**3GPP TSG RAN WG1 Meeting #114 R1-230xxxx**

Toulouse, France, August 21st – 25th, 2023

**Agenda item: 9.17**

**Source: Nokia, Nokia Shanghai Bell**

**Title: Summary of email discussion on NR\_MIMO enhancements on uTCI\_STxMP\_DMRS\_SRS\_8Tx\_2TA**

**Document for: Discussion and Decision**

# 1 Introduction

This thread will discuss the draft CR to 38.214 for NR MIMO: uTCI, STxMP, DMRS, SRS, 8TX, 2TA

First checkpoint for this discussion: **September 5, 6:00am UTC!**

# 2 Discussion – first round

The comments in this section are based on version 0 of the the draft CR available in the **Post RAN1#114 discussion.**

### 2.1 uTCI

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| Samsung | Comment 1: Based on the following agreement made in RAN1#114, we would like to suggest the following text updates for the configuration of the [TCI selection field].  **Agreement**  Support joint configuration of the presence of “TCI states selection” field for DCI format 1\_1 and DCI format 1\_2 in the same DL BWP   |  | | --- | | - When the UE is configured with *tciSelection-PresentInDCI* jointly for both DCI formats 1\_1 and 1\_2 in the same DL BWP, and when the UE receives a DCI format 1\_1/1\_2 that schedules or activates PDSCH reception, the UE shall determine the indicated joint/DL TCI state(s) for the PDSCH reception according to the following: |   Comment 2: for aperiodic CSI-RS reception in both S-DCI and M-DCI, we do not think the texts “If the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.” are needed. To our understanding, (1) the note in the corresponding agreement is only for clarification purpose, (2) similar UE assumptions were in Rel-15/16, but were not captured in the specifications, (3) “buffer” is unclear. Hence, we suggest the following modifications for both SDCI and MDCI.   |  | | --- | | -if the UE is in frequency range 1, or the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or to the aperiodic CSI-RS resource set. ~~If the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.~~ |  |  | | --- | | -if the UE is in frequency range 1, or the UE reports its capability of [default beam per *coresetPoolIndex* for M-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or aperiodic CSI-RS resource set. ~~If the UE reports its capability of [default beam per coresetPoolIndex for M-DCI based MTRP] in frequency range 2, the UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.~~ | | Comment 1: Ok  Comment 2: Ok to remove. |
| ZTE | **Comment-1**  Regarding group based reporting in Rel-18, we prefer to use the description for UL SRS (as we mentioned in June spec-review), and then we may need to clarifying that the spatial filter is applied to UL simultaneous transmission, rather than DL-RS(s) in the group based report. So, we have the following suggestion.  **Proposed change** (Section 5.2.1.4.2 Report Quantity Configurations)  -----------------------------  - if the UE is configured with the higher layer parameter *groupBasedBeamReporting-v18* set to *JointULandDL*, the UE is not required to update measurements for more than 64 CSI-RS and/or SSB resources, and the UE shall report in a single reporting instance *nrofReportedGroups-r18,* if configured, group(s) of two CRIs or SSBRIs selecting one CSI-RS or SSB from each of the two CSI Resource Sets for the report setting, where CSI-RS and/or SSB resources of each group can be received simultaneously for DL transmission(s) and applied as reference RSs used for determining simultaneous TX spatial filters for UL transmission by the UE subject to UE capability.  - if the UE is configured with the higher layer parameter *groupBasedBeamReporting-v18* set to *ULOnly,* the UE is not required to update measurements for more than 64 CSI-RS and/or SSB resources, and the UE shall report in a single reporting instance *nrofReportedGroups-r18,* if configured, group(s) of two CRIs or SSBRIs selecting one CSI-RS or SSB from each of the two CSI Resource Sets for the report setting, where CSI-RS and/or SSB resources of each group can be applied as reference RSs used for determining simultaneous TX spatial filters for UL transmission by the UE subject to UE capability.  <omitted text>  ----------------------------- | Comment 1: I do not disagree with the technical points you are making but I think the spec is perfectly clear as it is! We do not need to write it in such detailed manner... let’s see if others have a problem with this part also! |
| Huawei, HiSilicon | Thanks Mihai for all the efforts.  **Comment #1 (Clause 5.1.5):**  In Rel-18 SDI-based uTCI framework with joint DL/UL TCI states, MAC-CE activation command can have up to 8 sets of TCI states where each set is comprised of up to two TCI states each of which is for DL channel/signals AND uplink channels/signals (see the following agreement from RAN1 112b). Current CR texts mentions up to “8 pairs of TCI states”. However, as discussed above, the TCI states do not necessarily come as a pair and the codepoint of TCI field may be mapped to only one joint DL/UL TCI state.  Also, in Rel-18 uTCI framework with separate DL/UL TCI states, each TCI codepoint can be associated with up to 4 TCI states (that is 0, 1, 2, 3, or 4 TCI states) among which up to 2 TCI states (that is 0 , 1, or 2) are for DL signals/channels and up to 2 TCI states are for UL signals/channels (see the same agreement below). Note that since “up to 2 TCI states” include the case of 0 TCI state, we don’t need “and/or” between DL TCI states and UL TCI states. It is sufficient to mention “up to 2 TCI state(s) for DL signals/channels and up to 2 TCI states for UL signals/channels”. Therefore, we suggest the following modification   |  | | --- | | The UE receives an activation command, as described in clause 6.1.3.14 of [10, TS 38.321], 6.1.3.47 of [10, TS 38.321] or 6.1.4.xx of [10, TS 38.321], used to map up to 8 TCI states and/or pairs of TCI states, with one TCI state for DL channels/signals and/or one TCI state for UL channels/signals to the codepoints of the DCI field *'Transmission Configuration Indication'* for one or for a set of CCs/DL BWPs, [and/] or up to 8 ~~pairs of TCI states or~~ sets of TCI states, where each set is comprised of up to two TCI state(s) for DL and UL signals/channels, or ~~one or~~ up to two TCI state(s) for DL channels/signals and~~/or one or~~  up to two TCI state(s) for UL channels/signals to the codepoints of the DCI field *'Transmission Configuration Indication'* for one or for a set of CCs/DL BWPs, and if applicable, for one or for a set of CCs/UL BWPs. |   **Agreement (112b)**  On unified TCI framework extension for S-DCI based MTRP operation, support the followings:   * For a serving cell configured with joint DL/UL TCI mode, a full-set or any sub-set of {first joint TCI state, second joint TCI state} can be mapped to a TCI codepoint of the existing TCI field in a DCI format 1\_1/1\_2 by TCI state activation command (MAC-CE) * For a serving cell configured with separate DL/UL TCI mode, a full-set or any sub-set of {first DL TCI state, first UL TCI state, second DL TCI state, second UL TCI state} can be mapped to a TCI codepoint of the existing TCI field in a DCI format 1\_1/1\_2 by TCI state activation command (MAC-CE) * TCI state activation command (MAC-CE) should indicate that each joint/DL/UL TCI state mapped to a TCI codepoint is the first or second joint/DL/UL TCI state (detail on how to indicate above is up to RAN2 design) * The first/second indicated joint/DL/UL TCI state(s) is updated according to the corresponding first/second joint/DL/UL TCI state(s) mapped to the TCI codepoint received by the UE   + If the UE receives a TCI codepoint mapped with a sub-set of {first joint TCI state, second joint TCI state} or {first DL TCI state, first UL TCI state, second DL TCI state, second UL TCI state}, the UE shall update the first/second indicated joint/DL/UL TCI state(s) according to the first/second joint/DL/UL TCI state(s) in the subset and keep other indicated first/second joint/DL/UL TCI state(s) that is not updated by the received TCI codepoint   **Comment#2 (Clause 5.1.5).** Editorial   |  | | --- | | If the DCI format 1\_1/1\_2 indicates codepoint "00" for the [TCI selection field], the UE shall apply the first one of two indicated joint/DL TCI states to all PDSCH DM-RS port(s) of corresponding PDSCH transmission occasion~~s~~(s) scheduled or activated by the DCI format 1\_1/1\_2.  - If the DCI format 1\_1/1\_2 indicates codepoint "01" for the [TCI selection field], the UE shall apply the second one of two indicated joint/DL TCI states to all PDSCH DM-RS port(s) of corresponding PDSCH transmission occasion~~s~~(s) scheduled or activated by the DCI format 1\_1/1\_2. |   **Comment#3 (Clause 5.2.1.5.1)**  Suggest to remove the following sentences regarding the “buffering behaviour” from the CR. These two sentences correspond to the notes in Agreement A and B below. However, the same “note” and “buffering” behaviour also apply to PDSCH (see Agreement C). However, such “buffering behaviour” is not captured for PDSCH reception in the spec. Further, a similar “buffering behaviour” has been an underlying assumption for PDSCH reception from back in Rel-16 while it has never been captured in the spec. Therefore, for the sake of consistency, we suggest to remove these sentences from UE behaviour during CSI-RS reception.   |  | | --- | | correspond to the indicated TCI-States specific to coresetPoolIndex value 0 and value 1, respectively.  When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than a threshold:  -If there is no DL signal in the same symbols as the aperiodic CSI-RS  -if the UE is in frequency range 1, or the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or to the aperiodic CSI-RS resource set. ~~If the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.~~  -Otherwise, the UE shall apply the first indicated joint/DL TCI state to the aperiodic CSI-RS.  When a UE is configured with *dl-OrJointTCI-StateList*, is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in different *ControlResourceSets,* is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than a threshold:  -If there is no DL signal in the same symbols as the aperiodic CSI-RS  -if the UE is in frequency range 1, or the UE reports its capability of [default beam per *coresetPoolIndex* for M-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or aperiodic CSI-RS resource set. ~~If the UE reports its capability of [default beam per coresetPoolIndex for M-DCI based MTRP] in frequency range 2, the UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.~~  -Otherwise, the UE shall apply the indicated joint/DL TCI state specific to *coresetPoolIndex* value 0 to the aperiodic CSI-RS resource set. |   **Agreement A**  On unified TCI framework extension for S-DCI based MTRP, if the scheduling offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of AP CSI-RS resources in an AP CSI-RS resource set for BM/CSI is smaller than a threshold for AP CSI-RS reception:   * FFS: If there is any other DL signal in the same symbols as the AP CSI-RS * If there is no DL signal in the same symbols as the AP CSI-RS:   + If the UE is in FR1 or the UE supports the capability of two default beams for S-DCI based MTRP in FR2, the UE shall apply the first or the second indicated joint/DL TCI state to the AP CSI-RS according to the RRC configuration(s) provided to the AP CSI-RS resource or AP CSI-RS resource set     - Note: If the UE supports the capability of two default beams for S-DCI based MTRP in FR2, UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.   + Otherwise, the UE shall apply the first indicated joint/DL TCI state to the AP CSI-RS. * FFS: The definition of other DL signals * Note: Whether to reuse the legacy UE capability (*beamSwitchTiming*/*beamSwitchTiming-r16*) as the threshold for AP CSI-RS reception is discussed in Rel-18 UE feature AI   **Agreement B**  On unified TCI framework extension for M-DCI based MTRP, if the scheduling offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of AP CSI-RS resources in an AP CSI-RS resource set for BM/CSI is smaller than a threshold for AP CSI-RS reception:   * If there is no other DL signal in the same symbols as the AP CSI-RS:   + If the UE is in FR1 or the UE supports the capability of default beam per *coresetPoolIndex* for M-DCI based MTRP in FR2:     - Alt1: The UE shall apply the first or the second indicated joint/DL TCI state to the AP CSI-RS according to the RRC configuration(s) provided to the AP CSI-RS resources or AP CSI-RS resource set     - Note: If the UE supports the capability of two default beam per *coresetPoolIndex* for M-DCI based MTRP in FR2, UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.   + Otherwise, the UE shall apply the indicated joint/DL TCI state specific to *coresetPoolIndex* value 0 to the AP CSI-RS resource set. * FFS: If there is any other DL signal in the same symbols as the AP CSI-RS * FFS: The definition of other DL signals * Note: Whether to reuse the legacy UE capability (*beamSwitchTiming*/*beamSwitchTiming-r16*) as the threshold for AP CSI-RS reception is discussed in Rel-18 UE feature AI   **Agreement C (RAN1 112)**  On unified TCI framework extension for S-DCI based MTRP, a 2-bit [TCI selection field] can be configured by RRC to be present in a DCI format 1\_1/1\_2 that schedules/activates PDSCH reception (including dynamic PDSCH and SPS PDSCH) according to the followings:   * If the DCI format 1\_1/1\_2 indicates codepoint "00" for the [TCI selection field], the UE shall apply the first one of two indicated joint/DL TCI states to all PDSCH DMRS port(s) of corresponding PDSCH transmission occasions(s) scheduled/activated by the DCI format 1\_1/1\_2 * If the DCI format 1\_1/1\_2 indicates codepoint "01" for the [TCI selection field], the UE shall apply the second one of two indicated joint/DL TCI states to all PDSCH DMRS port(s) of corresponding PDSCH transmission occasions(s) scheduled/activated by the DCI format 1\_1/1\_2 * If the DCI format 1\_1/1\_2 indicates codepoint "10" for the [TCI selection field], the UE shall apply both indicated joint/DL TCI states to the PDSCH reception scheduled/activated by the DCI format 1\_1/1\_2 * FFS: Whether and how to use the codepoint "11" of the [TCI selection field]   If the UE is in FR1, or the UE supports the capability of two default beams for S-DCI based MTRP in FR2 regardless of threshold, above apply to PDSCH reception(s) scheduled/activated by the DCI format 1\_1/1\_2.   * Note: If the UE supports the capability of two default beams for S-DCI based MTRP in FR2, UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.   If the UE doesn’t support the capability of two default beams for S-DCI based MTRP in FR2, above apply to the scheduled/activated PDSCH reception when the offset between the reception of the scheduling DCI format 1\_1/1\_2 and the scheduled/activated PDSCH reception is equal to or larger than a threshold   * FFS: How to apply the indicated joint/DL TCI state(s) to the scheduled/activated PDSCH reception if the offset between the reception of the scheduling DCI format 1\_1/1\_2 and the scheduled/activated PDSCH reception is less than a threshold in FR2   FFS: Detail of the capability of two default beams for S-DCI based MTRP  FFS: The threshold value  **Comment#4 (Clause 6.1)**  Suggest following modification for a better accuracy and alignment with the corresponding Agreements A and B below. Also, in mDCI-based operation, *applyIndicatedTCIState* is not supposed to indicate ‘both’ for Type 1 CG-PUSCH (See Agreement C below). However, the current CR text does not preclude ‘both’ for mDCI-based operation. Suggest he following modification to avoid misunderstanding.   |  | | --- | | When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI-States or TCI-UL-States, a UE configured with a PUSCH transmission corresponding to a Type 1 configured grant is expected to be configured with the higher layer parameter *applyIndicatedTCIState* indicating the *first*, the *second* or *both* of the indicated TCI states to be applied for the PUSCH transmission. If ‘both’ TCI states are indicated, the UE should apply the first indicated TCI state to the PUSCH transmission occasion(s) or the PUSCH antenna port(s) associated with the first SRS resource set for CB/NCB transmission, and the second indicated TCI state to the PUSCH transmission occasion(s) or the PUSCH antenna port(s) associated with the second SRS resource set for CB/NCB transmission; otherwise the UE should apply either the ‘first’ or ‘second’ indicated TCI state to all PUSCH transmission occasions.  - If the UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in different *ControlResourceSets*, the first and the second indicated TCI states correspond to the indicated TCI-States or TCI-UL-States specific to coresetPoolIndex value 0 and value 1, respectively, and  *applyIndicatedTCIState* does not indicate *both* of the indicated TCI states to be applied for the PUSCH transmission. |   **Agreement A(113)**    On unified TCI framework extension for S-DCI based MTRP, when two indicated joint/UL TCI states are applied to a PUSCH transmission   * For SDM and SFN based PUSCH Tx schemes, the UE shall apply the first indicated joint/UL TCI state to the PUSCH antenna port(s) associated with the first SRS resource set, and the second indicated joint/UL TCI state to the PUSCH antenna port(s) associated with the second SRS resource set, respectively. * Note: The association between PUSCH antenna port(s) and an SRS resource set is discussed and defined in STxMP AI   **Agreement B (112b)**  On unified TCI framework extension for S-DCI based MTRP, an RRC configuration is provided to a Type1 CG configuration to inform that the UE shall apply the first, the second, or both indicated joint/UL TCI states to the corresponding CG-PUSCH transmission   * If the first or the second indicated joint/UL TCI state is applied, the UE shall apply the first or the second indicated joint/UL TCI state to all PUSCH antenna port(s) of corresponding PUSCH transmission occasions(s) * If both indicated joint/UL TCI states are applied:   + For TDM based PUSCH Tx scheme, the UE shall apply the first indicated joint/UL TCI state to the PUSCH transmission occasions(s) associated with the first SRS resource set for CB/NCB, and the second indicated joint/UL TCI state to the PUSCH transmission occasions(s) associated with the second SRS resource set for CB/NCB   + FFS: SDM and SFN based PUSCH Tx schemes   **Agreement C (112b)**  On unified TCI framework extension for M-DCI based MTRP, an RRC configuration is provided to a Type1 CG configuration to inform that the UE shall apply the first or the second indicated joint/UL TCI state to the corresponding CG-PUSCH transmission, where the first and the second indicated joint/DL TCI states correspond to the indicated joint/UL TCI states specific to *coresetPoolIndex* value 0 and value 1, respectively. | Comment 1: I do not mind the change, I think it is opening up quite OK the actual configurations, but let’s see if others are seeing the same direction on this proposal! I parked it for the moment.  Comment 2: Ok  Comment 3: Ok  Comment 4: Ok |
| MediaTek | Thanks for your great effort on the draft CR. Please find our comments bellow.  **5.1 UE procedure for receiving the physical downlink shared channel**  **Comment 1:** The UE behavior of following paragraph has been captured in 213 (together with PDCCH reception), thus we suggest to remove it.   |  | | --- | |  |   **5.1.5 Antenna ports quasi co-location**  **Comment 2:** Since there could be two indicated joint/DL TCI states and UL TCI states in unified TCI extension for S-DCI based MTRP, we suggest the following changes:   |  | | --- | | The UE receives an activation command, as described in clause 6.1.3.14 of [10, TS 38.321], 6.1.3.47 of [10, TS 38.321] or 6.1.4.xx of [10, TS 38.321], used to map up to 8 TCI states and/or pairs of TCI states, with one TCI state for DL channels/signals and/or one TCI state for UL channels/signals to the codepoints of the DCI field *'Transmission Configuration Indication'* for one or for a set of CCs/DL BWPs, [and/] or up to 8 pairs of TCI states or sets of TCI states, where each set is comprised of one or two TCI state(s) for DL channels/signals and/or one or two TCI state(s) for UL channels/signals to the codepoints of the DCI field *'Transmission Configuration Indication'* for one or for a set of CCs/DL BWPs, and if applicable, for one or for a set of CCs/UL BWPs. When a set of TCI state IDs are activated for a set of CCs/DL BWPs and if applicable, for a set of CCs/UL BWPs, where the applicable list of CCs is determined by the indicated CC in the activation command, the same set of TCI state IDs are applied for all DL and/or UL BWPs in the indicated CCs. If the activation command maps *TCI-State* and/or *TCI-UL-State* to only one TCI codepoint, the UE shall apply the indicated *TCI-State(s)* and/or *TCI-UL-State(s)* to one or to a set of CCs /DL BWPs, and if applicable, to one or to a set of CCs /UL BWPs once the indicated mapping for the one single TCI codepoint is applied as described in [11, TS 38.133].  When the *bwp-id* or *cell* for QCL-TypeA/D source RS in a QCL-Info of the TCI state is not configured, the UE assumes that QCL-TypeA/D source RS is configured in the CC/DL BWP where TCI state applies.  When *tci-PresentInDCI* is set as 'enabled' or *tci-PresentDCI-1-2* is configured for the CORESET, a UE configured with *dl-OrJointTCI-StateList* with activated *TCI-State* or *ul-TCI-StateList* with activated *TCI-UL-State* receives DCI format 1\_1/1\_2 providing indicated *TCI-State(s)* and/or *TCI-UL-State(s)* for a CC or all CCs in the same CC list configured by *simultaneousU-TCI-UpdateList1-r17, simultaneousU-TCI-UpdateList2-r17, simultaneousU-TCI-UpdateList3-r17, simultaneousU-TCI-UpdateList4-r17*. The DCI format 1\_1/1\_2 can be with or without, if applicable, DL assignment. If the DCI format 1\_1/1\_2/ is without DL assignment, the UE can assume the following: |   **Comment 4:** Re the presence of DCI field, we think it would be better to capture it in 212 instead of 214 (and it has been captured). Thus, we suggest to remove the following paragraph from session 5.1.5.   |  | | --- | |  |   **6.1 UE procedure for transmitting the physical uplink shared channel**  **Comment 5:** The following agreement is missing in current draft CR.  **Agreement (RAN1#112bis)**  On unified TCI framework extension for S-DCI based MTRP, the UE shall apply the first indicated joint/UL TCI state to PUSCH transmission(s) scheduled/activated by DCI format 0\_0 (including DG and Type2 CG)  **6.1.1.1 Aperiodic CSI Reporting/Aperiodic CSI-RS when the triggering PDCCH and the CSI-RS have the same numerology**  **Comment 6:** We think spec doesn’t have to capture the “note” clarifying the UE behavior how to buffer OFDM symbols if UE support two default beams. Thus, we suggest to remove the corresponding sentences.   |  | | --- | | When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than a threshold:  -If there is no DL signal in the same symbols as the aperiodic CSI-RS  -if the UE is in frequency range 1, or the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or to the aperiodic CSI-RS resource set.  -Otherwise, the UE shall apply the first indicated joint/DL TCI state to the aperiodic CSI-RS.  When a UE is configured with *dl-OrJointTCI-StateList*, is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in different *ControlResourceSets,* is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than a threshold:  -If there is no DL signal in the same symbols as the aperiodic CSI-RS  -if the UE is in frequency range 1, or the UE reports its capability of [default beam per *coresetPoolIndex* for M-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or aperiodic CSI-RS resource set.  -Otherwise, the UE shall apply the indicated joint/DL TCI state specific to *coresetPoolIndex* value 0 to the aperiodic CSI-RS resource set. | | Comment 1: Ok  Comment 2: Ok  Comment 6: Ok |
| Ericsson | 5.1.5:  #1:  The UE receives an activation command, as described in clause 6.1.3.14 of [10, TS 38.321], 6.1.3.47 of [10, TS 38.321] or 6.1.4.xx of [10, TS 38.321],…  Is this a typo? It should be “6.1.3.xx” for the last addition? (6.1.4 is MAC PDU (transparent MAC))  #2:  When a UE is configured by higher layer parameter *cjtSchemePDSCH* and *dl-OrJointTCI-StateList* and is with two indicated TCI-States applied for PDSCH reception and reports [support for two joint TCI states for PDSCH-CJT]:  - if the UE is configured with *[Alt1]*, the UE assumes that PDSCH DM-RS port(s) are QCLed with the DL RSs of both indicated TCI-States with respect to QCL-TypeA.  - if the UE is configured with *[Alt2]*, the UE assumes that PDSCH DM-RS port(s) are QCLed with the DL RSs of both indicated TCI-States with respect to QCL-TypeA except for QCL parameters {Doppler shift, Doppler spread} of the second indicated joint TCI state.  - Alt1 and Alt2 are now {cjtSchemeA, cjtSchemeB}.  - Also, it looks there is a word missing: “and is with two indicated TCI-States”.  #3:  When a UE is configured with *dl-OrJointTCI-StateList* or *TCI-UL-State* and is configured by higher layer parameter *PDCCH-Config* that contains two different values of coresetPoolIndex in *ControlResourceSet*, an indicated TCI state is specific to a coresetPoolIndex value, when it is indicated by the DCI field 'Transmission Configuration Indication' in DCI format 1\_1/1\_2 associated with the coresetPoolIndex value.   * It would be more accurate to write “… PDCCH-Config that contains ControlResourceSets with two different values of coresetPoolIndex…”   #4:  When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI-states, if the UE does not report its capability of *[two default beams for S-DCI based MTRP]* in frequency range 2 and when the offset between the reception of the scheduling/activation DCI format 1\_0/1\_1/1\_2 and the scheduled or activated PDSCH reception is less than *[timeDurationForQCL]* in FR2, the UE shall apply the first indicated TCI-State to the scheduled or activated PDSCH reception.   * There is some discrepancy in using “frequency range 2” and “FR2”. Either is fine, but we should probably use the same in all places.   5.2.1.4.2:  if the UE is configured with the higher layer parameter *groupBasedBeamReporting-v18* set to *JointULandDL*, the UE is not required to update measurements for more than 64 CSI-RS and/or SSB resources, and the UE shall report in a single reporting instance *nrofReportedGroups-r18,* if configured, group(s) of two CRIs or SSBRIs selecting one CSI-RS or SSB from each of the two CSI Resource Sets for the report setting, where CSI-RS and/or SSB resources of each group can be received simultaneously and applied for simultaneous transmission with spatial filters by the UE subject to UE capability.  - if the UE is configured with the higher layer parameter *groupBasedBeamReporting-v18* set to *ULOnly,* the UE is not required to update measurements for more than 64 CSI-RS and/or SSB resources, and the UE shall report in a single reporting instance *nrofReportedGroups-r18,* if configured, group(s) of two CRIs or SSBRIs selecting one CSI-RS or SSB from each of the two CSI Resource Sets for the report setting, where CSI-RS and/or SSB resources of each group can be applied for simultaneous transmission with spatial filters by the UE subject to UE capability.  In light of the recent discussion, maybe we should change “and/or” to “or”?  5.2.1.5.1:  When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than a threshold:  -If there is no DL signal in the same symbols as the aperiodic CSI-RS  -if the UE is in frequency range 1, or the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or to the aperiodic CSI-RS resource set. If the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE uses both indicated joint/DL TCI states to buffer the received signal before a threshold.  -Otherwise, the UE shall apply the first indicated joint/DL TCI state to the aperiodic CSI-RS.  #1:  Maybe introduce the threshold parameter already in first part:  When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than the UE reported threshold [*beamSwitchTiming]*:  #2:  When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than a threshold:  -If there is no DL signal in the same symbols as the aperiodic CSI-RS  -if the UE is in frequency range 1, or the UE reports its capability of [two default beams for S-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or to the aperiodic CSI-RS resource set.  -Otherwise, the UE shall apply the first indicated joint/DL TCI state to the aperiodic CSI-RS.  When a UE is configured with *dl-OrJointTCI-StateList*, is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in different *ControlResourceSets,* is having two indicated TCI states and if the offset between the last symbol of the PDCCH carrying the triggering DCI and the first symbol of the aperiodic CSI-RS resources in the aperiodic CSI-RS resource set is smaller than a threshold:  -If there is no DL signal in the same symbols as the aperiodic CSI-RS  -if the UE is in frequency range 1, or the UE reports its capability of [default beam per *coresetPoolIndex* for M-DCI based MTRP] in frequency range 2, the UE shall apply the first or the second indicated joint/DL TCI state to the aperiodic CSI-RS according to the higher layer configuration(s) provided to the aperiodic CSI-RS resource or aperiodic CSI-RS resource set.  -Otherwise, the UE shall apply the indicated joint/DL TCI state specific to *coresetPoolIndex* value 0 to the aperiodic CSI-RS resource set.  The last part of the sub-sub-bullet is only a note.  6.1:  If a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* for the active BWP of a serving cell,  Same comment as earlier: clearer to state “…If a UE is configured by higher layer parameter *PDCCH-Config* that contains ControlResourceSets with two different values of *coresetPoolIndex* for the active BWP of a serving cell,..”  6.2.1:  When the UE is configured *dl-OrJointTCI-StateList* or *TCI-UL-State* and is having two indicated TCI-States or TCI-UL-States, and if the UE is configured with [*followUnifiedTCI-StateSRS]* toa periodic, semi-persistent or aperiodic SRS resource set with higher layer parameter *usage* in *SRS-ResourceSet* set to ‘*codebook*’, ‘*nonCodebook*’ or ‘*antennaSwitching*’ or to an aperiodic SRS resource set with higher layer parameter *usage* in *SRS-ResourceSet* set to ‘*beamManagement*’  #1: There is no agreement that requires that followUnifiedTCIState-SRS is configured. This can be shortened to:  When the UE is configured *dl-OrJointTCI-StateList* or *TCI-UL-State* and is having two indicated TCI-States or TCI-UL-States, | 5.1.5:  Comment 1: Ok  Comment 2: Ok  Comment 3: can consider later, not critical now.  Comment 4: I would keep and/or for now, nothing is wrong with it!  5.2.1.4.2:  Comment: Ok  5.2.1.5.1:  Comment 1: will consider this at some point.  Comment 2: same as above!  6.1:  Comment: Ok  6.2.1:  Comment: please see FW comment! |
| Futurewei | Regarding Ericsson’s last comment on Section 6.2.1, there is actually an agreement from RAN1 #113 meeting (shown below) indicating the requirement of “*followUnifiedTCI-StateSRS*”. So the original version from Editor is correct.  **Agreement**  On unified TCI framework extension for both S-DCI and M-DCI based MTRP operations, if a P/SP/AP SRS resource set for CB/NCB/AS or an AP SRS resource set for BM is configured to follow unified TCI state, an RRC configuration can be provided to the SRS resource set to inform that the UE shall apply the first or the second indicated joint/UL TCI state to the SRS resource set   * For M-DCI based MTRP operation, the first and the second indicated joint/UL TCI states correspond to the indicated joint/UL TCI states specific to *coresetPoolIndex* value 0 and value 1, respectively. * When two SRS resource sets for CB/NCB are configured, the UE does not expect the following   + to be configured with the first indicated UL/joint TCI state which is to be applied to the second SRS resource set   + to be configured with the second indicated UL/joint TCI state which is to be applied to the first SRS resource set * For M-DCI based MTRP operation, if the RRC configuration is not provided to the SRS resource set and the SRS resource set is an AP SRS resource set triggered by PDCCH on a CORESET associated with a *coresetPoolIndex* value, the UE shall apply the indicated joint/UL TCI state specific to the *coresetPoolIndex* value to the SRS resource set   How to capture the above is up to the editor | Ok! |
|  |  |  |

### 2.2 STxMP

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
|  | Thank you, Mihai, for the great efforts. Please see some initial comments from our side:  **Comment 1**: For all cases where two SRS resource sets can be configured (including Rel-17 single-DCI based TDM scheme, Rel-18 single-DCI based STxMP SDM/SFN schemes, and Rel-18 multi-DCI based STxMP PUSCH+PUSCH), it is already agreed that the two SRS resource sets have the same number of SRS resources. This condition is currently captured for all cases above except for Rel-18 multi-DCI based STxMP PUSCH+PUSCH.  Hence, we suggest the following change in Section 6.1, which in addition to addressing this, also makes the description more clear (and removes some redundancy as well).  ~~When~~ If a UE  - is configured with two SRS resource sets ~~are configured~~ in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook' or 'nonCodebook' and  - is configured with the higher layer parameter *enableSTx2PofmDCI* ~~is configured~~  *-* is configured with *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* for the active BWP of a serving cell,  the UE  - ~~and PDCCHs that~~ can be scheduled/configured to transmit two fully/partially overlapping PUSCHs in time domain and fully/partially/non-overlapping in frequency domain, where the two PUSCHs  - are associated with ~~to different~~ *~~ControlResourceSets~~* ~~having~~ different values of *coresetPoolIndex*~~.~~, and  - ~~Two fully/partially overlapping PUSCH transmissions~~ can be dynamically scheduled by UL grant(s) in DCI(s) and/or transmission(s) corresponding to configured grant(s) Type 1 or Type 2.  *-* is not expected to be configured with different number of SRS resources in the two SRS resource sets.  *-* the DCI codepoint SRS Resource Set Indicator is not present.  **Comment 2**: Section 6.1.1.1 / 6.1.1.2: The following condition for SFN, should be captured under the bullet that is only specific to SFN (When codepoint “10” of *SRS Resource Set* *indicator* is indicated …) since this condition is not applicable to sTRP (e.g., when codepoint 00 or 01 are indicated).  - maximum number of layers is up to 2.  **Comment 3**: Section 6.2.3.1: The following (newly) added texts seem to belong to 38.212, and our understanding is that the corresponding agreements are already captured by the editor of 38.212 in the draft spec:  When the higher layer parameter *multipanelScheme* is set to ‘sdmscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook'/’nonCodebook’ and the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* is set to *n1*, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-25 described in Clause 7.3.1.1.2 [TS 38.212].  … When the number of UL PT-RS port(s) is one, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-25 described in Clause 7.3.1.1.2 of [5, TS 38.212]. When the number of UL PT-RS port(s) is two, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-26 described in Clause 7.3.1.1.2 of [5, TS 38.212].  When the higher layer parameter *multipanelScheme* is set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook'/’nonCodebook’ and the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* is set to *n1*, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-25 described in Clause 7.3.1.1.2 of [5, TS 38.212].  **Comment 4**: Section 6.1: We suggest the following change to capture the agreement copied below:  **Agreement**  When multi-DCI based STxMP PUSCH+PUSCH is configured,  the existing rules for resolving overlapping PUSCH for the cases of one PUSCH overlapping with another PUSCH in time in one serving cell specified in legacy specifications ~~at least for CG+DG overlap, CG+CG overlap, CG+PUSCH with SP-CSI overlap, or PUSCH with SP-CSI + PUSCH with SP-CSI overlap~~ are performed separately for each coresetPoolIndex value.  A UE is not expected to be scheduled by a PDCCH ending in symbol to transmit a PUSCH on a given serving cell overlapping in time with a transmission occasion, where the UE is allowed to transmit a PUSCH with configured grant according to [10, TS38.321], starting in a symbol on the same serving cell if the end of symbol is not at least symbols before the beginning of symbol , if  - the UE is not provided *prioLowDG-HighCG* or *prioHighDG-LowCG*, or the UE is provided *prioLowDG-HighCG* or *prioHighDG-LowCG* and the two PUSCHs have the same priority index as described in Clause 9 of [6, TS 38.213]~~.~~, and  - the UE is not provided *enableSTx2PofmDCI*, or is provided *enableSTx2PofmDCI* and the two PUSCHs are associated with the same *coresetPoolIndex* value.  The value in symbols is determined according to the UE processing capability defined in Clause 6.4, and and the symbol duration are based on the minimum of the subcarrier spacing corresponding to the PUSCH with configured grant and the subcarrier spacing of the PDCCH scheduling the PUSCH. | #1 looks interesting proposal, I need some time to do it right, not like it is a big deal but there are two paragraphs there which need attention. I will come back to this!  #2 changed, pls check!  #3 deleted!  #4 ok. |
| CATT | We thank the editor for the great effort and nice work. Some comments follow.  **Comment 1:** Section 6.1.1.1: When codepoint “10” of *SRS Resource Set* *indicator* is indicated, the correspondence between TPMI fields and layers are described twice. Therefore, the following modification is suggested:   |  | | --- | | When the higher layer parameter *multipanelScheme* is set to ‘SDMScheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', two SRI(s), and two TPMI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2:  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first TPMI is used to indicate the precoder to be applied over layers {0…v1-1}, where v1 is the number of layers indicated by the first TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set, ~~the first TPMI is used to indicate precoder to be applied over layers {0…v~~~~1~~~~-1}~~ the second TPMI is used to indicate the precoder to be applied over layers {v1…. v2+v1-1}, where v2 is the number of layers indicated by the second TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set ~~the second TPMI is used to indicate precoder to be applied over layers {v~~~~1~~~~….v~~~~2~~~~+v~~~~1~~~~-1}~~, v1 ≤ *maxRankSdm* andv2 ≤ *maxRankSdm* or *maxRankSdmDCI-0-2* is defining the maximum number of layers applied over the first and the second SRS resource sets, separately.~~.~~ |   **Comment 2:** Section 6.2.3.1: According to the agreement, the following text is related to SDM scheme not SFN scheme, which is not captured correctly.  **Agreement**  · For single-DCI based STxMP PUSCH SFN transmission, reuse Table 7.3.1.1.2-25 and Table 7.3.1.1.2-26 of 38.212 to indicate the association between PTRS port(s) and DMRS port(s) when one PTRS port and two PTRS ports are configured for the SFN scheme, respectively.  · For single-DCI based STxMP PUSCH SDM scheme, when maxNrofPortsforSdm = 1, the 2-bit “PTRS-DMRS association” DCI field indicates the association between PTRS-DMRS port and the DMRS port according to the existing Table 7.3.1.1.2-25 in 38.212.   |  | | --- | | When the higher layer parameter *multipanelScheme* is set to ‘~~SFNscheme~~SDMscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook'/’nonCodebook’ and the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* is set to *n1*, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-25 described in Clause 7.3.1.1.2 of [5, TS 38.212]. | | #1 Yes, it is redundant and should be removed.  #2 deleted some text here! |
| ZTE | Thanks Mihai so much for your great effort on this CR, we have five comments as follows, in which the suggested changes are highlighted as this.  **Comment#1**  Regarding the newly introduced RRC parameter to indicate the multi-DCI based STxMP PUSCH+PUSCH (as agreed in RAN1#114), it should be noted that the scheduled two PUSCHs can be either overlapped or non-overlapped in time domain even though this RRC parameter is configured, due to gNB cannot guarantee ideal backhaul between two TRPs in terms of PUSCHs overlapped in time domain in any time. Besides, the paragraph of the absence of SRS resource set indicator field in DCI can be merged in the former paragraph for readability.  **Agreement (RAN1#114)**  Regarding how to configure multi-DCI based STxMP PUSCH+PUSCH in RRC,   * Introduce a new RRC parameter to indicate the multi-DCI based STxMP PUSCH+PUSCH. The multi-DCI based STxMP PUSCH+PUSCH is configured when the new RRC parameter is configured, two different *coresetPoolIndex* values are configured and two SRS resource sets for CB/NCB are configured.   When multi-DCI based STxMP PUSCH+PUSCH is configured, the DCI field SRS resource set indicator is not present.  Hence we have the following suggestion:   |  | | --- | | **Proposed change (Section 6.1):**  When two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook' or 'nonCodebook' and higher layer parameter *enableSTx2PofmDCI* is configured and *PDCCH-Config* contains two different values of *coresetPoolIndex* in *ControlResourceSet* for the active BWP of a serving cell, ~~and~~ PDCCHs ~~that~~can schedule two PUSCHs that fully/partially overlapping ~~PUSCHs~~ in time domain and fully/partially/non-overlapping in frequency domain, where the DCI field *SRS Resource Set Indicator* is not present in each of PDCCH and the scheduled two PUSCHs are associated to different *ControlResourceSets* having different values of *coresetPoolIndex.*  ~~When two SRS resource sets are configured in~~ *~~srs-ResourceSetToAddModList~~* ~~or~~ *~~srs-ResourceSetToAddModListDCI-0-2~~* ~~with higher layer parameter~~ *~~usage~~* ~~in~~ *~~SRS-ResourceSet~~* ~~set to 'codebook' or 'nonCodebook' and higher layer parameter~~ *~~enableSTx2PofmDCI~~* ~~is configured and~~ *~~PDCCH-Config~~* ~~contains two different values of~~ *~~coresetPoolIndex~~* ~~in~~ *~~ControlResourceSet~~* ~~for the active BWP of a serving cell, the DCI codepoint~~ *~~SRS Resource Set Indicator~~* ~~is not present.~~  Two fully/partially overlapping PUSCH transmissions can be dynamically scheduled by UL grant(s) in DCI(s) and/or transmission(s) corresponding to configured grant(s) Type 1 or Type 2. |   **Comment#2**  For single DCI based STxMP PUSCH in SDM scheme, it was clearly specified in RAN1#109-e that different layers/DMRS ports of one PUSCH are separately precoded and transmitted from different UE panels simultaneously. In addition to the description of different layers of PUSCH (i.e., layers {0…v1-1} and layers {v1….v2+v1-1}) are respectively precoded by precoders indicated by the first and second TPMIs, we suggest to capture the description of different antenna ports of PUSCH (e.g., antenna ports {0, …, 0+p1-1} and antenna ports {0+p1, ..., 0+p1+p2-1}, where the port index is based on the description in TS 38.211 as follows) are respectively precoded by precoders indicated by the first and second TPMIs as well, where p1 is the number of SRS ports indicated by the first SRI and p2 is the number of SRS ports indicated by the second SRI.  In light of the above, it is worth noting that if the above change was not adopted, the following newly added part of the mapping between TCI states and PUSCH antenna ports in clause 6.1.2.1 will be unclear though.  **Agreement (RAN1#109-e)**  For STxMP PUSCH in single-DCI based mTRP system, study and evaluate the following schemes for PUSCH:   * SDM scheme: different layers/DMRS ports of one PUSCH are separately precoded and transmitted from different UE panels simultaneously.   + Study and evaluate whether to support 2 CWs in SDM manner and transmitted from two different panel simultaneously. * FDM-B scheme: two PUSCH transmission occasions with same/different RV of the same TB are transmitted from different UE panels on non-overlapped frequency domain resources and the same time domain resources. * FDM-A scheme: different parts of the frequency domain resource of one PUSCH transmission occasion are transmitted from different UE panels. * SFN-based transmission scheme: all of the same layers/DMRS ports of one PUSCH are transmitted from two different UE panels simultaneously. * SDM repetition scheme: two PUSCH transmission occasions with different RV of the same TB are transmitted from two different UE panels simultaneously.   Note: Companies are encouraged to evaluate the different schemes for possible down-selection in RAN1#110.  Note: other schemes are not precluded  ----------------------------------------------------  **TS 38.214, Section 6.1.2.1:**  - if a DCI format 0\_1 or DCI format 0\_2 indicates codepoint “10” for the *SRS resource set indicator* and the higher layer parameters *multipanelScheme* is configured and set to ‘SDMscheme’ or ‘SFNscheme’,  - the first indicated TCI state is applied to the PUSCH antenna port(s), of corresponding PUSCH transmission occasion, associated with the first SRS resource set, and the second indicated TCI state is applied to the PUSCH antenna port(s), of corresponding PUSCH transmission occasion, associated with the second SRS resource set, where the association of PUSCH antenna ports to SRS resource sets is determined according to Clauses 6.1.1.1 and 6.1.1.2.  ----------------------------------------------------    ----------------------------------------------------  **TS 38.214, Section 6.2:**  The frame structure and physical resources the UE shall use when transmitting in the uplink transmissions are defined in Clause 4.  The following antenna ports are defined for the uplink:  - Antenna ports starting with 0 for demodulation reference signals for PUSCH  - Antenna ports starting with 1000 for SRS, PUSCH  - Antenna ports starting with 2000 for PUCCH  - Antenna port 4000 for PRACH  ----------------------------------------------------   |  | | --- | | **Proposed change (Section 6.1.1.1):**  When the higher layer parameter *multipanelScheme* is set to ‘SDMScheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', two SRI(s), and two TPMI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2:  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first TPMI is used to indicate the precoder to be applied over layers {0…v1-1}, where v1 is the number of layers indicated by the first TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set the first TPMI is used to indicate precoder to be applied over layers {0…v1-1} and the second TPMI is used to indicate the precoder to be applied over layers {v1…. v2+v1-1}, where v2 is the number of layers indicated by the second TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set the second TPMI is used to indicate precoder to be applied over layers {v1….v2+v1-1}, v1 ≤ *maxRankSdm* andv2 ≤ *maxRankSdm* or *maxRankSdmDCI-0-2* is defining the maximum number of layers applied over the first and the second SRS resource sets, separately..  - When codepoint “00” or “01” of *SRS Resource Set* *indicator* is indicated*,* the second SRI and second TPMI are reserved, the first TPMI is used to indicate the precoder to be applied over layers {0…v-1}, where v ≤ *maxRank,* where *maxRank* is defining the maximum number of layers.  - Codepoint “11” of *SRS Resource Set indicator* is reserved.  - For one or two TPMI(s), the transmission precoder is selected from the uplink codebook that has a number of antenna ports equal to the higher layer parameter *nrofSRS-Ports* in *SRS-Config* for the indicated SRI(s), as defined in Clause 6.3.1.5 of [4, TS 38.211]. When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p1-1} and antenna ports {0+p1, ..., 0+p1+p2-1}, respectively. Where p1 is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set, and p2 is equal to the number of SRS ports of the SRS resource selected by the second SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set.  - When two SRIs are indicated, the UE shall expect that the number of SRS antenna ports associated with two indicated SRIs would be the same. When the UE is configured with the higher layer parameter *txConfig* set to 'codebook', the UE is configured with at least one SRS resource. Each of the indicated one or two SRI(s) in slot *n* is associated with the most recent transmission of SRS resource of associated SRS resource set identified by the SRI, where the SRS resource is prior to the PDCCH carrying the SRI. When two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', the UE is not expected to be configured with different number of SRS resources in the two SRS resource sets. |   **Comment#3**   * First, similar to the suggested change in comment#2, it is also needed to single DCI based STxMP PUSCH in SFN scheme to capture that the description of antenna ports of PUSCH (e.g., antenna ports {0, …, 0+p-1}) are respectively precoded by precoders indicated by the first and second TPMIs, wherein p is the number of SRS ports indicated by the first SRI only. * Second, the newly added bullet “maximum number of layers is up to 2” is not needed, due to the value of both *maxRankSfn* and *maxRankSfnDCI-0-2* cannot be larger than 2 as agreed in RRC parameter discussion. * Third, the editorial change of the wording “definining” is proposed.   **Agreement (RAN1#109-e)**  For STxMP PUSCH in single-DCI based mTRP system, study and evaluate the following schemes for PUSCH:   * SDM scheme: different layers/DMRS ports of one PUSCH are separately precoded and transmitted from different UE panels simultaneously.   + Study and evaluate whether to support 2 CWs in SDM manner and transmitted from two different panel simultaneously. * FDM-B scheme: two PUSCH transmission occasions with same/different RV of the same TB are transmitted from different UE panels on non-overlapped frequency domain resources and the same time domain resources. * FDM-A scheme: different parts of the frequency domain resource of one PUSCH transmission occasion are transmitted from different UE panels. * SFN-based transmission scheme: all of the same layers/DMRS ports of one PUSCH are transmitted from two different UE panels simultaneously. * SDM repetition scheme: two PUSCH transmission occasions with different RV of the same TB are transmitted from two different UE panels simultaneously.   Note: Companies are encouraged to evaluate the different schemes for possible down-selection in RAN1#110.  Note: other schemes are not precluded  **Agreement (RAN1#111)**  For the SFN scheme of single-DCI based STxMP PUSCH:   * Configure two SRS resource sets for CB or NCB.   + FFS: Number of SRS resources of SRS resource set, and number of SRS ports of SRS resource * The DCI indicates two SRI fields and TPMI fields for SFN transmission, * On the indication of number of layers for CB and NCB PUSCH:   + Alt1: Similar to rel-17 mTRP TDM scheme, the number of layers is indicated by the first SRI field (for NCB PUSCH) or the first TPMI field (for CB PUSCH)  |  | | --- | | **Proposed change (Section 6.1.1.1):**  When higher layer parameter *multipanelScheme* set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', two SRI(s), and two TPMI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2.  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first TPMI is used to indicate precoder to be applied over layers {0…v-1} and the second TPMI is used to indicate the precoder to be applied over layers {0…v-1}, where v ≤ *maxRankSfn* or *maxRankSdmDCI-0-2* ~~definining~~defining the maximum number of layers applied over the first SRS resource set and over the second SRS resource set separately.  - When codepoint “00” or “01” of *SRS Resource Set* *indicator* is indicated*,* the second SRI and second TPMI are reserved, the first TPMI is used to indicate precoder to be applied over layers {0…v-1}, where v ≤ *maxRank* and where *maxRank* is defining the maximum number of layers applied over the first SRS resource set or the seoncd SRS resource.  - Codepoint “11” of *SRS Resource Set indicator* is reserved.  ~~- maximum number of layers is up to 2.~~  - For one or two TPMI(s), the transmission precoder is selected from the uplink codebook that has a number of antenna ports equal to *nrofSRS-Ports* in *SRS-Config* for the indicated SRI(s), as defined in Clause 6.3.1.5 of [4, TS 38.211]. When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p}, respectively. Where p is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set.  - When two TPMIs are indicated, the UE shall expect that the number of SRS antenna ports associated with two indicated SRIs to be the same. When the UE is configured with the higher layer parameter *txConfig* set to 'codebook', the UE is configured with at least one SRS resource. Each of the indicated one or two SRI(s) in slot *n* is associated with the most recent transmission of SRS resource of associated SRS resource set identified by the SRI, where the SRS resource is prior to the PDCCH carrying the SRI. When two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', the UE is not expected to be configured with different number of SRS resources in the two SRS resource sets. |   **Comment#4**   * First, similar to the second change in comment#3, the newly added bullet “maximum number of layers is up to 2” is not needed, due to the value of both *maxRankSfn* and *maxRankSfnDCI-0-2* cannot be larger than 2 as agreed in RRC parameter discussion. * Second, the last paragraph with respect to the validity of SRI should be able to both SDM scheme and SFN scheme, hence its order should be moved forward.  |  | | --- | | **Proposed change (Section 6.1.1.2):**  When the higher layer parameter *multipanelScheme* is set to ‘SDMScheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook', SRIs are given by the DCI fields of two SRS resource indicators in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2.  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first SRI is used to indicate resource(s) to be associated with layer(s) {0…v1-1}}, where v1 being the number of layers indicated by the first SRI, and the second SRI is used to indicate resource(s) to be associated with layer(s) {v1…. v2+v1-1}, v1 ≤ *Lmax* andv2 ≤ *Lmax* where *Lmax* is defined is defined inclauses 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212].  - When codepoint “00” or “01” of *SRS Resource Set* *indicator* is indicated*,* the second SRI is reserved, the first SRI is used to indicate resource(s) to be associated with layers {0…v-1}, v ≤ *Lmax*.  - Codepoint “11” of *SRS Resource Set indicator* is reserved.  When the higher layer parameter *multipanelScheme* is set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook', two SRI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2.  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first SRI is used to indicate resource(s) to be associated with layer(s) {0…v-1} and the second SRI is used to indicate resource(s) to be associated with layer(s) {0…v-1}, where v ≤ *Lmax* and where *Lmax* is defined in clauses 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212].  - When codepoint “00” or “01” of *SRS Resource Set* *indicator* is indicated*,* the second SRI is reserved, the first SRI is used to indicate resources(s) to be associated with layers {0…v-1}, where v ≤ *Lmax*. When two SRIs are indicated, the UE shall expect that the number of SRS antenna ports associated with two indicated SRIs to be the same.  - Codepoint “11” of *SRS Resource Set indicator* is reserved.  ~~- maximum number of layers is up to 2.~~  - When the UE is configured with the higher layer parameter *txConfig* set to 'Noncodebook', the UE is configured with at least one SRS resource. Each of the indicated one or two SRI(s) in slot *n* is associated with the most recent transmission of SRS resource of associated SRS resource set identified by the SRI, where the SRS resource is prior to the PDCCH carrying the SRI. When two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'Noncodebook', the UE is not expected to be configured with different number of SRS resources in the two SRS resource sets. |   **Comment#5**  As per the agreement endorsed in RAN1#114, it is clear enough that only Table 7.3.1.1.2-26 can be used if two PTRS ports are configured in SFN scheme, no matter the number of actual PTRS ports. Hence we have the following suggestion.  **Agreement (RAN1#114)**   * For single-DCI based STxMP PUSCH SFN transmission, reuse Table 7.3.1.1.2-25 and Table 7.3.1.1.2-26 of 38.212 to indicate the association between PTRS port(s) and DMRS port(s) when one PTRS port and two PTRS ports are configured for the SFN scheme, respectively. * For single-DCI based STxMP PUSCH SDM scheme, when maxNrofPortsforSdm = 1, the 2-bit “PTRS-DMRS association” DCI field indicates the association between PTRS-DMRS port and the DMRS port according to the existing Table 7.3.1.1.2-25 in 38.212.  |  | | --- | | **Proposed change (Section 6.2.3.1):**  When the higher layer parameter *multipanelScheme* is set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook'/’nonCodebook’ and the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* is set to *n2*, the actual number of UL PT-RS port(s) to transmit corresponding to each SRS resource set is determined based on 1st TPMI codepoint field for ‘codebook’ or 1st SRI(s) codepoint field for ‘nonCodebook’~~. When the number of UL PT-RS port(s) is one, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by~~ *~~PTRS-DMRS association~~* ~~field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-25 described in Clause 7.3.1.1.2 of [5, TS 38.212]. When the number of UL PT-RS port(s) is two~~, and the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-26 described in Clause 7.3.1.1.2 of [5, TS 38.212].  When the higher layer parameter *multipanelScheme* is set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook'/’nonCodebook’ and the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* is set to *n1*, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-25 described in Clause 7.3.1.1.2 of [5, TS 38.212]. | | #1 implemented  #2 implemented, let’s see if agreeable to everybody.  #3  #4 ok  #5 ok |
| Huawei, HiSilicon | **Comment#1 (Clause 6.1)**  In the following, suggest to change “codepoint” to “field” for a better accuracy   |  | | --- | | When two SRS resource sets are configured in srs-ResourceSetToAddModList or srs-ResourceSetToAddModListDCI-0-2 with higher layer parameter usage in SRS-ResourceSet set to 'codebook' or 'nonCodebook' and higher layer parameter enableSTx2PofmDCI is configured and PDCCH-Config contains two different values of coresetPoolIndex in ControlResourceSet for the active BWP of a serving cell, the DCI ~~codepoint~~ field ‘SRS Resource Set Indicator’ is not present. |   **Comment#2 (Clause 6.1):**  Suggest to add the following few words for the sake of better clarity and alignment with the legacy version. Further, when we write “a<b defines c”, we typically mean that “a defines c” and NOT “b defines c”. So, “v1 ≤ *maxRankSdm* andv2 ≤ *maxRankSdm* or *maxRankSdmDCI-0-2* is defining the maximum number of layers applied over the first and the second SRS resource sets, separately.”, implies that v1 and v2 define the maximum number of layers which is not correct. Therefore, we suggest the following changes. Similar comment regarding the SFN part is also applicable.   |  | | --- | | When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first TPMI is used to indicate the precoder to be applied over layers {0…v1-1}, where v1 is the number of layers indicated by the first TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set the first TPMI is used to indicate precoder to be applied over layers {0…v1-1} and that corresponds to the SRS resource and the second TPMI is used to indicate the precoder to be applied over layers {v1…. v2+v1-1}, where v2 is the number of layers indicated by the second TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set the second TPMI is used to indicate precoder to be applied over layers {v1….v2+v1-1} and that corresponds to the SRS resource, v1 ≤ *maxRankSdm* andv2 ≤ *maxRankSdm* or *maxRankSdmDCI-0-2* and *maxRankSdm* or *maxRankSdmDCI-0-2* is defining the maximum number of layers applied over the first and the second SRS resource sets, separately. | | #1 could not find this one quickly but not critical...  #2 Here I am afraid I have a conflict with another comments above which removed the TPMI description which is a base for your addition... |
| OPPO | Thank you for your great efforts. Please find our comments below:  **Comment 1**: Suggest to capture following agreement in section 6.2.3.1:  **Agreement**  For SDM scheme, maximum of 2 PTRS ports can be configured if UE has reported the capability of supporting full-coherent UL transmission.   * + Where there are at most 1 PTRS port per SRS resource set  |  | | --- | | If a UE has reported the capability of supporting full-coherent UL transmission, the UE shall expect the number of UL PT-RS ports to be configured as one if UL-PTRS is configured. If a UE has reported the capability of supporting full-coherent UL transmission and when the higher layer parameter *multipanelScheme* is set to ‘sdmscheme’, the UE shall expect the number of UL PT-RS ports to be configured as one per SRS resource set if UL-PTRS is configured and 2 PTRS ports are configured. |   **Comment 2**: Suggest to capture following agreement in section 6.2.3.1:  **Agreement**  Support single-DCI based SDM and SFN scheme in CG-PUSCH within one CG configuration   * For Type-1 CG-PUSCH, configure two SRI fields and two TPMI fields in CG configuration.   + For Type-1 CG-PUSCH single-DCI based SFN, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 or value "00" in Table 7.3.1.1.1.2-26 described in Clause 7.3.1 of [5, TS38.212].     - Note: it is the same behavior as Type1 CG-PUSCH for sTRP transmission.   + For Type-1 CG-PUSCH single-DCI based SDM, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 or value "00" in Table 7.3.1.1.1.2-25a described in Clause 7.3.1 of [5, TS38.212]. * For Type-2 CG-PUSCH, the SRS resource set indicator/SRI fields/TPMI fields in the activation DCI of the SDM/SFN are applied to the activated CG PUSCH.  |  | | --- | | For codebook or non-codebook based UL transmission, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2. For a PUSCH corresponding to a configured grant Type 1 transmission, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 or value "00" in Table 7.3.1.1.1.2-26 described in Clause 7.3.1 of [5, TS38.212]. For a PUSCH corresponding to a configured grant Type 1 transmission and when the higher layer parameter *multipanelScheme* is set to ‘SFNscheme’, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 or value "00" in Table 7.3.1.1.1.2-26 described in Clause 7.3.1 of [5, TS38.212]. For a PUSCH corresponding to a configured grant Type 1 transmission and when the higher layer parameter *multipanelScheme* is set to ‘sdmscheme’, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 or value "00" in Table 7.3.1.1.1.2-25a described in Clause 7.3.1 of [5, TS38.212]. | | #1 done  #2 done |
| MediaTek | Thanks for your great effort on the draft CR. Please find our comments bellow.  **6.1 UE procedure for transmitting the physical uplink shared channel**  **Comment 1:** Re the presence of DCI field, we think it would be better to capture it in 212 instead of 214 (and it has been captured). Thus, we suggest to remove the following paragraph from session 6.1.   |  | | --- | |  |   **6.1.1.1 Codebook based UL transmission**  **Comment 2:** Regarding the max number of layers for SFN scheme, we think it not necessary to capture it in 214 since it will be reflected in the value rage of corresponding RRC parameter. Meanwhile, some correction to the typos.   |  | | --- | | When higher layer parameter *multipanelScheme* set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', two SRI(s), and two TPMI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2.  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first TPMI is used to indicate precoder to be applied over layers {0…v-1} and the second TPMI is used to indicate the precoder to be applied over layers {0…v-1}, where v ≤ *maxRankSfn* or *maxRankSfnDCI-0-2* defining the maximum number of layers applied over the first SRS resource set and over the second SRS resource set separately.  - When codepoint “00” or “01” of *SRS Resource Set* *indicator* is indicated*,* the second SRI and second TPMI are reserved, the first TPMI is used to indicate precoder to be applied over layers {0…v-1}, where v ≤ *maxRank* and where *maxRank* is defining the maximum number of layers applied over the first SRS resource set or the seoncd SRS resource.  - Codepoint “11” of *SRS Resource Set indicator* is reserved.  - |   **Comment 3:** There could be two SRS resources indicated for PUSCH transmission occasion for SDM/SFN based STxMP, thus we suggest the following change:   |  | | --- | | The UE shall transmit PUSCH using the same antenna port(s) as the SRS port(s) in the SRS resource(s) indicated by the DCI format 0\_1 or 0\_2 or by *configuredGrantConfig* according to clause 6.1.2.3. |   **6.1.1.2 Non-Codebook based UL transmission**  **Comment 4:** Same as Comment 2 for the sub-bullet for maximum number of layers. Meanwhile, similar to CB based Tx, we think the maximum value of v can be defined directly based on RRC parameters. Thus, we sugest the following changes:   |  | | --- | | When the higher layer parameter *multipanelScheme* is set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook', two SRI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2.  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first SRI is used to indicate resource(s) to be associated with layer(s) {0…v-1} and the second SRI is used to indicate resource(s) to be associated with layer(s) {0…v-1}, where v ≤ *maxMIMO-LayersforSfn* or *maxMIMO-LayersforSfnDCI-0-2*  - When codepoint “00” or “01” of *SRS Resource Set* *indicator* is indicated*,* the second SRI is reserved, the first SRI is used to indicate resources(s) to be associated with layers {0…v-1}, where v ≤ *maxMIMO-Layers* or *maxMIMO-LayersDCI-0-2*. When two SRIs are indicated, the UE shall expect that the number of SRS antenna ports associated with two indicated SRIs to be the same.  - Codepoint “11” of *SRS Resource Set indicator* is reserved.  - |   **6.2.3.1 UE PT-RS transmission procedure when transform precoding is not enabled**  **Comment 4:** To align with the wording of “actual number of UL PT-RS port(s)” in the first half paragraph.   |  | | --- | | When the higher layer parameter *multipanelScheme* is set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook'/’nonCodebook’ and the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* is set to *n2*, the actual number of UL PT-RS port(s) to transmit corresponding to each SRS resource set is determined based on 1st TPMI codepoint field for ‘codebook’ or 1st SRI(s) codepoint field for ‘nonCodebook’. When the actual number of UL PT-RS port(s) is one, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-25 described in Clause 7.3.1.1.2 of [5, TS 38.212]. When the actual number of UL PT-RS port(s) is two, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2 according to Table 7.3.1.1.2-26 described in Clause 7.3.1.1.2 of [5, TS 38.212]. | | #1 removed!  #2 ok  #3 ok  #4 ok  #4 some text deleted here! |
| SS2 | We share similar view as QC that the following agreement is NOT capture in the draft CR.   |  | | --- | | **Agreement**  When multi-DCI based STxMP PUSCH+PUSCH is configured,   * the existing rules for resolving overlapping PUSCH for the cases of one PUSCH overlapping with another PUSCH in time in one serving cell specified in legacy specifications ~~at least for CG+DG overlap, CG+CG overlap, CG+PUSCH with SP-CSI overlap, or PUSCH with SP-CSI + PUSCH with SP-CSI overlap~~ are performed separately for each coresetPoolIndex value. |   We suggest the following update to better align with the current wording in 38.214.   |  | | --- | | Except for the case when a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* and the UE is configured with *enableSTx2PofmDCI* and two PUSCHs are associated with different values of *coresetPoolIndex,* ~~A~~ a UE is not expected to be scheduled by a PDCCH ending in symbol to transmit a PUSCH on a given serving cell overlapping in time with a transmission occasion, where the UE is allowed to transmit a PUSCH with configured grant according to [10, TS38.321], starting in a symbol on the same serving cell if the end of symbol is not at least symbols before the beginning of symbol , if the UE is not provided *prioLowDG-HighCG* or *prioHighDG-LowCG*, or the UE is provided *prioLowDG-HighCG* or *prioHighDG-LowCG* and the two PUSCHs have the same priority index as described in Clause 9 of [6, TS 38.213]. |   In addition, the following update is suggested for PUSCH with CSI reports.   |  | | --- | | 5.2.5 Priority rules for CSI reports For two overlapping PUSCHs, the priority rules in this clause are applied for physical channels with same priority index according to clause 9 in [6, TS 38.213] if a UE is not configured with *enableSTx2PofmDCI*. When a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* and the UE is configured with *enableSTx2PofmDCI,* the priority rules in this clause are applied for physical channels associated with same value of *coresetPoolIndex* with same priority index according to clause 9 in [6, TS 38.213] | | #implemented, let’s see if agreeable to everybody!  # need to hear other views on this! Agreement? |

### 2.3 DM-RS

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| Huawei, HiSilicon | Thanks Mihai for the great effort! Regarding the modification, we have the following comments:  Regarding the MU restriction for 1CW in section 5.1.6.2, we’d like to check whether MR. Editor plan to inherit the similar organisation logic (i.e., each sub-bullet represents either sTRP or mTRP case under a certain DMRS configuration type). Depending on Mr. Editor’s preference, the current version may need to be adjusted in different way. Furthermore, seems the indentation of the MU restriction for 2CWs can be cancelled.  Regarding the PUSCH to PT-RS power ratio in section 6.2.3.1, seems the current version hasn’t entirely reflect the agreements. By the way, the yellow part (although agreed) is modified just for the correctness of grammar.  - For partial coherent codebook for 8TX PUSCH transmission, *Lx* is the number of PUSCH layers in the antenna group ~~with~~ which are precoded coherently with the PUSCH layer/DMRS port ~~where~~ that PTRS port x is associated with, and *Qp* is the number of PTRS ports scheduled to the UE.  **Table 6.2.3.1-3A: Factor related to PUSCH to PT-RS power ratio per layer per RE for 8TX PUSCH transmission**   |  |  |  |  | | --- | --- | --- | --- | | ***UL-PTRS-power /*** | **The number of PUSCH layers ()** | | | | **1-8** | | | | Full coherent | Partial coherent | Non-coherent and non-codebook based | | 00 |  |  |  | | 01 |  |  |  | | 10 | Reserved | | | | 11 | Reserved | | | | comment looks fine. But, for simple editing, I would keep 10log10(LxQp) as it is. |
| CATT  (UL 8Tx) | We thank the editor for the great effort and nice work. Some comments follow.  **Comment 1**: We suggest to capture the following agreement on PTRS power boosting for UL 8Tx in RAN1 #114 meeting in Table 6.2.3.1-3A:   |  | | --- | | **Agreement**  For 8Tx PUSCH, when the *ptrs-Power* configures 00, Alt.2 is supported for the factor () for partial coherent TPMIs:   * + Alt.2:, where is the number of PUSCH layers in the antenna group which are precoded coherently with the PUSCH layer / DMRS port where PTRS port *x* is associated with, and *Qp* is the number of PTRS ports scheduled to the UE. | |  |
| ZTE | Thanks Mihai so much for your great effort on this CR, we have one comment as follows, in which the suggested changes are highlighted as this.  **Comment#1**  As per the following agreement endorsed in RAN1#114 meeting, it should be completely captured in the specification. Besides, to be aligned with the specification from Rel-15 (i.e., the formulation in Table 6.2.3.1-3), the formula in Alt.2 is to described as 10*log*10(*Lx*) + 3*Qp*- 3.  **Agreement (RAN1#114)**  For 8Tx PUSCH, when the *ptrs-Power* configures 00, Alt.2 is supported for the factor () for partial coherent TPMIs:   * + Alt.2:, where is the number of PUSCH layers in the antenna group which are precoded coherently with the PUSCH layer / DMRS port where PTRS port *x* is associated with, and *Qp* is the number of PTRS ports scheduled to the UE.   In light of the above, we have the following suggestion:   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **Proposed change (Section 6.2.3.1):**  When the UE is scheduled with *Qp*={1,2} PT-RS port(s) in uplink and the number of scheduled layers is ,  - If the UE is configured with higher layer parameter *ptrs-Power*, the PUSCH to PT-RS power ratio per layer per RE  is given by , where  is shown in the Table 6.2.3.1-3 and Table 6.2.3.1-3A according to the higher layer parameter *ptrs-Power*, the PT-RS scaling factor  specified in clause 6.4.1.2.2.1 of [4, TS 38.211] is given by and also on the '*Precoding Information and Number of Layers'* field in DCI.  - The UE shall assume *ptrs-Power* in *PTRS-UplinkConfig* is set to state "00" in Table 6.2.3.1-3 if not configured or in case of non-codebook based PUSCH.  - For partial coherent codebook for 8TX PUSCH transmission, *Lx* is the number of PUSCH layers in the antenna group with are precoded coherently with the PUSCH layer/DMRS port where PTRS port x is associated with, and *Qp* is the number of PTRS ports scheduled to the UE.  Table 6.2.3.1-3: Factor related to PUSCH to PT-RS power ratio per layer per RE other than 8TX PUSCH transmission   |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | *UL-PTRS-power /* |  | The number of PUSCH layers ( ) | | | | | | | | 1 | 2 | | 3 | | 4 | | | | All cases | Full coherent | Partial and non- coherent and non-codebook based | Full coherent | Partial and non- coherent and non-codebook based | Full coherent | Partial coherent | Non-coherent and non-codebook based | | 00 | 0 | 3 | 3*Qp*-3 | 4.77 | 3*Qp*-3 | 6 | 3*Qp* | 3*Qp*-3 | | 01 | 0 | 3 | 3 | 4.77 | 4.77 | 6 | 6 | 6 | | 10 | Reserved | | | | | | | | | 11 | Reserved | | | | | | | |   Table 6.2.3.1-3A: Factor related to PUSCH to PT-RS power ratio per layer per RE for 8TX PUSCH transmission   |  |  |  |  | | --- | --- | --- | --- | | *UL-PTRS-power /* | The number of PUSCH layers () | | | | 1-8 | | | | Full coherent | Partial coherent | Non-coherent and non-codebook based | | 00 |  | ~~TBD~~  10*log*10(*Lx*) + 3*Qp*- 3 |  | | 01 |  |  |  | | 10 | Reserved | | | | 11 | Reserved | | | | | See the comment to HW |
| QC | We thank editor very much for great effort to put together the CR. We have the following feedback for editor to consider.  Issue 1: For the following in section 5.1.6.2, we assume the following:  Table 7.3.1.2.2-1B is for eType 1 with maxLength =1 for S-TRP  Table 7.3.1.2.2-2B is for eType 1 with maxLength =1 for M-TRP  Table 7.3.1.2.2-1C is for eType 1 with maxLength=2 for S-TRP  Table 7.3.1.2.2-2C is for eType 1 with maxLength=2 for M-TRP  If the above assumption is aligned with what editor had in mind, we suggest the following changes to align with 38.212 Table index and row index (some row index changed in 38.212 before several rows were removed in agreements which changed row index in tables, such as 30->27, 68->66).  For DM-RS configuration enhanced type 1,  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 11 or ~~30~~ 27} in Table 7.3.1.2.2-~~1B~~7 and Table 7.3.1.2.2-~~2B~~7A] of Clause 7.3.1.2 of [5, TS 38.212], or  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 11, 24, 25, 26, 27, 28, 29, 30 or ~~68~~ 66} in Table 7.3.1.2.2-~~1C~~8 and Table 7.3.1.2.2-~~2C~~8A] of Clause 7.3.1.2 of [5, TS 38.212], or  Similarly, we suggest the following changes for eType 2.  For DM-RS configuration enhanced type 2,  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 20, 21, 22, 23 or ~~60~~ 56} in Table 7.3.1.2.2-~~3B~~9 and Table 7.3.1.2.2-~~4B~~9A] of Clause 7.3.1.2 of [5, TS38.212], or  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 20, 21, 22, 23, 42, 43, 44, 45, 46, 47 or ~~128~~ 137} in Table 7.3.1.2.2-~~3C~~10 and in Table 7.3.1.2.2-~~4C~~10A] of Clause 7.3.1.2 of [5, TS 38.212], or  By the way, in Table 7.3.1.2.2-10 and Table 7.3.1.2.2-10A of 38.212, row index 128 is missing (should be a typo). If 212 editor fix this typo, the last index of MU restriction for M-TPR should be 136, not 137. But I will leave this to two editors to fix.  Issue 2: Regarding MU with 2 CWs, RAN1 #114 already conclude not supporting this feature. Therefore, we suggest to remove the “[]” in the below.  ~~[~~- if a UE is scheduled with two codewords, the UE may assume that all the remaining orthogonal antenna ports are not associated with transmission of PDSCH to another UE.~~]~~  Issue 3: Very minor comment. Suggest to align the table indices with 38.212 in the following paragraph.  When receiving PDSCH scheduled by DCI format 1\_1, the UE shall assume that the CDM groups indicated in the configured index from Tables 7.3.1.2.2-1, 7.3.1.2.2-1A,[ 7.3.1.2.2-1B, 7.3.1.2.2-1C ], 7.3.1.2.2-2, 7.3.1.2.2-2A,[ 7.3.1.2.2-2B, 7.3.1.2.2-2C ], 7.3.1.2.2-3, 7.3.1.2.2-3A, [ 7.3.1.2.2-3B, 7.3.1.2.2-3C ], 7.3.1.2.2-4, 7.3.1.2.2-4A, [ 7.3.1.2.2-4B, 7.3.1.2.2-4C ] of [5, TS. 38.212] contain potential co-scheduled downlink DM-RS and are not used for data transmission, where "1", "2" and "3" for the number of DM-RS CDM group(s) in Tables 7.3.1.2.2-1, 7.3.1.2.2-1A ,[ 7.3.1.2.2-1B, 7.3.1.2.2-1C ], 7.3.1.2.2-2, 7.3.1.2.2-2A,[ 7.3.1.2.2-2B, 7.3.1.2.2-2C ]7.3.1.2.2-3, 7.3.1.2.2-3A, 7.3.1.2.2-4, 7.3.1.2.2-4A, [ 7.3.1.2.2-4B, 7.3.1.2.2-4C ] of [5, TS. 38.212] correspond to CDM group 0, {0,1}, {0,1,2}, respectively.  Issue 4: Maybe I oversighted them in the CR. Did we capture the following two agreement about MU-MIMO in the CR?  **Agreement (in RAN1 113)**  The following MU-MIMO within a CDM group between Rel.15 DMRS ports and Rel.18 DMRS ports is not supported:   * 3) For PDSCH, between Rel.18 UE1 indicated with Rel-18 New ports (eType1: ports 1008-1015, eType2: ports 1012-1023) and Rel.15-17 UE2 indicated with Rel.15 DMRS ports in a CDM group.   + UE does not expect such MU-MIMO within a CDM group * FFS: 4) For PDSCH, between Rel.18 UE1 indicated with Rel-18 New ports (eType1: ports 1008-1015, eType2: ports 1012-1023) and Rel.18 UE2 indicated with Rel.15 DMRS ports in a CDM group.   + UE does not expect such MU-MIMO within a CDM group   **Agreement (In Ran1 114)**   * The following MU-MIMO within a CDM group between Rel.15 DMRS ports and Rel.18 DMRS ports is not supported:   + For PDSCH, between Rel.18 UE1 indicated with Rel-18 New ports (eType1: ports 1008-1015, eType2: ports 1012-1023) and Rel.18 UE2 indicated with Rel.15 DMRS ports in a CDM group.     - UE does not expect such MU-MIMO within a CDM group   Issue 5: This is minor as well. The highlighted part seems follow the convention of Rel-15 4Tx. It might be fine. But strictly speaking, we don’t have agreement yet on this (others please correct me if I missed any agreement). It is better to put this sentence into square bracket and confirm in next meeting with a explicit agreement.  - if the UE is configured with the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* set to 'n2', each PT-RS port is associated with the one of DM-RS pors indicated by DCI field PTRS-DMRS association. PUSCH antenna port 1000, 1001, 1004 and 1005 share PT-RS port 0, and PUSCH antenna port 1002, 1003, 1006 and 1007 share PT-RS port 1.  Issue 6: About Table 6.2.3.1-3A, agree with Huawei’s comment/suggested wording update. In the table, TBD can be replaced by “ ” to align with the agreement. We don’t prefer ZTE suggested equation “10log10(Lx) + 3Qp - 3”, as it is in different form than the agreement. These two equation might be mathematically equivalent. But we prefer capture the same equation as agreed. | #1 thanks, implemented! Let’s monitor that row thing you mention in the end for 212!  #2 done  #3 will consider when time!  #4 will look into this!  #5 let’s see what others think!  #6 ok |
| Docomo | **PTRS EPRE to PDSCH**: The assumption of Table 4.1-2A is when *epre-Ratio* is configured. If not configured, existing text (*otherwise, the UE shall assume epre-Ratio is set to state '0' in Table 4.1-2 if not configured.*) should be applied. Hence, we suggest updating as below:  4.1 Power allocation for downlink  […]  When the UE is scheduled with one or two PT-RS ports associated with the PDSCH,  - if the UE is configured with the higher layer parameter *epre-Ratio*, the ratio of PT-RS EPRE to PDSCH EPRE per layer per RE for each PT-RS port () is given by Table 4.1-2 or Table 4.1-2A according to the *epre-Ratio*, the PT-RS scaling factor specified in clause 7.4.1.2.2 of [4, TS 38.211] is given by.  - otherwise, the UE shall assume *epre-Ratio* is set to state '0' in Table 4.1-2 if not configured.  ~~- if the UE is configured with [~~*~~enhanced-dmrs-Type\_r18~~*~~], the ratio of PT-RS EPRE to PDSCH EPRE per layer per RE for each PT-RS port () is given by Table 4.1-2A according to the~~ *~~epre-Ratio.~~*  Table 4.1-2: PT-RS EPRE to PDSCH EPRE per layer per RE (), if [*enhanced-dmrs-Type\_r18*] is not configured in *DMRS-DownlinkConfig*   |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | | *epre-Ratio* | The number of PDSCH layers with DM-RS associated to the PT-RS port | | | | | | | 1 | 2 | 3 | 4 | 5 | 6 | | 0 | 0 | 3 | 4.77 | 6 | 7 | 7.78 | | 1 | 0 | 0 | 0 | 0 | 0 | 0 | | 2 | reserved | | | | | | | 3 | reserved | | | | | |   Table 4.1-2A: PT-RS EPRE to PDSCH EPRE per layer per RE (), if [*enhanced-dmrs-Type\_r18*] is configured in *DMRS-DownlinkConfig*   |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | *epre-Ratio* | The number of PDSCH layers with DM-RS associated to the PT-RS port | | | | | | | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | | 0 | 0 | 3 | 4.77 | 6 | 7 | 7.78 | 8.45 | 9 | | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 2 | reserved | | | | | | | | | 3 | reserved | | | | | | | | | # ok |
| Apple | Thanks Mihai for the excellent efforts again!  We have just one comment related to issue#4 raised by QC, i.e. the agreements related to MU restriction. We are also not sure if they are currently captured. | # will consider! |

### 2.4 SRS

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| Futurewei | We thank the editor for the great effort and nice work. Some comments follow.  **Comment 1**: The current draft CR 38.214 describes the TDM can be supported. It does not specify that TDM can only be supported for 8-port SRS with usage CB/AS. In fact, it seems no RAN1 specification clearly specifies this restriction. Therefore, we suggest that this be captured in 38.214.  *Agreement*  *For an 8-port SRS resource in a SRS resource set with usage ‘codebook’ or ‘antennaSwitching’ and resource mapping based on TDM onto m ≥ 2 OFDM symbols in a slot and with TDM factor s, support the 8 ports equally partitioned into s subsets with each subset having 8/s different ports.*  - Support of time division mapping subsets of ports of ~~the~~ an 8-port SRS resource in an SRS resource set with the usage configured as ‘codebook’ or ‘*antennaSwitching’*, into *S* symbols (*S=2)*, as defined by the higher layer parameter [*tdm*], where the SRS ports are evenly distributed in two symbols.  **Comment 2**: Clause 6.2.1.1 describes the SRS frequency hopping procedure. However, the description covers only the cases without TDM. It is suggested to either refer to 211 for the detailed behaviors/equations if TDM is configured, or add more descriptions as below examples: 6.2.1.1 UE SRS frequency hopping procedure For a given SRS resource, the UE is configured with repetition factor R∈{1,2,4} or R∈{1,2,3,4,5,6,7,8,10,12,14} by higher layer parameter *resourceMapping* in *SRS-Resource* where *R*≤*Ns/S*, where S=2 if the higher-layer parameter [*tdm*] is configured, otherwise S. When frequency hopping within an SRS resource in each slot is not configured and comb offset hopping is not configured and *S*=1 (*R=Ns*), each of the antenna ports of the SRS resource in each slot is mapped in all the  symbols to the same set of subcarriers in the same set of PRBs. When frequency hopping within an SRS resource in each slot is not configured and comb offset hopping is not configured and S=2 (*R=Ns*/S), antenna ports {1000, 1001, 1004, 1005} of the SRS resource in each slot is mapped in half of the  symbols and antenna ports {1000, 1002, 1004, 1006} of the SRS resource in each slot is mapped in the other half of the  symbols to the same set of subcarriers in the same set of PRBs according to clause 6.4.1.4.2 of [4, TS 38.211]. When frequency hopping within an SRS resource in each slot is not configured and comb offset hopping is configured and *S*=1 (*R=Ns*), each of the antenna ports of the SRS resource in each slot is mapped in all the  symbols to the subcarriers in the same set of PRBs according to clause 6.4.1.4.3 of [4, TS 38.211]. When frequency hopping within an SRS resource in each slot is configured without repetition (*R=1*), according to the SRS hopping parameters , and defined in clause 6.4.1.4 of [4, TS 38.211], each of the antenna ports of the SRS resource in each slot is mapped to different sets of subcarriers in each OFDM symbol, where the same transmission comb value is assumed for different sets of subcarriers. When both frequency hopping and repetition within an SRS resource in each slot are configured (*Ns*≥ *4, R* ≥ *2*), each of the antenna ports of the SRS resource in each slot is mapped to the same set of subcarriers within each set of SR adjacent OFDM symbols, and frequency hopping across the sets is according to the SRS hopping parameters , and , where should be divisible by .  For operation with shared spectrum channel access in FR1, the UE does not expect that multiple hops of an SRS resource transmission are in different RB sets.  A UE may be configured adjacent symbol aperiodic SRS resource with intra-slot frequency hopping within a bandwidth part, where the full hopping bandwidth is sounded with an equal-size subband across  symbols when frequency hopping is configured with *R=1*. A UE may be configured *Ns*≥ *4* adjacent symbols aperiodic SRS resource with intra-slot frequency hopping within a bandwidth part, where the full hopping bandwidth is sounded with an equal-size subband across sets of S*R* adjacent OFDM symbols, when frequency hopping is configured with *R* ≥ *2, Ns*≥ *R* and *Ns*should be divisible by *SR*. Each of the antenna ports of the SRS resource is mapped to the same set of subcarriers within each set of SR adjacent OFDM symbols of the resource if comb offset hopping is not configured.  A UE may be configured symbol periodic or semi-persistent SRS resource with inter-slot hopping within a bandwidth part, where the SRS resource occupies the same symbol location in each slot. A UE may be configured symbol periodic or semi-persistent SRS resource with intra-slot and inter-slot hopping within a bandwidth part, where the SRS resource occupies the same symbol location(s) in each slot. For *Ns*≥ *4*, when frequency hopping is configured with *R* ≥ *2*, intra-slot and inter-slot hopping is supported with each of the antenna ports of the SRS resource mapped to different sets of subcarriers across sets of *SR* adjacent OFDM symbol(s) of the resource in each slot, where should be divisible by *SR*. Each of the antenna ports of the SRS resource is mapped to the same set of subcarriers within each set of *SR* adjacent OFDM symbols of the resource in each slot. For *Ns= SR*, when frequency hopping is configured, inter-slot frequency hopping is supported with each of the antenna ports of the SRS resource mapped to the same set of subcarriers in *SR* adjacent OFDM symbol(s) of the resource in each slot if comb offset hopping is not configured. | comment 1 updated in the latest version. For further possibility to support tdm for the other types or 4 ports etc, added separate sentence for the condition.  comment 2, after seeing multiple comments, I think we need further discussion, |
| Huawei, HiSilicon | Thanks Mihai for the great effort! Regarding the modification, we have the following comment:  Agree with the comments proposed by Futurewei, while the detailed modification towards Comment 2 may need further discussion. | ok |
| ZTE | Thanks Mihai so much for your great effort on this CR, we have two comments as follows, in which the suggested changes are highlighted as this.  **Comment#1**  Since TDM scheme is only supported for 8-port SRS, we propose the following change.   |  | | --- | | **Proposed change (section 6.2.1):**  Support of time division mapping subsets of ports of an SRS resource with 8 ports into *S* symbols (S=2), as defined by the higher layer parameter [*tdm*], where the SRS ports are evenly distributed in two symbols. |   **Comment#2**  We have the following agreement in RAN#113 meeting. To capture this point, we propose the following change.  **Agreement (RAN1#113)**   |  | | --- | | SRS comb offset hopping and cyclic shift hopping can be configured for a SRS resource at the same time as a separate UE capability. No joint hopping scheme is supported. |  |  | | --- | | **Proposed change (section 6.2.1):**  For the comb offset hopping, a UE can be configured with a subset of comb offsets by the higher layer parameter [c*ombOffsetHoppingSubset*], where the comb offset hopping is performed only across the comb offsets configured in the subset. The UE is not expecting that the comb offset hopping and the higher layer parameter [*tdm*] are configured simultaneously. The higher layer parameters [*cyclicShiftHopping*] and [*combOffsetHopping*] can be both configured subjective to UE capability. | | Same comment as FW comment #1.  Added "subject to UE capability" in each part. (cyclic shift and comb offset) |
| QC | We agree with FutureWei’s comment 1 to clarify the TDM SRS scope, which only applies to 8Tx SRS with usage codebook and antenna Switching.  Regarding FutureWei’s comment 2, we suggest referring to 38.211 for frequency hopping procedure with TDM. The suggested wording update in comment seems too complicated. It is like a TP which needs more discussion in next RAN1 meeting, if we decided to update this paragraph in 38.214. | ok |
|  |  |  |
|  |  |  |

### 2.5 8TX

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| ZTE | **Comment #1 (Section 6.1.1.1)**  The following description for codebookType seems wired: codebookType is up to the value of ULcodebookFC-N1N2. In logic, ULcodebookFC-N1N2 can be provided, if ‘codebook1 corresponding to Ng=11 is enabled by ‘CodebookType’. Please review the following RRC parameter as agreed.   |  |  |  | | --- | --- | --- | | ULcodebookFC-N1N2 | Supported combination of a pair of parameters (N1, N2  Only applicable to CodebookType='Codebook1' | (4,1), (2,2) | | CodebookType | Codebook type  Codebook1 corresponds to Ng=1 Codebook2 corresponds to Ng=2 Codebook3 corresponds to Ng=4 Codebook4 corresponds to Ng=8  Ng represents the number of antenna port-groups | {Codebook1, Codebook2, Codebook3, Codebook4} |   Then, based on the above RRC parameter, we have the following suggestion:   |  | | --- | | A UE does not expect to be configured by *CodebookType* with a value of *CodebookType* that does not correspond to one of the values of *UL\_8TX\_Ng* reported in its capability. A UE can be configured by *ULcodebookFC-N1N2* subjective to UE capability, when higher layer parameter *CodebookType* is set to 'Codebook1’ corresponding to Ng=1, where Ng represents the number of antenna port-groups. | | ok |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

### 2.6 2TA

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| Samsung | **Comment 1:**  The agreement made in RAN1#114 says: “when the PDCCH order is transmitted from a TRP associated with additionalPCI”, we prefer to use wording that is aligned with the agreement as follows:  “when receiving a PDSCH scheduled with RA-RNTI in response to a random access procedure triggered by a PDCCH order which triggers contention-free random access procedure for the SpCell [10, TS 38.321], and if the ~~CORESET~~ TCI state used for the PDCCH order transmission is ~~not~~ associated with ~~the serving~~ additional PCI different from the serving PCI, ~~cell physical cell ID~~  **Comment 2:**  We prefer to leave the QCL of PDCCH RAR for 38.213, as it is already described there for other use cases of the PDCCH order.  “when receiving a PDSCH scheduled with RA-RNTI in response to a random access procedure triggered by a PDCCH order which triggers contention-free random access procedure for the SpCell [10, TS 38.321], and if the CORESET used for the PDCCH order transmission is not associated with the serving cell physical cell ID, the UE may assume that ~~the DM-RS port of the PDCCH that includes the DCI format 1\_0 and~~ the DM-RS ports of the received PDSCH are quasi co-located with the DM-RS antenna port associated with PDCCH receptions in the CORESET for Type1-PDCCH CSS set with respect to Doppler shift, Doppler spread, average delay, delay spread, and spatial RX parameters when applicable.” | Comment 1:  Seems nothing wrong with the current version. Also, please check the related comment from LG and Ericsson.  Comment 2:  This could be discussed later, i.e., whether to reflect the agreed PDCCH RAR behaviour in 213 or keep it here. |
| LG | Thanks Mihai for your great effort with drafting TS.  Regarding Samsung’s first comment, because configuration of TCI state for a CORESET is an optional, so the original version is correct from our view.  Regarding Samsung’s second comment, we prefer the original version from editor, since the condition for PDCCH order is different from legacy behaviour, i.e., PDCCH order is received from additional PCI. So, the original version which also includes QCL assumption for RAR scheduling PDCCH should be crystal clear for describing exact UE behaviour. | OK. Thanks. |
| Ericsson | 5.1:  “physical cell ID” – prefer to spell out “physical cell identity”, just as in 38.331  We agree with LG that the editor version is preferred. | 'Physical cell ID' is used in multiple instances. (Same for ‘PCI’, which could also be alternatively used). |
|  |  |  |
|  |  |  |
|  |  |  |

# 3 Discussion – second round

The comments in this section are based on version 1 of the draft CR available in the **Post RAN1#114 discussion.**

### 3.1 uTCI

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| MediaTek | **6.1 UE procedure for transmitting the physical uplink shared channel**  **Comment 1:** Same comment as in first round, since the following agreement is not reflected in current draft CR, we suggest to add the following paragraph in Session 6.1.  **Agreement (RAN1#112bis)**  On unified TCI framework extension for S-DCI based MTRP, the UE shall apply the first indicated joint/UL TCI state to PUSCH transmission(s) scheduled/activated by DCI format 0\_0 (including DG and Type2 CG)   |  | | --- | | When a UE is configured with *dl-OrJointTCI-StateList* and is having two indicated TCI-States or TCI-UL-States, for the PUSCH transmission scheduled or activated by DCI format 0\_0, the UE should apply the first indicated TCI state to the PUSCH transmission. | | # thanks Darcy, saves the day! I integrated the proposal in some existing text to structure a bit better, pls check! |
| **Editor, 06.09** | **Updated the CR to v02!** |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

### 3.2 STxMP

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| QC | **Comment 1**: Thank you for your note. We look forward to further edits to this part in the next round to enhance the clarity and capture the fact that “UE is not expected to be configured with different number of SRS resources in the two SRS resource sets” similar to sDCI schemes.  **Comment 2**: Referring to our previous comment 2, it seems that in Section 6.1.1.2, “maximum number of layers is up to 2” is copied in the wrong place (it should be under SFN scheme, not SDM scheme). Also, a similar bullet can be added in Section 6.1.1.1 (under SFN scheme, as a sub-bullet of the bullet “When codepoint “10” of *SRS Resource Set* *indicator* is indicated…”).  **Comment 3**: Thank you addressing our comment 4. It seems the first part of the addition is redundant, and this part is enough. Also, couple of small edits: A comma can be added after “is not provided *enableSTx2PofmDCI,*” to take care of the multiple or/and conditions, and the dot before next paragraph “The value in symbols” can be removed.  Except for the case when a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* and the UE is configured with *enableSTx2PofmDCI* and two PUSCHs are associated with different values of *coresetPoolIndex,* a UE is not expected to be scheduled by a PDCCH ending in symbol to transmit a PUSCH on a given serving cell overlapping in time with a transmission occasion, where the UE is allowed to transmit a PUSCH with configured grant according to [10, TS38.321], starting in a symbol on the same serving cell if the end of symbol is not at least symbols before the beginning of symbol , if  - the UE is not provided *prioLowDG-HighCG* or *prioHighDG-LowCG*, or the UE is provided *prioLowDG-HighCG* or *prioHighDG-LowCG* and the two PUSCHs have the same priority index as described in Clause 9 of [6, TS 38.213], and  - the UE is not provided *enableSTx2PofmDCI,* or is provided *enableSTx2PofmDCI* and the two PUSCHs are associated with the same *coresetPoolIndex* value.  ~~.~~ The value in symbols is determined according to the UE processing capability defined in Clause 6.4, and and the symbol duration are based on the minimum of the subcarrier spacing corresponding to the PUSCH with configured grant and the subcarrier spacing of the PDCCH scheduling the PUSCH.  **Comment 4**: Regarding the following added parts (mentioned by ZTE) in Section 6.1.1.1, we would like to note that this issue was partially discussed in the previous meeting (PUSCH port ordering), and the initial thinking of multiple companies was that draft spec is already clear. Furthermore, the description for SFN scheme (second part below) seems not correct. Hence, we suggest removing the following parts especially the one for SFN (RAN1 can further discuss if any change is needed):  …When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p1-1} and antenna ports {0+p1, ..., 0+p1+p2-1}, respectively. Where p1 is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set, and p2 is equal to the number of SRS ports of the SRS resource selected by the second SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set.  …  …When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p}, respectively. Where p is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set.  **Comment 5**: In Section 6.2.3.1, the following newly added part is not accurate since number of PTRS ports cannot be configured per SRS resource set. We suggest the following changes to capture the mentioned agreement.  If a UE has reported the capability of supporting full-coherent UL transmission, the UE shall expect the number of UL PT-RS ports to be configured as one if ULPT-RS is configured. If a UE has reported the capability of supporting full-coherent UL transmission and when the higher layer parameter *multipanelScheme* is set to ‘sdmscheme’, the UE can be configured with ~~shall expect the number of UL PT-RS ports to be configured as one per SRS resource set if UL PT-RS is configured and 2 PT-RS ports are configured~~ *maxNrofPortsforSDM* in *PTRS-UplinkConfig* set to n2, subject to UE capability.  **Comment 6**: The following newly added text can be simplified as follows (this is because if each scheme is mentioned one-by-one, there would be a hole in the specification as TDM scheme is missing. Instead, the keeping the description general and referring to the 38.212 seems more appropriate and more consistent with legacy).  For codebook or non-codebook based UL transmission, the association between UL PT-RS port(s) and DM-RS port(s) is signalled by *PTRS-DMRS association* field(s) in DCI format 0\_1 and DCI format 0\_2. For a PUSCH corresponding to a configured grant Type 1 transmission, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 ~~or~~, value "00" in Table 7.3.1.1.1.2-26, or value "00" in Table 7.3.1.1.1.2-25a described in Clause 7.3.1 of [5, TS38.212]. ~~For a PUSCH corresponding to a configured grant Type 1 transmission and when the higher layer parameter~~ *~~multipanelScheme~~* ~~is set to ‘SFNscheme’, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 or value "00" in Table 7.3.1.1.1.2-26 described in Clause 7.3.1 of [5, TS38.212]. For a PUSCH corresponding to a configured grant Type 1 transmission and when the higher layer parameter~~ *~~multipanelScheme~~* ~~is set to ‘sdmscheme’, the UE may assume the association between UL PT-RS port(s) and DM-RS port(s) defined by value 0 in Table 7.3.1.1.2-25 or value "00" in Table 7.3.1.1.1.2-25a described in Clause 7.3.1 of [5, TS38.212].~~  **Comment 7**: Regarding the second change suggested by Samsung in Section 5.2.2 (Priority rules for CSI reports), we prefer the following instead (since the mentioned agreement is only applicable to overlapping PUSCHs, not a general rule for priority of CSI):  When the UE is not provided *enableSTx2PofmDCI*, or is provided *enableSTx2PofmDCI* and the two PUSCHs described below are associated with the same *coresetPoolIndex* value:  - If a semi-persistent CSI report to be carried on PUSCH overlaps in time with PUSCH data transmission in one or more symbols on the same carrier, and if the earliest symbol of these PUSCH channels starts no earlier than N2+d2,1 symbols after the last symbol of the DCI scheduling the PUSCH where d2,1 is the maximum of the d2,1 associated with the PUSCH carrying semi-persistent CSI report and the PUSCH with data transmission, the CSI report shall not be transmitted by the UE. Otherwise, if the timeline requirement is not satisfied this is an error case.  - If a UE would transmit a first PUSCH that includes semi-persistent CSI reports and a second PUSCH that includes an UL-SCH on the same carrier, and the first PUSCH transmission would overlap in time with the second PUSCH transmission, the UE does not transmit the first PUSCH and transmits the second PUSCH. The UE expects that the first and second PUSCH transmissions satisfy the above timing conditions for PUSCH transmissions that overlap in time when at least one of the first or second PUSCH transmissions is in response to a DCI format detection by the UE. | #1 indeed, will continue on this!  #2 I hope fixed now, pls check!  #3 I would keep this still, better to have some redundancy in this moment until we get better stability of the spec. But please keep this item in mind so we can streamline the text in a further iteration, I am very much for text simplification and clarity!  #4 ok, deleted! Stricken through for an easier track but I will clean up in the end!  #5 implemented  #6 simplified!  #7 I added the variant proposed by Samsung below, pls check, ingredients are sort of similar as what you propose here, just the placement is different. |
| Samsung | **Comment 1**: Regarding QC’s Comment 3 on the text below, we agree the current version is redundant, we suggest to remove the green part to make the spec more consistent with existing descriptions.   |  | | --- | | Except for the case when a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* and the UE is configured with *enableSTx2PofmDCI* and two PUSCHs are associated with different values of *coresetPoolIndex,* a UE is not expected to be scheduled by a PDCCH ending in symbol to transmit a PUSCH on a given serving cell overlapping in time with a transmission occasion, where the UE is allowed to transmit a PUSCH with configured grant according to [10, TS38.321], starting in a symbol on the same serving cell if the end of symbol is not at least symbols before the beginning of symbol , if  ~~-~~ the UE is not provided *prioLowDG-HighCG* or *prioHighDG-LowCG*, or the UE is provided *prioLowDG-HighCG* or *prioHighDG-LowCG* and the two PUSCHs have the same priority index as described in Clause 9 of [6, TS 38.213], and  ~~- the UE is not provided~~ *~~enableSTx2PofmDCI,~~* ~~or is provided~~ *~~enableSTx2PofmDCI~~* ~~and the two PUSCHs are associated with the same~~ *~~coresetPoolIndex~~* ~~value.~~ |   Similar descriptions of the red part can be found in several places of 38.214. Examples are copied below.   |  | | --- | | Except for the case when a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* and PDCCHs that schedule two PDSCHs are associated to different *ControlResourceSets* having different values of *coresetPoolIndex,* in a given scheduled cell, the UE is not expected to receive a first PDSCH and a second PDSCH, starting later than the first PDSCH, with its corresponding HARQ-ACK assigned to be transmitted on a resource ending before the start of a different resource for the HARQ-ACK assigned to be transmitted for the first PDSCH, where the two resources are in different slots for the associated HARQ-ACK transmissions, each slot is composed of symbols [4] or a number of symbols indicated by *subslotLengthForPUCCH* if provided, and the HARQ-ACK for the two PDSCHs are associated with the HARQ-ACK codebook of the same priority. Except for the case when a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* and PDCCHs that schedule two PDSCHs are associated to different *ControlResourceSets* having different values of *coresetPoolIndex,* in a given scheduled cell, the UE is not expected to receive a first PDSCH, and a second PDSCH, starting later than the first PDSCH, with its corresponding HARQ-ACK assigned to be transmitted on a resource ending before the start of a different resource for the HARQ-ACK assigned to be transmitted for the first PDSCH if the HARQ-ACK for the two PDSCHs are associated with HARQ-ACK codebooks of different priorities.  …  Except for the case when a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* for the active BWP of a serving cell and PDCCHs that schedule two non-overlapping in time domain PUSCHs are associated to different *ControlResourceSets* having different values of *coresetPoolIndex,* for any two HARQ process IDs in a given scheduled cell, if the UE is scheduled to start a first PUSCH transmission starting in symbol *j* by a PDCCH ending in symbol *i* on a scheduling cell,, the UE is not expected to be scheduled to transmit a PUSCH starting earlier than the end of the first PUSCH by a PDCCH that ends later than symbol *i* of the scheduling cell. |   **Comment 2**: The following agreement includes all the cases for overlapping PUSCHs, we think the update of clause 5.2.5 is necessary.  **Agreement**  When multi-DCI based STxMP PUSCH+PUSCH is configured,   * the existing rules for resolving overlapping PUSCH for the cases of one PUSCH overlapping with another PUSCH in time in one serving cell specified in legacy specifications ~~at least for CG+DG overlap, CG+CG overlap, CG+PUSCH with SP-CSI overlap, or PUSCH with SP-CSI + PUSCH with SP-CSI overlap~~ are performed separately for each coresetPoolIndex value.   Regarding the QC’s comment 7, our suggestion for the updated text is only for overlapping PUSCHs as highlight in the text below. To avoid misleading, we made some update as following,     |  | | --- | | 5.2.5 Priority rules for CSI reports For two overlapping PUSCHs, the priority rules in this clause are applied for physical channels with same priority index according to clause 9 in [6, TS 38.213] if a UE is not configured with *enableSTx2PofmDCI* or a UE is configured by higher layer parameter *PDCCH-Config* that contains two different values of *coresetPoolIndex* in *ControlResourceSet* and the UE is configured with *enableSTx2PofmDCI* and the two overlapping PUSCHs are associated with same value of *coresetPoolIndex*. | | #1 I see we have a bit of different views and as I said to QC above, let’s keep both for now, it is better than deleting both . Later edit: seeing now the debate, I used [] for the new entries, will keep the text in the CR but we will discuss in next meeting in my view!  #2 added! |
| ZTE | Thanks Mihai so much for your ongoing effort of this CR, please find our comments as follows in this round.  **Comment#1**  Regarding the following bullet proposed by QC for STxMP SFN PUSCH in section 6.1.1.1 and section 6.1.1.2, we think it is not needed because the part “..., where v ≤ *maxMIMO-LayersforSfn* or *maxMIMO-LayersforSfnDCI-0-2*” has clearly stated the same thing, in which the value of either *maxMIMO-LayersforSfn* or *maxMIMO-LayersforSfnDCI-0-2* can only be 1 or 2 as agreed in RRC parameter discussion.  - maximum number of layers is up to 2.  **Comment#2**  Regarding our comment#2 and comment#3 with respect to the mapping between PUSCH ports and TPMI for SDM/SFN scheme based STxMP PUSCH in first round (which is argued by QC’s comment#4 in this round), we do believe this description is deemed necessary to completely capture the following yellow parts for SDM and SFN schemes as we agreed in RAN1#109-e meeting. In the meanwhile, it can be noted that the following green parts for SDM and SFN schemes have already been captured in the draft spec.   * SDM scheme: different layers/DMRS ports of one PUSCH are separately precoded and transmitted from different UE panels simultaneously. * SFN-based transmission scheme: all of the same layers/DMRS ports of one PUSCH are transmitted from two different UE panels simultaneously.   -------------------------------------------------  **TS 38.214, Section 6.1.1.1:**  When the higher layer parameter *multipanelScheme* is set to ‘SDMScheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', two SRI(s), and two TPMI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2:  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first TPMI is used to indicate the precoder to be applied over layers {0…v1-1}, where v1 is the number of layers indicated by the first TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set, and the second TPMI is used to indicate the precoder to be applied over layers {v1…. v2+v1-1}, where v2 is the number of layers indicated by the second TPMI, that corresponds to the SRS resource selected by the corresponding SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set, v1 ≤ *maxRankSdm* andv2 ≤ *maxRankSdm* or *maxRankSdmDCI-0-2* is defining the maximum number of layers applied over the first and the second SRS resource sets, separately..  **...**  When higher layer parameter *multipanelScheme* set to ‘SFNscheme’ and two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'codebook', two SRI(s), and two TPMI(s) are given by the DCI fields of two SRS resource indicator and two Precoding information and number of layers in clause 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2.  - When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first TPMI is used to indicate precoder to be applied over layers {0…v-1} and the second TPMI is used to indicate the precoder to be applied over layers {0…v-1}, where v ≤ *maxRankSfn* or *maxRankSfnDCI-0-2* defining the maximum number of layers applied over the first SRS resource set and over the second SRS resource set separately.  -------------------------------------------------  -------------------------------------------------  **TS 38.214, Section 6.1.2.1:**  - if a DCI format 0\_1 or DCI format 0\_2 indicates codepoint “10” for the *SRS resource set indicator* and the higher layer parameters *multipanelScheme* is configured and set to ‘SDMscheme’ or ‘SFNscheme’,  - the first indicated TCI state is applied to the PUSCH antenna port(s), of corresponding PUSCH transmission occasion, associated with the first SRS resource set, and the second indicated TCI state is applied to the PUSCH antenna port(s), of corresponding PUSCH transmission occasion, associated with the second SRS resource set, where the association of PUSCH antenna ports to SRS resource sets is determined according to Clauses 6.1.1.1 and 6.1.1.2.  -------------------------------------------------  Regarding QC’s comment that “*Furthermore, the description for SFN scheme (second part below) seems not correct.*”, we’d like to see the exact reason herein. According to the following agreement, we believe the description of the mapping between PUSCH ports and TPMI for SFN scheme is accurate and also suitable. Highly appreciated if companies can provide views in details, if any different understanding, on this part.  **Agreement (RAN1#111 meeting)**  For the SFN scheme of single-DCI based STxMP PUSCH:   * Configure two SRS resource sets for CB or NCB.   + FFS: Number of SRS resources of SRS resource set, and number of SRS ports of SRS resource * The DCI indicates two SRI fields and TPMI fields for SFN transmission, * On the indication of number of layers for CB and NCB PUSCH:   + Alt1: Similar to rel-17 mTRP TDM scheme, the number of layers is indicated by the first SRI field (for NCB PUSCH) or the first TPMI field (for CB PUSCH)   **Comment#3**  Regarding the second change of our comment#4 in first round, we sincerely want to recheck to editor whether the following part in section 6.1.1.2 with respect to the validity of SRI for SFN scheme should also be needed to SDM scheme? If so, one way can be to move up this bullet one level, another way can be to add this part to SDM scheme.  - When the UE is configured with the higher layer parameter *txConfig* set to 'Noncodebook', the UE is configured with at least one SRS resource. Each of the indicated one or two SRI(s) in slot *n* is associated with the most recent transmission of SRS resource of associated SRS resource set identified by the SRI, where the SRS resource is prior to the PDCCH carrying the SRI. When two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'Noncodebook', the UE is not expected to be configured with different number of SRS resources in the two SRS resource sets. | #1 stricken through for now.  #2 see my comment further below at your next comment! |
| QC2 | Given conflicting comments, we would like to clarify a couple of points in response to Samsung and ZTE:  @Samsung: Regarding your comment 1 / our comment 3, the red part is unclear to us as there are also other conditions at the end where this clause becomes applicable. The paragraph becomes hard to read if some conditions are in the beginning while other conditions are at the end (it is not clear if the clause should be followed when which condition is not satisfied). Also, the examples you mentioned from legacy spec are followed by the following green text (also from legacy) for a complete behaviour.  When PDCCHs that schedule two PDSCHs are associated to different *ControlResourceSets* having different values of *coresetPoolIndex,* the following operations are allowed:  - For any two HARQ process IDs in a given scheduled cell, if the UE is scheduled to start receiving a first PDSCH starting in symbol *j* by a PDCCH associated with a value of *coresetPoolIndex* ending in symbol *i*, the UE can be scheduled to receive a PDSCH starting earlier than the end of the first PDSCH with a PDCCH associated with a different value of *coresetPoolIndex* that ends later than symbol *i*.  - In a given scheduled cell, the UE can receive a first PDSCH in slot *i*, with the corresponding HARQ-ACK assigned to be transmitted in slot *j*, and a second PDSCH associated with a value of *coresetPoolIndex* different from that of the first PDSCH starting later than the first PDSCH with its corresponding HARQ-ACK assigned to be transmitted in a slot before slot *j*.  @ZTE: Regarding your comment 1, it is ok to remove the bullet “maximum number of layers is up to 2”, but our main point was that it is currently not in the right place (it is under SDM scheme).  Regarding your comment 3, the description of SFN that you suggested is not correct because a) it specifies p+1 PUSCH antenna ports (antenna ports {0, ..., 0+p}), and b) It only refers to the SRS ports of the first indicated SRS resource. The agreement you copied above is for layers (which is already captured) and not for PUSCH antenna ports. | # I suggest we put some [] for the whole area and we take this for discussion in next meeting! |
| ZTE | Follow up to QC’s reply, and provide some minor editorial updates for clarification.  @QC: Thank you for the discussion of our comment#3. Regarding your reply of a), we agree it should be corrected to PUSCH antenna ports {0, ..., p-1}, thanks again for your careful check. Regarding your reply of b), the agreement (endorsed in RAN1#111) as we provided above is to explain that first TPMI used to completely indicated precoder, layers and antenna ports of SFN PUSCH. Nevertheless, at least the other agreement (endorsed in RAN1#109) we provided above can clearly state that both the first and second TPMIs are applied to all antenna ports of PUSCH, which is different from SDM PUSCH as we elaborated so far. We sincerely want to confirm that whether you have different understanding of the mapping between PUSCH antenna ports and TPMIs for SFN scheme.  In addition, after carefully pore over this running CR, we suggest the following editorial changes to capture the above correction raised by QC and some other refinements. Sorry for the inconvenience caused earlier.   |  | | --- | | **Proposed changes (Section 6.1.1.1):**  <-------- STxMP SFN scheme ------->  - For one or two TPMI(s), the transmission precoder is selected from the uplink codebook that has a number of antenna ports equal to the higher layer parameter *nrofSRS-Ports* in *SRS-Config* for the indicated SRI(s), as defined in Clause 6.3.1.5 of [4, TS 38.211]. When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p1-1} and antenna ports {0+p1, ..., 0+p1+p2-1}, respectively. Where p1 is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set, and p2 is equal to the number of SRS ports of the SRS resource selected by the second SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set.  ...  <------- STxMP SFN scheme ------->  - For one or two TPMI(s), the transmission precoder is selected from the uplink codebook that has a number of antenna ports equal to *nrofSRS-Ports* in *SRS-Config* for the indicated SRI(s), as defined in Clause 6.3.1.5 of [4, TS 38.211]. When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p-1}, respectively. Where p is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set. | | # the text should be fine but I still kept it stricken to see if everybody is on the same page in keeping it! |
| ZTE (v02) | Thanks so much for editor’s effort so far.  As per our comment#2 in the second round, we elaborated in great detail on the missing part of the mapping between PUSCH antenna ports and TPMIs for both SDM scheme and SFN scheme. According to the related discussion with companies in first and second rounds, at least companies did not raise any doubt for the part of SDM scheme in their last reply, hence it should be added back to fix the spec hole. For the part of SFN scheme, although it seems companies have no problem on the necessity (have not receive any responses yet), it can be fine to put this part as pending (e.g., with brackets) but not remove it directly due to the spec impact does exist according the agreement listed above. Frankly, it is proper to give the chance for companies to check during the maintenance phase in next meetings. In light of the above, we sincerely hope the following can be take as the middle ground for two camps in this meeting.   |  | | --- | | **Proposed changes (Section 6.1.1.1):**  <-------- STxMP SDM scheme ------->  - For one or two TPMI(s), the transmission precoder is selected from the uplink codebook that has a number of antenna ports equal to the higher layer parameter *nrofSRS-Ports* in *SRS-Config* for the indicated SRI(s), as defined in Clause 6.3.1.5 of [4, TS 38.211]. When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p1-1} and antenna ports {0+p1, ..., 0+p1+p2-1}, respectively. Where p1 is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set, and p2 is equal to the number of SRS ports of the SRS resource selected by the second SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set.  <------- STxMP SFN scheme ------->  - For one or two TPMI(s), the transmission precoder is selected from the uplink codebook that has a number of antenna ports equal to *nrofSRS-Ports* in *SRS-Config* for the indicated SRI(s), as defined in Clause 6.3.1.5 of [4, TS 38.211]. [When codepoint “10” of *SRS Resource Set* *indicator* is indicated*,* the first and second TPMIs are used to indicate the precoders to be applied over antenna ports {0, ..., 0+p-1}, respectively. Where p is equal to the number of SRS ports of the SRS resource selected by the first SRI when multiple SRS resources are configured for the applicable SRS resource set or if single SRS resource is configured for the applicable SRS resource set.] | |  |

### 3.3 DM-RS

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| Huawei, HiSilicon | Thanks Mihai for the elaborative capture! Regarding the latest update, we have the following comments:  Regarding the title of table 4.1-2A, seems there exists copy-paste typo:  **Table 4.1-2A: PT-RS EPRE to PDSCH EPRE per layer per RE (), if [*enhanced-dmrs-Type\_r18*] is ~~not~~ configured in *DMRS-DownlinkConfig***  Regarding the MU restriction for 1CW in section 5.1.6.2, some typo correction and organisational suggestion are provided:  For DM-RS configuration enhanced type 1,  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 11 or 27} in Table 7.3.1.2.2-7 and Table 7.3.1.2.2-7A] of Clause 7.3.1.2 of [5, TS 38.212], or  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 11, 24, 25, 26, 27, 28, 29, 30 or 66} in Table 7.3.1.2.2-8 and Table 7.3.1.2.2-8A] of Clause 7.3.1.2 of [5, TS 38.212], ~~or~~  the UE may assume that all the remaining orthogonal antenna ports of the CDM groups, ~~form~~from which the antenna ports are indicated to the UE, are not associated with transmission of PDSCH to another UE, or  ~~[~~- if a UE is scheduled with two codewords, ~~the UE may assume that all the remaining orthogonal antenna ports are not associated with transmission of PDSCH to another UE.]~~  the UE may assume that all the remaining orthogonal antenna ports are not associated with transmission of PDSCH to another UE.  For DM-RS configuration enhanced type 2,  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 20, 21, 22, 23 or 56} in Table 7.3.1.2.2-9 and Table 7.3.1.2.2-9A] of Clause 7.3.1.2 of [5, TS38.212], or  - if a UE is scheduled with one codeword and assigned with the antenna port mapping with indices of [{9, 10, 20, 21, 22, 23, 42, 43, 44, 45, 46, 47 or ~~137~~136} in Table 7.3.1.2.2-10 and in Table 7.3.1.2.2-10A] of Clause 7.3.1.2 of [5, TS 38.212], ~~or~~  the UE may assume that all the remaining orthogonal antenna ports of CDM groups, from which the antenna ports are indicated to the UE, are not associated with transmission of PDSCH to another UE, or  - if a UE is scheduled with two codewords, ~~the UE may assume that all the remaining orthogonal antenna ports are not associated with transmission of PDSCH to another UE.~~  the UE may assume that all the remaining orthogonal antenna ports are not associated with transmission of PDSCH to another UE.  The yellow part will be fixed in the latest 212 and can be updated here now. | # fixed  # fixed with some updates  # not sure what you intended here, some indent? I did not make changes as I think we are good for now.  #initially I thought it is not needed but perhaps not a bad clarification... implemented |
| **Editor, 06.09** | **Updated the CR to v02!** |  |
| vivo | Thanks Mihai for your ongoing efforts, please find vivo’s comments.  **Comment 1 (section 6.2.2)**  It has beendetermined in RRC parameter discussion thattheparent IE of *enhanced-dmrs-Type\_r18 is DMRS-UplinkConfig.* In other words, *enhanced-dmrs-Type\_r18* would not belong to *MsgA-DMRS-Config* for DMRS configuration of MsgA in TS 38.331.  It is not accurate to say that *For MsgA PUSCH transmission, the UE is not expected to be configured with the higher layer parameters [enhanced-dmrs-Type\_r18] set to ‘enabled*’. The RRC design has guarantee that there is no chance to configure *enhanced-dmrs-Type\_r18* for MsgA*.* Therefore, this sentence should be removed.  **Proposed changes (section 6.2.2)**  Remove the following sentence.  ~~For MsgA PUSCH transmission, the UE is not expected to be configured with the higher layer parameters [~~*~~enhanced-dmrs-Type\_r18~~*~~] set to ‘enabled’.~~  **Comment 2 (section 6.2.3)**  For 8Tx uplink transmission, even the actual scheduled layer is smaller than 4, the Rel-18 design for DMRS-PTRS association should still be used. Therefore, “if a UE is scheduled with two codewords” excludes the cases that the number of scheduled layers is smaller than 4 for 8Tx uplink transmission. It can be modified as “more than 4 layers is configured in *maxMIMO-Layers* [or *MaxMIMO-LayersDCI-0-2* in *PUSCH-ServingCellConfig]*” as what captured in the agreement as following.  Furthermore, the cases for one or two codewords scheduled should be described separately, since codeword 0 is the default codeword for DMRS-PTRS association when only one codeword is scheduled.  **Agreement (RAN1 114)**  For partial/non-coherent PUSCH, if 2 port PTRS is configured in *maxNrofPorts* in *PTRS-UplinkConfig*, and if more than 4 layers is configured in *maxMIMO-Layers* [or *MaxMIMO-LayersDCI-0-2* in *PUSCH-ServingCellConfig],*  - Alt.1: The size of PTRS-DMRS association field is 4-bit in DCI format 0\_1 [or DCI format 0\_2].  **Proposed changes (section 6.2.3)**  If larger than 4 layers is configured in *maxMIMO-Layers* [or *MaxMIMO-LayersDCI-0-2* in *PUSCH-ServingCellConfig]* fora UE ~~is~~ ~~scheduled with two codewords:~~,  - if the UE is configured with the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* set to 'n1', the PT-RS port is associated with the one of DM-RS ports indicated by DCI field *PTRS-DMRS association* for the codeword with the higher MCS if two codewords are scheduled. If the MCS indices of the two codewords are the same, the PT-RS antenna port is associated with codeword 0. When a codeword is scheduled to transmit PUSCH for retransmission, the MCS for determining PT-RS association to codeword is obtained from the DCI for the same transport block in the initial transmission. If one codeword is scheduled, the PT-RS antenna port is associated with codeword 0.  - if the UE is configured with the higher layer parameter *maxNrofPorts* in *PTRS-UplinkConfig* set to 'n2', each PT-RS port is associated with the one of DM-RS por**t**s indicated by DCI field PTRS-DMRS association. PUSCH antenna port 1000, 1001, 1004 and 1005 share PT-RS port 0, and PUSCH antenna port 1002, 1003, 1006 and 1007 share PT-RS port 1. |  |
|  |  |  |
|  |  |  |
|  |  |  |

### 3.4 SRS

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| **Editor, 06.09** | **SRS changes from Draft CR version 01 remain unchanged and are ported in v02 of the draft CR!** |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

### 3.5 8TX

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| **Editor, 06.09** | **8Tx changes from Draft CR version 01 remain unchanged and are ported in v02 of the draft CR!** |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

### 3.6 2TA

|  |  |  |
| --- | --- | --- |
| Company | Comments | Editor reply/Notes |
| **Editor, 06.09** | **2TA changes from Draft CR version 01 remain unchanged and are ported in v02 of the draft CR!** |  |
| Samsung | Thank you Mihai for the further discussion.  Regarding our second comment in round 1:  *We prefer to leave the QCL of PDCCH RAR for 38.213, as it is already described there for other use cases of the PDCCH order*  Your reply is:  *This could be discussed later, i.e., whether to reflect the agreed PDCCH RAR behaviour in 213 or keep it here.*  We don’t a need to postpone this. As the information is already there in 38.213, and it is always the case the control channel related QCL information/configuration is kept in 38.213. Having it in two places creates unnecessary redundancy. |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |