**3GPP TSG-RAN WG#2** **Meeting #109bis-e *Draft R2-200xxxx***

**Electronic meeting, 20 - 30 April 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **1571** | **rev** | **1** | **Current version:** | **15.9.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Correction on the need for reconfiguration with sync in (NG)EN-DC, NR-DC and NE-DC | | | | | | | | | |
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| ***Source to WG:*** | Huawei, HiSilicon, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | RAN2 | | | | | | | | | |
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| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 09/04/2020 |
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| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release16)* | |
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| ***Reason for change:*** | | The condition for mandatory presence in reconfigurationWithSync does not indicate the concerned CG(s) and, in case of key change, whether it is the primary or the secondary key.  This results in several unclear scenarios:  - for NR-DC, it is unclear whether reconfigurationWithSync is always used for the SCG at PCell change, or for the MCG at PSCell change. (The current understanding is that reconfigurationWithSync is mandatorily required for SCG at PCell change with MN key change, but not for MCG at PSCell change, provided that if the SN key is changed all MCG RLC bearers serving RBs using the SN key are released)  - for NR-DC and NE-DC, for PSCell addition and update of PSCell SI, it can be misunderstood that reconfiguration with sync of the MCG is always performed (The current understanding is that reconfigurationWithSync is only needed for the SCG when PSCell SI changes.)  - for (NG)EN-DC and NR-DC, it is unclear whether the network always performs reconfiguration with sync of the SCG upon KeNB/KgNB change. (The current understanding is that reconfiguration with sync is not needed for the SCG provided that all SCG RLC bearers serving RBs using the MN key are released)  - for NR-DC and NE-DC, when there is at least one SN-terminated MCG bearer, in case of S-KgNB/S-KeNB change, it is unclear whether the network always performs reconfiguration with sync of the MCG (The current understanding is that the reconfiguration with sync is not needed on the MCG side upon S-KgNB/S-KeNB change provided that all MCG RLC bearers serving bearers using the SN key are released) | | | | | | | | |
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| ***Summary of change:*** | | Clarify the condition for the mandatory presence of reconfigurationWithSync by separating conditions for each CG and, for key change cases, distinguishing primary and secondary key, in order to address the above scenarios based on the understanding captured above.  **Impact analysis**  Impacted 5G architecture options: (NG)EN-DC, NR-DC, NE-DC  Impacted functionality: Reconfiguration with sync  Inter-operability:  For (NG)EN-DC:  - it the UE implements the CR and the network does not, the consequences if not approved remain  - if the network implements the CR and the UE does not, there is no issue.  For NR-DC and NE-DC:  - if the network implements the CR but not the UE, or vice-versa, the consequences if not approved remain. | | | | | | | | |
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| ***Consequences if not approved:*** | | The UE may consider as invalid certain reconfigurations in which the network does not perform reconfiguration on (both) the NR MCG/SCG. | | | | | | | | |
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| ***Clauses affected:*** | | 6.3.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | |  | | | | | | | | |

– *CellGroupConfig*

The *CellGroupConfig* IE is used to configure a master cell group (MCG) or secondary cell group (SCG). A cell group comprises of one MAC entity, a set of logical channels with associated RLC entities and of a primary cell (SpCell) and one or more secondary cells (SCells).

***CellGroupConfig* information element**

-- ASN1START

-- TAG-CELLGROUPCONFIG-START

-- Configuration of one Cell-Group:

CellGroupConfig ::= SEQUENCE {

cellGroupId CellGroupId,

rlc-BearerToAddModList SEQUENCE (SIZE(1..maxLC-ID)) OF RLC-BearerConfig OPTIONAL, -- Need N

rlc-BearerToReleaseList SEQUENCE (SIZE(1..maxLC-ID)) OF LogicalChannelIdentity OPTIONAL, -- Need N

mac-CellGroupConfig MAC-CellGroupConfig OPTIONAL, -- Need M

physicalCellGroupConfig PhysicalCellGroupConfig OPTIONAL, -- Need M

spCellConfig SpCellConfig OPTIONAL, -- Need M

sCellToAddModList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellConfig OPTIONAL, -- Need N

sCellToReleaseList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellIndex OPTIONAL, -- Need N

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[[

reportUplinkTxDirectCurrent ENUMERATED {true} OPTIONAL -- Cond BWP-Reconfig

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}

-- Serving cell specific MAC and PHY parameters for a SpCell:

SpCellConfig ::= SEQUENCE {

servCellIndex ServCellIndex OPTIONAL, -- Cond SCG

reconfigurationWithSync ReconfigurationWithSync OPTIONAL, -- Cond ReconfWithSync

rlf-TimersAndConstants SetupRelease { RLF-TimersAndConstants } OPTIONAL, -- Need M

rlmInSyncOutOfSyncThreshold ENUMERATED {n1} OPTIONAL, -- Need S

spCellConfigDedicated ServingCellConfig OPTIONAL, -- Need M

...

}

ReconfigurationWithSync ::= SEQUENCE {

spCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Need M

newUE-Identity RNTI-Value,

t304 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

rach-ConfigDedicated CHOICE {

uplink RACH-ConfigDedicated,

supplementaryUplink RACH-ConfigDedicated

} OPTIONAL, -- Need N

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

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}

SCellConfig ::= SEQUENCE {

sCellIndex SCellIndex,

sCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Cond SCellAdd

sCellConfigDedicated ServingCellConfig OPTIONAL, -- Cond SCellAddMod

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smtc SSB-MTC OPTIONAL -- Need S

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}

-- TAG-CELLGROUPCONFIG-STOP

-- ASN1STOP

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| ***CellGroupConfig* field descriptions** |
| ***mac-CellGroupConfig***  MAC parameters applicable for the entire cell group. |
| ***rlc-BearerToAddModList***  Configuration of the MAC Logical Channel, the corresponding RLC entities and association with radio bearers. |
| ***reportUplinkTxDirectCurrent***  Enables reporting of uplink and supplementary uplink Direct Current location information upon BWP configuration and reconfiguration. This field is only present when the BWP configuration is modified or any serving cell is added or removed. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. If UE is configured with SUL carrier, UE reports both UL and SUL Direct Current locations. |
| ***rlmInSyncOutOfSyncThreshold***  BLER threshold pair index for IS/OOS indication generation, see TS 38.133 [14], table 8.1.1-1. *n1* corresponds to the value 1. When the field is absent, the UE applies the value 0. Whenever this is reconfigured, UE resets N310 and N311, and stops T310, if running. Network does not include this field. |
| ***sCellToAddModList***  List of seconary serving cells (SCells) to be added or modified. |
| ***sCellToReleaseList***  List of secondary serving cells (SCells) to be released. |
| ***spCellConfig***  Parameters for the SpCell of this cell group (PCell of MCG or PSCell of SCG). |

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| ***ReconfigurationWithSync* field descriptions** |
| ***rach-ConfigDedicated***  Random access configuration to be used for the reconfiguration with sync (e.g. handover). The UE performs the RA according to these parameters in the *firstActiveUplinkBWP* (see *UplinkConfig*). |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell change and NR PCell change. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *spCellConfigCommon*. For case of NR PCell change, the *smtc* is based on the timing reference of source PCell. For case of NR PSCell change, it is based on the timing reference of source PSCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

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| ***SCellConfig* field descriptions** |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR SCell addition. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *sCellConfigCommon*. The *smtc* is based on the timing of the SpCell of associated cell group. In case of inter-RAT handover to NR, the timing reference is the NR PCell. In case of intra-NR PCell change (standalone NR) or NR PSCell change (EN-DC), the timing reference is the target SpCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

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| ***SpCellConfig* field descriptions** |
| ***reconfigurationWithSync***  Parameters for the synchronous reconfiguration to the target SpCell. |
| ***rlf-TimersAndConstants***  Timers and constants for detecting and triggering cell-level radio link failure. For the SCG, *rlf-TimersAndConstants* can only be set to *setup* and is always included at SCG addition. |
| ***servCellIndex***  Serving cell ID of a PSCell. The PCell of the Master Cell Group uses ID = 0. |

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| **Conditional Presence** | **Explanation** |
| *BWP-Reconfig* | The field is optionally present, Need N, if the BWPs are reconfigured or if serving cells are added or removed. Otherwise it is absent. |
| *ReconfWithSync* | The field is mandatory present in the *RRCReconfiguration* message:  - in each configured *CellGroupConfig* for which the SpCell changes,  - in the *masterCellGroup* at change of AS security key derived from KgNB,- in the *secondaryCellGroup* at:  - PSCell addition,  - update of required SI for PSCell,  - change of AS security key derived from S-KgNB while the UE is configured with at least one radio bearer with *keyToUse* set to *secondary* and that is not released by this *RRCReconfiguration* message.  Otherwise it is optionally present, need M. The field is absent in *RRCResume* or *RRCSetup* messages. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is absent, Need M. |
| *SCellAddMod* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need M. |
| *SCG* | The field is mandatory present in an *SpCellConfig* for the PSCell. It is absent otherwise. |

Note: In case of change of AS security key derived from S-KgNB/S-KeNB, if *reconfigurationWithSync* is not included in the *masterCellGroup*, the network releases all existing MCG RLC bearers associated with a radio bearer with *keyToUse* set to *secondary*. In case of change of AS security key derived from KgNB/KeNB, if *reconfigurationWithSync* is not included in the *secondaryCellGroup*, the network releases all existing SCG RLC bearers associated with a radio bearer with *keyToUse* set to *primary*.