**3GPP TSG RAN WG1 #114** **R1-230xxxx**

**Toulouse, France, August 21st – 25th, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **DRAFT CHANGE REQUEST** | | | | | | | | |
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|  | **38.213** | **CR** |  | **rev** |  | **Current version:** | **17.6.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Introduction of support for enhanced reduced capability NR devices | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Samsung | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_redcap\_enh-Core | | | | |  | ***Date:*** | | | 2023-09-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | Introduction of support for enhanced reduced capability NR devices. | | | | | | | | |
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| ***Summary of change:*** | | Introduce support for enhanced reduced capability NR devices. | | | | | | | | |
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| ***Consequences if not approved:*** | | No support for enhanced reduced capability NR devices. | | | | | | | | |
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| ***Clauses affected:*** | | 17, 17.1, 17.1A (new clause) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **x** |  | Other core specifications | | | | TS 38.306, TS 38.321, TS 38.331 | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\* Unchanged parts are omitted \*\*\*

# 17 UE with reduced capabilities

A UE with reduced capabilities (RedCap UE) supports all Layer-1 UE features that are mandatory without capability signalling, unless stated otherwise. Procedures for a RedCap UE are same as described for a UE in all other clauses of this document unless stated otherwise.

## 17.1 First RedCap UE procedures

In this clause, the term 'UE' refers to a RedCap UE that indicates *supportOfRedCap* or *supportOfRedCap-r18*.

A UE expects the initial DL BWP and the active DL BWP after the UE (re)establishes dedicated RRC connection to be smaller than or equal to the maximum DL bandwidth that the UE supports. A UE can be provided a DL BWP by *initialDownlinkBWP-RedCap* in *DownlinkConfigCommonSIB*, and an UL BWP by *initialUplinkBWP-RedCap* in *UplinkConfigCommonSIB*. If *initialUplinkBWP* in *UplinkConfigCommonSIB* indicates an UL BWP that is larger than a maximum UL BWP that a UE supports, the UE expects to be provided an UL BWP by *initialUplinkBWP-RedCap* in *UplinkConfigCommonSIB* that is smaller than or equal to the maximum UL bandwidth that the UE supports.

For unpaired spectrum operation, a RedCap UE does not expect to receive a configuration where the center frequency for an initial DL BWP in which the UE is configured to monitor Type1-PDCCH CSS set, or a CSS set provided by *sdt-SearchSpace* for random-access based PUSCH transmission as described in clause 19.2, is different than the center frequency for an initial UL BWP in which the RedCap UE may transmit Msg1/Msg3 or MsgA.

A UE can be provided by *BWP-DownlinkDedicated* a DL BWP, other than the initial DL BWP. A UE can be provided by *BWP-UplinkDedicated* an UL BWP, other than the initial UL BWP, that is smaller than or equal to the maximum UL bandwidth that the UE supports.

If a UE is provided an UL BWP by *initialUplinkBWP-RedCap* in *UplinkConfigCommonSIB* and is provided *rach-ConfigCommon* or *msgA-ConfigCommon* in *BWP-UplinkCommon* for the UL BWP, the UE uses corresponding parameters to perform the procedures in clauses 8.1, 8.1A, and 8.3; otherwise, the UE uses corresponding parameters from *rach-ConfigCommon* or *msgA-ConfigCommon* in *BWP-UplinkCommon* for the UL BWP provided by *initialUplinkBWP*.

If a UE is provided *initialUplinkBWP-RedCap* in *UplinkConfigCommonSIB* and does not have dedicated PUCCH resource configuration, the UE transmits PUCCH with HARQ-ACK information as described in clause 9.2.1 using a PUCCH resource set provided by *pucch-ResourceCommonRedCap*, except that frequency hopping for the PUCCH transmission is disabled if *intra-SlotFH* is present in *PUCCH-ConfigCommon*. If frequency hopping of the PUCCH transmission is disabled then, for the PUCCH transmission, the UE determines the initial cyclic shift index in the set of initial cyclic shift indexes as and determines the PRB index as

- , if *intra-SlotFH* = '*fromLowerEdge*'

- , otherwise

where is provided by *additionalPRBOffset*, if provided; otherwise,

If a UE is not provided *initialUplinkBWP-RedCap* in *UplinkConfigCommonSIB* and does not have dedicated PUCCH resource configuration, the UE transmits PUCCH with HARQ-ACK information as described in clause 9.2.1 using a PUCCH resource set provided by *pucch-ResourceCommonRedCap* if *pucch-ResourceCommonRedCap* is present or by *pucch-ResourceCommon* if *pucch-ResourceCommonRedCap* is absent. For an initial DL BWP provided by *initialDownlinkBWP-RedCap* in *DownlinkConfigCommonSIB*, if a UE in RRC\_IDLE state or in RRC\_INACTIVE state monitors PDCCH according to Type1-PDCCH CSS set and does not monitor PDCCH according to Type2-PDCCH CSS set, the UE does not expect the initial DL BWP to include SS/PBCH blocks and the CORESET with index 0.

For an active DL BWP not provided by *BWP-DownlinkDedicated*, if a UE does not indicate a capability to operate in the active DL BWP without receiving an SS/PBCH block, the UE in RRC\_CONNECTED state assumes that the active DL BWP includes the SS/PBCH blocks that the UE used to obtain SIB1 and, for SS/PBCH block and CORESET multiplexing pattern 1, the CORESET with index 0.

For an active DL BWP provided by *BWP-DownlinkDedicated*, unless a UE indicates a capability to operate in the active DL BWP without receiving an SS/PBCH block, the UE in RRC\_CONNECTED state assumes that the active DL BWP includes the SS/PBCH blocks that the UE used to obtain SIB1 or the SS/PBCH blocks provided by *NonCellDefiningSSB*. If the active DL BWP includes the SS/PBCH blocks that the UE used to obtain SIB1, for SS/PBCH block and CORESET multiplexing pattern 1, the UE expects the active DL BWP to include the CORESET with index 0. If the active DL BWP includes the SS/PBCH blocks provided by *NonCellDefiningSSB*, these SS/PBCH blocks and the SS/PBCH blocks that the UE used to obtain SIB1 have the same QCL properties, if they have the same index*.*

For a RedCap UE indicated presence of SS/PBCH blocks within an active DL BWP by *NonCellDefiningSSB*, collision handling between downlink receptions or uplink transmissions and the SS/PBCH blocks are same as described for a UE indicated presence of SS/PBCH blocks by *ssb-PositionsInBurst* in *SIB1* or in *ServingCellConfigCommon* described in all other clauses, unless otherwise stated.

For monitoring of a PDCCH candidate by a UE configured with *NonCellDefiningSSB*, if the UE

- does not monitor PDCCH candidates in a Type0-PDCCH CSS set, and

- at least one RE for a PDCCH candidate overlaps with at least one RE of a candidate SS/PBCH block corresponding to a SS/PBCH block index provided by *NonCellDefiningSSB*,

the UE is not required to monitor the PDCCH candidate.

## 17.1A Second RedCap UE procedures

In this clause, the term 'UE' refers to a RedCap UE that indicates *supportOfRedCap-r18*.

A UE that has not indicated FG 48-2 does not expect to transmit a PUSCH over a bandwidth that is larger than 25 PRBs for 15 kHz SCS, or larger than 12 PRBs for 30 kHz SCS, per hop in a slot.

A UE that has not indicated FG 48-2 does not expect to process a PDSCH reception that is scheduled by a DCI format with CRC scrambled by a C-RNTI, CS-RNTI, or MCS-C-RNTI over a number of PRBs that is larger than 25 PRBs for 15 kHz SCS, or larger than 12 PRBs for 30 kHz SCS, in a slot.

A UE is not required to process a PDSCH reception that is scheduled by a DCI format with CRC scrambled by a TC-RNTI over a number of PRBs that is larger than 25 PRBs for 15 kHz SCS, or larger than 12 PRBs for 30 kHz SCS, in a slot.

A UE is not required to process a PDSCH reception in slot that is scheduled by a DCI format with CRC scrambled by a G-RNTI for broadcast over a number of PRBs that is larger than 25 PRBs for 15 kHz SCS, or larger than 12 PRBs for 30 kHz SCS, when the PDSCH reception is with repetitions or when the UE receives another PDSCH in slot .

When

- a UE receives a PDSCH scheduled by a DCI format with CRC scrambled by a RA-RNTI or a MsgB-RNTI over a number of PRBs that is larger than 25 PRBs for 15 kHz SCS or larger than 12 PRBs for 30 kHz SCS, and

- the PDSCH includes a RAR message with an RAR UL grant scheduling a Msg3 PUSCH transmission from the UE, as described in Clauses 8.2 and 8.2A

the UE transmits the Msg3 PUSCH if a time between the last symbol of a PDSCH reception conveying the RAR message and the first symbol of the Msg3 PUSCH transmission is not smaller than msec for 15 kHz SCS or msec for 30 kHz SCS where and are defined in clause 8.3; otherwise, the UE behaviour is based on UE implementation.

When

- a UE receives a PDSCH scheduled by a DCI format with CRC scrambled by a RA-RNTI or a MsgB-RNTI over a number of PRBs that is larger than 25 PRBs for 15 kHz SCS or larger than 12 PRBs for 30 kHz SCS, and

- the UE does not correctly receive the transport block provided by the PDSCH, or if the higher layers at the UE do not identify a RAPID associated with a corresponding PRACH transmission from the UE

the UE shall be ready to transmit a PRACH no later than msec for 15 kHz SCS, or no later than msec for 30 kHz SCS, after the last symbol of the PDSCH reception, or after the last symbol of the window as described in Clauses 8.2 and 8.2A.

When

- a UE receives a PDSCH scheduled by a DCI format with CRC scrambled by MsgB-RNTI over a number of PRBs that is larger than 25 PRBs for 15 kHz SCS or larger than 12 PRBs for 30 kHz SCS, and

- the PDSCH includes a RAR message that is for successRAR for the UE as described in Clause 8.2A

the UE transmits a PUCCH with HARQ-ACK information if a time between the last symbol of the PDSCH reception conveying the RAR message and the first symbol of the PUCCH transmission is not smaller than msec for 15 kHz SCS or msec for 30 kHz SCS; otherwise, the UE behaviour is based on UE implementation.