**3GPP TSG-RAN WG2 Meeting #109bis Electronic R2-2004200**

**20th – 24th Apr, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.306** | **CR** |  | **rev** |  | **Current version:** | **16.0.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | SRS Capability report for SRS only Scell | | | | | | | | | |
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| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
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| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-02-12 |
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| ***Category:*** | **A** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | SRS carrier switching is the feature that is used when a TDD SCell doesn’t support uplink PUSCH transmission (PUSCH-less SCell). When the UE wants to send SRS on such a PUSCH-less SCell, the UE can tune its uplink from a source cell supporting normal uplink transmisison to do so.  The SRS capability used for SRS transmission for the PUSCH-less SCell should be reported in the *FeatureSetUplink* for that band. However, if the PUSCH-less SCell is in a band where no PUSCH SCell is supported for any cell in that band, the *FeatureSetUplink* for that band would not be reported according to current spec. when network switches the SRS transmission to a PUSCH-less SCell belong to such band, it is not clear how to derive the UE SRS capability on this band due to absent of *FeatreSetUplink* for this band.  One possible solution to solve this issue is to include the SRS capability for PUSCH-less SCell in FeatureSetDownlink for a band where no PUSCH on any cell is supported | | | | | | | | |
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| ***Summary of change:*** | | Add the SRS capability for PUSCH-less SCell in FeatureSetDownlink for a band where no PUSCH on any cell is supported  **Impact analysis**  Impacted 5G architecture options:  Standalone, EN-DC, NE-DC, NR-DC  Impacted functionality:  SRS Switching  Inter-operability:  1. If the network is implemented according to the CR and the UE is not, there is no compatibilty issues as network will not configure UE with the SRS carrier switching for DL-only carriers because UE doesn’t indicate the SRS carrier switching capabilities.  .  2. If the UE is implemented according to the CR and the network is not, there is no compatiblity issues since network will not comprehend the UE capabilities for SRS carrier switching for DL-only carriers and can only assume UE supports the minimum possible SRS capability for SRS carrier switching.. | | | | | | | | |
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| ***Consequences if not approved:*** | | It is not clear for the network on how to derive the UE SRS capability for a PUSCH-less SCell on a band where no PUSCH on any cell is supported and the SRS carrier switching configuration will fail. | | | | | | | | |
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| ***Clauses affected:*** | | 4.2.7.5 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | 38331 R2-2004198 | | |
| ***affected:*** | |  | **X** | Test specifications | | | |  | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | |  | | |
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| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | |  | | | | | | | | |

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| *<Start of modification>* |

#### 4.2.7.5 *FeatureSetDownlink* parameters

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
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| ***additionalDMRS-DL-Alt***  Indicates whether the UE supports the alternative additional DMRS position for co-existence with LTE CRS. It is applied to 15kHz SCS and one additional DMRS case only. | FS | No | No | FR1 only |
| ***crossCarrierScheduling-OtherSCS***  Indicates whether the UE supports cross carrier scheduling for the different numerologies with carrier indicator field (CIF) in DL carrier aggregation where numerologies for the scheduling cell and scheduled cell are different.  NOTE: Cross-carrier scheduling with different numerologies is not supported in this release of specification. | FS | No | No | No |
| ***csi-RS-MeasSCellWithoutSSB***  Defines whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured for a cell that does not transmit SS/PBCH block. A UE that supports this feature shall also support scellWithoutSSB. | FS | No | No | No |
| ***dl-MCS-TableAlt-DynamicIndication***  Indicates whether the UE supports dynamic indication of MCS table for PDSCH. | FS | No | No | No |
| ***featureSetListPerDownlinkCC***  Indicates which features the UE supports on the individual DL carriers of the feature set (and hence of a band entry that refer to the feature set) by *FeatureSetDownlinkPerCC-Id*. The UE shall hence include as many *FeatureSetDownlinkPerCC-Id* in this list as the number of carriers it supports according to the *ca-bandwidthClassDL*. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetDownlinkPerCC-Id* in this list. A fallback per CC feature set resulting from the reported feature set per DL CC is not signalled but the UE shall support it. | FS | N/A | No | No |
| ***intraBandFreqSeparationDL***  Indicates DL frequency separation class the UE supports, which indicates a maximum frequency separation between lower edge of lowest CC and upper edge of highest CC in a frequency band, for intra-band non-contiguous CA. The UE sets the same value in the FeatureSetDownlink of each band entry within a band. The values c1, c2 and c3 correspond to the values defined in TS 38.101-2 [3]. It is mandatory to report for UE which supports DL intra-band non-contiguous CA in FR2. | FS | CY | No | FR2 only |
| ***oneFL-DMRS-ThreeAdditionalDMRS-DL***  Defines whether the UE supports DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | FS | No | No | Yes |
| ***oneFL-DMRS-TwoAdditionalDMRS-DL***  Defines support of DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | FS | Yes | No | Yes |
| ***pdcch-MonitoringAnyOccasions***  Defines the supported PDCCH search space monitoring occasions. withoutDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. withDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation of two OFDM symbols for 15 kHz, four OFDM symbols for 30 kHz, seven OFDM symbols for 60 kHz with NCP, and 14OFDM symbols for 120kHz between two consecutive transmissions of PDCCH scrambled with C-RNTI, MCS-C-RNTI, or CS-RNTI for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space, with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. | FS | No | No | No |
| ***pdcch-MonitoringAnyOccasionsWithSpanGap***  Indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation between two consecutive transmissions of PDCCH with span up to two OFDM symbols for two OFDM symbols or span up to three OFDM symbols for four and seven OFDM symbols. Value set1 indicates the supported value set (X,Y) is (7,3), value set2 indicates the supported value set (X,Y) is (4,3) and (7,3) and value set 3 indicates the supported value set (X,Y) is (2,2), (4,3) and (7,3). | FS | No | No | No |
| ***pdsch-ProcessingType1-DifferentTB-PerSlot***  Defines whether the UE capable of processing time capability 1 supports reception of up to two, four or seven unicast PDSCHs for several transport blocks with PDSCH scrambled using C-RNTI, TC-RNTI, or CS-RNTI in one serving cell within the same slot per CC that are multiplexed in time domain only.  Note PDSCH(s) for Msg.4 is included. | FS | No | No | No |
| ***pdsch-ProcessingType2***  Indicates whether the UE supports PDSCH processing capability 2. The UE supports it only if all serving cells are self-scheduled and if all serving cells in one band on which the network configured processingType2 use the same subcarrier spacing. This capability signalling comprises the following parameters for each sub-carrier spacing supported by the UE.  - *fallback* indicates whether the UE supports PDSCH processing capability 2 when the number of configured carriers is larger than *numberOfCarriers* for a reported value of *differentTB-PerSlot*. If *fallback* = 'sc', UE supports capability 2 processing time on lowest cell index among the configured carriers in the band where the value is reported, if *fallback* = 'cap1-only', UE supports only capability 1, in the band where the value is reported;  - *differentTB-PerSlot* indicates whether the UE supports processing type 2 for 1, 2, 4 and/or 7 unicast PDSCHs for different transport blocks per slot per CC; and if so, it indicates up to which number of CA serving cells the UE supports that number of unicast PDSCHs for different TBs. The UE shall include at least one of *numberOfCarriers* for 1, 2, 4 or 7 transport blocks per slot in this field if *pdsch-ProcessingType2* is indicated. | FS | No | No | FR1 only |
| ***pdsch-ProcessingType2-Limited***  Indicates whether the UE supports PDSCH processing capability 2 with scheduling limitation for SCS 30kHz. This capability signalling comprises the following parameter.  - *differentTB-PerSlot-SCS-30kHz* indicates the number of different TBs per slot.  The UE supports this limited processing capability 2 only if:  1) One carrier is configured in the band, independent of the number of carriers configured in the other bands;  2) The maximum bandwidth of PDSCH is 136 PRBs;  3) N1 based on Table 5.3-2 of TS 38.214 [12] for SCS 30 kHz. | FS | No | No | FR1 only |
| ***pdsch-SeparationWithGap***  Indicates whether the UE supports separation of two unicast PDSCHs with a gap, applicable to Sub-carrier spacings of 30 kHz and 60 kHz only. For any two consecutive slots n and n+1, if there are more than 1 unicast PDSCH in either slot, the minimum time separation between starting time of any two unicast PDSCHs within the duration of these slots is 4 OFDM symbols for 30kHz and 7 OFDM symbols for 60kHz. | FS | No | No | No |
| ***scalingFactor***  Indicates the scaling factor to be applied to the band in the max data rate calculation as defined in 4.1.2. Value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on. If absent, the scaling factor 1 is applied to the band in the max data rate calculation. | FS | No | No | No |
| ***scellWithoutSSB***  Defines whether the UE supports configuration of SCell that does not transmit SS/PBCH block. This is conditionally mandatory with capability signalling for intra-band CA but not supported for inter-band CA. | FS | CY | No | No |
| ***searchSpaceSharingCA-DL***  Defines whether the UE supports DL PDCCH search space sharing for carrier aggregation operation. | FS | No | No | No |
| | ***supportedSRS-Resources***  Defines support of SRS resources for SRS carrier switching for a band without associated FeatureSetuplink. The capability signalling comprising indication of:  - *maxNumberAperiodicSRS-PerBWP* indicates supported maximum number of aperiodic SRS resources that can be configured for the UE per each BWP  - *maxNumberAperiodicSRS-PerBWP-PerSlot* indicates supported maximum number of aperiodic SRS resources per slot in the BWP  - *maxNumberPeriodicSRS-PerBWP* indicates supported maximum number of periodic SRS resources per BWP  - *maxNumberPeriodicSRS-PerBWP-PerSlot* indicates supported maximum number of periodic SRS resources per slot in the BWP  - *maxNumberSemiPersistentSRS-PerBWP* indicate supported maximum number of semi-persistent SRS resources that can be configured for the UE per each BWP  - *maxNumberSemiPersistentSRS-PerBWP-PerSlot* indicates supported maximum number of semi-persistent SRS resources per slot in the BWP  - *maxNumberSRS-Ports-PerResource* indicates supported maximum number of SRS antenna port per each SRS resource  If the UE indicates the support of srs-CarrierSwitch for this band and this field is absent, the UE suports one periodic, one aperiodic, no semi-persistent SRS resources per BWP per slot and one SRS antenna port per SRS resource. | FS | Yes | No | No | | --- | --- | --- | --- | --- | | FS | FD | No | No |
| ***timeDurationForQCL***  Defines minimum number of OFDM symbols required by the UE to perform PDCCH reception and applying spatial QCL information received in DCI for PDSCH processing as described in TS 38.214 [12] clause 5.1.5. UE shall indicate one value of the minimum number of OFDM symbols per each subcarrier spacing of 60kHz and 120kHz. | FS | Yes | No | FR2 only |
| ***twoFL-DMRS-TwoAdditionalDMRS-DL***  Defines whether the UE supports DM-RS pattern for DL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | FS | No | No | Yes |
| ***type1-3-CSS***  Defines whether the UE is able to receive PDCCH in FR2 in a Type1-PDCCH common search space configured by dedicated RRC signaling, in a Type3-PDCCH common search space or a UE-specific search space if those are associated with a CORESET with a duration of 3 symbols. | FS | Yes | No | FR2 only |
| ***ue-SpecificUL-DL-Assignment***  Indicates whether the UE supports dynamic determination of UL and DL link direction and slot format based on Layer 1 scheduling DCI and higher layer configured parameter UL-DL-configuration-dedicated as specified in TS 38.213 [11]. | FS | No | No | No |

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| *<End of modification>* |