3GPP TSG-RAN WG2 109bis-e R2-200xxxx

Electronic Meeting, 20th – 30th April, 2020

Agenda Item: 6.0.1

Source: Huawei, HiSilicon, Samsung, Intel Cooperation

Title: [AT109bis-e][068][NR RIL] DiscMail4 (Huawei)

Document for: Discussion, Decision

# Introduction

This is the summary for the RILs and tdocs submitted for agenda item 6.0.1 for various corrections for *SRS-config*. The List of RILs include H230, S653, H005, H062, H063, H064, H065, H066, H071, H070, S654, and I668. The list of CRs submitted under this summary includes

[R2-2003632](file:///D:\Documents\3GPP\tsg_ran\WG2\TSGR2_109bis-e\Docs\R2-2003632.zip) [H062][H065] DraftCR for slotOffset for aperiodic SRS Huawei, HiSilicon draftCR Rel-16 38.331 16.0.0 NR\_pos-Core Late

[R2-2003633](file:///D:\Documents\3GPP\tsg_ran\WG2\TSGR2_109bis-e\Docs\R2-2003633.zip) [H063][H066][H070][H071] DraftCR for the configuration of spatial relation for SRS with SSB Huawei, HiSilicon draftCR Rel-16 38.331 16.0.0 NR\_pos-Core Late

While in this summary, we are only going to discuss the RIL issues highlighted in yellow, due to the following reasons:

* H005 with draft CR R2-2003628, already treated in the main session with the following agreement

|  |
| --- |
| [R2-2003628](file:///D:\Documents\3GPP\tsg_ran\WG2\TSGR2_109bis-e\Docs\R2-2003628.zip) [H005] Discussion on delta signaling without AddModList Huawei, HiSilicon discussion Rel-16 Late  DISCUSSION  - Ericsson and Intel thin indeed M shold be avoided for elements in list.   * Follow the R15 principle that we will avoid using Need M within lists without an AddMod structure. |

* The following RIL has been submitted while the tdoc has not been submitted, hence, propose to treat the issue in the future when the tdoc is ready

H230 R2-2003714 DiscMail4 Extension of a single Need M item to a list of this item is a generic issue that needs to be discussed in ASN.1 session.

# Discussions

## S653

In S653, it is mentioned that the current SRS-config has the following issue

List other than ToAddModList structure using Need M is not recommended though it can be interpreated as Need R.

and the following change is proposed:

Change the need code from Need M to Need R.

Looking at the details of *SRS-config*, the following fields seem to have the above issues.

=====================FIRST CHANGE================================================

SRS-ResourceSet ::= SEQUENCE {

====omitted==========

[[

pathlossReferenceRS-List-r16 SEQUENCE (SIZE(1..maxNrofSRS-PathlossReferenceRS-r16-1)) OF PathlossReferenceRS-Config

OPTIONAL -- Need R

]]

}

====================FIRST CHANGE===============================================

SRS-PosResourceSet-r16 ::= SEQUENCE {

====OMITTED=========

resourceType-r16 CHOICE {

aperiodic-r16 SEQUENCE {

aperiodicSRS-ResourceTriggerList-r16 SEQUENCE (SIZE(1..maxNrofSRS-TriggerStates-1))

OF INTEGER (1..maxNrofSRS-TriggerStates-1) OPTIONAL, -- Need R

slotOffset-r16 INTEGER (1..32) OPTIONAL, -- Need S

...

},

semi-persistent-r16 SEQUENCE {

...

},

periodic-r16 SEQUENCE {

...

}

},

=====OMITTED==

}

====================END OF FIRST CHANGE=======================================

***Question1: Do companies agree that for the fields pathlossReferenceRS-List and aperiodicSRS-ResourceTriggerList, need code should be changed from needM to needR?***

|  |  |  |
| --- | --- | --- |
| Company | Yes/No | Comments |
| Qualcomm Incorporated | Yes |  |

## H063

In H603, the following issue is discussed about the spatial relation configuration for positioning SRS.

Neither ssb-Index-16 (missing "r" by the way) nor ssb-r16 have a field description, and with such naming is not possible to understand that ssb-Index-r16 refers to the serving cell while ssb-r16 refers to a non-serving cell. Besides, all the CHOICEs here are also used in referenceSignal-r16 in SRS-SpatialRelationInfoPos-r16, for the same meaning, but with different names.

Then, in R2-2003633, the following change is proposed:

|  |
| --- |
| Besides, all the CHOICEs here are also used in referenceSignal-r16 in SRS-SpatialRelationInfoPos-r16, for the same meaning, but with different names. One approach to address the current issue is to align the field names under pathloss referenceRS with the other names with the same meaning. Hence, we propose the follows:  **Proposal 5: Change the name of the field ssb-Index-r16 to ssb-IndexServing-r16 and ssb-r16 to ssb-IndexNCell. Change in the field description the field name ssb-IndexServingCell to ssb-IndexServing.**  **Proposal 6: Add field description for ssbNCell.** |

=========================SECOND CHANGE=========================================

SRS-PosResourceSet-r16 ::= SEQUENCE {

=====omitted===

pathlossReferenceRS-Pos-r16 CHOICE {

ssb-IndexServing-16 SSB-Index,

csi-RS-Index-r16 NZP-CSI-RS-ResourceId,

ssb-NCell-r16 SSB-InfoNcell-r16,

dl-PRS-r16 DL-PRS-Info-r16

} OPTIONAL, -- Need M

==omitted====

==========================SECOND CHANGE=========================================

|  |
| --- |
| ***ssb-IndexSeving***  Indicates SSB index belonging to a serving cell |

==========================SECOND CHANGE=========================================

|  |
| --- |
| ***srs-ResourceSetToReleaseListForDCI-Format0-2***  List of SRS resource set to be released for DCI format 0\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***ssb-NCell***  This field indicates a SSB configuration from neighboring cell. |
| ***transmissionComb***  Comb value (2 or 4 or 8) and comb offset (0..combValue-1) (see TS 38.214 [19], clause 6.2.1). |

========================END OF SECOND CHANGE===================================

***Question2: Do companies agree to chagne the name of the field ssb-Index-r16 to ssb-IndexServing-r16 and ssb-r16 to ssb-NCell, change in the field description the field name ssb-IndexServingCell to ssb-IndexServing and add field description for ssb-NCell?***

|  |  |  |
| --- | --- | --- |
| Company | Yes/No | Comments |
| Qualcomm Incorporated | Yes | *SRS-PosResourceSet-r16* is configured per UL BWP per seving cell. So for *ssb-IndexServing*, it is more appropriate to state “Indicates SSB index belonging to **the** serving cell. |
| Ericsson | Yes | *Agree with QC comment above* |

## H064

In H064, the following suggestion is made:

Suggest adding extension markers in freqHopping-r16 after c-SRS-r16.

Based on the above suggestion, the following change can be made based on the existing text.

===================================THIRD CHANGE==================================

SRS-PosResource ::= SEQUENCE {

=====OMITTED====

freqHopping-r16 SEQUENCE {

c-SRS-r16 INTEGER (0..63),

...

},

=====OMITTED===

}

==========================END OF THIRD CHANGE====================================

***Question3: Do companies agree that extension marker can be added after c-SRS within the field freqHopping-r16?***

|  |  |  |
| --- | --- | --- |
| Company | Yes/No | Comments |
| Qualcomm Incorporated | Yes | This should have been the intention. Otherwise it does not make sense to have a single INTEGER being encapsulated in a SEQUENCE. |

## H062/H065

In H065, the issue with the location of slotOffset is discussed.

As RAN1 LS indicated that the slot offset for AP SRS triggering for positioning SRS is per SRS resource [2].

|  |
| --- |
| Agreement:  Add the parameter slot offset for an SRS resource when SRS is configured with the higher layer parameter [SRS-for-positioning] and when the higher layer parameter resourceType in SRS-Resource is set to ‘aperiodic’.   * Send an LS to RAN2 to inform of the update of higher layer parameters |

Hence, in order to support the new feature, the current RRC configuration of aperiodic SRS should be changed. In particular, the field *slotOffset-r16* should be moved from under *SRS-PosResourceSet* to under *SRS-PosResource*. In R2-2003632, the following proposals are given.

|  |
| --- |
| **Proposal 1: Move the field *slotOffset-r16* from *SRS-PosResourceSet* to *SRS-PosResource*.**  **Proposal 2: Add the field description of *slotOffset-r16* in *SRS-PosResource*** |

Also with a text proposal is given.

==================================FOURTH CHANGE================================

SRS-PosResourceSet-r16 ::= SEQUENCE {

===OMITTED====

resourceType-r16 CHOICE {

aperiodic-r16 SEQUENCE {

aperiodicSRS-ResourceTriggerList-r16 SEQUENCE (SIZE(1..maxNrofSRS-TriggerStates-1))

OF INTEGER (1..maxNrofSRS-TriggerStates-1) OPTIONAL, -- Need M

...

},

semi-persistent-r16 SEQUENCE {

...

},

periodic-r16 SEQUENCE {

...

}

}

=====OMITTED===

}

===============================FOURTH CHANGE===================================

SRS-PosResource-r16::= SEQUENCE {

====OMITTED====

resourceType-r16 CHOICE {

aperiodic-r16 SEQUENCE {

slotOffset-r16 INTEGER (1..32) OPTIONAL, -- Need S

...

},

semi-persistent-r16 SEQUENCE {

periodicityAndOffset-sp-r16 SRS-PeriodicityAndOffset-r16,

...

},

periodic-r16 SEQUENCE {

periodicityAndOffset-p-r16 SRS-PeriodicityAndOffset-r16,

...

}

},

=====OMITTED===

}

===========================END OF THE FOURTH CHANGE==============================

***Question4: Do companies agree that the field slotOffset should be moved from SRS-PosResourceSet to SRS-PosResource?***

|  |  |  |
| --- | --- | --- |
| Company | Yes/No | Comments |
| Qualcomm Incorporated | Yes |  |
| Ericsson | Yes | This is as per RAN1 LS; we agree to the change. |

## H066

We noticed that the servingCellId is an optional field, Need S, under the *SRS-SpatialRelationInfoPos-r16*, which is inherited from Rel-15 *SRS-SpatialRelationInfo* IE. While for the current field description of servingCellId, no UE behaviour is given if the field is absent.

SRS-SpatialRelationInfoPos-r16 ::= SEQUENCE {

servingCellId-r16 ServCellIndex OPTIONAL, -- Need S

referenceSignal-r16 CHOICE {

ssb-IndexServing-r16 SSB-Index,

csi-RS-IndexServing-r16 NZP-CSI-RS-ResourceId,

srs-SpatialRelation-r16 SEQUENCE {

resourceSelection-r16 CHOICE {

srs-ResourceId-r16 SRS-ResourceId,

srs-PosResourceId-r16 SRS-PosResourceId-r16

},

uplinkBWP-r16 BWP-Id

},

ssbNcell-r16 SSB-InfoNcell-r16,

dl-PRS-r16 DL-PRS-Info-r16

}

}

Furthermore, for R16 positioning, following reference signals can serve as the source for the spatial relation information for R15/R16 SRS in R16 positioning:

* R16 SRS
  + SSB from serving cell and non-serving cell;
  + NZP CSI-RS resource from serving cell;
  + SRS resource;
  + SRS-for-positioning resource;
  + DL-PRS from serving and non-serving cell.
* R15 SRS
  + SSB from serving cell ~~and non-serving cell~~;
  + NZP CSI-RS resource from serving cell;
  + SRS resource;

The problem is that there is no field description even in Rel-15, associated with the Need S code. What further complicates the issue is that SRS-SpatialRelationInfoPos may contain reference signals from neighbouring cells, in which case *servingCellId* may not be needed.

Therefore, a field description is required to fix this issue and the following proposals are given in R2-2003633

|  |
| --- |
| **Proposal 1: Change ‘Need S’ of servingCellId to ‘Cond nonNeighSSBorPRS’ and add a description for the conditional presence tag ‘nonNeighSSBorPRS’: When the field *ssbNCell* or *dl-PRS* is configured, this field is absent; otherwise, need S.**  **Proposal 2: Add the following field description for the *servingCellId* of *SRS-SpatialRelationInfoPos*-r16 in case it is absent: If this field is absent, and if *ssb-IndexServing-r16*, *csi-RS-IndexServing-r16*, or *srs-SpatialRelation-r16* is configured, the SSB, the CSI-RS, or the SRS is from the same serving cell where the SRS is configured.** |

Based on the above proposal, the following change has been given.

===============================FIFTH CHANGE=====================================

SRS-SpatialRelationInfo ::= SEQUENCE {

servingCellId ServCellIndex OPTIONAL, -- Cond NeighSSBorCSIRSorSRS

referenceSignal CHOICE {

ssb-Index SSB-Index,

csi-RS-Index NZP-CSI-RS-ResourceId,

srs SEQUENCE {

resourceId SRS-ResourceId,

uplinkBWP BWP-Id

}

}

}

================================FIFTH CHANGE====================================

|  |
| --- |
| ***servingCellId***  The serving Cell ID of the source SSB, CSI-RS, or SRS for the spatial relation of the target SRS resource. If this field is absent, and if *ssb-IndexServing-r16*, *csi-RS-IndexServing-r16*, or *srs-SpatialRelation-r16* is configured, the SSB, the CSI-RS, or the SRS is from the same serving cell where the SRS is configured. |

===============================FIFTH CHAGNE==================================

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *Setup* | This field is mandatory present upon configuration of *SRS-ResourceSet* or *SRS-Resource* and optionally present, Need M, otherwise. |
| *NonCodebook* | This field is optionally present, Need M, in case of non-codebook based transmission, otherwise the field is absent. |
| *Pathloss* | The field is mandatory present if *pathlossReferenceRS-Pos* is included; otherwise it is optionally present, Need R. |
| *nonNeighSSBorPRS* | The field is present when SSB or CSI-RS or SRS from the neighbouring cell is configured; Otherwise, it is absent, need S. |

===========================END OF THE FIFTH CHANGE============================

***Question5： Do companies think the following change is agreeable?***

* ***Change ‘Need S’ of servingCellId to ‘Cond nonNeighSSBorPRS’ and add a description for the conditional presence tag ‘nonNeighSSBorPRS’: When the field ssbNCell or dl-PRS is configured, this field is absent; otherwise, need S.***
* ***Add the following field description for the servingCellId of SRS-SpatialRelationInfoPos-r16 in case it is absent: If this field is absent, and if ssb-IndexServing-r16, csi-RS-IndexServing-r16, or srs-SpatialRelation-r16 is configured, the SSB, the CSI-RS, or the SRS is from the same serving cell where the SRS is configured.***

|  |  |  |
| --- | --- | --- |
| Company | Yes/No | Comments |
| Qualcomm Incorporated | Yes | Note that the condition name in the ASN.1 example above is not in line with the proposals. |
| Ericsson | No | The immediate above ASN.1 is for Rel-15; so that cannot be changed.  We presume the intention is for this below Rel-16:  SRS-SpatialRelationInfoPos-r16 ::= SEQUENCE {  servingCellId-r16 ServCellIndex OPTIONAL, -- Need S  referenceSignal-r16 CHOICE {  ssb-IndexServing-r16 SSB-Index,  csi-RS-IndexServing-r16 NZP-CSI-RS-ResourceId,  srs-SpatialRelation-r16 SEQUENCE {  resourceSelection-r16 CHOICE {  srs-ResourceId-r16 SRS-ResourceId,  srs-PosResourceId-r16 SRS-PosResourceId-r16  },  uplinkBWP-r16 BWP-Id  },  ssbNcell-r16 SSB-InfoNcell-r16,  dl-PRS-r16 DL-PRS-Info-r16  }  }  However, Instead of adding new condition: It can still be Need S.  It can be added in field description saying; if this field is absent, UE may assume that Spatial relation configuration is provided for non serving cell.  ***servingCellId***  Indicates the serving Cell ID. If this field is absent, UE may assume that Spatial relation configuration is provided for non serving cell.  Thus when serving cell SSB index, CSI-RS index etc. are provided, the serving cell ID can also be provided. |

## H070/H071/I668

The current conditional tag for Pathloss is used for the following two fields, with the following field descriptions.

SSB-Configuration-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueNR,

halfFrameIndex-r16 ENUMERATED {zero, one},

ssbSubcarrierSpacing-r16 SubcarrierSpacing,

ssb-periodicity-r16 ENUMERATED { ms5, ms10, ms20, ms40, ms80, ms160, spare2,spare1 } OPTIONAL, -- Need S

smtc-r16 SSB-MTC OPTIONAL, -- Need S

sfn-Offset-r16 INTEGER (0..maxNrofFFS-r16),

sfn-SSB-Offset-r16 INTEGER (0..15),

ss-PBCH-BlockPower-r16 INTEGER (-60..50) OPTIONAL -- Cond Pathloss

}

DL-PRS-Info-r16 ::= SEQUENCE {

trp-Id-r16 INTEGER (0..255),

dl-PRS-ResourceSetId-r16 INTEGER (0..7),

dl-PRS-ResourceId-r16 INTEGER (0..63) OPTIONAL -- Cond Pathloss

}

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *Setup* | This field is mandatory present upon configuration of *SRS-ResourceSet* or *SRS-Resource* and optionally present, Need M, otherwise. |
| *NonCodebook* | This field is optionally present, Need M, in case of non-codebook based transmission, otherwise the field is absent. |
| *Pathloss* | The field is mandatory present if *pathlossReferenceRS-Pos* is included; otherwise it is optionally present, Need R |

First, we think that it does not make much sense to make *dl-PRS-ResourceId* conditionally present only when it is used for pothloss, as *dl-PRS-ResourceId* may only be used to configure the spatial relation of an SRS resource.

Second, the explanation itself is not clear as well even for *ss-PBCH-BlockPower*. The Tx power of an SSB is only useful when the SSB is used for the pathloss reference for the SRS.

Based on the above discussions, the following proposals have been given in R2-2003633

|  |
| --- |
| **Proposal 3: Remove “Cond PathLoss” from *dl-PRS-ResourceId*,**  **Proposal 4: Change the explanation of *Pathloss*: The field is mandatory present if the IE *SSB-InfoNcell-r16* is included in *pathlossReferenceRS-Pos;* otherwise it is absent.** |

==================================SIXTH CHANGE OPT1==============================

DL-PRS-Info-r16 ::= SEQUENCE {

trp-Id-r16 INTEGER (0..255),

dl-PRS-ResourceSetId-r16 INTEGER (0..7),

dl-PRS-ResourceId-r16 INTEGER (0..63) OPTIONAL -- Need R

}

=================================SIEXTH CHANGE OPT1=============================

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *Setup* | This field is mandatory present upon configuration of *SRS-ResourceSet* or *SRS-Resource* and optionally present, Need M, otherwise. |
| *NonCodebook* | This field is optionally present, Need M, in case of non-codebook based transmission, otherwise the field is absent. |
| *Pathloss* | The field is mandatory present if the IE *SSB-InfoNcell* is included in *pathlossReferenceRS-Pos*; otherwise it is optionally present, Need R. |

================================END OF THE SIXTH CHANGE OPT1====================

While in 1.1 I668, the following discussion has been given:

Conditional presence as captured here does not seem right as it is written depending on presence of pathlossReferenceRS-Pos which is itself a Need M field and hence may not be present during delta configuration – unless it is really intended to be included when pathlossReferenceRS-Pos is configured the first time. Even then, this usage makes it mandatory to include this field whenever pathlossReferenceRS-Pos is not present due to delta signalling. Better to clarify in field description when network should configure the field.

And the following change has been proposed:

Change condition to be not dependent on presence of pathlossReferenceRS-Pos but on its configuration and could also consider moving to field description.

We think the comment in I668 is aligned with the proposed change in H070/071.

***Question6: Do companies agree with the following changes and are they aligned with the proposal in I668?***

* ***Remove “Cond PathLoss” from dl-PRS-ResourceId,***
* ***Change the explanation of Pathloss: The field is mandatory present if the IE SSB-InfoNcell-r16 is included in pathlossReferenceRS-Pos; otherwise it is absent.***

|  |  |  |
| --- | --- | --- |
| Company | Option1/2 | Comments |
| Qualcomm Incorporated | Yes |  |
| Ericsson | Yes | As per H070 and I688; the correct interpretation is that  Remove “Cond PathLoss” from *dl-PRS-ResourceId* and change it to OPTIONAL NEED R. This is also mentioned in the conclusion section R2-2003633. We agree to this. |

## S654

In S654, the following issues is mentioned:

There is no clarification where both pathlossReferenceRS and pathlossReferenceRS-List-r16 are signalled.

While the LS from RAN1 to RAN2 on eMIMO parameters (R2-1913674) has given a clear description of the field, based on the above mentioned issue, the propose change to the spec should be:

===============================SEVENTH CHANGE==================================

|  |
| --- |
| ***pathlossReferenceRS***  A reference signal (e.g. a CSI-RS config or a SS block) to be used for SRS path loss estimation (see TS 38.213 [13], clause 7.3). When the field *pathlossReferenceRS-List* is present, this field should be absent. |
| ***pathlossReferenceRS-List***  Multiple candidate pathloss reference RS(s) for SRS power control, where one of the candidate RS(s) can be activated/updated for a SRS resource set via MAC CE. When the field *pathlossReferenceRS* is present, this field should be absent. |
| ***pathlossReferenceRS-Pos***  A reference signal (e.g. a CSI-RS config or a SS block or a DL PRS config) to be used for SRS path loss estimation (see TS 38.213 [13], clause 7.3). |

===============================END OF THE SEVENTH CHAGNE========================

***Question7: Do companies agree that field description for pathlossReferecenRS-List should be added and the two fields pathlossReferecneRS and pathlossReferenceRS-List should not be present at the same time?***

|  |  |  |
| --- | --- | --- |
| Company | Yes/No | Comments |
| Qualcomm Incorporated | Yes |  |

# Conclusion

Based on the above summary, we propose the following that can be discussed during the online session in RAN2#109bis-e:

***Proposal1:***