field *controlResourceSetId-r16* is present, the UE shall ignore the *controlResourceSetId* field (without suffix). |
| ***coresetPoolIndex***  The index of the CORESET pool for this CORESET as specified in TS 38.213 [13] (clauses 9 and 10) and TS 38.214 [19] (clauses 5.1 and 6.1). When absent, UE shall use the index 0. |
| ***duration***  Contiguous time duration of the CORESET in number of symbols (see TS 38.211 [16], clause 7.3.2.2). |
| ***frequencyDomainResources***  Frequency domain resources for the CORESET. Each bit corresponds a group of 6 RBs, with grouping starting from the first RB group (see TS 38.213 [13], clause 10.1) in the BWP. The first (left-most / most significant) bit corresponds to the first RB group in the BWP, and so on. A bit that is set to 1 indicates that this RB group belongs to the frequency domain resource of this CORESET. Bits corresponding to a group of RBs not fully contained in the bandwidth part within which the CORESET is configured are set to zero (see TS 38.211 [16], clause 7.3.2.2). |
| ***interleaverSize***  Interleaver-size (see TS 38.211 [16], clause 7.3.2.2). |
| ***pdcch-DMRS-ScramblingID***  PDCCH DMRS scrambling initialization (see TS 38.211 [16], clause 7.4.1.3.1). When the field is absent the UE applies the value of the *physCellId* configured for this serving cell. |
| ***precoderGranularity***  Precoder granularity in frequency domain (see TS 38.211 [16], clauses 7.3.2.2 and 7.4.1.3.2). |
| ***rb-Offset***  Indicates the RB level offset in units of RB from the first RB of the first 6RB group to the first RB of BWP (see 38.213 [13], clause 10.1). When the field is absent, the UE applies the value 0. |
| ***reg-BundleSize***  Resource Element Groups (REGs) can be bundled to create REG bundles. This parameter defines the size of such bundles (see TS 38.211 [16], clause 7.3.2.2). |
| ***shiftIndex***  When the field is absent the UE applies the value of the *physCellId*configured for this serving cell (see TS 38.211 [16], clause 7.3.2.2). |
| ***tci-PresentInDCI***  This field indicates if TCI field is present or absent in DCI format 1\_1. When the field is absent the UE considers the TCI to be absent/disabled. In case of cross carrier scheduling, the network sets this field to enabled for the *ControlResourceSet* used for cross carrier scheduling in the scheduling cell (see TS 38.214 [19], clause 5.1.5). |
| ***tci-PresentInDCI-ForDCI-Format1-2***  Configures the number of bits for "Transmission configuration indicator" in DCI format 1\_2. When the field is absent the UE applies the value of 0 bit for the "Transmission configuration indicator" in DCI format 1\_2 (see TS 38.212, clause 7.3.1 and TS 38.214, clause 5.1.5). |
| ***tci-StatesPDCCH-ToAddList***  A subset of the TCI states defined in pdsch-Config included in the *BWP-DownlinkDedicated* corresponding to the serving cell and to the DL BWP to which the *ControlResourceSet* belong to. They are used for providing QCL relationships between the DL RS(s) in one RS Set (TCI-State) and the PDCCH DMRS ports (see TS 38.213 [13], clause 6.). The network configures at most *maxNrofTCI-StatesPDCCH* entries. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *NotSIB1-initialBWP* | The field is absent in *SIB1* and in the *PDCCH-ConfigCommon* of the initial BWP in *ServingCellConfigCommon*, if *SIB1* is broadcasted. Otherwise, it is optionally present, Need N. |

>>Skipped unchanged parts

#### – *DownlinkConfigCommonSIB*

The IE *DownlinkConfigCommonSIB* provides common downlink parameters of a cell.

*DownlinkConfigCommonSIB* information element

-- ASN1START

-- TAG-DOWNLINKCONFIGCOMMONSIB-START

DownlinkConfigCommonSIB ::= SEQUENCE {

frequencyInfoDL FrequencyInfoDL-SIB,

initialDownlinkBWP BWP-DownlinkCommon,

bcch-Config BCCH-Config,

pcch-Config PCCH-Config,

...

}

BCCH-Config ::= SEQUENCE {

modificationPeriodCoeff ENUMERATED {n2, n4, n8, n16},

...

}

PCCH-Config ::= SEQUENCE {

defaultPagingCycle PagingCycle,

nAndPagingFrameOffset CHOICE {

oneT NULL,

halfT INTEGER (0..1),

quarterT INTEGER (0..3),

oneEighthT INTEGER (0..7),

oneSixteenthT INTEGER (0..15)

},

ns ENUMERATED {four, two, one},

firstPDCCH-MonitoringOccasionOfPO CHOICE {

sCS15KHZoneT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..139),

sCS30KHZoneT-SCS15KHZhalfT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..279),

sCS60KHZoneT-SCS30KHZhalfT-SCS15KHZquarterT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..559),

sCS120KHZoneT-SCS60KHZhalfT-SCS30KHZquarterT-SCS15KHZoneEighthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..1119),

sCS120KHZhalfT-SCS60KHZquarterT-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT

SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..2239),

sCS120KHZquarterT-SCS60KHZoneEighthT-SCS30KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..4479),

sCS120KHZoneEighthT-SCS60KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..8959),

sCS120KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..17919)

} OPTIONAL, -- Need R

...,

[[

nrofPDCCHMonitoringOccasionPerSSB-InPO-r16  INTEGER (2..4) OPTIONAL -- Need R

]]

}

-- TAG-DOWNLINKCONFIGCOMMONSIB-STOP

-- ASN1STOP

|  |
| --- |
| *DownlinkConfigCommonSIB* field descriptions |
| ***bcch-Config***  The modification period related configuration. |
| ***frequencyInfoDL-SIB***  Basic parameters of a downlink carrier and transmission thereon. |
| ***initialDownlinkBWP***  The initial downlink BWP configuration for a SpCell (PCell of MCG or SCG). The network configures the *locationAndBandwidth* so that the initial downlink BWP contains the entire CORESET#0 of this serving cell in the frequency domain. The UE applies the *locationAndBandwidth* upon reception of this field (e.g. to determine the frequency position of signals described in relation to this *locationAndBandwidth*) but it keeps CORESET#0 until after reception of *RRCSetup*/*RRCResume/RRCReestablishment*. |
| ***nrofPDCCHMonitoringOccasionPerSSB-InPO***  The number of PDCCH monitoring occasions corresponding to an SSB within a PO for paging, see TS 38.304 [20], clause 7.1. The network configures this field only for operation with shared spectrum channel access. |
| ***pcch-Config***  The paging related configuration. |

|  |
| --- |
| *BCCH-Config* field descriptions |
| ***modificationPeriodCoeff***  Actual modification period, expressed in number of radio frames m = *modificationPeriodCoeff* \* *defaultPagingCycle*, see clause 5.2.2.2.2. *n2* corresponds to value 2, *n4* corresponds to value 4, and so on. |

|  |
| --- |
| *PCCH-Config* field descriptions |
| ***defaultPagingCycle***  Default paging cycle, used to derive 'T' in TS 38.304 [20]. Value *rf32* corresponds to 32 radio frames, value *rf64* corresponds to 64 radio frames and so on. |
| ***firstPDCCH-MonitoringOccasionOfPO***  Points out the first PDCCH monitoring occasion for paging of each PO of the PF, see TS 38.304 [20]. |
| ***nAndPagingFrameOffset***  Used to derive the number of total paging frames in T (corresponding to parameter N in TS 38.304 [20]) and paging frame offset (corresponding to parameter PF\_offset in TS 38.304 [20]). A value of *oneSixteenthT* corresponds to T / 16, a value of oneEighthT corresponds to T / 8, and so on.  If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 2 or 3 (as specified in TS 38.213 [13]):  - for *ssb-periodicityServingCell* of 5 or 10 ms, N can be set to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 20 ms, N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 40 ms, N can be set to one of {*quarterT, oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 80 ms, N can be set to one of {*oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 160 ms, N can be set to *oneSixteenthT*  If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 1 (as specified in TS 38.213 [13]), N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}  If *pagingSearchSpace* is not set to zero, N can be configured to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*} |
| ***ns***  Number of paging occasions per paging frame. |

>>Skipped unchanged parts

#### – *LBT-FailureRecoveryConfig*

The IE *LBT-FailureRecoveryConfig-r16* is used to configure the parameters used for detection of consistent uplink LBT failures for operation with shared spectrum channel access, as specified in TS 38.321 [3].

*LBT-FailureRecoveryConfig* information element

-- ASN1START

-- TAG-LBT-FAILURERECOVERYCONFIG-START

LBT-FailureRecoveryConfig-r16 ::= SEQUENCE {

lbt-FailureInstanceMaxCount ENUMERATED {n4, n8, n16, n32, n64, n128} OPTIONAL, -- Need R

lbt-FailureDetectionTimer ENUMERATED {ms10, ms20, ms40, ms80, ms160, ms320} OPTIONAL, -- Need R

...

}

-- TAG-LBT-FAILURERECOVERYCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *LBT-FailureRecoveryConfig* field descriptions |
| ***lbt-FailureDetectionTimert***  Timer for consistent uplink LBT failure detection (see TS 38.321 [3]). Value *ms10* corresponds to 10 ms, value *ms20* corresponds to 20 ms, and so on. |
| ***lbt-FailureInstanceMaxCount***  This field determines after how many consistent uplink LBT failure events the UE triggers uplink LBT failure recovery (see TS 38.321 [3]). Value *n4* corresponds with 4, value *n8* corresponds with 8 and so on. |

>>Skipped unchanged parts

#### – *LogicalChannelConfig*

The IE *LogicalChannelConfig* is used to configure the logical channel parameters.

*LogicalChannelConfig* information element

-- ASN1START

-- TAG-LOGICALCHANNELCONFIG-START

LogicalChannelConfig ::= SEQUENCE {

ul-SpecificParameters SEQUENCE {

priority INTEGER (1..16),

prioritisedBitRate ENUMERATED {kBps0, kBps8, kBps16, kBps32, kBps64, kBps128, kBps256, kBps512,

kBps1024, kBps2048, kBps4096, kBps8192, kBps16384, kBps32768, kBps65536, infinity},

bucketSizeDuration ENUMERATED {ms5, ms10, ms20, ms50, ms100, ms150, ms300, ms500, ms1000,

spare7, spare6, spare5, spare4, spare3,spare2, spare1},

allowedServingCells SEQUENCE (SIZE (1..maxNrofServingCells-1)) OF ServCellIndex

OPTIONAL, -- PDCP-CADuplication

allowedSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SubcarrierSpacing OPTIONAL, -- Need R

maxPUSCH-Duration ENUMERATED {ms0p02, ms0p04, ms0p0625, ms0p125, ms0p25, ms0p5, spare2, spare1}

OPTIONAL, -- Need R

configuredGrantType1Allowed ENUMERATED {true} OPTIONAL, -- Need R

logicalChannelGroup INTEGER (0..maxLCG-ID) OPTIONAL, -- Need R

schedulingRequestID SchedulingRequestId OPTIONAL, -- Need R

logicalChannelSR-Mask BOOLEAN,

logicalChannelSR-DelayTimerApplied BOOLEAN,

...,

bitRateQueryProhibitTimer ENUMERATED {s0, s0dot4, s0dot8, s1dot6, s3, s6, s12, s30} OPTIONAL, -- Need R

[[

allowedCG-List-r16 SEQUENCE (SIZE (0.. maxNrofConfiguredGrantConfigMAC-r16-1)) OF ConfiguredGrantConfigIndexMAC-r16

OPTIONAL, -- Need R

allowedPHY-PriorityIndex-r16 ENUMERATED {p0, p1} OPTIONAL -- Need R

]]

} OPTIONAL, -- Cond UL

...,

[[

channelAccessPriority-r16 INTEGER (1..4) OPTIONAL, -- Need R

bitRateMultiplier-r16 ENUMERATED {x40, x70, x100, x200} OPTIONAL -- Need R

]]

}

-- TAG-LOGICALCHANNELCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *LogicalChannelConfig* field descriptions |
| ***allowedCG-List***  This restriction applies only when the UL grant is a configured grant. If present, UL MAC SDUs from this logical channel can only be mapped to the indicated configured grant configuration. If the size of the sequence is zero, then UL MAC SDUs from this logical channel cannot be mapped to any configured grant configurations. If the field is not present, UL MAC SDUs from this logical channel can be mapped to any configured grant configurations. Corresponds to "allowedCG-List" as specified in TS 38.321 [3]. |
| ***allowedPHY-PriorityIndex***  This restriction applies only when the UL grant is a dynamic grant. If the field is present and the dynamic grant has a PHY-priority index, UL MAC SDUs from this logical channel can only be mapped to the dynamic grants indicating PHY-priority index equal to the values configured by this field. If the field is present and the dynamic grant does not have a PHY-priority index, UL MAC SDUs from this logical channel can only be mapped to this dynamic grant if the value of the field is *p0*, see TS 38.213 [13], clause 9. If the field is not present, UL MAC SDUs from this logical channel can be mapped to any dynamic grants. Corresponds to "allowedPHY-PriorityIndex" as specified in TS 38.321 [3]. |
| ***allowedSCS-List***  If present, UL MAC SDUs from this logical channel can only be mapped to the indicated numerology. Otherwise, UL MAC SDUs from this logical channel can be mapped to any configured numerology. Only the values 15/30/60 kHz (for FR1) and 60/120 kHz (for FR2) are applicable. Corresponds to 'allowedSCS-List' as specified in TS 38.321 [3]. |
| ***allowedServingCells***  If present, UL MAC SDUs from this logical channel can only be mapped to the serving cells indicated in this list. Otherwise, UL MAC SDUs from this logical channel can be mapped to any configured serving cell of this cell group. Corresponds to 'allowedServingCells' in TS 38.321 [3]. |
| ***bitRateMultiplier***  Bit rate multiplier for recommended bit rate MAC CE as specified in TS 38.321 [3]. Value *x40* indicates bit rate multiplier 40, value *x60* indicates bit rate multiplier 60 and so on. |
| ***bitRateQueryProhibitTimer***  The timer is used for bit rate recommendation query in TS 38.321 [3], in seconds. Value *s0* means 0 s, *s0dot4* means 0.4 s and so on. |
| ***bucketSizeDuration***  Value in ms. *ms5* corresponds to 5 ms, value *ms10* corresponds to 10 ms, and so on. |
| ***channelAccessPriority***  Indicates the Channel Access Priority Class (CAPC), as specified in TS 38.300 [2] and TS 38.321 [3], to be used on transmission using configured grants on shared spectrum. The network configures this field only for SRB2 and DRBs. |
| ***configuredGrantType1Allowed***  If present, UL MAC SDUs from this logical channel can be transmitted on a configured grant type 1. Corresponds to 'configuredGrantType1Allowed' in TS 38.321 [3]. |
| ***logicalChannelGroup***  ID of the logical channel group, as specified in TS 38.321 [3], which the logical channel belongs to. |
| ***logicalChannelSR-Mask***  Controls SR triggering when a configured uplink grant of *type1* or *type2* is configured. *true* indicates that SR masking is configured for this logical channel as specified in TS 38.321 [3]. |
| ***logicalChannelSR-DelayTimerApplied***  Indicates whether to apply the delay timer for SR transmission for this logical channel. Set to *false* if *logicalChannelSR-DelayTimer* is not included in *BSR-Config*. |
| ***maxPUSCH-Duration***  If present, UL MAC SDUs from this logical channel can only be transmitted using uplink grants that result in a PUSCH duration shorter than or equal to the duration indicated by this field. Otherwise, UL MAC SDUs from this logical channel can be transmitted using an uplink grant resulting in any PUSCH duration. Corresponds to "maxPUSCH-Duration" in TS 38.321 [3]. |
| ***priority***  Logical channel priority, as specified in TS 38.321 [3]. |
| ***prioritisedBitRate***  Value in kiloBytes/s. Value *kBps0* corresponds to 0 kiloBytes/s, value *kBps8* corresponds to 8 kiloBytes/s, value *kBps16* corresponds to 16 kiloBytes/s, and so on. For SRBs, the value can only be set to *infinity*. |
| ***schedulingRequestId***  If present, it indicates the scheduling request configuration applicable for this logical channel, as specified in TS 38.321 [3]. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *PDCP-CADuplication* | The field is mandatory present if the DRB/SRB associated with this logical channel is configured with PDCP CA duplication in UL (i.e. the PDCP entity is associated with multiple RLC entities belonging to the same cell group). Otherwise the field is optionally present, need R. |
| *UL* | The field is mandatory present for a logical channel with uplink if it serves DRB. It is optionally present, Need R, for a logical channel with uplink if it serves an SRB. Otherwise it is absent. |

>>Skipped unchanged parts

#### *– MeasObjectNR*

The IE *MeasObjectNR* specifies information applicable for SS/PBCH block(s) intra/inter-frequency measurements and/or CSI-RS intra/inter-frequency measurements.

*MeasObjectNR* information element

-- ASN1START

-- TAG-MEASOBJECTNR-START

MeasObjectNR ::= SEQUENCE {

ssbFrequency ARFCN-ValueNR OPTIONAL, -- Cond SSBorAssociatedSSB

ssbSubcarrierSpacing SubcarrierSpacing OPTIONAL, -- Cond SSBorAssociatedSSB

smtc1 SSB-MTC OPTIONAL, -- Cond SSBorAssociatedSSB

smtc2 SSB-MTC2 OPTIONAL, -- Cond IntraFreqConnected

refFreqCSI-RS ARFCN-ValueNR OPTIONAL, -- Cond CSI-RS

referenceSignalConfig ReferenceSignalConfig,

absThreshSS-BlocksConsolidation ThresholdNR OPTIONAL, -- Need R

absThreshCSI-RS-Consolidation ThresholdNR OPTIONAL, -- Need R

nrofSS-BlocksToAverage INTEGER (2..maxNrofSS-BlocksToAverage) OPTIONAL, -- Need R

nrofCSI-RS-ResourcesToAverage INTEGER (2..maxNrofCSI-RS-ResourcesToAverage) OPTIONAL, -- Need R

quantityConfigIndex INTEGER (1..maxNrofQuantityConfig),

offsetMO Q-OffsetRangeList,

cellsToRemoveList PCI-List OPTIONAL, -- Need N

cellsToAddModList CellsToAddModList OPTIONAL, -- Need N

blackCellsToRemoveList PCI-RangeIndexList OPTIONAL, -- Need N

blackCellsToAddModList SEQUENCE (SIZE (1..maxNrofPCI-Ranges)) OF PCI-RangeElement OPTIONAL, -- Need N

whiteCellsToRemoveList PCI-RangeIndexList OPTIONAL, -- Need N

whiteCellsToAddModList SEQUENCE (SIZE (1..maxNrofPCI-Ranges)) OF PCI-RangeElement OPTIONAL, -- Need N

...,

[[

freqBandIndicatorNR FreqBandIndicatorNR OPTIONAL, -- Need R

measCycleSCell ENUMERATED {sf160, sf256, sf320, sf512, sf640, sf1024, sf1280} OPTIONAL -- Need R

]],

[[

smtc3list-r16 SSB-MTC3List-r16 OPTIONAL, -- Cond FFS

rmtc-Config-r16 SetupRelease {RMTC-Config-r16} OPTIONAL, -- Need M

ssb-PositionQCL-Common-r16 SSB-PositionQCL-Relationship-r16 OPTIONAL, -- Cond SharedSpectrum

ssb-PositionQCL-CellsToAddModList-r16 SSB-PositionQCL-CellsToAddModList-r16 OPTIONAL, -- Need N

ssb-PositionQCL-CellsToRemoveList-r16 PCI-List OPTIONAL, -- Need N

t312-r16 SetupRelease { T312-r16 } OPTIONAL -- Need M

]]

}

SSB-MTC3List-r16::= SEQUENCE (SIZE(1..4)) OF SSB-MTC3-r16

T312-r16 ::= ENUMERATED { ms0, ms50, ms100, ms200, ms300, ms400, ms500, ms1000}

ReferenceSignalConfig::= SEQUENCE {

ssb-ConfigMobility SSB-ConfigMobility OPTIONAL, -- Need M

csi-rs-ResourceConfigMobility SetupRelease { CSI-RS-ResourceConfigMobility } OPTIONAL -- Need M

}

SSB-ConfigMobility::= SEQUENCE {

ssb-ToMeasure SetupRelease { SSB-ToMeasure } OPTIONAL, -- Need M

deriveSSB-IndexFromCell BOOLEAN,

ss-RSSI-Measurement SS-RSSI-Measurement OPTIONAL, -- Need M

...

}

Q-OffsetRangeList ::= SEQUENCE {

rsrpOffsetSSB Q-OffsetRange DEFAULT dB0,

rsrqOffsetSSB Q-OffsetRange DEFAULT dB0,

sinrOffsetSSB Q-OffsetRange DEFAULT dB0,

rsrpOffsetCSI-RS Q-OffsetRange DEFAULT dB0,

rsrqOffsetCSI-RS Q-OffsetRange DEFAULT dB0,

sinrOffsetCSI-RS Q-OffsetRange DEFAULT dB0

}

ThresholdNR ::= SEQUENCE{

thresholdRSRP RSRP-Range OPTIONAL, -- Need R

thresholdRSRQ RSRQ-Range OPTIONAL, -- Need R

thresholdSINR SINR-Range OPTIONAL -- Need R

}

CellsToAddModList ::= SEQUENCE (SIZE (1..maxNrofCellMeas)) OF CellsToAddMod

CellsToAddMod ::= SEQUENCE {

physCellId PhysCellId,

cellIndividualOffset Q-OffsetRangeList

}

RMTC-Config-r16 ::= SEQUENCE {

rmtc-Periodicity-r16 ENUMERATED {ms40, ms80, ms160, ms320, ms640},

rmtc-SubframeOffset-r16 INTEGER(0..639) OPTIONAL, -- Need M

measDuration-r16 ENUMERATED {sym1, sym14, sym28, sym42, sym70},

rmtc-Frequency-r16 ARFCN-ValueNR,

...,

ref-SCS-CP-r16 ENUMERATED {kHz15, kHz30, kHz60-NCP, kHz60-ECP}

}

SSB-PositionQCL-CellsToAddModList-r16 ::= SEQUENCE (SIZE (1..maxNrofCellMeas)) OF SSB-PositionQCL-CellsToAdd-r16

SSB-PositionQCL-CellsToAdd-r16 ::= SEQUENCE {

physCellId-r16 PhysCellId,

ssb-PositionQCL-r16 SSB-PositionQCL-Relationship-r16

}

-- TAG-MEASOBJECTNR-STOP

-- ASN1STOP

|  |
| --- |
| *CellsToAddMod* field descriptions |
| ***cellIndividualOffset***  Cell individual offsets applicable to a specific cell. |
| ***physCellId***  Physical cell identity of a cell in the cell list. |

|  |
| --- |
| *MeasObjectNR* field descriptions |
| ***absThreshCSI-RS-Consolidation***  Absolute threshold for the consolidation of measurement results per CSI-RS resource(s) from L1 filter(s). The field is used for the derivation of cell measurement results as described in 5.5.3.3 and the reporting of beam measurement information per CSI-RS resource as described in 5.5.5.2. |
| ***absThreshSS-BlocksConsolidation***  Absolute threshold for the consolidation of measurement results per SS/PBCH block(s) from L1 filter(s). The field is used for the derivation of cell measurement results as described in 5.5.3.3 and the reporting of beam measurement information per SS/PBCH block index as described in 5.5.5.2. |
| ***blackCellsToAddModList***  List of cells to add/modify in the black list of cells. It applies only to SSB resources. |
| ***blackCellsToRemoveList***  List of cells to remove from the black list of cells. |
| ***cellsToAddModList***  List of cells to add/modify in the cell list. |
| ***cellsToRemoveList***  List of cells to remove from the cell list. |
| ***freqBandIndicatorNR***  The frequency band in which the SSB and/or CSI-RS indicated in this *MeasObjectNR* are located and according to which the UE shall perform the RRM measurements. This field is always provided when the network configures measurements with this *MeasObjectNR*. |
| ***measCycleSCell***  The parameter is used only when an SCell is configured on the frequency indicated by the measObjectNR and is in deactivated state, see TS 38.133 [14]. gNB configures the parameter whenever an SCell is configured on the frequency indicated by the *measObjectNR*, but the field may also be signalled when an SCell is not configured. Value *sf160* corresponds to 160 sub-frames, value *sf256* corresponds to 256 sub-frames and so on. |
| ***nrofCSInrofCSI-RS-ResourcesToAverage***  Indicates the maximum number of measurement results per beam based on CSI-RS resources to be averaged. The same value applies for each detected cell associated with this *MeasObjectNR*. |
| ***nrofSS-BlocksToAverage***  Indicates the maximum number of measurement results per beam based on SS/PBCH blocks to be averaged. The same value applies for each detected cell associated with this *MeasObject*. |
| ***offsetMO***  Offset values applicable to all measured cells with reference signal(s) indicated in this *MeasObjectNR*. |
| ***quantityConfigIndex***  Indicates the n-*th* element of *quantityConfigNR-List* provided in *MeasConfig*. |
| ***referenceSignalConfig***  RS configuration for SS/PBCH block and CSI-RS. |
| ***refFreqCSI-RS***  Point A which is used for mapping of CSI-RS to physical resources according to TS 38.211 [16] clause 7.4.1.5.3. |
| ***smtc1***  Primary measurement timing configuration. (see clause 5.5.2.10). |
| ***smtc2***  Secondary measurement timing configuration for SS corresponding to this *MeasObjectNR* with PCI listed in *pci-List*. For these SS, the periodicity is indicated by *periodicity* in *smtc2* and the timing offset is equal to the offset indicated in *periodicityAndOffset* modulo *periodicity*. *periodicity* in smtc2 can only be set to a value strictly shorter than the periodicity indicated by *periodicityAndOffset* in *smtc1* (e.g. if *periodicityAndOffset* indicates *sf10*, *periodicity* can only be set of *sf5*, if *periodicityAndOffset* indicates *sf5*, *smtc2* cannot be configured). |
| ***smtc3list-v16xy***  Measurement timing configuration list for SS corresponding to IAB-MT. |
| ***ssbFrequency*** Indicates the frequency of the SS associated to this *MeasObjectNR*. |
| ***ssbSubcarrierSpacing***  Subcarrier spacing of SSB. Only the values 15 kHz or 30 kHz (FR1), and 120 kHz or 240 kHz (FR2) are applicable. |
| ***t312***  The value of timer T312. Value ms0 represents 0 ms, ms50 represents 50 ms and so on. |
| ***whiteCellsToAddModList***  List of cells to add/modify in the white list of cells. It applies only to SSB resources. |
| ***whiteCellsToRemoveList***  List of cells to remove from the white list of cells. |

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| --- |
| *RMTC-Config* field descriptions |
| ***measDuration***  Number of consecutive symbols for which the Physical Layer reports samples of RSSI (see TS 38.215 [9]). Value *sym1* corresponds to one symbol, *sym14* corresponds to 14 symbols, and so on. |
| ***ref-SCS-CP***  Indicates a reference subcarrierspacing and cyclic prefix to be used for RSSI measurements (see TS 38.215 [9]). |
| ***rmtc-Frequency***  Indicates the center frequency of the measured bandwidth (see TS 38.215 [9], clause X.X). |
| ***rmtc-Periodicity***  Indicates the RSSI measurement timing configuration (RMTC) periodicity for this frequency (see TS 38.215 [9]). |
| ***rmtc-SubframeOffset***  Indicates the RSSI measurement timing configuration (RMTC) subframe offset for this frequency (see TS 38.215 [9)). For inter-frequency measurements, this field is optional present and if it is not configured, the UE chooses a random value as *rmtc-SubframeOffset* for *measDuration* which shall be selected to be between 0 and the configured *rmtc-Period* with equal probability. |

|  |
| --- |
| *ReferenceSignalConfig* field descriptions |
| ***csi-rs-ResourceConfigMobility***  CSI-RS resources to be used for CSI-RS based RRM measurements. |
| ***ssb-ConfigMobility***  SSB configuration for mobility (nominal SSBs, timing configuration). |

|  |
| --- |
| *SSB-ConfigMobility* field descriptions |
| ***deriveSSB-IndexFromCell***  If this field is set to *true*, UE assumes SFN and frame boundary alignment across cells on the same frequency carrier as specified in TS 38.133 [14]. Hence, if the UE is configured with a serving cell for which (*absoluteFrequencySSB*, *subcarrierSpacing*) in *ServingCellConfigCommon* is equal to (*ssbFrequency*, *ssbSubcarrierSpacing*) in this *MeasObjectNR*, this field indicates whether the UE can utilize the timing of this serving cell to derive the index of SS block transmitted by neighbour cell. Otherwise, this field indicates whether the UE may use the timing of any detected cell on that target frequency to derive the SSB index of all neighbour cells on that frequency. |
| ***ssb-ToMeasure***  The set of SS blocks to be measured within the SMTC measurement duration. The first/leftmost bit corresponds to SS/PBCH block index 0, the second bit corresponds to SS/PBCH block index 1, and so on. Value 0 in the bitmap indicates that the corresponding SS/PBCH block is not to be measured while value 1 indicates that the corresponding SS/PBCH block is to be measured (see TS 38.215 [9]). When the field is not configured the UE measures on all SS blocks. Regardless of the value of this field, SS/PBCH blocks outside of the applicable *smtc* are not to be measured. See TS 38.215 [9] clause 5.1.1. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *CSI-RS* | This field is mandatory present if *csi-rs-ResourceConfigMobility* is configured, otherwise, it is absent. |
| *SSBorAssociatedSSB* | This field is mandatory present if *ssb-ConfigMobility* is configured or *associatedSSB* is configured in at least one cell. Otherwise, it is absent, Need R. |
| *IntraFreqConnected* | This field is optionally present, Need R if the UE is configured with a serving cell for which (absoluteFrequencySSB, subcarrierSpacing) in ServingCellConfigCommon is equal to (*ssbFrequency*, *ssbSubcarrierSpacing*) in this *MeasObjectNR*, otherwise, it is absent. |
| *SharedSpectrum* | This field is mandatory present if this *MeasObject* is for a frequency which operates with shared spectrum channel access. Otherwise, it is absent, Need R. |

>>Skipped unchanged parts

#### – *PDCCH-Config*

The IE *PDCCH-Config* is used to configure UE specific PDCCH parameters such as control resource sets (CORESET), search spaces and additional parameters for acquiring the PDCCH. If this IE is used for the scheduled cell in case of cross carrier scheduling, the fields other than *searchSpacesToAddModList* and *searchSpacesToReleaseList* are absent.

*PDCCH-Config* information element

-- ASN1START

-- TAG-PDCCH-CONFIG-START

PDCCH-Config ::= SEQUENCE {

controlResourceSetToAddModList SEQUENCE(SIZE (1..3)) OF ControlResourceSet OPTIONAL, -- Need N

controlResourceSetToReleaseList SEQUENCE(SIZE (1..3)) OF ControlResourceSetId OPTIONAL, -- Need N

searchSpacesToAddModList SEQUENCE(SIZE (1..10)) OF SearchSpace OPTIONAL, -- Need N

searchSpacesToReleaseList SEQUENCE(SIZE (1..10)) OF SearchSpaceId OPTIONAL, -- Need N

downlinkPreemption SetupRelease { DownlinkPreemption } OPTIONAL, -- Need M

tpc-PUSCH SetupRelease { PUSCH-TPC-CommandConfig } OPTIONAL, -- Need M

tpc-PUCCH SetupRelease { PUCCH-TPC-CommandConfig } OPTIONAL, -- Need M

tpc-SRS SetupRelease { SRS-TPC-CommandConfig} OPTIONAL, -- Need M

...,

[[

controlResourceSetToAddModList-r16 SEQUENCE (SIZE (1..5)) OF ControlResourceSet OPTIONAL, -- Need N

controlResourceSetToReleaseList-r16 SEQUENCE (SIZE (1..5)) OF ControlResourceSetId-r16 OPTIONAL, -- Need N

searchSpacesToAddModList-r16 SEQUENCE(SIZE (1..10)) OF SearchSpace-v16xy OPTIONAL, -- Need N

searchSpaceSwitchingTimer-r16 INTEGER (1..80) OPTIONAL, -- Need R

searchSpaceSwitchingGroupList-r16 SEQUENCE(SIZE (1..ffsValue)) OF SearchSpaceSwitchingGroup-r16 OPTIONAL, -- Need R

uplinkCancellation-r16 SetupRelease { UplinkCancellation-r16 } OPTIONAL, -- Need M

monitoringCapabilityConfig-r16 ENUMERATED { r15monitoringcapability,r16monitoringcapability } OPTIONAL

]]

}

SearchSpaceSwitchingGroup-r16 ::= SEQUENCE(SIZE (1..16)) OF ServCellIndex

-- TAG-PDCCH-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *PDCCH-Config* field descriptions |
| ***controlResourceSetToAddModList***  List of UE specifically configured Control Resource Sets (CORESETs) to be used by the UE. The network configures at most 3 CORESETs per BWP per cell (including UE-specific and common CORESETs). In case network reconfigures control resource set with the same *ControlResourceSetId* as used for *commonControlResourceSet* configured via *PDCCH-ConfigCommon*, the configuration from *PDCCH-Config* always takes precedence and should not be updated by the UE based on *servingCellConfigCommon*. |
| ***downlinkPreemption***  Configuration of downlink preemption indications to be monitored in this cell (see TS 38.213 [13], clause 11.2). |
| ***monitoringCapabilityConfig***  Configures either Rel-15 PDCCH monitoring capability or Rel-16 PDCCH monitoring capability for PDCCH monitoring on a serving cell. Value *r15monitoringcapablity* enables the Rel-15 monitoring capability, and value *r16monitoringcapablity* enables the Rel-16 PDCCH monitoring capability (see TS 38.213 [13], clause 10.1). |
| ***searchSpacesToAddModList***  List of UE specifically configured Search Spaces. The network configures at most 10 Search Spaces per BWP per cell (including UE-specific and common Search Spaces). |
| ***searchSpaceSwitchingGroupList***  The list of serving cells which are bundled for the search space group switching purpose (see TS 38.213 [13], clause 11.5.2). |
| ***searchSpaceSwitchingTimer***  The value of the timer in slots for monitoring PDCCH in the active DL BWP of the serving cell before moving to the default search space group (see TS 38.213 [13], clause 11.5.2). For 15 kHz SCS, {1..20} are valid. For 30 kHz SCS, {1..40} are valid. For 60kHz SCS, {1..80} are valid. |
| ***tpc-PUCCH***  Enable and configure reception of group TPC commands for PUCCH. |
| ***tpc-PUSCH***  Enable and configure reception of group TPC commands for PUSCH. |
| ***tpc-SRS***  Enable and configure reception of group TPC commands for SRS. |
| ***uplinkCancellation***  Configuration of uplink cancellation indications to be monitored in this cell (see TS 38.213 [13], clause 11.5). |

>>Skipped unchanged parts

#### – *PhysicalCellGroupConfig*

The IE *PhysicalCellGroupConfig* is used to configure cell-group specific L1 parameters.

*PhysicalCellGroupConfig* information element

-- ASN1START

-- TAG-PHYSICALCELLGROUPCONFIG-START

PhysicalCellGroupConfig ::= SEQUENCE {

harq-ACK-SpatialBundlingPUCCH ENUMERATED {true} OPTIONAL, -- Need S

harq-ACK-SpatialBundlingPUSCH ENUMERATED {true} OPTIONAL, -- Need S

p-NR-FR1 P-Max OPTIONAL, -- Need R

pdsch-HARQ-ACK-Codebook ENUMERATED {semiStatic, dynamic},

tpc-SRS-RNTI RNTI-Value OPTIONAL, -- Need R

tpc-PUCCH-RNTI RNTI-Value OPTIONAL, -- Need R

tpc-PUSCH-RNTI RNTI-Value OPTIONAL, -- Need R

sp-CSI-RNTI RNTI-Value OPTIONAL, -- Need R

cs-RNTI SetupRelease { RNTI-Value } OPTIONAL, -- Need M

...,

[[

mcs-C-RNTI RNTI-Value OPTIONAL, -- Need R

p-UE-FR1 P-Max OPTIONAL -- Cond MCG-Only

]],

[[

xScale ENUMERATED {dB0, dB6, spare2, spare1} OPTIONAL -- Cond SCG-Only

]],

[[

pdcch-BlindDetection SetupRelease { PDCCH-BlindDetection } OPTIONAL -- Need M

]],

[[

dcp-Config-r16 SetupRelease { DCP-Config-r16 } OPTIONAL, -- Need M

harq-ACK-SpatialBundlingPUCCH-secondaryPUCCH-group-r16 ENUMERATED {true} OPTIONAL, -- Cond twoPUCCHgroup

harq-ACK-SpatialBundlingPUSCH-secondaryPUCCH-group-r16 ENUMERATED {true} OPTIONAL, -- Cond twoPUCCHgroup

pdsch-HARQ-ACK-Codebook-secondaryPUCCH-group-r16 ENUMERATED {semiStatic, dynamic} OPTIONAL, -- Cond twoPUCCHgroup

p-NR-FR2-r16 P-Max OPTIONAL, -- Need R

p-UE-FR2-r16 P-Max OPTIONAL, -- Cond MCG-Only

nrdc-PCmode-FR1-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL, -- Cond MCG-Only

nrdc-PCmode-FR2-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL, -- Cond MCG-Only

pdsch-HARQ-ACK-Codebook-r16 ENUMERATED {enhancedDynamic} OPTIONAL, -- Need R

nfi-TotalDAI-Included-r16 ENUMERATED {true} OPTIONAL, -- Need M

ul-TotalDAI-Included-r16 ENUMERATED {true} OPTIONAL, -- Need M

pdsch-HARQ-ACK-OneShotFeedback-r16 ENUMERATED {true} OPTIONAL, -- Need M

pdsch-HARQ-ACK-OneShotFeedbackNDI-r16 ENUMERATED {true} OPTIONAL, -- Need M

pdsch-HARQ-ACK-OneShotFeedbackCBG-r16 ENUMERATED {true} OPTIONAL, -- Need M

downlinkAssignmentIndexForDCI-Format0-2-r16 ENUMERATED { enabled } OPTIONAL, -- Need S

downlinkAssignmentIndexForDCI-Format1-2-r16 ENUMERATED {n1, n2, n4} OPTIONAL, -- Need S

pdsch-HARQ-ACK-CodebookList-r16 SetupRelease {PDSCH-HARQ-ACK-CodebookList-r16} OPTIONAL, -- Need M

ackNackFeedbackMode-r16 ENUMERATED {joint, separate} OPTIONAL -- Need R

]]

}

PDCCH-BlindDetection ::= INTEGER (1..15)

DCP-Config-r16 ::= SEQUENCE {

ps-RNTI-r16 RNTI-Value,

ps-Offset-r16 ENUMERATED {ms0dot125, ms0dot25, ms0dot5, ms1, ms2, ms3, ms4,

ms5, ms6, ms7, ms8, ms9, ms10, ms11, ms12, ms13, ms14, spare15,

spare14, spare13, spare12, spare11, spare10, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1},

sizeDCI-2-6-r16 INTEGER (1..maxDCI-2-6-Size-r16),

ps-PositionDCI-2-6-r16 INTEGER (0..maxDCI-2-6-Size-1-r16),

ps-WakeUp-r16 ENUMERATED {true} OPTIONAL, -- Need S

ps-TransmitPeriodicL1-RSRP-r16 ENUMERATED {true} OPTIONAL, -- Need S

ps-TransmitPeriodicCSI-r16 ENUMERATED {true} OPTIONAL -- Need S

}

PDSCH-HARQ-ACK-CodebookList-r16 ::= SEQUENCE (SIZE (1..2)) OF ENUMERATED {semiStatic, dynamic}

-- TAG-PHYSICALCELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *PhysicalCellGroupConfig* field descriptions |
| ***ackNackFeedbackMode***  Indicates which among the joint and separate ACK/NACK feedback modes to use within a slot as sapecified in TS 38.213 (clause 9). Field is present only when two different values of CORESETPoolIndex in ControlResourceSet are configured in a cell. |
| ***cs-RNTI***  RNTI value for downlink SPS (see *SPS-Config*) and uplink configured grant (see *ConfiguredGrantConfig*). |
| ***downlinkAssignmentIndexForDCI-Format0-2***  Indicates if "Downlink assignment index" is present or absent in DCI format 0\_2. If the field "*downlinkAssignmentIndexForDCI-Format0-2*" is absent, then 0 bit for "Downlink assignment index" in DCI format 0\_2. If the field "*downlinkAssignmentIndexForDCI-Format0-2*" is present, then the bitwidth of "Downlink assignment index" in DCI format 0\_2 is defined in the same was as that in DCI format 0\_1 (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.1). |
| ***downlinkAssignmentIndexForDCI-Format1-2***  Configures the number of bits for "Downlink assignment index" in DCI format 1\_2. If the field is absent, then 0 bit for "Downlink assignment index" in DCI format 1\_2. Note that 1 bit and 2 bits are applied if only one serving cell is configured in the DL and the higher layer parameter pdsch-HARQ-ACK-Codebook=dynamic. 4 bits is applied if more than one serving cell are configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook* is set to *dynamic* (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.1). |
| ***harq-ACK-SpatialBundlingPUCCH***  Enables spatial bundling of HARQ ACKs. It is configured per cell group (i.e. for all the cells within the cell group) for PUCCH reporting of HARQ-ACK. It is only applicable when more than 4 layers are possible to schedule. When the field is absent, the spatial bundling is disabled (see TS 38.213 [13], clause 9.1.2.1). If the field *harq-ACK SpatialBundlingPUCCH-secondaryPUCCHgroup* is present, *harq-ACK-SpatialBundlingPUCCH* is only applied to primary PUCCH group. |
| ***harq-ACK-SpatialBundlingPUCCH-secondaryPUCCHgroup***  Enables spatial bundling of HARQ ACKs. It is configured for secondary PUCCH group for PUCCH reporting of HARQ-ACK. It is only applicable when more than 4 layers are possible to schedule (see TS 38.213 [13], clause 9.1.2.1). |
| ***harq-ACK-SpatialBundlingPUSCH***  Enables spatial bundling of HARQ ACKs. It is configured per cell group (i.e. for all the cells within the cell group) for PUSCH reporting of HARQ-ACK. It is only applicable when more than 4 layers are possible to schedule. When the field is absent, the spatial bundling is disabled (see TS 38.213 [13], clauses 9.1.2.2 and 9.1.3.2). If the field *harq-ACK SpatialBundlingPUSCH-secondaryPUCCHgroup* is present, *harq-ACK-SpatialBundlingPUSCH* is only applied to primary PUCCH group. |
| ***harq-ACK-SpatialBundlingPUSCH-secondaryPUSCHgroup***  Enables spatial bundling of HARQ ACKs. It is configured for secondary PUCCH group for PUSCH reporting of HARQ-ACK. It is only applicable when more than 4 layers are possible to schedule (see TS 38.213 [13], clauses 9.1.2.2 and 9.1.3.2). |
| ***mcs-C-RNTI***  RNTI to indicate use of *qam64LowSE* for grant-based transmissions. When the *mcs*-*C-RNT*I is configured, RNTI scrambling of DCI CRC is used to choose the corresponding MCS table. |
| ***nfi-TotalDAI-Included***  Indicates whether the NFI and total DAI fields of the non-scheduled PDSCH group is included in the non-fallback DL grant DCI (see TS 38.212 [17], clause 7.3.1). The network configures this only when enhanced dynamic codebook is configured (*pdsch-HARQ-ACK-Codebook* is set to *enhancedDynamic*). |
| ***nrdc-PCmode-FR1***  Indicates the uplink power sharing mode that the UE uses in NR-DC in frequency range 1 (FR1) (see TS 38.213 [13], clause 7.6). |
| ***nrdc-PCmode-FR2***  Indicates the uplink power sharing mode that the UE uses in NR-DC in frequency range 2 (FR2) (see TS 38.213 [13], clause 7.6). |
| ***pdcch-BlindDetection***  Indicates the reference number of cells for PDCCH blind detection for the CG. Network configures the field for each CG when the UE is in NR DC and sets the value in accordance with the constraints specified in TS 38.213 [13]. The network configures *pdcch-BlindDetection* only if the UE is in NR-DC. |
| ***p-NR-FR1***  The maximum total transmit power to be used by the UE in this NR cell group across all serving cells in frequency range 1 (FR1). The maximum transmit power that the UE may use may be additionally limited by *p-Max* (configured in *FrequencyInfoUL*) and by *p-UE-FR1* (configured total for all serving cells operating on FR1). |
| ***p-NR-FR2***  The maximum total transmit power to be used by the UE in this NR cell group across all serving cells in frequency range 2 (FR2). The maximum transmit power that the UE may use may be additionally limited by *p-Max* (configured in *FrequencyInfoUL*) and by *p-UE-FR2* (configured total for all serving cells operating on FR2). |
| ***ps-RNTI***  RNTI value for scrambling CRC of DCI format 2-6 used for power saving (see TS 38.213 [13], clause 10.1). |
| ***ps-Offset***  The start of the search-time of DCI format 2-6 with CRC scrambled by PS-RNTI relative to the start of the *drx-onDurationTimer* of Long DRX (see TS 38.213 [13], clause 11.5). Value in milliseconds. *ms0dot125* corresponds to 0.125 ms, *ms0dot25* corresponds to 0.25 ms, *ms0dot5* corresponds to 0.5 ms, and so on. |
| ***ps-WakeUp***  Indicates the UE to wake-up if DCI format 2-6 is not detected outside active time (see TS 38.213 [13], clause 11.5). If the field is absent, the UE does not wake-up if DCI format 2-6 is not detected outside active time. |
| ***ps-PositionDCI-2-6***  Starting position of UE wakeup and SCell dormancy indication in DCI format 2-6 (see TS 38.213 [13], clause 11.5). |
| ***ps-TransmitPeriodicL1-RSRP***  Indicates the UE to transmit periodic L1-RSRP report(s) when the *drx-onDurationTimer* does not start (see TS 38.321 [3], clause 5.7). If the field is absent, the UE does not transmit periodic L1-RSRP report(s) when the *drx-onDurationTimer* does not start. |
| ***ps-TransmitPeriodicCSI***  Indicates the UE to transmit periodic CSI report(s) when the *drx-onDurationTimer* does not start (see TS 38.321 [3], clause 5.7). If the field is absent, the UE does not transmit periodic CSI report(s) when the *drx-onDurationTimer* does not start. |
| ***p-UE-FR1***  The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited by *p-Max* (configured in *FrequencyInfoUL*) and by *p-NR-FR1* (configured for the cell group). |
| ***p-UE-FR2***  The maximum total transmit power to be used by the UE across all serving cells in frequency range 2 (FR2) across all cell groups. The maximum transmit power that the UE may use may be additionally limited by p-Max (configured in FrequencyInfoUL) and by p-NR-FR2 (configured for the cell group). |
| ***pdsch-HARQ-ACK-Codebook***  The PDSCH HARQ-ACK codebook is either semi-static or dynamic. This is applicable to both CA and none CA operation (see TS 38.213 [13], clauses 9.1.2 and 9.1.3). If *pdsch-HARQ-ACK-Codebook -r16* is signalled, UE shall ignore the *pdsch-HARQ-ACK-Codebook* (without suffix). If the field *pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup* is present, *pdsch-HARQ-ACK-Codebook* is applied to primary PUCCH group. Otherwise, this field is applied to the cell group (i.e. for all the cells within the cell group). |
| ***pdsch-HARQ-ACK-CodebookList***  A list of configuration for at least two simultaneously constructed HARQ-ACK codebooks. Each configuration in the list is defined in the same way as *pdsch-HARQ-ACK-Codebook* (see TS 38.212 [17], clause 7.3.1.2.2 and TS 38.213 [13], clauses 7.2.1, 9.1.2, 9.1.3 and 9.2.1). If this field is present, the field *pdsch-HARQ-ACK-Codebook* is ignored for the case at least two HARQ-ACK codebooks are simultaneously constructed. |
| ***pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup***  The PDSCH HARQ-ACK codebook is either semi-static or dynamic. This is applicable to both CA and none CA operation (see TS 38.213 [13], clauses 9.1.2 and 9.1.3). It is configured for secondary PUCCH group*.* |
| ***pdsch-HARQ-ACK-OneShotFeedback***  When configured, the DCI\_format 1\_1 can request the UE to report A/N for all HARQ processes and all CCs configured in the PUCCH group (see TS 38.212 [17], clause 7.3.1). |
| ***pdsch-HARQ-ACK-OneShotFeedbackCBG***  When configured, the DCI\_format 1\_1 can request the UE to include CBG level A/N for each CC with CBG level transmission configured. When not configured, the UE will report TB level A/N even if CBG level transmission is configured for a CC.The network configures this only when *pdsch-HARQ-ACK-OneShotFeedback* is configured. |
| ***pdsch-HARQ-ACK-OneShotFeedbackNDI***  When configured, the DCI\_format 1\_1 can request the UE to include NDI for each A/N reported.The network configures this only when *pdsch-HARQ-ACK-OneShotFeedback* is configured. |
| ***sizeDCI-2-6***  Size of DCI format 2-6 (see TS 38.213 [13], clause 11.5). |
| ***sp-CSI-RNTI***  RNTI for Semi-Persistent CSI reporting on PUSCH (see *CSI-ReportConfig*) (see TS 38.214 [19], clause 5.2.1.5.2). Network always configures the UE with a value for this field when at least one *CSI-ReportConfig* with *reportConfigType* set to *semiPersistentOnPUSCH* is configured. |
| ***tpc-PUCCH-RNTI***  RNTI used for PUCCH TPC commands on DCI (see TS 38.213 [13], clause 10.1). |
| ***tpc-PUSCH-RNTI***  RNTI used for PUSCH TPC commands on DCI (see TS 38.213 [13], clause 10.1). |
| ***tpc-SRS-RNTI***  RNTI used for SRS TPC commands on DCI (see TS 38.213 [13], clause 10.1). |
| ***ul-TotalDAI-Included***  Indicaes whether the total DAI fields of the additonal PDSCH group is included in the non-fallback UL grant DCI (see TS 38.212 [17], clause 7.3.1). The network configures this only when enhanced dynamic codebook is configured (*pdsch-HARQ-ACK-Codebook* is set to *enhancedDynamic*). |
| ***xScale***  The UE is allowed to drop NR only if the power scaling applied to NR results in a difference between scaled and unscaled NR UL of more than *xScale* dB (see TS 38.213 [13]). If the value is not configured for dynamic power sharing, the UE assumes default value of 6 dB. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *MCG-Only* | This field is optionally present, Need R, in the *PhysicalCellGroupConfig* of the MCG. It is absent otherwise. |
| *SCG-Only* | This field is optionally present, Need S, in the *PhysicalCellGroupConfig* of the SCG in (NG)EN-DC as defined in TS 38.213 [13]. It is absent otherwise. |
| *twoPUCCHgroup* | This field is optionally present, Need R, if secondary PUCCH group is configured. It is absent otherwise. |

>>Skipped unchanged parts

>>Skipped unchanged parts

#### – *PUCCH-Config*

The IE *PUCCH-Config* is used to configure UE specific PUCCH parameters (per BWP).

*PUCCH-Config* information element

-- ASN1START

-- TAG-PUCCH-CONFIG-START

PUCCH-Config ::= SEQUENCE {

resourceSetToAddModList SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceSets)) OF PUCCH-ResourceSet OPTIONAL, -- Need N

resourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceSets)) OF PUCCH-ResourceSetId OPTIONAL, -- Need N

resourceToAddModList SEQUENCE (SIZE (1..maxNrofPUCCH-Resources)) OF PUCCH-Resource OPTIONAL, -- Need N

resourceToReleaseList SEQUENCE (SIZE (1..maxNrofPUCCH-Resources)) OF PUCCH-ResourceId OPTIONAL, -- Need N

format1 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

format2 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

format3 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

format4 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

schedulingRequestResourceToAddModList SEQUENCE (SIZE (1..maxNrofSR-Resources)) OF SchedulingRequestResourceConfig

OPTIONAL, -- Need N

schedulingRequestResourceToReleaseList SEQUENCE (SIZE (1..maxNrofSR-Resources)) OF SchedulingRequestResourceId

OPTIONAL, -- Need N

multi-CSI-PUCCH-ResourceList SEQUENCE (SIZE (1..2)) OF PUCCH-ResourceId OPTIONAL, -- Need M

dl-DataToUL-ACK SEQUENCE (SIZE (1..8)) OF INTEGER (0..15) OPTIONAL, -- Need M

spatialRelationInfoToAddModList SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos)) OF PUCCH-SpatialRelationInfo

OPTIONAL, -- Need N

spatialRelationInfoToReleaseList SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos)) OF PUCCH-SpatialRelationInfoId

OPTIONAL, -- Need N

pucch-PowerControl PUCCH-PowerControl OPTIONAL, -- Need M

...,

[[

resourceToAddModList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-Resources)) OF PUCCH-Resource-r16 OPTIONAL, -- Need N

dl-DataToUL-ACK-r16 SEQUENCE (SIZE (1..8)) OF INTEGER (-1..15) OPTIONAL, -- Need M

dl-DCI-triggered-UL-ChannelAccess-CPextList-r16 SEQUENCE (SIZE (1..16)) OF INTEGER (0..15) OPTIONAL, -- Need M

subslotLengthForPUCCH-r16 ENUMERATED {n2,n7} OPTIONAL, -- Need M

dl-DataToUL-ACK-ForDCI-Format1-2-r16 SEQUENCE (SIZE (1..8)) OF INTEGER (0..15) OPTIONAL, -- Need M

numberOfBitsForPUCCH-ResourceIndicatorForDCI-Format1-2-r16 INTEGER (0..3) OPTIONAL, -- Need M

dmrs-UplinkTransformPrecodingPUCCH-r16 ENUMERATED {enabled} OPTIONAL, -- Cond PI2-BPSK

spatialRelationInfoToAddModList-r16 PUCCH-SpatialRelationInfoList-r16 OPTIONAL, -- Need N

spatialRelationInfoToReleaseList-r16 PUCCH-SpatialRelationInfoIdList-r16 OPTIONAL, -- Need N

resourceGroupToAddModList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceGroups-r16)) OF PUCCH-ResourceGroup-r16

OPTIONAL, -- Need N

resourceGroupToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceGroups-r16)) OF PUCCH-ResourceGroupId-r16

OPTIONAL -- Need N

]]

}

PUCCH-FormatConfig ::= SEQUENCE {

interslotFrequencyHopping ENUMERATED {enabled} OPTIONAL, -- Need R

additionalDMRS ENUMERATED {true} OPTIONAL, -- Need R

maxCodeRate PUCCH-MaxCodeRate OPTIONAL, -- Need R

nrofSlots ENUMERATED {n2,n4,n8} OPTIONAL, -- Need S

pi2BPSK ENUMERATED {enabled} OPTIONAL, -- Need R

simultaneousHARQ-ACK-CSI ENUMERATED {true} OPTIONAL -- Need R

}

PUCCH-MaxCodeRate ::= ENUMERATED {zeroDot08, zeroDot15, zeroDot25, zeroDot35, zeroDot45, zeroDot60, zeroDot80}

-- A set with one or more PUCCH resources

PUCCH-ResourceSet ::= SEQUENCE {

pucch-ResourceSetId PUCCH-ResourceSetId,

resourceList SEQUENCE (SIZE (1..maxNrofPUCCH-ResourcesPerSet)) OF PUCCH-ResourceId,

maxPayloadSize INTEGER (4..256) OPTIONAL -- Need R

}

PUCCH-ResourceSetId ::= INTEGER (0..maxNrofPUCCH-ResourceSets-1)

PUCCH-Resource ::= SEQUENCE {

pucch-ResourceId PUCCH-ResourceId,

startingPRB PRB-Id,

intraSlotFrequencyHopping ENUMERATED { enabled } OPTIONAL, -- Need R

secondHopPRB PRB-Id OPTIONAL, -- Need R

format CHOICE {

format0 PUCCH-format0,

format1 PUCCH-format1,

format2 PUCCH-format2,

format3 PUCCH-format3,

format4 PUCCH-format4

}

}

PUCCH-Resource-r16 ::= SEQUENCE {

pucch-ResourceId PUCCH-ResourceId,

interlaceAllocation-r16 SEQUENCE {

rb-SetIndex INTEGER (0..4),

interlace0 CHOICE {

scs15 INTEGER (0..9),

scs30 INTEGER (0..4)

}

},

format CHOICE {

format0 PUCCH-format0,

format1 PUCCH-format1,

format2 PUCCH-format2-r16,

format3 PUCCH-format3-r16,

format4 PUCCH-format4

}

}

PUCCH-ResourceId ::= INTEGER (0..maxNrofPUCCH-Resources-1)

PUCCH-format0 ::= SEQUENCE {

initialCyclicShift INTEGER(0..11),

nrofSymbols INTEGER (1..2),

startingSymbolIndex INTEGER(0..13)

}

PUCCH-format1 ::= SEQUENCE {

initialCyclicShift INTEGER(0..11),

nrofSymbols INTEGER (4..14),

startingSymbolIndex INTEGER(0..10),

timeDomainOCC INTEGER(0..6)

}

PUCCH-format2 ::= SEQUENCE {

nrofPRBs INTEGER (1..16),

nrofSymbols INTEGER (1..2),

startingSymbolIndex INTEGER(0..13)

}

PUCCH-format2-r16 ::= SEQUENCE {

nrofSymbols INTEGER (1..2),

startingSymbolIndex INTEGER (0..13),

interlace1-r16 INTEGER (0..9) OPTIONAL, -- Need M

occ-Length-r16 OCC-Length-r16 OPTIONAL, -- Need M

occ-Index-r16 OCC-Index-r16 OPTIONAL -- Need M

}

PUCCH-format3 ::= SEQUENCE {

nrofPRBs INTEGER (1..16),

nrofSymbols INTEGER (4..14),

startingSymbolIndex INTEGER(0..10)

}

PUCCH-format3-r16 ::= SEQUENCE {

nrofSymbols INTEGER (4..14),

startingSymbolIndex INTEGER (0..10),

interlace1-r16 INTEGER (0..9) OPTIONAL, -- Need M

occ-Length-r16 OCC-Length-r16 OPTIONAL, -- Need M

occ-Index-r16 OCC-Index-r16 OPTIONAL -- Need M

}

PUCCH-format4 ::= SEQUENCE {

nrofSymbols INTEGER (4..14),

occ-Length ENUMERATED {n2,n4},

occ-Index ENUMERATED {n0,n1,n2,n3},

startingSymbolIndex INTEGER(0..10)

}

OCC-Length-r16 ::= ENUMERATED {n2,n4}

OCC-Index-r16 ::= ENUMERATED {n0,n1,n2,n3}

PUCCH-SpatialRelationInfoList-r16 ::= SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos-r16)) OF PUCCH-SpatialRelationInfo-r16

PUCCH-SpatialRelationInfoIdList-r16 ::= SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos-r16)) OF PUCCH-SpatialRelationInfoId-r16

PUCCH-ResourceGroup-r16 ::= SEQUENCE {

pucch-ResourceGroupId-r16 PUCCH-ResourceGroupId-r16,

resourcePerGroupList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-ResourcesPerGroup-r16)) OF PUCCH-ResourceId

}

PUCCH-ResourceGroupId-r16 ::= INTEGER (0..maxNrofPUCCH-ResourceGroups-1-r16)

-- TAG-PUCCH-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *PUCCH-Config* field descriptions |
| ***dl-DataToUL-ACK, dl-DataToUL-ACK-ForDCI-Format1-2***  List of timing for given PDSCH to the DL ACK (see TS 38.213 [13], clause 9.1.2). The field *dl-DataToUL-ACK* refers to DCI format 1\_1 and the field *dl-DataToUL-ACKForDCI-Format1-2* refers to DCI format 1\_2, respectively (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.2.3). If *dl-DataToUL-ACK-r16* is signalled, UE shall ignore the *dl-DataToUL-ACK* (without suffix). The value -1 corresponds to “non-numerical value” for the case where the A/N feedback timing is not explicitly included at the time of scheduling PDSCH. |
| ***dl-dci-triggered-UL-ChannelAccess-CPextList***  List of the combinations of CP extension and UL channel access type (See TS 38.212 [17], Clause 7.3.1). |
| ***dmrs-UplinkTransformPrecodingPUCCH***  This field is used for PUCCH formats 3 and 4 according to TS 38.211, Clause 6.4.1.3.3.1. |
| ***format1***  Parameters that are common for all PUCCH resources of format 1. |
| ***format2***  Parameters that are common for all PUCCH resources of format 2. |
| ***format3***  Parameters that are common for all PUCCH resources of format 3. |
| ***format4.***  Parameters that are common for all PUCCH resources of format 4 |
| ***numberOfBitsForPUCCH-ResourceIndicatorForDCI-Format1-2***  Configuration of the number of bits for "PUCCH resource indicator" in DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.2.3). |
| ***resourceGroupToAddModList, resourceGroupToReleaseList***  Lists for adding and releasing groups of PUCCH resources that can be updated simultaneously for spatial relations with a MAC CE |
| ***resourceSetToAddModList, resourceSetToReleaseList***  Lists for adding and releasing PUCCH resource sets (see TS 38.213 [13], clause 9.2). |
| ***resourceToAddModList, resourceToReleaseList***  Lists for adding and releasing PUCCH resources applicable for the UL BWP and serving cell in which the *PUCCH-Config* is defined. The resources defined herein are referred to from other parts of the configuration to determine which resource the UE shall use for which report. |
| ***spatialRelationInfoToAddModList***  Configuration of the spatial relation between a reference RS and PUCCH. Reference RS can be SSB/CSI-RS/SRS. If the list has more than one element, MAC-CE selects a single element (see TS 38.321 [3], clause 5.18.8 and TS 38.213 [13], clause 9.2.2). |
| ***subslotLengthForPUCCH***  Indicate the sub-slot length for sub-slot based PUCCH feedback in number of symbols (see TS 38.213 [13], clause 9.1). Value *n2* corresponds to 2 symbols, value *n7* corresponds to 7 symbols. |

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| *PUCCH-format3* field descriptions |
| ***interlace1***  A second interlace, in addition to interlace 0, as specified in TS 38.213 [13], clause 9.2.1. For 15KHz SCS, values {0..9} are applicable; for 30Khz SCS, values {0..4} are applicable. For 15kHz SCS, the values of *interlace1* shall satisfy *interlace1*=mod(*interlace0*+X,10) where X=1, -1, or 5. |
| ***nrofPRBs***  The supported values are 1,2,3,4,5,6,8,9,10,12,15 and 16. |
| ***occ-Index***  Indicates the orthogonal cover code index (see TS 38.213 [13], clause 9.2.1). Applicable when *useInterlacePUCCH-Dedicated-r16* is configured and *interlace1* is not configured. |
| ***occ-Length***  Indicates the orthogonal cover code length (see TS 38.213 [13], clause 9.2.1). Applicable when *useInterlacePUCCH-Dedicated-r16* is configured and *interlace1* is not configured. |

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| *PUCCH-FormatConfig* field descriptions |
| ***additionalDMRS***  If the field is present, the UE enables 2 DMRS symbols per hop of a PUCCH Format 3 or 4 if both hops are more than X symbols when FH is enabled (X=4). And it enables 4 DMRS symbols for a PUCCH Format 3 or 4 with more than 2X+1 symbols when FH is disabled (X=4). The field is not applicable for format 1 and 2. See TS 38.213 [13], clause 9.2.2. |
| ***interlace0***  This is the only interlace of interlaced PUCCH Format 0 and 1 and the first interlace for interlaced PUCCH Format 2 and 3. |
| ***interslotFrequencyHopping***  If the field is present, the UE enables inter-slot frequency hopping when PUCCH Format 1, 3 or 4 is repeated over multiple slots. For long PUCCH over multiple slots, the intra and inter slot frequency hopping cannot be enabled at the same time for a UE. The field is not applicable for format 2. See TS 38.213 [13], clause 9.2.6. |
| ***maxCodeRate***  Max coding rate to determine how to feedback UCI on PUCCH for format 2, 3 or 4. The field is not applicable for format 1. See TS 38.213 [13], clause 9.2.5. |
| ***nrofSlots***  Number of slots with the same PUCCH F1, F3 or F4. When the field is absent the UE applies the value *n1*. The field is not applicable for format 2. See TS 38.213 [13], clause 9.2.6. |
| ***pi2BPSK***  If the field is present, the UE uses pi/2 BPSK for UCI symbols instead of QPSK for PUCCH. The field is not applicable for format 1 and 2. See TS 38.213 [13], clause 9.2.5. |
| ***rb-SetIndex***  Indicates the RB set where PUCCH resource is allocated. |
| ***simultaneousHARQ-ACK-CSI***  If the field is present, the UE uses simultaneous transmission of CSI and HARQ-ACK feedback with or without SR with PUCCH Format 2, 3 or 4. See TS 38.213 [13], clause 9.2.5. When the field is absent the UE applies the value *off.* The field is not applicable for format 1. |

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| --- |
| *PUCCH-Resource* field descriptions |
| ***format***  Selection of the PUCCH format (format 0 – 4) and format-specific parameters, see TS 38.213 [13], clause 9.2. *format0* and *format1* are only allowed for a resource in a first PUCCH resource set. *format2*, *format3* and *format4* are only allowed for a resource in non-first PUCCH resource set. |
| ***intraSlotFrequencyHopping***  Enabling intra-slot frequency hopping, applicable for all types of PUCCH formats. For long PUCCH over multiple slots, the intra and inter slot frequency hopping cannot be enabled at the same time for a UE. See TS 38.213 [13], clause 9.2.1. |
| ***pucch-ResourceId***  Identifier of the PUCCH resource. |
| ***secondHopPRB***  Index of first PRB after frequency hopping of PUCCH. This value is applicable for intra-slot frequency hopping (see TS 38.213 [13], clause 9.2.1) or inter-slot frequency hopping (see TS 38.213 [13], clause 9.2.6). |

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| *PUCCH-ResourceSet* field descriptions |
| ***maxPayloadSize***  Maximum number of UCI information bits that the UE may transmit using this PUCCH resource set (see TS 38.213 [13], clause 9.2.1). In a PUCCH occurrence, the UE chooses the first of its *PUCCH-ResourceSet* which supports the number of bits that the UE wants to transmit. The field is absent in the first set (Set0) and in the last configured set since the UE derives the maximum number of UCI information bits as specified in TS 38.213 [13], clause 9.2.1. This field can take integer values that are multiples of 4. |
| ***resourceList***  PUCCH resources of *format0* and *format1* are only allowed in the first PUCCH resource set, i.e., in a PUCCH-ResourceSet with *pucch-ResourceSetId* = 0. This set may contain between 1 and 32 resources. PUCCH resources of *format2*, *format3* and *format4* are only allowed in a *PUCCH-ResourceSet* with *pucch-ResourceSetId* > 0. If present, these sets contain between 1 and 8 resources each. The UE chooses a *PUCCH-Resource* from this list as specified in TS 38.213 [13], clause 9.2.3. Note that this list contains only a list of resource IDs. The actual resources are configured in *PUCCH-Config*. |

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| Conditional Presence | Explanation |
| *PI2-BPSK* | The field is optionally present, Need R, if *format3* and/or *format4* are configured and *pi2BPSK* is configured in each of them. It is absent, Need R otherwise. |

#### – *PUSCH-Config*

The IE *PUSCH-Config* is used to configure the UE specific PUSCH parameters applicable to a particular BWP.

*PUSCH-Config* information element

-- ASN1START

-- TAG-PUSCH-CONFIG-START

PUSCH-Config ::= SEQUENCE {

dataScramblingIdentityPUSCH INTEGER (0..1023) OPTIONAL, -- Need S

txConfig ENUMERATED {codebook, nonCodebook} OPTIONAL, -- Need S

dmrs-UplinkForPUSCH-MappingTypeA SetupRelease { DMRS-UplinkConfig } OPTIONAL, -- Need M

dmrs-UplinkForPUSCH-MappingTypeB SetupRelease { DMRS-UplinkConfig } OPTIONAL, -- Need M

pusch-PowerControl PUSCH-PowerControl OPTIONAL, -- Need M

frequencyHopping ENUMERATED {intraSlot, interSlot} OPTIONAL, -- Need S

frequencyHoppingOffsetLists SEQUENCE (SIZE (1..4)) OF INTEGER (1.. maxNrofPhysicalResourceBlocks-1)

OPTIONAL, -- Need M

resourceAllocation ENUMERATED { resourceAllocationType0, resourceAllocationType1, dynamicSwitch},

pusch-TimeDomainAllocationList SetupRelease { PUSCH-TimeDomainResourceAllocationList } OPTIONAL, -- Need M

pusch-AggregationFactor ENUMERATED { n2, n4, n8 } OPTIONAL, -- Need S

mcs-Table ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

mcs-TableTransformPrecoder ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

transformPrecoder ENUMERATED {enabled, disabled} OPTIONAL, -- Need S

codebookSubset ENUMERATED {fullyAndPartialAndNonCoherent, partialAndNonCoherent,nonCoherent}

OPTIONAL, -- Cond codebookBased

maxRank INTEGER (1..4) OPTIONAL, -- Cond codebookBased

rbg-Size ENUMERATED { config2} OPTIONAL, -- Need S

uci-OnPUSCH SetupRelease { UCI-OnPUSCH} OPTIONAL, -- Need M

tp-pi2BPSK ENUMERATED {enabled} OPTIONAL, -- Need S

...,

[[

minimumSchedulingOffsetK2-r16 SetupRelease { MinSchedulingOffsetK2-Values-r16 } OPTIONAL, -- Need M

ul-dci-triggered-UL-ChannelAccess-CPext-CAPC-List-r16 SEQUENCE (SIZE (1..64)) OF INTEGER (0..63) OPTIONAL, -- Need M

pusch-RepTypeIndicator SEQUENCE {

pusch-RepTypeIndicatorForDCI-Format0-2-r16 ENUMERATED { pusch-RepTypeA, pusch-RepTypeB} OPTIONAL, -- Need M

pusch-RepTypeIndicatorForDCI-Format0-1-r16 ENUMERATED { pusch-RepTypeA, pusch-RepTypeB} OPTIONAL -- Need M

},

configurableFieldForDCI-Format0-2 SEQUENCE {

harq-ProcessNumberSizeForDCI-Format0-2-r16 INTEGER (0..4) OPTIONAL, -- Need M

dmrs-SequenceInitializationForDCI-Format0-2-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

numberOfBitsForRV-ForDCI-Format0-2-r16 INTEGER (0..2) OPTIONAL, -- Need M

...

},

resourceAllocationType1GranularityForDCI-Format0-2-r16 ENUMERATED { n2,n4,n8,n16 } OPTIONAL, -- Need S

frequencyHoppingForDCI-Format0-2-r16 CHOICE {

pusch-RepTypeA ENUMERATED {intraSlot, interSlot},

pusch-RepTypeB ENUMERATED {interRepetition, interSlot}

} OPTIONAL, -- Need S

frequencyHoppingOffsetListsForDCI-Format0-2-r16 SEQUENCE (SIZE (1..4)) OF INTEGER (1.. maxNrofPhysicalResourceBlocks-1)

OPTIONAL, -- Need M

uci-OnPUSCH-ForDCI-Format0-2-r16 SetupRelease { UCI-OnPUSCH-ForDCI-Format0-2-r16 } OPTIONAL, -- Need M

uci-OnPUSCH-ListForDCI-Format0-2-r16 SEQUENCE (SIZE (1..2)) OF UCI-OnPUSCH-ForDCI-Format0-2-r16 OPTIONAL, -- Need M

uci-OnPUSCH-ListForDCI-Format0-1-r16 SEQUENCE (SIZE (1..2)) OF UCI-OnPUSCH OPTIONAL, -- Need M

pusch-TimeDomainAllocationListForDCI-Format0-2-r16 SetupRelease { PUSCH-TimeDomainResourceAllocationListNew-r16 }

OPTIONAL, -- Need M

pusch-TimeDomainAllocationListForDCI-Format0-1-r16 SetupRelease { PUSCH-TimeDomainResourceAllocationListNew-r16 }

OPTIONAL, -- Need M

maxRankForDCI-Format0-2-r16 INTEGER (1..4) OPTIONAL, -- Cond codebookBased

codebookSubsetForDCI-Format0-2-r16 ENUMERATED {fullyAndPartialAndNonCoherent, partialAndNonCoherent,nonCoherent}

OPTIONAL, -- Cond codebookBased

dmrs-UplinkForPUSCH-MappingTypeA-ForDCI-Format0-2-r16 SetupRelease { DMRS-UplinkConfig } OPTIONAL, -- Need M

dmrs-UplinkForPUSCH-MappingTypeB-ForDCI-Format0-2-r16 SetupRelease { DMRS-UplinkConfig } OPTIONAL, -- Need M

mcs-TableForDCI-Format0-2-r16 ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

mcs-TableTransformPrecoderForDCI-Format0-2-r16 ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

resourceAllocationForDCI-Format0-2-r16 ENUMERATED { resourceAllocationType0, resourceAllocationType1, dynamicSwitch},

priorityIndicator SEQUENCE {

priorityIndicatorForDCI-Format0-2-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

priorityIndicatorForDCI-Format0-1-r16 ENUMERATED {enabled} OPTIONAL -- Need S

} OPTIONAL, -- Need N

invalidSymbolPatternIndicator SEQUENCE {

invalidSymbolPatternIndicatorForDCI-Format0-1-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

invalidSymbolPatternIndicatorForDCI-Format0-2-r16 ENUMERATED {enabled} OPTIONAL -- Need S

} OPTIONAL, -- Need N

frequencyHoppingForDCI-Format0-1-r16 ENUMERATED {interRepetition, interSlot} OPTIONAL, -- Cond RepTypeB

invalidSymbolPattern-r16 InvalidSymbolPattern-r16 OPTIONAL, -- Need S

pusch-PowerControl-v16xy PUSCH-PowerControl-v16xy OPTIONAL, -- Need M

ul-FullPowerTransmission-r16 ENUMERATED {fullpower, fullpowerMode1, fullpoweMode2} OPTIONAL, -- Need R

pusch-TimeDomainAllocationListForMultiPUSCH-r16 SetupRelease { PUSCH-TimeDomainResourceAllocationListForMultiPUSCH-r16 }

OPTIONAL -- Need M

]]

}

UCI-OnPUSCH ::= SEQUENCE {

betaOffsets CHOICE {

dynamic SEQUENCE (SIZE (4)) OF BetaOffsets,

semiStatic BetaOffsets

} OPTIONAL, -- Need M

scaling ENUMERATED { f0p5, f0p65, f0p8, f1 }

}

MinSchedulingOffsetK2-Values-r16 ::= SEQUENCE (SIZE (1..maxNrOfMinSchedulingOffsetValues-r16)) OF INTEGER (0..maxK2-SchedulingOffset-r16)

UCI-OnPUSCH-ForDCI-Format0-2-r16 ::= SEQUENCE {

betaOffsetsForDCI-Format0-2-r16 CHOICE {

dynamicForDCI-Format0-2-r16 CHOICE {

oneBit-r16 SEQUENCE (SIZE (2)) OF BetaOffsets,

twoBits-r16 SEQUENCE (SIZE (4)) OF BetaOffsets

},

semiStaticForDCI-Format0-2-r16 BetaOffsets

} OPTIONAL, -- Need M

scalingForDCI-Format0-2-r16 ENUMERATED { f0p5, f0p65, f0p8, f1 }

}

-- TAG-PUSCH-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *PUSCH-Config* field descriptions |
| ***betaOffsetsForDCI-Format0-2***  Configuration of beta-offset for DCI format 0\_2. If semiStaticForDCI-Format0-2 is chosen, the UE shall apply the value of 0 bit for the field of beta offset indicator in DCI format 0\_2. If dynamicForDCI-Format0-2 is chosen, the UE shall apply the value of 1 bit or 2 bits for the field of beta offset indicator in DCI format 0\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13] clause 9.3). |
| ***codebookSubset, codebookSubsetForDCI-Format0-2***  Subset of PMIs addressed by TPMI, where PMIs are those supported by UEs with maximum coherence capabilities (see TS 38.214 [19], clause 6.1.1.1). The field *codebookSubset* refers to DCI format 0\_1 and the field *codebookSubsetForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.214 [19], clause 6.1.1.1). |
| ***dataScramblingIdentityPUSCH***  Identifier used to initalite data scrambling (c\_init) for PUSCH. If the field is absent, the UE applies the physical cell ID. (see TS 38.211 [16], clause 6.3.1.1). |
| ***dmrs-SequenceInitializationForDCI-Format0-2***  Configure whether the field "DMRS Sequence Initialization" is present or not in DCI format 0\_2. If the field is absent, then 0 bit for the field "DMRS Sequence Initialization" in DCI format 0\_2. If the field is present, then the number of bits is determined in the same way as DCI format 0\_1 (see TS 38.212 [17], clause 7.3.1). |
| ***dmrs-UplinkForPUSCH-MappingTypeA, dmrs-UplinkForPUSCH-MappingTypeA-Format0-2***  DMRS configuration for PUSCH transmissions using PUSCH mapping type A (chosen dynamically via *PUSCH-TimeDomainResourceAllocation*). Only the fields *dmrs-Type*, *dmrs-AdditionalPosition* and *maxLength* may be set differently for mapping type A and B. The field *dmrs-UplinkForPUSCH-MappingTypeA* refers to DCI format 0\_1 and the field *dmrs-UplinkForPUSCH-MappingTypeA-Format0-2* refers to DCI format 0\_2, respectively. If both *dmrs-UplinkForPUSCH-MappingTypeA-ForDCI-Format0-2* and *dmrs-UplinkForPUSCH-MappingTypeB-ForDCIFormat0-2* are absent, then 0 bit for "Antenna port(s)" in DCI format 0\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***dmrs-UplinkForPUSCH-MappingTypeB, dmrs-UplinkForPUSCH-MappingTypeB-Format0-2***  DMRS configuration for PUSCH transmissions using PUSCH mapping type B (chosen dynamically via *PUSCH-TimeDomainResourceAllocation*). Only the fields *dmrs-Type*, *dmrs-AdditionalPosition* and *maxLength* may be set differently for mapping type A and B. The field *dmrs-UplinkForPUSCH-MappingTypeB* refers to DCI format 0\_1 and the field *dmrs-UplinkForPUSCH-MappingTypeB-Format0-2* refers to DCI format 0\_2, respectively. If both *dmrs-UplinkForPUSCH-MappingTypeA-ForDCI-Format0-2* and *dmrs-UplinkForPUSCH-MappingTypeB-ForDCIFormat0-2* are absent, then 0 bit for "Antenna port(s)" in DCI format 0\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***frequencyHopping***  The value *intraSlot* enables 'Intra-slot frequency hopping' and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, frequency hopping is not configured (see TS 38.214 [19], clause 6.3). The field *frequencyHopping* refers to DCI formats other than DCI format 0\_2 for 'pusch-RepTypeA'. |
| ***frequencyHoppingForDCI-Format0-1***  Indicates the frequency hopping scheme for DCI format 0\_1 when *pusch-RepTypeIndicatorForDCI-Format0-1* is set to 'pusch-RepTypeB', The value *interRepetition* enables 'Inter-repetition frequency hopping', and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, frequency hopping is not configured for DCI format 0\_1 (see TS 38.214 [19], clause 6.1).  Editor's note: FFS on *intraRepetition* *for* frequencyHoppingForDCI-Format0-1. |
| ***frequencyHoppingForDCI-Format0-2***  Indicate the frequency hopping scheme for DCI format 0\_2. The value *intraSlot* enables 'intra-slot frequency hopping', and the value *interRepetition* enables 'Inter-repetition frequency hopping', and the value *interSlot* enables 'Inter-slot frequency hopping'. When *pusch-RepTypeIndicatorForDCI-Format0-2* is set to '*pusch-RepTypeA*', the frequency hopping scheme can be chosen between 'intra-slot frequency hopping and 'inter-slot frequency hopping' if enabled. When *pusch-RepTypeIndicatorForDCI-Format0-2* is set to '*pusch-RepTypeB'*, the frequency hopping scheme can be chosen between 'inter-repetition frequency hopping' and 'inter-slot frequency hopping' if enabled. If the field is absent, frequency hopping is not configured for DCI format 0\_2 (see TS 38.214 [19], clause 6.3).  Editor's note: FFS on *intraRepetition* for frequencyHoppingForDCI-Format0-2 if pusch-RepTypeIndicatorForDCI-Format0-2 is set to 'pusch-RepTypeB'. |
| ***frequencyHoppingOffsetLists, frequencyHoppingOffsetListsForDCI-Format0-2***  Set of frequency hopping offsets used when frequency hopping is enabled for granted transmission (not msg3) and type 2 configured grant activation (see TS 38.214 [19], clause 6.3). The field *frequencyHoppingOffsetLists* refers to DCI format 0\_0 or DCI format 0\_1 and the field *frequencyHoppingOffsetListsForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.214 [19], clause 6.3). |
| ***harq-ProcessNumberSizeForDCI-Format0-2***  Configure the number of bits for the field "HARQ process number" in DCI format 0\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***invalidSymbolPattern***  Indicates one pattern for invalid symbols for PUSCH transmission repetition type B applicable to both DCI format 0\_1 and 0\_2. If *InvalidSymbolPattern* is not configured, semi-static flexible symbols are used for PUSCH. Segmentation occurs only around semi-static DL symbols. If *invalidSymbolPattern* is configured and *invalidSymbolPatternIndicatorForDCI-Format0-2* is not configured, segmentation occurs around semi-static DL symbols and invalid symbols in the pattern, and the remaining symbols are used for PUSCH (see TS 38.214 [19] clause 6.1). |
| ***invalidSymbolPatternIndicatorForDCI-Format0-1, invalidSymbolPatternIndicatorForDCI-Format0-2***  Indicates the presence of an additional bit in the DCI format 0\_1/0\_2 to indicate whether the pattern applies or not. If *invalidSymbolPattern* is not configured, then 0 bit for "Invalid Symbol Pattern Indicator" in DCI format 0\_1/0\_2. The field *invalidSymbolPatternIndicatorForDCI-Format0-1* refers to the DCI format 0\_1 and the field *invalidSymbolPatternIndicatorForDCI-Format0-1* refers to DCI format 0\_2 (see TS 38.214 [19] clause 6.1). |
| ***maxRank, maxRankForDCI-Format0-2***  Subset of PMIs addressed by TRIs from 1 to ULmaxRank (see TS 38.214 [19], clause 6.1.1.1). The field *maxRank* refers to DCI format 0\_0 or DCI format 0\_1 and the field *maxRankForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.214 [19], clause 6.1.1.1). |
| ***mcs-Table, mcs-TableFormat0-2***  Indicates which MCS table the UE shall use for PUSCH without transform precoder (see TS 38.214 [19], clause 6.1.4.1). If the field is absent the UE applies the value 64QAM. The field *mcs-Table* refers to DCI format 0\_0 or DCI format 0\_1 and the field *mcs-TableForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.214 [19], clause 6.1.4.1). |
| ***mcs-TableTransformPrecoder, mcs-TableTransformPrecoderFormat0-2***  Indicates which MCS table the UE shall use for PUSCH with transform precoding (see TS 38.214 [19], clause 6.1.4.1) If the field is absent the UE applies the value 64QAM. The field *mcs-TableTransformPrecoder* refers to DCI format 0\_0 or DCI format 0\_1 and the field *mcs-TableTransformPrecoderForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.214 [19], clause 6.1.4.1). |
| ***minimumSchedulingOffsetK2***  List of minimum K2 values. Minimum K2 parameter denotes minimum applicable value(s) for the *Time domain resource assignment* table for PUSCH (see TS 38.214 [19], clause 6.1.2.1). |
| ***numberOfBitsRV-ForDCI-Format0-2***  Configures the number of bits for "Redundancy version" in the DCI format 0\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.214 [19], clause 6.1.2.1). |
| ***priorityIndicatorForDCI-Format0-1, numberOfBitsRV-ForDCI-Format0-2***  Configures the presence of "priority indicator" in DCI format 0\_1/0\_2. When the field is absent in the IE, then the UE shall apply 0 bit for "Priority indicator" in DCI format 0\_1/0\_2. The field *priorityIndicatorForDCI-Format0-1* refers to DCI format 0\_1 and the field *priorityIndicatorForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.212 [17] clause 7.3.1 and TS 38.213 [13] clause 9).  Editor's note: Please note that for now we only have agreement to use this 1-bit field in DCI when only DCI format 0\_1/1\_1 is configured or only DCI format 0\_2/1\_2 is configured in USS per BWP. Further update on the description here may be updated depending on further agreement on other cases. |
| ***pusch-AggregationFactor***  Number of repetitions for data (see TS 38.214 [19], clause 6.1.2.1). If the field is absent the UE applies the value 1. |
| ***pusch-RepTypeIndicatorForDCI-Format0-1, pusch-RepTypeIndicatorForDCI-Format0-2***  Indicates whether UE follows the behavior for "PUSCH repetition type A" or the behavior for "PUSCH repetition type B" for the PUSCH scheduled by DCI format 0\_1/0\_2 and for Type 2 CG associated with the activating DCI format 0\_1/0\_2.The value *pusch-RepTypeA* enables the 'PUSCH repetition type A' and the value *pusch-RepTypeB* enables the 'PUSCH repetition type B'. The field *pusch-RepTypeIndicatorForDCI-Format0-1* refers to DCI format 0\_1 and the field *pusch-RepTypeIndicatorForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.214 [19], clause 6.1.2.1). |
| ***pusch-TimeDomainAllocationList***  List of time domain allocations for timing of UL assignment to UL data (see TS 38.214 [19], table 6.1.2.1.1-1). The field *pusch-TimeDomainAllocationList* refers to DCI formats 0\_0 or DCI format 0\_1 when the field *pusch-TimeDomainAllocationListForDCI-Format0-1* is not configured (see TS 38.214 [19], table 6.1.2.1.1-1 and table 6.1.2.1.1-1A). |
| ***pusch-TimeDomainAllocationListForDCI-Format0-1***  Configuration of the time domain resource allocation (TDRA) table for DCI format 0\_1 (see TS 38.214 [19], clause 6.2.1, table 6.1.2.1.1-1A). |
| ***pusch-TimeDomainAllocationListForDCI-Format0-2***  Configuration of the time domain resource allocation (TDRA) table for DCI format 0\_2 (see TS 38.214 [19], clause 6.2.1, table 6.1.2.1.1-1B). |
| ***pusch-TimeDomainAllocationListForMultiPUSCH***  Configuration of the time domain resource allocation (TDRA) table for multiple PUSCH (see TS 38.214 [19], clause 6.1.2). |
| ***rbg-Size***  Selection between configuration 1 and configuration 2 for RBG size for PUSCH. The UE does not apply this field if *resourceAllocation* is set to *resourceAllocationType1*. Otherwise, the UE applies the value *config1* when the field is absent (see TS 38.214 [19], clause 6.1.2.2.1). |
| ***resourceAllocation, resourceAllocationForDCI-Format0-2***  Configuration of resource allocation type 0 and resource allocation type 1 for non-fallback DCI (see TS 38.214 [19], clause 6.1.2). The field *resourceAllocation* refers to DCI format 0\_1 and the field *resourceAllocationForDCI-Format0-2* refers to DCI format 0\_2, respectively (see TS 38.214 [19], clause 6.1.2). |
| ***resourceAllocationType1GranularityForDCI-Format0-2***  Configures the scheduling granularity applicable for both the starting point and length indication for resource allocation type 1 in DCI format 0\_2. If this field is absent, the granularity is 1 PRB (see TS 38.214 [19], clause 6.1.2.2.2). |
| ***tp-pi2BPSK***  Enables pi/2-BPSK modulation with transform precoding if the field is present and disables it otherwise. |
| ***transformPrecoder***  The UE specific selection of transformer precoder for PUSCH (see TS 38.214 [19], clause 6.1.3). When the field is absent the UE applies the value of the field *msg3-transformPrecoder*. |
| ***txConfig***  Whether UE uses codebook based or non-codebook based transmission (see TS 38.214 [19], clause 6.1.1). If the field is absent, the UE transmits PUSCH on one antenna port, see TS 38.214 [19], clause 6.1.1. |
| ***uci-OnPUSCH-ListForDCI-Format0-1, uci-OnPUSCH-ListForDCI-Format0-2***  Configuration for up to 2 HARQ-ACK codebooks specific to DCI format 0\_1/0\_2. The field uci-OnPUSCH-ListForDCI-Format0-1 refers to DCI format 0\_1 and the field uci-OnPUSCH-ListForDCI-Format0-2 refers to DCI format 0\_2, respectively (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13] clause 9.3).  Editor's note: FFS on the definition for uci-OnPUSCH-ListForDCI-Format0-2. |
| ***ul-dci-triggered-UL-ChannelAccess-CPext-CAPC-List***  List of the combinations of CP extension and UL channel access type (See TS 38.212 [17], Table 7.3.1-2-35). |
| ***ul-FullPowerTransmission***  Configures the UE with UL full power transmission mode as specified in TS 38.213. |

|  |
| --- |
| *UCI-OnPUSCH* field descriptions |
| ***betaOffsets***  Selection between and configuration of dynamic and semi-static beta-offset for DCI formats other than DCI format 0\_2. If the field is not configured, the UE applies the value 'semiStatic' (see TS 38.213 [13], clause 9.3). |
| ***scaling***  Indicates a scaling factor to limit the number of resource elements assigned to UCI on PUSCH for DCI formats other than DCI format 0\_2. Value *f0p5* corresponds to 0.5, value *f0p65* corresponds to 0.65, and so on. The value configured herein is applicable for PUSCH with configured grant (see TS 38.212 [17], clause 6.3). |

|  |
| --- |
| *UCI-OnPUSCH-ForDCI-Format0-2 field descriptions* |
| ***betaOffsetsForDCI-Format0-2***  Selection between and configuration of dynamic and semi-static beta-offset for DCI Format 0\_2. If the field is not configured, the UE applies the value 'semiStatic' (see TS 38.213 [13], clause 9.3). |
| ***dynamicForDCI-Format0-2***  Indicates the UE applies the value 'dynamic' for DCI Format 0\_2. If '*OneBi*'' is chosen, 2 offset indexes can be configured. Otherwise if '*TwoBits*' is chosen, 4 offset indexes can be configured (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.3). |
| ***semiStaticForDCI-Format0-2***  Indicates the UE applies the value 'semiStatic' for DCI Format 0\_2. (see TS 38.212 [17], clause 7.3.1 and see TS 38.213 [13], clause 9.3). |
| ***scalingForDCI-Format0-2***  Indicates a scaling factor to limit the number of resource elements assigned to UCI on PUSCH for DCI Format 0\_2. Value f0p5 corresponds to 0.5, value *f0p65* corresponds to 0.65, and so on (see TS 38.212 [17], clause 6.3).  Editor's note: Whether the scaling is shared or separate for DCI format 0\_1 and DCI format 0\_2.  Editor's note: Whether and how to apply the scaling for PUSCH with configured grant. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *codebookBased* | The field is mandatory present if *txConfig* is set to codebook and absent otherwise. |
| *RepTypeB* | The field is optionally present, Need S, if *pusch-RepTypeIndicatorForDCI-Format0-1* is set to pusch-RepTypeB. It is absent otherwise. |

>>Skipped unchanged parts

#### – *PUSCH-TimeDomainResourceAllocationListForMultiPUSCH*

The IE *PUSCH-TimeDomainResourceAllocationListForMultiPUSCH* is used to configure a time domain relation between PDCCH and scheduling multiple PUSCH. Each row in the TDRA table indicates multiple PUSCHs continuous in time domain which share a common k2.

*PUSCH-TimeDomainResourceAllocationListForMultiPUSCH* information element

-- ASN1START

-- TAG-PUSCH-TIMEDOMAINRESOURCEALLOCATIONLISTFORMULTIPUSCH-START

PUSCH-TimeDomainResourceAllocationListForMultiPUSCH-r16 ::= SEQUENCE (SIZE(1..maxNrofUL-Allocations-r16)) OF PUSCH-TimeDomainResourceAllocationListForMultiPUSCH-r16

PUSCH-TimeDomainResourceAllocationForMultiPUSCH-r16 ::= SEQUENCE {

k2-r16 INTEGER (0..32) OPTIONAL, -- Need S

multiplePUSCH-Allocations-r16             SEQUENCE (SIZE(2..maxNrofMultiplePUSCHs-r16)) OF SinglePUSCH-TimeDomainResourceAllocation-r16,

...

}

SinglePUSCH-TimeDomainResourceAllocation-r16 ::= SEQUENCE {

mappingType ENUMERATED {typeA, typeB},

startSymbolAndLength INTEGER (0..127)

}

-- TAG-PUSCH-TIMEDOMAINRESOURCEALLOCATIONLISTFORMULTIPUSCH-STOP

-- ASN1STOP

|  |
| --- |
| *PUSCH-TimeDomainResourceAllocationListForMultiPUSCH* field descriptions |
| ***k2***  Corresponds to L1 parameter 'K2' (see TS 38.214 [19], clause 6.1.2.1) for DCI format 0\_1/0\_2. When the field is absent the UE applies the value 1 when PUSCH SCS is 15/30 kHz; the value 2 when PUSCH SCS is 60 kHz, and the value 3 when PUSCH SCS is 120KHz. |
| ***mappingType***  Mapping type for DCI format 0\_1/0\_2 (see TS 38.214 [19], clause 6.1.2.1). |
| ***startSymbolAndLength***  An index giving valid combinations of start symbol and length (jointly encoded) as start and length indicator (SLIV) for DCI format 0\_1/0\_2. The network configures the field so that the allocation does not cross the slot boundary (see TS 38.214 [19], clause 6.1.2.1). |

>>Skipped unchanged parts

#### – *RACH-ConfigGeneric*

The IE *RACH-ConfigGeneric* is used to specify the random-access parameters both for regular random access as well as for beam failure recovery.

*RACH-ConfigGeneric* information element

-- ASN1START

-- TAG-RACH-CONFIGGENERIC-START

RACH-ConfigGeneric ::= SEQUENCE {

prach-ConfigurationIndex INTEGER (0..255),

msg1-FDM ENUMERATED {one, two, four, eight},

msg1-FrequencyStart INTEGER (0..maxNrofPhysicalResourceBlocks-1),

zeroCorrelationZoneConfig INTEGER(0..15),

preambleReceivedTargetPower INTEGER (-202..-60),

preambleTransMax ENUMERATED {n3, n4, n5, n6, n7, n8, n10, n20, n50, n100, n200},

powerRampingStep ENUMERATED {dB0, dB2, dB4, dB6},

ra-ResponseWindow ENUMERATED {sl1, sl2, sl4, sl8, sl10, sl20, sl40, sl80},

...,

[[

ra-ResponseWindow-r16 ENUMERATED {sl1, sl2, sl4, sl8, sl10, sl20, sl40, sl60, sl80, sl160} OPTIONAL, -- Need R

prach-ConfigurationIndex-v16xy INTEGER (256..262) OPTIONAL -- Need R

]]

}

-- TAG-RACH-CONFIGGENERIC-STOP

-- ASN1STOP

|  |
| --- |
| *RACH-ConfigGeneric* field descriptions |
| ***msg1-FDM***  The number of PRACH transmission occasions FDMed in one time instance. (see TS 38.211 [16], clause 6.3.3.2). |
| ***msg1-FrequencyStart***  Offset of lowest PRACH transmission occasion in frequency domain with respective to PRB 0. The value is configured so that the corresponding RACH resource is entirely within the bandwidth of the UL BWP. (see TS 38.211 [16], clause 6.3.3.2). |
| ***powerRampingStep***  Power ramping steps for PRACH (see TS 38.321 [3],5.1.3). |
| ***prach-ConfigurationIndex***  PRACH configuration index. For *prach-ConfigurationIndex* configured under *beamFailureRecovery-Config*, the *prach-ConfigurationIndex* can only correspond to the short preamble format, (see TS 38.211 [16], clause 6.3.3.2). If the field *prach-ConfigurationIndex-v16xy* is present, the UE shall ignore the value provided in *prach-ConfigurationIndex* (without suffix). |
| ***preambleReceivedTargetPower***  The target power level at the network receiver side (see TS 38.213 [13], clause 7.4, TS 38.321 [3], clauses 5.1.2, 5.1.3). Only multiples of 2 dBm may be chosen (e.g. -202, -200, -198, ...). |
| ***preambleTransMax***  Max number of RA preamble transmission performed before declaring a failure (see TS 38.321 [3], clauses 5.1.4, 5.1.5). |
| ***ra-ResponseWindow***  Msg2 (RAR) window length in number of slots. The network configures a value lower than or equal to 10 ms when Msg2 is transmitted in licensed spectrum and 40 ms when Msg2 is transmitted with shared spectrum channel access (see TS 38.321 [3], clause 5.1.4). UE ignores the field if included in *SCellConfig*. If *ra-ResponseWindow-r16* is signalled, UE shall ignore the *ra-ResponseWindow* (without suffix). For operation with shared spectrum channel access, the network always includes the two LSB bits of the SFN corresponding to the PRACH occasion used to transmit the preamble in the DCI scheduling Msg2 (see TS 38.213 [13]). |
| ***zeroCorrelationZoneConfig***  N-CS configuration, see Table 6.3.3.1-5 in TS 38.211 [16]. |

>>Skipped unchanged parts

#### – *SearchSpace*

The IE *SearchSpace* defines how/where to search for PDCCH candidates. Each search space is associated with one *ControlResourceSet*. For a scheduled cell in the case of cross carrier scheduling, except for *nrofCandidates*, all the optional fields are absent.

*SearchSpace* information element

-- ASN1START

-- TAG-SEARCHSPACE-START

SearchSpace ::= SEQUENCE {

searchSpaceId SearchSpaceId,

controlResourceSetId ControlResourceSetId OPTIONAL, -- Cond SetupOnly

monitoringSlotPeriodicityAndOffset CHOICE {

sl1 NULL,

sl2 INTEGER (0..1),

sl4 INTEGER (0..3),

sl5 INTEGER (0..4),

sl8 INTEGER (0..7),

sl10 INTEGER (0..9),

sl16 INTEGER (0..15),

sl20 INTEGER (0..19),

sl40 INTEGER (0..39),

sl80 INTEGER (0..79),

sl160 INTEGER (0..159),

sl320 INTEGER (0..319),

sl640 INTEGER (0..639),

sl1280 INTEGER (0..1279),

sl2560 INTEGER (0..2559)

} OPTIONAL, -- Cond Setup

duration INTEGER (2..2559) OPTIONAL, -- Need R

monitoringSymbolsWithinSlot BIT STRING (SIZE (14)) OPTIONAL, -- Cond Setup

nrofCandidates SEQUENCE {

aggregationLevel1 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel2 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel4 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel8 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel16 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8}

} OPTIONAL, -- Cond Setup

searchSpaceType CHOICE {

common SEQUENCE {

dci-Format0-0-AndFormat1-0 SEQUENCE {

...

} OPTIONAL, -- Need R

dci-Format2-0 SEQUENCE {

nrofCandidates-SFI SEQUENCE {

aggregationLevel1 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel2 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel4 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel8 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel16 ENUMERATED {n1, n2} OPTIONAL -- Need R

},

...

} OPTIONAL, -- Need R

dci-Format2-1 SEQUENCE {

...

} OPTIONAL, -- Need R

dci-Format2-2 SEQUENCE {

...

} OPTIONAL, -- Need R

dci-Format2-3 SEQUENCE {

dummy1 ENUMERATED {sl1, sl2, sl4, sl5, sl8, sl10, sl16, sl20} OPTIONAL, -- Cond Setup

dummy2 ENUMERATED {n1, n2},

...

} OPTIONAL -- Need R

},

ue-Specific SEQUENCE {

dci-Formats ENUMERATED {formats0-0-And-1-0, formats0-1-And-1-1},

...,

[[

dci-FormatsSL-r16 ENUMERATED {formats0-0-And-1-0, formats0-1-And-1-1, formats3-0, formats3-1,

formats3-0-And-3-1} OPTIONAL, -- Need R

dci-FormatsExt-r16 ENUMERATED {formats0-1-And-1-1, formats0-2-And-1-2, formats0-1-And-1-1And-0-2-And-1-2}

OPTIONAL -- Need N

]]

}

} OPTIONAL -- Cond Setup2

}

SearchSpace-v16xy ::= SEQUENCE {

searchSpaceId SearchSpaceId,

controlResourceSetId-r16 ControlResourceSetId-r16 OPTIONAL, -- Cond SetupOnly

searchSpaceType-r16 CHOICE {

common-r16 SEQUENCE {

dci-Format2-4-r16 SEQUENCE {

nrofCandidates-CI-r16 SEQUENCE {

aggregationLevel1 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel2 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel4 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel8 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel16 ENUMERATED {n1, n2} OPTIONAL -- Need R

},

...

},

dci-Format2-5-v16xy SEQUENCE {

nrofCandidates-IAB-r16 SEQUENCE {

aggregationLevel1-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel2-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel4-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel8-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel16-r16 ENUMERATED {n1, n2} OPTIONAL -- Need R

},

...

},

dci-Format2-6-r16 SEQUENCE {

...

} OPTIONAL, -- Need R

...

},

mt-Specific-v16xy SEQUENCE {

dci-Formats-r16 ENUMERATED {formats2-0-And-2-5},

...

}

} OPTIONAL, -- Cond Setup2

searchSpaceGroupIdList-r16 SEQUENCE (SIZE (1.. 2)) OF INTEGER (0..1) OPTIONAL, -- Need R

freqMonitorLocations-r16 BIT STRING (SIZE (5)) OPTIONAL -- Need R

}

-- TAG-SEARCHSPACE-STOP

-- ASN1STOP

|  |
| --- |
| *SearchSpace* field descriptions |
| ***common***  Configures this search space as common search space (CSS) and DCI formats to monitor. |
| ***controlResourceSetId***  The CORESET applicable for this SearchSpace. Value 0 identifies the common CORESET#0 configured in MIB and in *ServingCellConfigCommon*. Values 1..*maxNrofControlResourceSets-1* identify CORESETs configured in System Information or by dedicated signalling. The CORESETs with *non-zero controlResourceSetId* are configured in the same BWP as this *SearchSpace*. If the field *controlResourceSetId-r16* is present, UE shall ignore the *controlResourceSetId* (without suffix). |
| ***dummy1, dummy2***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***dci-Format0-0-AndFormat1-0***  If configured, the UE monitors the DCI formats 0\_0 and 1\_0 according to TS 38.213 [13], clause 10.1. |
| ***dci-Format2-0***  If configured, UE monitors the DCI format 2\_0 according to TS 38.213 [13], clause 10.1, 11.1.1. |
| ***dci-Format2-1***  If configured, UE monitors the DCI format 2\_1 according to TS 38.213 [13], clause 10.1, 11.2. |
| ***dci-Format2-2***  If configured, UE monitors the DCI format 2\_2 according to TS 38.213 [13], clause 10.1, 11.3. |
| ***dci-Format2-3***  If configured, UE monitors the DCI format 2\_3 according to TS 38.213 [13], clause 10.1, 11.4 |
| ***dci-Format2-4***  If configured, UE monitors the DCI format 2\_4 according to TS 38.213 [13], clause 11.5. The maximum monitoring periodicity for DCI format 2\_4 is 5 slots. |
| ***dci-Format2-5***  If configured, IAB-MT monitors the DCI format 2\_5 according to TS 38.213 [13], clause 14. |
| ***dci-Format2-6***  If configured, UE monitors the DCI format 2\_6 according to TS 38.213 [13], clause 10.1, 11.5. DCI format 2\_6 can only be configured on the SpCell. |
| ***dci-Formats***  Indicates whether the UE monitors in this USS for DCI formats 0-0 and 1-0 or for formats 0-1 and 1-1. |
| ***dci-FormatsExt***  If this field is present, the field *dci-Formats* is ignored and *dci-FormatsExt* is used instead to indicate whether the UE monitors in this USS for DCI formats 0\_1 and 1\_1 or format 0\_2 and 1\_2 or formats 0\_1 and 1\_1 and 0\_2 and 1\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 10.1).  Editor 'note: FFS on *formats0-0-And-1-0* for dci-FormatsExt. |
| ***dci-FormatsSL***  Indicates whether the UE monitors in this USS for DCI formats 0-0 and 1-0 or for formats 0-1 and 1-1 or for format 3-0 of dynamic grant or for format 3-1 or for formats 3-0 of dynamic grant and 3-1. |
| ***duration***  Number of consecutive slots that a SearchSpace lasts in every occasion, i.e., upon every period as given in the *periodicityAndOffset*. If the field is absent, the UE applies the value 1 slot, except for DCI format 2\_0. The UE ignores this field for DCI format 2\_0. The maximum valid duration is periodicity-1 (periodicity as given in the *monitoringSlotPeriodicityAndOffset*).  For IAB-MT, duration indicates number of consecutive slots that a SearchSpace lasts in every occasion, i.e., upon every period as given in the *periodicityAndOffset*. If the field is absent, the IAB-MT applies the value 1 slot, except for DCI format 2\_0 and DCI format 2\_5. The UE ignores this field for DCI format 2\_0 and DCI format 2\_5. The maximum valid duration is periodicity-1 (periodicity as given in the *monitoringSlotPeriodicityAndOffset*). |
| ***freqMonitorLocations***  Value 1 indicates that a frequency domain resource allocation replicated from the pattern configured in the associated CORESET is mapped to the RB set. LSB corresponds to lowest RB set in the BWP. For a RB set indicated in the bitmap, the first PRB of the frequency domain monitoring location confined within the RB set is aligned with {the first PRB of the RB set + *rb-Offset* provided by the associated CORESET. |
| ***monitoringSlotPeriodicityAndOffset***  Slots for PDCCH Monitoring configured as periodicity and offset. If the UE is configured to monitor DCI format 2\_1, only the values 'sl1', 'sl2' or 'sl4' are applicable. If the UE is configured to monitor DCI format 2\_0, only the values ′sl1′, ′sl2′, ′sl4′, ′sl5′, ′sl8′, ′sl10′, ′sl16′, and ′sl20′ are applicable (see TS 38.213 [13], clause 10).  For IAB-MT, If the IAB-MT is configured to monitor DCI format 2\_1, only the values 'sl1', 'sl2' or 'sl4' are applicable. If the IAB-MT is configured to monitor DCI format 2\_0 or DCI format 2\_5, only the values ′sl1′, ′sl2′, ′sl4′, ′sl5′, ′sl8′, ′sl10′, ′sl16′, and ′sl20′ are applicable (see TS 38.213, clause 10). |
| ***monitoringSymbolsWithinSlot***  The first symbol(s) for PDCCH monitoring in the slots configured for PDCCH monitoring (see *monitoringSlotPeriodicityAndOffset* and *duration*). The most significant (left) bit represents the first OFDM in a slot, and the second most significant (left) bit represents the second OFDM symbol in a slot and so on. The bit(s) set to one identify the first OFDM symbol(s) of the control resource set within a slot. If the cyclic prefix of the BWP is set to extended CP, the last two bits within the bit string shall be ignored by the UE .  For DCI format 2\_0, the first one symbol applies if the *duration* of CORESET (in the IE *ControlResourceSet*) identified by *controlResourceSetId* indicates 3 symbols, the first two symbols apply if the *duration* of CORESET identified by *controlResourceSetId* indicates 2 symbols, and the first three symbols apply if the *duration* of CORESET identified by *controlResourceSetId* indicates 1 symbol.  See TS 38.213 [13], clause 10. |
| ***nrofCandidates-CI***  The number of PDCCH candidates specifically for format 2-4 for the configured aggregation level. If an aggregation level is absent, the UE does not search for any candidates with that aggregation level. The network configures only one aggregationLevel and the corresponding number of candidates (see TS 38.213 [13], clause 10.1). |
| ***nrofCandidates-SFI***  The number of PDCCH candidates specifically for format 2-0 for the configured aggregation level. If an aggregation level is absent, the UE does not search for any candidates with that aggregation level. The network configures only one aggregationLevel and the corresponding number of candidates (see TS 38.213 [13], clause 11.1.1). |
| ***nrofCandidates***  Number of PDCCH candidates per aggregation level. The number of candidates and aggregation levels configured here applies to all formats unless a particular value is specified or a format-specific value is provided (see inside *searchSpaceType*). If configured in the *SearchSpace* of a cross carrier scheduled cell, this field determines the number of candidates and aggregation levels to be used on the linked scheduling cell (see TS 38.213 [13], clause 10). |
| ***searchSpaceGroupIdList***  List of search space group IDs which the search space set is associated with. The network configures at most 2 search space groups per PWP where the group ID is either 0 or 1. |
| ***searchSpaceId***  Identity of the search space. SearchSpaceId = 0 identifies the *searchSpaceZero* configured via PBCH (MIB) or *ServingCellConfigCommon* and may hence not be used in the *SearchSpace* IE. The *searchSpaceId* is unique among the BWPs of a Serving Cell. In case of cross carrier scheduling, search spaces with the same *searchSpaceId* in scheduled cell and scheduling cell are linked to each other. The UE applies the search space for the scheduled cell only if the DL BWPs in which the linked search spaces are configured in scheduling cell and scheduled cell are both active.  For an IAB-MT, the search space defines how/where to search for PDCCH candidates for an IAB-MT. Each search space is associated with one ControlResearchSet. For a scheduled cell in the case of cross carrier scheduling, except for nrofCandidates, all the optional fields are absent. |
| ***searchSpaceType***  Indicates whether this is a common search space (present) or a UE specific search space as well as DCI formats to monitor for. |
| ***ue-Specific***  Configures this search space as UE specific search space (USS). The UE monitors the DCI format with CRC scrambled by C-RNTI, CS-RNTI (if configured), and SP-CSI-RNTI (if configured) |
| ***mt-Specific-v16xy***  Configure this search space as IAB-MT specific search space (MSS). |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *Setup* | This field is mandatory present upon creation of a new *SearchSpace*. It is optionally present, Need M, otherwise. |
| *Setup2* | Either of searchSpaceType (without suffix) or searchSpaceType-r16 field is mandatory present upon creation of a new SearchSpace. The fields are optionally present, Need M, otherwise. |
| *SetupOnly* | This field is mandatory present upon creation of a new *SearchSpace*. It is absent, Need M, otherwise. |

>>Skipped unchanged parts

#### – *SemiStaticChannelAccessConfig*

The IE *SemiStaticChannelAccessConfig* is used to configure channel access parameters when the network is operating in semi-static channel accces mode (see clause 4.3 TS 37.213 [48].

*SemiStaticChannelAccessConfig* information element

-- ASN1START

-- TAG-SEMISTATICCHANNELACCESSCONFIG-START

SemiStaticChannelAccessConfig ::= SEQUENCE {

period ENUMERATED {ms1, ms2, ms2dot5, ms4, ms5, ms10}

}

-- TAG-SEMISTATICCHANNELACCESSCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *SemiStaticChannelAccessConfig* field descriptions |
| ***period***  Indicates the periodicity of the semi-static channel access mode (see TS 37.213 [48], clause 4.3). Value ms1 corresponds to 1 ms, value ms2 corresponds to 2 ms, value ms2dot5 corresponds to 2.5 ms, and so on. |

>>Skipped unchanged parts

#### – *ServingCellConfigCommon*

The IE *ServingCellConfigCommon* is used to configure cell specific parameters of a UE's serving cell. The IE contains parameters which a UE would typically acquire from SSB, MIB or SIBs when accessing the cell from IDLE. With this IE, the network provides this information in dedicated signalling when configuring a UE with a SCells or with an additional cell group (SCG). It also provides it for SpCells (MCG and SCG) upon reconfiguration with sync.

*ServingCellConfigCommon* information element

-- ASN1START

-- TAG-SERVINGCELLCONFIGCOMMON-START

ServingCellConfigCommon ::= SEQUENCE {

physCellId PhysCellId OPTIONAL, -- Cond HOAndServCellAdd,

downlinkConfigCommon DownlinkConfigCommon OPTIONAL, -- Cond HOAndServCellAdd

uplinkConfigCommon UplinkConfigCommon OPTIONAL, -- Need M

supplementaryUplinkConfig UplinkConfigCommon OPTIONAL, -- Need S

n-TimingAdvanceOffset ENUMERATED { n0, n25600, n39936 } OPTIONAL, -- Need S

ssb-PositionsInBurst CHOICE {

shortBitmap BIT STRING (SIZE (4)),

mediumBitmap BIT STRING (SIZE (8)),

longBitmap BIT STRING (SIZE (64))

} OPTIONAL, -- Cond AbsFreqSSB

ssb-periodicityServingCell ENUMERATED { ms5, ms10, ms20, ms40, ms80, ms160, spare2, spare1 } OPTIONAL, -- Need S

dmrs-TypeA-Position ENUMERATED {pos2, pos3},

lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

ssbSubcarrierSpacing SubcarrierSpacing OPTIONAL, -- Cond HOAndServCellWithSSB

tdd-UL-DL-ConfigurationCommon TDD-UL-DL-ConfigCommon OPTIONAL, -- Cond TDD

ss-PBCH-BlockPower INTEGER (-60..50),

...,

[[

channelAccessMode-r16 CHOICE {

dynamic NULL,

semiStatic SemiStaticChannelAccessConfig

} OPTIONAL, -- Need M

discoveryBurstWindowLength-r16 ENUMERATED {ms0dot5, ms1, ms2, ms3, ms4, ms5} OPTIONAL, -- Need M

ssb-PositionQCL-r16 SSB-PositionQCL-Relationship-r16 OPTIONAL, -- Cond SharedSpectrum

intraCellGuardBandUL-r16 IntraCellGuardBand-r16 OPTIONAL, -- Need S

intraCellGuardBandDL-r16 IntraCellGuardBand-r16 OPTIONAL -- Need S

]]

}

IntraCellGuardBand-r16 ::= SEQUENCE (SIZE (1..ffsValue)) OF GuardBand-r16 -- FFS upper size 4, assuming 100Mhz cell

GuardBand-r16 ::= SEQUENCE {

startCRB-r16 INTEGER (0..ffsValue), --FFS upper range 275

nrofCRBs-r16 INTEGER (0..ffsValue)

}

-- TAG-SERVINGCELLCONFIGCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| *ServingCellConfigCommon* field descriptions |
| ***channelAccessMode***  If the field is configured as "semiStatic", the channel access procedures for semi-static channel occupancy as described in subclause 4.3 in TS 37.213 are applied. Otherwise, if the field is configured as "dynamic" or if the field is absent, the channel access procedures in TS 37.213, with exception of subclause 4.3 of TS 37.213, are applied. |
| ***dmrs-TypeA-Position***  Position of (first) DM-RS for downlink (see TS 38.211 [16], clause 7.4.1.1.1) and uplink (TS 38.211 [16], clause 6.4.1.1.3). |
| ***downlinkConfigCommon***  The common downlink configuration of the serving cell, including the frequency information configuration and the initial downlink BWP common configuration. The parameters provided herein should match the parameters configured by MIB and SIB1 (if provided) of the serving cell, with the exception of *controlResourceSetZero* and *searchSpaceZero* which can be configured in *ServingCellConfigCommon* even if MIB indicates that they are absent. |
| ***discoveryBurstWindowLength***  Indicates the window length of the discovery burst in ms (see TS 37.213 [48]). |
| ***intraCellGuardBandDL, intraCellGuardBandUL***  List of guard bands in a BWP. For each entry in the list, *startCRB* indicates the starting RB of the guard band and *nrofCRBs* indicates the length of the guard band in RBs. When *nrofCRBs* is 0, zero or no guard band is used. If not configured, the guard bands are defined according the TS 38.101-X. |
| ***longBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [13], clause 4.1. |
| ***lte-CRS-ToMatchAround***  Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***mediumBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 8 as defined in TS 38.213 [13], clause 4.1. |
| ***n-TimingAdvanceOffset***  The N\_TA-Offset to be applied for all uplink transmissions on this serving cell. If the field is absent, the UE applies the value defined for the duplex mode and frequency range of this serving cell. See TS 38.133 [14], table 7.1.2-2. |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology (see TS 38.214 [19], clause 5.1.4,1). |
| ***semiStaticChannelAccessConfig***  The parameters for semi-static channel access. |
| ***shortBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 4 as defined in TS 38.213 [13], clause 4.1. |
| ***ss-PBCH-BlockPower***  Average EPRE of the resources elements that carry secondary synchronization signals in dBm that the NW used for SSB transmission, see TS 38.213 [13], clause 7. |
| ***ssb-periodicityServingCell***  The SSB periodicity in ms for the rate matching purpose. If the field is absent, the UE applies the value ms5. (see TS 38.213 [13], clause 4.1) |
| ***ssb-PositionQCL***  Indicates the QCL relationship between SSB positions for this serving cell as specified in TS 38.213 [13], clause 4.1. The network configures this field only for operation with shared spectrum channel access. |
| ***ssb-PositionsInBurst***  For operation in licensed spectrum, indicates the time domain positions of the transmitted SS-blocks in a half frame with SS/PBCH blocks as defined in TS 38.213 [13], clause 4.1. The first/leftmost bit corresponds to SS/PBCH block index 0, the second bit corresponds to SS/PBCH block index 1, and so on. Value 0 in the bitmap indicates that the corresponding SS/PBCH block is not transmitted while value 1 indicates that the corresponding SS/PBCH block is transmitted. The network configures the same pattern in this field as in the corresponding field in *ServingCellConfigCommonSIB*.  For operation with shared spectrum channel access, only *mediumBitmap* is used and the UE assumes that one or more SS/PBCH blocks indicated by *ssb-PositionsInBurst* may be transmitted within the discovery burst transmission window and have candidate SS/PBCH blocks indexes corresponding to SS/PBCH block indexes provided by *ssb-PositionsInBurst* (see TS 38.213 [13], clause 4.1). If leftmost bit , , of *ssb-PositionsInBurst* is set to 1, the UE assumes that one or more SS/PBCH blocks within the discovery burst transmission window with candidate SS/PBCH block indexes corresponding to SS/PBCH block index equal to may be transmitted; if leftmost is set to 0, the UE assumes that the corresponding SS/PBCH block(s) are not transmitted. If *ssb-PositionQCL* is configured, the UE expects that a bit at position k > *ssb-PositionQCL* is 0 and the number of actually transmitted SS/PBCH blocks is not larger than the number of 1’s in the bitmap. The network configures the same pattern in this field as in the corresponding field in *ServingCellConfigCommonSIB*. |
| ***ssbSubcarrierSpacing***  Subcarrier spacing of SSB. Only the values 15 kHz or 30 kHz (FR1), and 120 kHz or 240 kHz (FR2) are applicable. |
| ***supplementaryUplinkConfig***  The network configures this field only if *uplinkConfigCommon* is configured. If this field is absent, the UE shall release the *supplementaryUplinkConfig* and the *supplementaryUplink* configured in *ServingCellConfig* of this serving cell, if configured. |
| ***tdd-UL-DL-ConfigurationCommon***  A cell-specific TDD UL/DL configuration, see TS 38.213 [13], clause 11.1. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *AbsFreqSSB* | The field is absent when *absoluteFrequencySSB* in frequencyInfoDL is absent, otherwise the field is mandatory present. |
| *HOAndServCellAdd* | This field is mandatory present upon SpCell change and upon serving cell (PSCell/SCell) addition. Otherwise, the field is absent. |
| *HOAndServCellWithSSB* | This field is mandatory present upon SpCell change and upon serving cell (SCell with SSB or PSCell) addition. Otherwise, the field is absent. |
| *TDD* | The field is optionally present, Need R, for TDD cells; otherwise it is absent. |
| *SharedSpectrum* | This field is mandatory present if this cell operates with shared spectrum channel access. Otherwise, it is absent, Need R. |

>>Skipped unchanged parts

#### – *ServingCellConfigCommonSIB*

The IE *ServingCellConfigCommonSIB* is used to configure cell specific parameters of a UE's serving cell in SIB1.

*ServingCellConfigCommonSIB* information element

-- ASN1START

-- TAG-SERVINGCELLCONFIGCOMMONSIB-START

ServingCellConfigCommonSIB ::= SEQUENCE {

downlinkConfigCommon DownlinkConfigCommonSIB,

uplinkConfigCommon UplinkConfigCommonSIB OPTIONAL, -- Need R

supplementaryUplink UplinkConfigCommonSIB OPTIONAL, -- Need R

n-TimingAdvanceOffset ENUMERATED { n0, n25600, n39936 } OPTIONAL, -- Need S

ssb-PositionsInBurst SEQUENCE {

inOneGroup BIT STRING (SIZE (8)),

groupPresence BIT STRING (SIZE (8)) OPTIONAL -- Cond FR2-Only

},

ssb-PeriodicityServingCell ENUMERATED {ms5, ms10, ms20, ms40, ms80, ms160},

tdd-UL-DL-ConfigurationCommon TDD-UL-DL-ConfigCommon OPTIONAL, -- Cond TDD

ss-PBCH-BlockPower INTEGER (-60..50),

...,

[[

channelAccessMode-r16 CHOICE {

dynamic NULL,

semiStatic SemiStaticChannelAccessConfig

} OPTIONAL, -- Need M

discoveryBurstWindowLength-r16 ENUMERATED {ms0dot5, ms1, ms2, ms3, ms4, ms5} OPTIONAL -- Need M

]]

}

-- TAG-SERVINGCELLCONFIGCOMMONSIB-STOP

-- ASN1STOP

|  |
| --- |
| *ServingCellConfigCommonSIB* field descriptions |
| ***channelAccessMode***  If the field is configured as "semiStatic", the channel access procedures for semi-static channel occupancy as described in subclause 4.3 in TS 37.213 are applied. Otherwise, if the field is configured as "dynamic" or if the field is absent, the channel access procedures in TS 37.213, with exception of subclause 4.3 of TS 37.213, are applied. |
| ***discoveryBurstWindowLength***  Indicates the window length of the discovery burst in ms (see TS 37.213 [48]). |
| ***groupPresence***  This field is present when maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [13], clause 4.1. The first/leftmost bit corresponds to the SS/PBCH index 0-7, the second bit corresponds to SS/PBCH block 8-15, and so on. Value 0 in the bitmap indicates that the SSBs according to *inOneGroup* are absent. Value 1 indicates that the SS/PBCH blocks are transmitted in accordance with *inOneGroup*. |
| ***inOneGroup***  When maximum number of SS/PBCH blocks per half frame equals to 4 as defined in TS 38.213 [13], clause 4.1, only the 4 leftmost bits are valid; the UE ignores the 4 rightmost bits. When maximum number of SS/PBCH blocks per half frame equals to 8 as defined in TS 38.213 [13], clause 4.1, all 8 bits are valid. The first/ leftmost bit corresponds to SS/PBCH block index 0, the second bit corresponds to SS/PBCH block index 1, and so on. When maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [13], clause 4.1, all 8 bit are valid; The first/ leftmost bit corresponds to the first SS/PBCH block index in the group (i.e., to SSB index 0, 8, and so on); the second bit corresponds to the second SS/PBCH block index in the group (i.e., to SSB index 1, 9, and so on), and so on. Value 0 in the bitmap indicates that the corresponding SS/PBCH block is not transmitted while value 1 indicates that the corresponding SS/PBCH block is transmitted. |
| ***n-TimingAdvanceOffset***  The N\_TA-Offset to be applied for random access on this serving cell. If the field is absent, the UE applies the value defined for the duplex mode and frequency range of this serving cell. See TS 38.133 [14], table 7.1.2-2. |
| ***semiStaticChannelAccessConfig***  The parameters for semi-static channel access. |
| ***ssb-PositionsInBurst***  Time domain positions of the transmitted SS-blocks in an SS-burst as defined in TS 38.213 [13], clause 4.1. For operation with shared spectrum channel access, only mediumBitmap is used. The UE assumes that a bit at position k > is 0, where is obtained from *MIB* as specified in TS 38.213 [13], clause 4.1. For operation with shared spectrum channel access, only *inOneGroup* is used and the UE interprets this field same as *mediumBitmap* in *ServingCellConfigCommon*. |
| ***ss-PBCH-BlockPower***  Average EPRE of the resources elements that carry secondary synchronization signals in dBm that the NW used for SSB transmission, see TS 38.213 [13], clause 7. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *FR2-Only* | This field is mandatory present for an FR2 carrier frequency. It is absent otherwise and UE releases any configured value. |
| *TDD* | The field is optionally present, Need R, for TDD cells; otherwise it is absent. |

>>Skipped unchanged parts

#### – *SlotFormatCombinationsPerCell*

The IE *SlotFormatCombinationsPerCell* is used to configure the SlotFormatCombinations applicable for one serving cell (see TS 38.213 [13], clause 11.1.1).

*SlotFormatCombinationsPerCell* information element

-- ASN1START

-- TAG-SLOTFORMATCOMBINATIONSPERCELL-START

SlotFormatCombinationsPerCell ::= SEQUENCE {

servingCellId ServCellIndex,

subcarrierSpacing SubcarrierSpacing,

subcarrierSpacing2 SubcarrierSpacing OPTIONAL, -- Need R

slotFormatCombinations SEQUENCE (SIZE (1..maxNrofSlotFormatCombinationsPerSet)) OF SlotFormatCombination

OPTIONAL, -- Need M

positionInDCI INTEGER(0..maxSFI-DCI-PayloadSize-1) OPTIONAL, -- Need M

...,

[[

enableConfiguredUL-r16 ENUMERATED {enabled} OPTIONAL -- Need N

]]

}

SlotFormatCombination ::= SEQUENCE {

slotFormatCombinationId SlotFormatCombinationId,

slotFormats SEQUENCE (SIZE (1..maxNrofSlotFormatsPerCombination)) OF INTEGER (0..255)

}

SlotFormatCombinationId ::= INTEGER (0..maxNrofSlotFormatCombinationsPerSet-1)

-- TAG-SLOTFORMATCOMBINATIONSPERCELL-STOP

-- ASN1STOP

|  |
| --- |
| *SlotFormatCombination* field descriptions |
| ***slotFormatCombinationId***  This ID is used in the DCI payload to dynamically select this *SlotFormatCombination*, see TS 38.213 [13], clause 11.1.1. |
| ***slotFormats***  Slot formats that occur in consecutive slots in time domain order as listed here (see TS 38.213 [13], clause 11.1.1). |

|  |
| --- |
| *SlotFormatCombinationsPerCell* field descriptions |
| ***enableConfiguredUL***  If configured, the UE is allowed to transmit uplink signals (SRS, PUCCH, CG-PUSCH) in the set of symbols of the slot that are configured as 'uplink' when the does not detect a DCI format 2\_0 providing a slot format for the set of symbols (see TS 38.213 [13], 11.1.1). |
| ***positionInDCI***  The (starting) position (bit) of the slotFormatCombinationId (SFI-Index) for this serving cell (servingCellId) within the DCI payload (see TS 38.213 [13], clause 11.1.1). |
| ***servingCellId***  The ID of the serving cell for which the slotFormatCombinations are applicable. |
| ***slotFormatCombinations***  A list with *SlotFormatCombinations*. Each *SlotFormatCombination* comprises of one or more *SlotFormats* (see TS 38.211 [16], clause 4.3.2). The total number of *slotFormats* in the *slotFormatCombinations* list does not exceed 512. |
| ***subcarrierSpacing2***  Reference subcarrier spacing for a Slot Format Combination on an FDD or SUL cell (see TS 38.213 [13], clause 11.1.1). For FDD, subcarrierSpacing (SFI-scs) is the reference SCS for DL BWP and subcarrierSpacing2 (SFI-scs2) is the reference SCS for UL BWP. For SUL, *subcarrierSpacing* (SFI-scs) is the reference SCS for non-SUL carrier and *subcarrierSpacing2* (SFI-scs2) is the reference SCS for SUL carrier. The network configures a value that is smaller than or equal to any SCS of configured BWPs of the serving cell that the command applies to. And the network configures a value that is smaller than or equal to the SCS of the serving cell which the UE monitors for SFI indications. |
| ***subcarrierSpacing***  Reference subcarrier spacing for this Slot Format Combination. The network configures a value that is smaller than or equal to any SCS of configured BWPs of the serving cell that the command applies to. And the network configures a value that is smaller than or equal to the SCS of the serving cell which the UE monitors for SFI indications (see TS 38.213 [13], clause 11.1.1). |

#### – *SlotFormatIndicator*

The IE *SlotFormatIndicator* is used to configure monitoring a Group-Common-PDCCH for Slot-Format-Indicators (SFI).

*SlotFormatIndicator* information element

-- ASN1START

-- TAG-SLOTFORMATINDICATOR-START

SlotFormatIndicator ::= SEQUENCE {

sfi-RNTI RNTI-Value,

dci-PayloadSize INTEGER (1..maxSFI-DCI-PayloadSize),

slotFormatCombToAddModList SEQUENCE (SIZE(1..maxNrofAggregatedCellsPerCellGroup)) OF SlotFormatCombinationsPerCell

OPTIONAL, -- Need N

slotFormatCombToReleaseList SEQUENCE (SIZE(1..maxNrofAggregatedCellsPerCellGroup)) OF ServCellIndex OPTIONAL, -- Need N

...,

[[

availableRB-SetToAddModList-r16 SEQUENCE (SIZE(1..maxNrofAggregatedCellsPerCellGroup)) OF AvailableRB-SetsPerCell-r16 OPTIONAL, -- Need N

availableRB-SetToRelease-r16 SEQUENCE (SIZE(1..maxNrofAggregatedCellsPerCellGroup)) OF ServCellIndex OPTIONAL, -- Need N

searchSpaceSwitchTrigger-r16 SEQUENCE {

positionInDCI INTEGER(0..maxSFI-DCI-PayloadSize-1),

id CHOICE {

servingCellId ServCellIndex,

groupId INTEGER (0..1)

}

} OPTIONAL, -- Need N

co-DurationPerCellList-r16 SEQUENCE (SIZE(1..maxNrofAggregatedCellsPerCellGroup)) OFCO-DurationPerCell-r16 OPTIONAL -- Need N

]]

}

CO-DurationPerCell-r16 ::= SEQUENCE {

servingCellId ServCellIndex,

positionInDCI INTEGER(0..maxSFI-DCI-PayloadSize-1) OPTIONAL, -- Need M

subcarrierSpacing SubcarrierSpacing,

co-DurationList-r16 SEQUENCE (SIZE(1..64)) OF CO-Duration-r16

}

CO-Duration-r16 ::= INTEGER (0..1120)

AvailableRB-SetsPerCell-r16 ::= SEQUENCE {

servingCellId ServCellIndex,

positionInDCI INTEGER(0..maxSFI-DCI-PayloadSize-1)

}

-- TAG-SLOTFORMATINDICATOR-STOP

-- ASN1STOP

|  |
| --- |
| *SlotFormatIndicator* field descriptions |
| ***availableRB-SetPerCell***  position in DCI of the bit(s) indicating the availability of RB sets for UE's serving cells (see TS 38.213 [13], clause 11.1.1). |
| ***co-Duration***  Indicates Channal Occupancy duration in symbols. |
| ***co-DurationPerCell***  Position in DCI of the bit field indicating Channal Occupancy duration for UE's serving cells (see TS 38.213 [13], clause 11.1.1). If not configured, the UE uses SFI indication to determine the channel occupancy duration (if SFI is available). |
| ***dci-PayloadSize***  Total length of the DCI payload scrambled with SFI-RNTI (see TS 38.213 [13], clause 11.1.1). |
| ***searchSpaceSwitchTrigger***  If configured, provides position in DCI of the bit field indicating search space switching flag for a group of serving cells in *searchSpaceSwitchingGroup-r16* (see TS 38.213 [13], clause 11.5.2). |
| ***sfi-RNTI***  RNTI used for SFI on the given cell (see TS 38.213 [13], clause 11.1.1). |
| ***slotFormatCombToAddModList***  A list of SlotFormatCombinations for the UE's serving cells (see TS 38.213 [13], clause 11.1.1). |

|  |
| --- |
| *AvailableRB-SetsPerCell* field descriptions |
| ***positionInDCI***  The (starting) position of the bits within DCI payload indicating the availability of the RB sets of a serving cell (see TS 38.213 [13], clause 11.1.1). |
| ***servingCellIId***  The ID of the serving cell for which the configuration is applicable. |

>>Skipped unchanged parts

#### – *SSB-PositionQCL-Relationship*

The IE *SSB-PositionQCL-Relationship* is used to indicate the QCL relationship between SSB positions on the frequency indicated by *ssbFrequency* (see TS 38.213 [13], clause 4.1) for operation with shared spectrum channel access. Value n1 corresponds to 1, value n2 corresponds to 2 and so on.

*SSB-PositionQCL-Relationship* information element

-- ASN1START

-- TAG-SSB-POSITIONQCL-RELATIONSHIP-START

SSB-PositionQCL-Relationship-r16 ::= ENUMERATED {n1,n2,n4,n8}

-- TAG-SSB-POSITIONQCL-RELATIONSHIP-STOP

-- ASN1STOP

#### – *SSB-ToMeasure*

The IE *SSB-ToMeasure* is used to configure a pattern of SSBs.

*SSB-ToMeasure* information element

-- ASN1START

-- TAG-SSB-TOMEASURE-START

SSB-ToMeasure ::= CHOICE {

shortBitmap BIT STRING (SIZE (4)),

mediumBitmap BIT STRING (SIZE (8)),

longBitmap BIT STRING (SIZE (64))

}

-- TAG-SSB-TOMEASURE-STOP

-- ASN1STOP

|  |
| --- |
| *SSB-ToMeasure* field descriptions |
| ***longBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [13], clause 4.1. |
| ***mediumBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 8 as defined in TS 38.213 [13], clause 4.1. For operation with shared spectrum channel access, a bit set to 1 at position k (indexing starts at 1) in the bitmap indicates SS/PBCH block index k-1. A UE can derive the time domain positions of the candidate SS/PBCH blocks within the SMTC measurement duration based on this bitmap. From a value 0 at position k in the bitmap, the UE can derive the candidate SS/PBCH block(s) with index corresponding to the SS/PBCH block index k-1 are not to be measured, while from a value 1 at position k in the bitmap, the UE can derive the candidate SS/PBCH block(s) with index corresponding to the SS/PBCH block index k-1 are to be measured. |
| ***shortBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 4 as defined in TS 38.213 [13], clause 4.1. |

*Next Change*

### 6.3.3 UE capability information elements

>>Skipped unchanged parts

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

accessStratumRelease AccessStratumRelease,

pdcp-Parameters PDCP-Parameters,

rlc-Parameters RLC-Parameters OPTIONAL,

mac-Parameters MAC-Parameters OPTIONAL,

phy-Parameters Phy-Parameters,

rf-Parameters RF-Parameters,

measAndMobParameters MeasAndMobParameters OPTIONAL,

fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

featureSets FeatureSets OPTIONAL,

featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

UE-NR-Capability-v1530 ::= SEQUENCE {

fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

dummy ENUMERATED {supported} OPTIONAL,

interRAT-Parameters InterRAT-Parameters OPTIONAL,

inactiveState ENUMERATED {supported} OPTIONAL,

delayBudgetReporting ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

sdap-Parameters SDAP-Parameters OPTIONAL,

overheatingInd ENUMERATED {supported} OPTIONAL,

ims-Parameters IMS-Parameters OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

reducedCP-Latency ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

nrdc-Parameters NRDC-Parameters OPTIONAL,

receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v16xy OPTIONAL

}

UE-NR-Capability-v16xy ::= SEQUENCE {

inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

nru-Parameters-r16 NRU-Parameters-r16 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

NRU-Parameters-r16 ::= SEQUENCE {

rssi-CO-Measurements-r16 ENUMERATED {supported} OPTIONAL,

ul-LBT-DetectionRecovery-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

|  |
| --- |
| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***  A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |
| ***ul-LBT-DetectionRecovery***  Indicates whether the UE supports detection of consistent uplink Listen-Before-Talk (LBT) failures and subsequent recovery procedures for SpCells and SCells as specifed in TS 38.321 [3]. |
| ***rssi-CO-Measurements***  Indicates whether the UE supports performing RSSI and Channel Occupancy (CO) measurements for operation with shared spectrum channel access. |

Editor's Note: The structure for NR-U capabilities, e.g. whether they should all be in physical parameters, will be revisited after PHY related parameters and the applicability of NR-U features to licensed are decided

*Next Change*

## 6.5 Short Message

Short Messages can be transmitted on PDCCH using P-RNTI with or without associated *Paging* message using Short Message field in DCI format 1\_0 (see TS 38.212 [17], clause 7.3.1.2.1).

Table 6.5-1 defines Short Messages. Bit 1 is the most significant bit.

Table 6.5-1: Short Messages

|  |  |
| --- | --- |
| Bit | Short Message |
| 1 | ***systemInfoModification***  If set to 1: indication of a BCCH modification other than SIB6, SIB7 and SIB8. |
| 2 | ***etwsAndCmasIndication***  If set to 1: indication of an ETWS primary notification and/or an ETWS secondary notification and/or a CMAS notification. |
| 3 | ***stopPagingMonitoring***  If set to 1: stop monitoring PDCCH occasions(s) for paging in this PO. |
| 4 – 8 | Not used in this release of the specification, and shall be ignored by UE if received. |

If *stopPagingMonitoring* bit is set to 1, the UE may stop monitoring PDCCH monitoring occasion(s) for paging in that Paging Occasion (PO) as specified in TS 38.304 [20].

*End of changes*