3GPP TSG-RAN WG1 Meeting #110bis-e R1-22nnnnn

e-Meeting, October 10th – 19th, 2022

Agenda Item: 9.1.1.2

Source: Moderator (Ericsson)

Title: Moderator Summary #2 on Two TAs for multi-DCI

Document for: Discussion & Decision

1 Introduction

During RAN#94e, a new WID for Rel-18 MIMO evolution for DL and UL was agreed [26]. The highlighted Part of objective 7 is relevant for this AI:

1. Study, and if justified, specify the following
   * Two TAs for UL multi-DCI for multi-TRP operation
   * *Power control for UL single DCI for multi-TRP operation where unified TCI framework extension in objective 2 is assumed.*

For the case of simultaneous UL transmission from multiple panels, the operation will only be limited to the objective 6 scenarios.

In this summary, proposals and views expressed on the proposals are summarized.

# 2 Association between TAs and UL channels/signals

The following feedback was received related to association between TAs and UL channels/signals in the first round by companies:

FL’s reply to each comment by company is provided with below:

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| **Company Name** | **Comments** |
| Huawei, Hisilicon | For Alt-1, the design is simple and flexible to be extended to other cases like L1/L2 mobility. The only drawback is that it cannot be used for FR1 under legacy TCI framework.  For Alt-2, we have strong concern.   * Firstly, as listed by the FL, it requires huge spec impact as there are many types of UL channel/RS and the principle for the association between each type of channel/RS and CORESETPoolIndex can be different. We need spend plenty of time to discuss them case by case, and it will introduce a lot of spec change. * In addition, it can be only used in mDCI MTRP case and cannot be extended for other cases like sDCI MTRP and L1/L2 mobility. As L1/L2 mobility is being discussed in parallel in Rel-18, it is better to unified the design as much as possible to minimize the standardization effort.   For Alt-3, to make it more clear, we suggest the following update.   * *Alt 3: Associate TAG to SSB group. For a UL transmission, UE adopts the TAG associated with the SSB group that*   + *PL RS of the UL transmission belongs to, if the PL RS is an SSB*   + *QCL source SSB of the PL RS belongs to, if the PL RS is a CSI-RS*   [Moderator] Revised Alt 3 according to suggestion with slight rewording. Note that this modified Alt-3 assumes that we introduce SSB groups and associate each group with a TAG. This is just one of the options in Proposal 8. So I added “if such an association is agreed in agenda 9.1.1.2” in the modified Alt 3.  The advantage of Alt-3 over other alternatives at least includes the following:   * Firstly, the spec impact is small, which only includes:   1) SSB of each TRP are configured in an SSB group/list and each SSB group/list is associated with a TAG;  2) UE determines TA of a UL transmission based on the PL RS of the UL transmission as given above.   * In addition, as PL RS is adopted for any UL transmission, such principle can be applied for any cases, including mDCI MTRP, sDCI MTRP and L1/L2 mobility under either FR1 or FR2.   **Hence, we support Alt-3. We are also ok with combination of Alt-1 + Alt-3 with Alt-1 for FR2 and Alt-3 for FR1.**  [Moderator] Added Alt-1 (for FR2) + Alt-3 (for FR1) as a new alternative (Alt 4) |
| Google | Support Alt 1. Also OK with Alt 3 revised by HW. Regarding Alt 2, we share similar concerns as HW and other companies that Alt 2 may not be extended to use cases other than M-DCI M-TRP. |
| QC | Support Alt2 in principle, but the formulation is not accurate / proper. For example, for CG, it depends on whether it is Type 1 or Type 2 (for Type2, the legacy association based on activation DCI can be used). Also, for SRS, a configuration is needed only for P/SP (legacy rule can be used for AP). Hence, we suggest the following formulation for Alt2:   * *Alt 2: Associate TAG to CORESETPoolIndex*   + *for dynamically scheduled/activated channels/signals ~~PUSCH/PUCCH~~, TAG associated with the CORESET pool index of the CORESET carrying the scheduling PDCCH is utilized for UL transmission*   + *for P/SP channels / signals (not scheduled or activated by DCI), coresetPoolIndex is RRC-configured.*   + *~~for CG PUSCH, configure CORESET pool index in CG configuration, and the TAG associated with the configured CORESET pool index is utilized for UL transmission~~*   + *~~for periodic/semi-persistent PUCCH, configure CORESET pool index per PUCCH resource, and the TAG associated with the CORESET pool index is utilized for UL transmission~~*   + *~~for SRS, configure CORESET pool index per SRS resource, and the TAG associated with the configured CORESET pool index is utilized~~*   [Moderator] Ok. Alt 2 is now revised.  Please also see some additional comments:   * The spec impact of Alt2 is limited to RRC configuration of coresetPoolIndex for P/SP channels / signals. It is not reasonable to say it requires “huge spec impact”, especially considering the procedural spec impact required for Alt1 or Alt3. * We already agreed that the focus in this AI is multi-DCI and we are not aiming to specify enhancements for other scenarios, and that discussion does not belong to AI 9.1.1.2“Note: Whether two TA enhancement is applicable to other schemes is a separate discussion, which is not in the scope of AI 9.1.1.2.” * Alt1 does not works for FR1 w/o unified TCI. It basically requires three different frameworks: unified TCI, Spatial relation, FR1 w/o unified TCI * Alt3 requires either to specify association for each possible DL-RS or to specify multi-chain relationship (if SSBs are divided into two groups) since PL-RS may be CSI-RS and the QCL of the CSI-RS may be TRS, etc. These complications are completely unnecessary for multi-DCI. For other use cases, whether such mechanisms for association are needed or not can be discussed in other AIs such as mobility for the corresponding use cases, but we do not need to spend time on those in this AI as explicitly agreed before. |
| NEC | Support Alt 1 as the baseline solution. If TCI state/spatial relation is not explicitly configured/indicated for UL transmission, additional rules (e.g., default rules from previous releases, or Alt 3) apply. |
| Lenovo | Support updated Alt 2 from QC. And the association between CORESETPoolIndex value and UL channels/signals are discussed on AI9.1.1.1 where we can just reuse the design on that AI. The spec work is always needed on AI9.1.1.1 but not increase the spec work on this AI. |
| MediaTek | We still have concern on Alt2, which can be used only for M-DCI based MTRP. Alt1 is our first preference, however, we are also fine with Alt3 with HW’s modification. |
| OPPO | Support Alt.2 of the FL proposal in principle.  One feeling on Alt.2 is that we don’t need to split UL channels/signals in this level of details. The classification in QC’s update seems more compact and accurate. Specifically, the SRS can be classified into two sets, i.e. P/SP SRS via RRC/MAC CE and AP SRS triggered by DCI.  [Moderator] Alt 2 revised according to Qualcomm comment  In our reading, how to associate the Type 1 CG PUSCH, SRS resource or resource set /PUCCH resource or resource group with TAG ID can be up to RAN2. In RAN1, what should be done is to determine such basic principle at first. |
| ZTE | Support Alt 2.  *CORESETPoolIndex* is broadly used in the current specification to identify TRP ID in both intra-cell MDCI MTRP and inter-cell MDCI MTRP scenarios so far, it provides very clear association between scheduling DCI and DL/UL signals. Regarding the case of Type 1 CG PUSCH, P/SP PUCCH and SRS, it should be noted that ongoing discussion in AI 9.1.4.1 is involved in, it can be referred to this part later to avoid duplicate discussion.   * *Alt 2: Associate TAG to CORESETPoolIndex*   + *for dynamically scheduled PUSCH/PUCCH, TAG associated with the CORESET pool index of the CORESET carrying the scheduling PDCCH is utilized for UL transmission*   + *FFS: Associate TAG to CORESETPoolIndex for CG PUSCH, P/SP PUCCH, SRS*   [Moderator] I think the current Alt 2 (with revision suggested by QC) is more complete without FFSs. |
| vivo | We share similar views with QC. Support alt2.  Alt 1 requires two different frameworks for Rel-15/16 spatial relation info and TCI state in Rel-17. In addition, it is not feasible for FR1 for spatial relation info is not configured. DL RS group shall be configured for each TRP in Alt 3, which overturns the mTRP framework designed in Rel-16. There could be potential spec impact on Rel-16 mTRP design which is not foreseen now with the newly introduced DL-RS group. Spec impact with alt2 is only about RRC configurations. |
| InterDigital | OK for further down-selection among the three revised alternatives |
| Huawei, Hisilicon  (2nd) | For Alt 2, here are some further concerns from our side.   * We don’t think it is reasonable to explicitly bundle every UL channel/RS to a TRP by CORESETPoolIndex. For example, regarding PUCCH for SR/CSI, it can be transmitted to either TRP with relatively better quality. However, if it is configured to be associated with a CORESETPoolIndex, the only way to switch its target TRP is by RRC reconfiguration which is quite low in efficiency. * For some channel/RS, like SP SRS/PUCCH, under legacy TCI framework, when gNB wants to switch their target TRP, gNB can update their spatial relation and PL RS by MAC-CE with latency of 3 ms. However, to update the TA to the other TRP, gNB need to send RRC reconfiguration signal to update the associated CORESETPoolIndex which cause larger latency. So there exists a period of time during which the spatial relation /PL RS are switched to the other TRP but TA is not, and hence the transmission of the channel/RS is problematic. While, Alt-1 and Alt-3 don’t have such issue as TA is determined by spatial relation/PL RS and hence update of spatial relation/PL RS and TA are always aligned. * The spec impact of Alt 2 doesn’t only lie in RRC configuration. For example, regarding SRS for ‘antenna switch’ and ‘beam management’, how to associate them to CORESETPoolIndex is unclear. Unlike CB/NCB SRS which support per-TRP configuration, per-TRP configuration is not supported for SRS for ‘antenna switch’ and ‘beam management’. Do we need to firstly study per-TRP configuration of these two types of SRS? In addition, in MTRP BFR, two PUCCH SR can be configured with each PUCCH SR associated with a CORESETPoolIndex/TRP. when a TRP fail, the PUCCH SR associated with the failed TRP/CORESETPoolIndex is transmitted. According to Alt-2, the PUCCH SR will adopt TA of the failed TRP/CORESETPoolIndex. However, the PUCCH SR should be transmitted to the other TRP as the TRP associated with the PUCCH SR is already failed. In addition to the above two cases, there are also other issues that need to be considered if Alt-2 is adopted. That why we say the spec impact of Alt-2 is huge. |
| NTT DOCOMO | Support Alt.2 in principle. However, in our understanding,   * + - for dynamic scheduled PUCCH, it is also beneficial that CORESETPoolIndex is per PUCCH resource. A reason is considering joint ACK/NACK feedback mode, a DCI can indicate a PUCCH transmission to either of the TRPs for joint ACK/NACK feedback. Another reason is we can have a unified design for semi-static and dynamic PUCCH which has lower spec impact.     - for dynamic SRS, we think the CORESETPoolIndex should be per SRS resource. The reason is a DCI may trigger multiple SRS resources which can be transmitted to different TRPs.   Thus, we prefer following modification based on FL proposal.   * *Alt 2: Associate TAG to CORESETPoolIndex*   + *for dynamically scheduled PUSCH~~/PUCCH~~, TAG associated with the CORESET pool index of the CORESET carrying the scheduling PDCCH is utilized for UL transmission*   + *for CG PUSCH, configure CORESET pool index in CG configuration, and the TAG associated with the configured CORESET pool index is utilized for UL transmission*   + *for ~~periodic/semi-persistent~~ PUCCH, configure CORESET pool index per PUCCH resource, and the TAG associated with the CORESET pool index is utilized for UL transmission*   + *for SRS, configure CORESET pool index per SRS resource, and the TAG associated with the configured CORESET pool index is utilized*   [Moderator] Isn’t association rule for dynamic PUCCH is already clear in Rel-16? Then, do we need to configure CORESETPoolIndex for dynamic PUCCH? Alt 2 now revised as per Qualcomm comment. |
| Apple | Prefer modified Alt.2 by Qualcomm.    In our opinion, it is quite straightforward to associate TAG with a corresponding TRP for UL transmission. Even Alt.1, it essentially first divides the TCI states into two groups and then associate them with TAG(s) based on the TRP they associated with. Why not directly to associate TAG with TRP i.e., CORESETpoolindex?  [Moderator] Alt 2 now revised as per Qualcomm comment.  Regarding the spec impact, Alt.2 just needs to add ‘CORESETpoolindex’ value into TAG configuration ASN.1 IE, where the ‘huge’ complexity comes from? In our view, Alt.1 and Alt.2 are comparable from spec impact perspective and fairly a simple change. |
| Sharp | Support Alt 2. In our view, Alt 2 has good compatibility with legacy TRP indication for mDCI based MTRP by using CORESET pool index. Furthermore, we are fine with the QC’s proposal.  [Moderator] Alt2 revised according to Qualcomm comment. |
| LGE | In general, we are ok to merge option 2 and 4 into alt2. However, we have concern on configuring CORESETPoolIndex to UL channels since CORESET pool is mainly for DL operation, and more importantly, it is not forward-compatible (e.g., extending two TA for S-DCI mTRP in later releases). We would be ok to modify QC’s version as below:   * *Alt 2: Associate TAG to CORESETPoolIndex*   + *for dynamically scheduled/activated channels/signals ~~PUSCH/PUCCH~~, TAG associated with the CORESET pool index of the CORESET carrying the scheduling PDCCH is utilized for UL transmission*   + *for P/SP UL channels / signals (not scheduled or activated by DCI), ~~coresetPoolIndex~~TAG ID is RRC-configured.*   [Moderator] This is a new alternative. I’ve added this as Alt 5 in the proposal. |
| QC (2) | We have the following response to Huawei:   * Regarding “the only way to switch its target TRP is by RRC reconfiguration” for SR / CSI: That is not the case. Network can configure multiple PUCCH resources for SR / CSI, and just like Rel-16, transmission toward any TRP can be done using the corresponding resource. * Regarding updating spatial relation and PL-RS by MAC-CE for SP channels / signals, we do not think TRP switching is the intended mode of operation for multi-DCI (instead of TRP switching, we have “multi-TRP” operation). This is not DPS (dynamic point selection). Network can still change PL-RS due to a change of beam within one coresetPoolIndex value, but this does not mean that TA also needs to change. This would have been a good argument for Rel-15 DPS or single-DCI mTRP, but unfortunately, those are not in scope. * Regarding SRS for ‘antenna switch’ and ‘beam management’, there is no need to study any per-TRP configuration for the purpose of two TAs other than the RRC configuration discussed before. Remember that even for CB/NCB PUSCH, we only have one SRS resource set in Rel-16 for TDM multi-DCI. Hence, additional spec impact you listed are not related to TA enhancement. For the purpose of TA enhancement, all that is needed is RRC configuration. This does not change existing restrictions (whether Rel-16, Rel-17, or Rel-18) wrt other enhancements.   Also, for Alt1 and Alt3, here are additional concerns from our side (in addition to spec impact mentioned before):  In Rel-16, we have multiple rules that rely on “coresetPoolIndex” to differentiate TRP. For UL, some examples are DCI-PUSCH out-of-order, PDSCH-PUCCH out-of-order, separate HARQ-Ack codebook generation, TDM restrictions for PUCCH/PUSCH associated with different coresetPoolIndex values, etc. Now, if we have Alt1 or Alt3, it means that a PUSCH/PUCCH associated with the same coresetPoolIndex value may be now associated with different TAGs (are transmitted toward different TRPs). Does it mean that all these rules need to be revisited? If not, what defines multi-DCI based mTRP is no longer operational, because for those rules we follow one notion of “TRP differentiation” but at the same time, from TA perspective, the actual TRP is different. For example, we allow out-of-order operation even though both transmissions are toward the same TRP, or we do not allow separate feedback even though they are toward different TRPs. |
| Intel | Alt-1, in Alt-2 we are not sure how DCI from CORESETPoolIndex-1 can schedule uplink transmission for the other TRP (CORESETPoolIndex-2) ? this seems a quite fundamental feature that provides gNB scheduling flexibility |
| Xiaomi | Support Alt.2. Alt.1 cannot be used in FR1 since spatial relation does not exist for FR1. We are also OK with updated Alt.2 from QC.  [Moderator] Alt 2 revised a per QC comment. |
| Samsung | Prefer Alt1. Associating a beam with a TCI state or spatial relation seems to a nature design option as beams can have different propagation delays and hence require different TAs. |
| CATT | We prefer both Alt1 & Alt2. For the concerns that Alt1 can’t be applied to FR1 where spatial relation info for uplink transmission is not configured. A possible solution is to associate TAG to the TCI-state of the PDCCH that dynamically schedules uplink transmission. |
| Ericsson | We prefer Alt1. Just like LG, we feel that the configuration of CORESETPoolIdx in multiple UL channels is not logical, since CORESETs are not related to UL channels/signals.  To make Alt1 clearer, we propose the following modification:   * *Alt 1: Associate TAG to TCI-state/spatial relation*   + *Configure TAG ID as part of UL/ TCI state or spatial relation*   + *for UL transmission, the TAG ID associated with the UL/ TCI state or spatial relation is utilized*   [Moderator] Modified Alt 1.  Note that this works for all cases:   * With the R15 TCI framework in FR1: in the TCI state * With the R15 TCI framework in FR2: in the spatial relation * With the R17 TCI framework in FR1: in the TCI state * With the R17 TCI framework in FR2 with joint TCI: in the TCI state * With the R17 TCI framework in FR2 with separate TCI: in the UL TCI state   So it is clear that Alt1 works for all cases.  We could also be OK to explore an option to directly associate UL channels/signals with TAGs, just as in LGs subbullet 2: so each SRS and PUCCH resource could contain a TAG. |
| Nokia/NSB | We are fine with both Alt.2 and Alt.1, and we are also fine with the suggested updates by QC regarding Alt.2. In addition, as explained below, “configure TAG ID as part of UL/joint TCI state or spatial relation” is not the only way to achieve the association between TCI state or spatial relation info and a TAG.  In the following, we provide some observations regarding Atl.1 and Alt.2 (which could also be found in our Tdoc R1-2210062):   * Alt.1 would require defining and specifying association to a TAG considering the Rel-18/Rel-17 unified TCI framework as well as the existing spatial relation framework. This could be achieved by (i) either defining a direct association of a TAG to a TCI state or spatial relation, or (ii) by associating a TAG to a set of DL RSs, i.e., SSBs/CSI-RSs, where this association is used to determine the association of TAG to TCI state or spatial relation. * Alt.2 would already work for the PUSCH/PUCCH/SRS with a corresponding PDCCH, as in this case the association to a CORESETPoolIndex can be obtained through the PDCCH scheduling the PUSCH/PUCCH/SRS. For configured UL transmissions, an association of periodic and maybe some semi-persistent UL resources to CORESETPoolIndex would be needed. On the other hand, Alt.2 may result is some scheduling flexibility limitation since, in addition to the fact that CORESETPoolIndex has been so far defined for DL only, using CORESETPoolIndex for UL would not really allow scheduling from one TRP an UL transmission towards another TRP. However, this should not be a problem especially given that the focus is on the multi-DCI mode, since this mode is typically more suitable for non-ideal backhaul between the TRPs which suggests a more independent operation of the TRPs. |

*FL Comment:* I’ve revised the alternatives as per comments received above. There were a few other suggested alternatives which I have added as Alts 4-6.

## *Proposal 3 – Rev3*

*For associating TAGs to target UL channels/signals for multi-DCI based multi-TRP operation, downselect one of the Alts in RAN1#110bis-e:*

* *Alt 1: Associate TAG to TCI-state/spatial relation*
  + *Configure TAG ID as part of UL/joint TCI state or spatial relation*
  + *for UL transmission, the TAG ID associated with the UL/joint TCI state or spatial relation is utilized*
* *Alt 2: Associate TAG to CORESETPoolIndex*
  + *for dynamically scheduled/activated PUSCH, TAG associated with the CORESET pool index of the CORESET carrying the scheduling/activating PDCCH is utilized for UL transmission*
  + *for Type 1 CG, P/SP-SRS, and P/SP-PUCCH, coresetPoolIndex is RRC-configured.*
  + *FFS: Other signals/channels: AP-SRS, and dynamic HAR-ACK*
* *Alt 3: Associate TAG to SSB group (if such an association is agreed in agenda 9.1.1.2). For a UL transmission, UE adopts the TAG associated with the SSB group such that*
  + *if the PL RS is an SSB, then the UE adopts the TAG associated with the SSB group which the PL RS of the UL transmission belongs to*
  + *if the PL RS is a CSI-RS, then the UE adopts the TAG associated with the SSB group which the QCL source SSB of the PL RS belongs to*
* *Alt 4: Alt 1 for FR2 and Alt 3 for FR1*
* Alt 5: *TAG association performed as follows:*
  + *for dynamically scheduled/activated channels/signals, TAG associated with the CORESET pool index of the CORESET carrying the scheduling PDCCH is utilized for UL transmission*
  + *for P/SP UL channels / signals (not scheduled or activated by DCI), TAG ID is RRC-configured.*
* Alt 6: *TAG association performed as follows:*
  + *for all UL channels / signals, TAG ID is RRC-configured.*

*Please provide your input on Proposal 3 – Rev2 below:*

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| **Company Name** | **Comments** |
| Moderator | The current Situation is summarized below:  Alt 1 Support **(9)**: Google, NEC, Intel, Nokia/NSB, Samsung, CATT, Ericsson, MTK, InterDigital  Alt 1 Concern **(7)**: Qualcomm, vivo, Xiaomi, Docomo, OPPO, Lenovo, Spreadtrum  Alt 2 Support **(12)**: Qualcomm, OPPO, ZTE, vivo, Apple, Nokia/NSB, Xiaomi, CATT, Lenovo, InterDigital, Sharp, Spreadtrum, Docomo  Alt 2 Concern **(6)**: Huawei/HiSilicon, Google, Intel, MTK, Futurewei, Samsung, Ericsson  Alt 3 Support **(3)**: Huawei/HiSilicon, Google, Futurewei  Alt 3 Concern **(5)**: Qualcomm, vivo, Docomo, OPPO, Lenovo  Alt 4 Support **(3)**: Huawei/HiSilicon, MTK, Futurewei  Alt 4 Concern **(3)**: Docomo, OPPO, Lenovo, Qualcomm  Alt 5 Support **(2)**: LGE, OPPO  Alt 5 Concern **(2)**: MTK, Lenovo, Samsung  Alt 6 Support **(1)**: Ericsson  Alt 6 Concern **(2)**: Lenovo, MTK, Qualcomm, Docomo  **Important: Please update your support/concerns with one or more alternatives in the list above. In case of concern with an alternative, please provide your reasoning so that the proponent of that alternative can try to address your concern. Note that we cannot leave too many alternatives on the table. I plan to remove those alternatives that lack support eventually.** |

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| NTT Docomo | Concern on Alt.1: it is not applicable when unified TCI or spatial relation is not provided for an UL transmission  Concern on Alt.2: we support to associate TAG with CORESETPoolIndex. However, we have different view for AP SRS and dynamic PUCCH. For AP SRS, a DCI may trigger multiple SRS resources which are transmitted to different TRPs (e.g., when two SRS resources in a CB SRS resource set are transmitted to different TRP, or when a DCI triggers multiple SRS resource sets and different SRS resource sets are transmitted to different TRP). Thus, we think for AP SRS, the CORESETPoolIndex should be configured per SRS resource. For dynamic scheduled PUCCH, a DCI can indicate a PUCCH transmission to either of the TRPs especially considering joint ACK/NACK feedback mode. Thus, we think for dynamic PUCCH, the CORESETPoolIndex should be configured per PUCCH resource.  Concern on Alt.3: it is not applicable in some cases where default PL RS is used for UL transmission.  Concern on Alt.4: no need to have different Alt for FR1/2  Concern on Alt.5: similar as Alt.2. we think TAG should be per SRS/PUCCH resource for AP SRS and dynamic PUCCH.  Thus, we prefer a revised Alt.2   * *revised Alt 2: Associate TAG to CORESETPoolIndex*   + *for dynamically scheduled PUSCH, TAG associated with the CORESET pool index of the CORESET carrying the scheduling PDCCH is utilized for UL transmission*   + *for CG PUSCH, configure CORESET pool index in CG configuration, and the TAG associated with the configured CORESET pool index is utilized for UL transmission*   + *for PUCCH, configure CORESET pool index per PUCCH resource, and the TAG associated with the CORESET pool index is utilized for UL transmission*   + *for SRS, configure CORESET pool index per SRS resource, and the TAG associated with the configured CORESET pool index is utilized*   [Moderator] Please see Qualcomm’s suggested change to Alt 2. Hopefully, it addresses your concern. |
| Huawei, Hisilicon | Thanks QC and other companies for clarification on Alt 2. However, we still have concerns as below.   * We still don’t think it is reasonable to tightly bundle every channel/RS to CORESETPoolIndex, which is too inflexible. For some channels/RS, it can be transmitted to either TRP according the quality of the two TRPs. Here are two examples: * **PUCCH for CSI**: one PUCCH can be used for CSI feedback for either TRP. It is not reasonable that the gNB has to configure two PUCCH for CSI with each for one TRP. * **PUCCH for A/N**: when joint HARQ codebook is configured, A/N of both TRPs are reported by one PUCCH. Such PUCCH can be transmitted to either TRP with better quality. * We still think the spec impact of Alt 2 is larger than Alt 1 and 3. The biggest problem of Alt 2 in spec impact is that we need to specify the principle between each type of cahnnel/RS and CORESETPoolIndex case by case, because there are many cases in which the principle can be different. In addition to the ones listed in current Alt 2, there are still some other corner cases that have to be considered separated. Here are some examples: * **PUCCH for A/N**: as given above, one PUCCH is used to feedback A/N of the two TRP when joint HARQ codebook is configured. How to determine the TA of such PUCCH should be a special case of Alt 2. * **PUCCH for BFR**: When a TRP fails, the PUCCH associated with the TRP should be transmitted according to current spec. According to Alt 2, TA of the failed TA should be used. However, the PUCCH should be transmitted to the non-failed TRP using the TA of the non-failed TRP. This is another special case of Alt 2. * Above are two typical corner cases that we need to consider separately. We believe there are still other cases that I didn’t find out. Companies are encouraged to think more about these cases that current Alt 2 cannot work for, as anyway we need fix all of these issues if Alt 2 is finally support.   For Alt 3, regarding the comments from companies, here are some clarifications.   * To QC’s comments: “if we have Alt1 or Alt3, it means that a PUSCH/PUCCH associated with the same coresetPoolIndex value may be now associated with different TAGs”: I think this would never happen. The PUSCH/PUCCH associated with the same coresetPoolIndex is associated with the same TRP, and hence associated with the same SSB group, and hence associated to the same TAG, as TRP-SSB group-TAG are one-to-one mapped. * To vivo’s comments: “There could be potential spec impact on Rel-16 mTRP design which is not foreseen now with the newly introduced DL-RS group”: In Alt 3, only SSB grouping is needed. We don’t need to grouping every DL-RS. For SSB grouping, please note that, even in Rel-16, SSB of the cell are allocated to two TRPs. In other words, SSB grouping has already been realized based on implementation. The only step forward in R18 is configuring this information to the UE for the UE to differiate TRP based on SSB. So, I don’t think this will introduce impact to current MTRP framework. * To DCM’s comments: “it is not applicable in some cases where default PL RS is used for UL transmission”: no matter the PL RS is explicit indicated or derived by default, anyway the UE can get a PL RS for the UL transmission, and then use the PL RS to determine the TAG according to Alt 3. So, it is applicable in cases where default PL RS is used.   *For the updated Alt 3, the last sentence of the main bullet seems redundant. So, suggest to remove it.*   * *Alt 3: Associate TAG to SSB group (if such an association is agreed in agenda 9.1.1.2). For a UL transmission,*    + *if the PL RS is an SSB, then the UE adopts the TAG associated with the SSB group which the PL RS of the UL transmission belongs to*   + *if the PL RS is a CSI-RS, then the UE adopts the TAG associated with the SSB group which the QCL source SSB of the PL RS belongs to*   **I’d like to emphasize that Alt 3 is a clean principle that can be used for all use cases including SDCI MTRP, MDCI MTRP, L1/L2 mobility under both FR1 and FR2.** |
| QC | Alt6 is not complete and it should be removed (it does not even mention DG).  [Moderator] Please see change to Alt6 suggested by Ericsson  On Alt1 / 3 / 4, we still have multiple concerns as explained the details before, summarizing them here again (given that we did not see a reply for some of the concerns, and some of the replies did not ready address the issue):   * Alt1 does not works for FR1 w/o unified TCI. It basically requires three different frameworks: unified TCI, Spatial relation, FR1 w/o unified TCI * Alt3 requires either to specify association for each possible DL-RS or to specify multi-chain relationship (if SSBs are divided into two groups) since PL-RS may be CSI-RS and the QCL of the CSI-RS may be TRS, etc. These complications are completely unnecessary for multi-DCI. For other use cases, whether such mechanisms for association are needed or not can be discussed in other AIs such as mobility for the corresponding use cases, but we do not need to spend time on those in this AI as explicitly agreed before. * In Rel-16, we have multiple rules that rely on “coresetPoolIndex” to differentiate TRP. For UL, some examples are DCI-PUSCH out-of-order, PDSCH-PUCCH out-of-order, separate HARQ-Ack codebook generation, TDM restrictions for PUCCH/PUSCH associated with different coresetPoolIndex values, etc. Now, if we have Alt1 / Alt3 / Alt4, it means that a PUSCH/PUCCH associated with the same coresetPoolIndex value may be now associated with different TAGs (are transmitted toward different TRPs). Does it mean that all these rules need to be revisited? If not, what defines multi-DCI based mTRP is no longer operational, because for those rules we follow one notion of “TRP differentiation” but at the same time, from TA perspective, the actual TRP is different. For example, we allow out-of-order operation even though both transmissions are toward the same TRP, or we do not allow separate feedback even though they are toward different TRPs.   On Alt5, it is equivalent to Alt2 from the point of this AI (no difference in functionality). However, configuration of coresetPoolIndex value can be potentially beneficial for other sub-agenda’s as well such as unified TCI extension to multi-DCI based mTRP or for STxMP for multi-DCI. But if we configure TAG-ID, such potential unification is lost for no good reason. Just to clarify, we are not saying Option 2 automatically results in reusing this for other sub-agenda, but it allows the possibility to reuse the RRC configuration if they choose to do so (especially because it should not make a difference for this sub-agenda).  @Huawei: Thank you for the follow-up. Please see some further comments below:   * Based on your comment “To QC’s comments: “if we have Alt1 or Alt3, it means that a PUSCH/PUCCH associated with the same coresetPoolIndex value may be now associated with different TAGs”: I think this would never happen.”, do I understand it correctly that you are ok with Alt2 for dynamic signals / channels? If this never happens, then it is equivalent to associating it with CORESETPoolIndex value, no? Also, I assume “never happens” requires exact specification language on the conditions that this is not expected. W/o such conditions, Alt1/3/4 may result in all these issues, unfortunately. * Regarding “one PUCCH can be used for CSI feedback for either TRP. It is not reasonable that the gNB has to configure two PUCCH for CSI with each for one TRP.”, I assume you are referring to P/SP-CSI based on the previous round of discussions (for AP-CSI, DCI can dynamically trigger AP-CSI on PUSCH). Then, w/o CSI for each TRP, how PDSCH scheduling from both TRPs can be enabled?   To address the DCM and HW concerns, we suggest the following (even though we prefer the original Alt2 in Rev2, it is ok to further discuss AP-SRS and dynamic A/N)   * *revised Alt 2: Associate TAG to CORESETPoolIndex*   + *for dynamically scheduled/activated PUSCH, TAG associated with the CORESET pool index of the CORESET carrying the scheduling/activating PDCCH is utilized for UL transmission*   + *for Type 1 CG, P/SP-SRS, and P/SP-PUCCH, coresetPoolIndex is RRC-configured.*   + *FFS: Other signals / channels: AP- SRS, and dynamic HARQ-Ack*   [Moderator] Changed Alt 2 according to this. |
| LGE | We are not talking about a new option of Alt 5, it was agreed Option 4 which was merged into Option 2. The only difference is to use TAG ID instead of CORESETPoolIndex, which is more future-proof solution to cover both mDCI case and non-mDCI case. In the first bullet of Alt 2, TAG ID would be associated with each CORESET pool index. Similarly, in the second bullet of Alt 2, TAG ID would be associated(RRC-configured) with P/SP UL channels/signals. Then, it is technically same as the current Alt 2 and more forward compatible solution.  [Moderator] Since this was agreed as a different option, let’s keep it as a different Alt since this is a bit different from Alt 2. |
| OPPO | Update our support and concern in moderator’s column.  On Alt.5, in our reading, the difference from Alt.2 is how to handle the P/SP UL channels/signals. Alt.2 applies *CORESETPoolIndex* via RRC configuration, whereas Alt.5 uses TAG ID for the same purpose from RAN1 perspective. Hence, we support both Alt.2 and Alt.5 and fine to leave the RRC signaling to RAN2.  On Alt.1, the cons are very clear that for legacy UL channels/signals, there is absence of support of spatial relation at FR1. The association between TAG ID and spatial relation cannot be done. Moreover, if TAG were associated with TCI state, we have to put further restriction to make sure all the TCI states for the same TRP are configured with the same TAG ID, otherwise, UE may send UL channels/signals to the same TRP with different TAs.  On Alt. 3 and Alt.4, first SSB grouping itself would put restrictions on NW DL coverage. Second, if PL RS is a CSI-RS, then UE has to trace back its QCL source for determining the corresponding TAG. For associating TAG and UL channel/signals, it seems the design goes too far, while simple solutions are at hand. |
| Lenovo | Concern on Alt1: it is not applicable when unified TCI or spatial relation is not provided for an UL transmission.  Concern on Alt3: Similar view with QC that Alt3 requires either to specify association for each possible DL-RS or to specify multi-chain relationship.  Concern on Alt4: no need to have different Alt for FR1/2。  Concern on Alt5: Similar view with QC. Although it is equivalent to Alt2 from the point of this AI (no difference in functionality), however, the association between coresetPoolIndex value and UL channels/signals on M-DCI based M-TRP is discussed on other AI which can be just reused on this AI. But if we configure TAG-ID, such potential unification is lost for no good reason.  Concern on Alt6: Not a complete solution.  [Moderator]: See Ericsson’s revision to Alt6  We support the revised Alt2 from Docomo.  [Moderator] Alt2 now revised according to Qualcomm suggestion. |
| CATT | We prefer Alt1 & Alt2.  For Alt3, SSB grouping is introduced to distinguish TRP/Cell. For inter-cell Multi-TRP, it can be applied with the additional PCI associated with each SSB to distinguish TRP specific uplink transmission. For intra-cell Multi-TRP, only SSB grouping is not enough to distinguish TRP-specific uplink transmissions since all SSBs have the same PCI. Association between SSB grouping and specific TRP is further needed on basis of the current spec, which might bring some restriction on the SSB transmission.  For Alt4, we share similar view as NTT DoCoMo, there seems no need to have different solutions for FR1 and FR2.  For Alt5 and Alt6, according to our understanding, Alt 5 is the signaling of Alt2 and Alt 6 is the signaling of Alt1. If so, it can be further discussed after the association solution is determined. |
| MediaTek | Our preference is updated. |
| InterDigital | Our views are updated in the above list by FL. For the current formulation, we are okay with either Alt 1 or Alt 2. |
| Futurewei | Add our supports/concerns in the list.  Regarding Alt. 2, we share similar concerns raised by other companies, especially the one by Huawei/Hisilicon on the PUCCH BFR case. In Rel. 17, when a TRP link fails, the PUCCH associated with the failed TRP link is used to transmit the scheduling request to the working TRP. However, according to Alt. 2, the PUCCH associated with the failed TRP link is associated/configured with a CORESETPoolIndex which is then associated to a TAG. In that case, the PUCCH carrying the scheduling request will be transmitted with a TA associated with the failed TRP link, and the PUCCH most likely will not be received successfully by the working TRP. And the consequence is BFR failure.  Another concern we have on Alt. 2 is its lack of capability to support inter-cell scenarios. In order to support inter-cell scenario, one of the CORESETPoolIndex need to be associated with additional PCI. In Rel-17 inter-cell multi-DCI multi-TRP, in order to associate CORESETPoolIndex to additional PCI, the CORESET within the CORESETPoolIndex needs to be activated with Rel-17 TCI state which includes additionalPCI-r17 info. On the other hand, based on the latest agreement, multi-DCI multi-TRP operation with two TAs should also be supported for Rel-15/16 frameworks and UL beam indication via spatial relation. However, for Rel-15/16 TCI frameworks and UL beam indication via spatial relation, there is no additional PCI info carried in the TCI state/spatial relation. Therefore, in this case there is no way the CORESETPoolIndex can be associated with additional PCI, thus not being able to support inter-cell scenario. |
| Samsung | We have some concerns on Alt2/Alt5. First it requires special handling for periodic/semi-persistent channels through RRC configuration. To transmit these channels to a different TRP requires an RRC reconfiguration (less flexibility and more overhead). Second, having the association based on the CORESETPOOLIndex makes the system lose the flexibility of cross CORESETPOOLIndex scheduling.  Regarding Alt3, this would have more spec as it requires having SSB groups and associating, TAG-IDs to SSB groups. Also as pointed out by DOCOMO, Alt3 will not work in case of default PL-RS.  Alt4 is a combination of Alt1 and Alt3, so suffers from drawback of Alt3.  Alt6 is the least flexible approach. It requires RRC reconfiguration each time a TAG-ID of a channel signal is changed. There is no support for dynamic signaling.  Alt1, is a natural choice. The TA is based on the distance traveled between UE and the TRP, which is a function of the beam used (e.g., TCI state or spatial relation). Therefore, we support Alt1. It has been pointed out that in FR1, the unified TCI state or spatial relation may not be provided. The most straight forward way to remedy this is to provide a TCI state or spatial relation for channels in FR1. The TCI state or spatial relation list can simply have two elements one element for each TRP. This is most probably the most implementation friendly (and spec friendly) approach. Other approaches can include including a bit in the DCI or channel configuration for periodic channels to indicate the TRP or TAG-ID to use. We are also open to discuss other solutions for FR1.  Regarding the update made to Alt1, i.e., deleting joint TCI state, we don’t agree to this. We think that joint TCI states as well as UL TCI states include the TAG ID  [Moderator] Added joint TCI state back in. |
| Transsion | We prefer Alt 2.  Regarding Alt 1: when unified TCI or spatial relation is not provided and default spatial relation is used, Alt 1 is not applicable.  Regarding Alt 3: Share similar view with QC that Alt3 needs to specify multi-chain relationship. |
| vivo | Support Alt2.  Regarding Futurewei’s concern in inter-cell mTRP, the association between PCI and coresetpoolindex can be achieved by the DL TCI state MAC CE activation for both Rel-15/16 and Rel-17 frame work. |
| Spreadtrum | Update our preference |
| Nokia/NSB | We still prefer one of Alt.2 (can accept also Atl.1) for the reasons that we already stated. And we are fine with the suggested revision by QC on Alt.2 based on DOCOMO’s observation. |
| Xiaomi | We support Alt2 in principle. Alt1 does not work for FR1 since spatial relation is not configured. We are also OK with Alt3. On Alt4, a unified solution may be considered for FR1/FR2. |
| ZTE | Support Alt 2, and we generally share companies views of the drawback of Alt 1/3/4/6.  In particular, note that one argue that CORESETPoolindex cannot be used for Rel-15/16 TCI frameworks and UL beam indication via spatial relation, it is not correct. First, there is no MDCI MTRP scheme in Rel-15 no matter intra-cell or inter-cell scenario. Second, there is no inter-cell MTRP scheme in Rel-16. For intra-cell MTRP, CORESETPoolIndex is used to identify TRP ID as it is in the current specification. Third, CORESETPoolIndex can still be used to distinguish TRP-specific rules in the case of UL beam indication via spatial relation, where at least for dynamically-scheduled/ activated UL signals/channels. The rest UL signals/channels need to be specified are SRS, P/SP PUSCH and PUCCH, basically, it can be fulfilled by introducing CORESETPoolIndex in RRC-config.  Besides, we are kinda confused about the part “ ...TAG ID is RRC-configured” of second bullet in Alt 5. This proposal is to discuss the association between TAs (TAGs) and UL signals/channels, does it mean the association in this case in not needed? Could FL or proponent please explain more on this part?  [Moderator] I think each TA is associated with one of the TAG IDs. So, in my understanding, for 2nd bullet of Alt 5 TAG ID is associated with P/SP UL channels/signals via RRC configuration. Hence, the respective TAs are also associated with these P/SP UL channels/signals. |
| Ericsson | First of all, Alt6 is not complete: our intention was that a TAG ID was configured in all UL channels, irrespective of its time domain properties:   * Alt 6: *TAG association performed as follows:*   + *for all UL channels / signals, TAG ID is RRC-configured.*   [Moderator] Revised Alt 6  This is not our first preference, which is Alt1.  Several companies mention that spatial relations are not configured in FR1. Of course, there is nothing that prevents that we require spatial relations to be configured in FR1, as a part of the new feature. So we don’t see that this is a valid argument.  We have two concerns on Alt2:   1. it is quite counter-intuitive to add CORESETPoolIdx for UL channels 2. it only works for mDCI, and not for sDCI, or a for a potential mobility enhancement   Alt1 does not have any of these disadvantages.  We would be willing to explore Alt 3, 4 and 6. |
| QC | Addressing some of the comments / concerns above:  **@Futurewei**:   * Regarding PUCCH BFR for per-TRP BFR, we have a different understanding wrt Rel-17 spec. In Rel-17, there is no association wrt coresetPoolIndex value for PUCCH BFR. Instead, association is wrt BFD-RS sets. Please see 38.213 Section 6 as well as RRC params “schedulingRequestID-BFR1” and “schedulingRequestID-BFR2” in 38.331. In fact, this association in Rel-17 is the opposite of “association with a TRP” because that PUCCH is supposed to be transmitted to the other TRP. Furthermore, the Rel-17 per-TRP BFR is applicable to both mDCI and sDCI (hence, association to coresetPoolIndex has been irrelevant in Rel-17). * Regarding inter-cell mTRP, there is no issue for Alt2 in our understanding.   + First, Rel-17 inter-cell mTRP is based on Rel-15/16 TCI framework, and it is now (in Rel-18) being extended to Rel-17 unified TCI framework. Hence, I do not understand your concern.   + Second, we still have 2 coresetPoolIndex values and 2 TAGs. Only one of the additional PCIs is active and is associated with a coresetPoolIndex value. Unless if you intend to define 8 TAGs (for the 1+7 PCIs), Alt2 works same for both intra-cell and inter-cell mTRP.   **@Samsung**: Regarding “system lose the flexibility of cross CORESETPOOLIndex scheduling”, I think this was also the design in Rel-16 due to the following. Hence, I am not sure if supporting cross-TRP scheduling should be criteria for two TA enhancement, or should be a new feature by itself.   * The interpretation of TCI field is based on coresetPoolIndex (two MAC-CEs activate two separate sets of active TCI states, and DCI from TRP1 can only indicate a TCI state from the first list) * PDSCH scrambling is based on coresetPoolIndex of the scheduling DCI. TRP1 cannot schedule PDSCH with scrambling associated with TRP2. * Per-TRP CRS rate matching is based on the coresetPoolIndex of the scheduling DCI. TRP1 cannot schedule PDSCH to be rate matched around CRS of TRP2.   **@Ericsson**:   * Regarding “it is quite counter-intuitive to add CORESETPoolIdx for UL channels”, we already have association to coresetPoolIndex for UL channels. Please see the examples that I mentioned in my previous input in this table. * Regarding “it only works for mDCI, and not for sDCI, or a for a potential mobility enhancement”, yes we agree, but that’s ok for the following reasons:   + First, we already agreed that “Note: Whether two TA enhancement is applicable to other schemes is a separate discussion, which is not in the scope of AI 9.1.1.2.”.   Second, this issue is only about association of UL signals / channels, and for example, in mobility enhancement, such association may not even be needed as when a candidate cell (PsCell or cell group) is activated by L1/2 mobility procedures, all UL channels / signals are switched to the new cell. Also, TAG can be provided as part of candidate cell configurations. In other words, for this particular aspect, even if we were designing both multi-DCI and mobility in the same sub-agenda, we probably would have ended up with different ways for this particular issue. |
| Moderator | Please double check all the alternatives and update the company views in the 2nd row of this table above. |
| NTT Docomo | Updated our views. |
| Samsung | We thank Qualcomm for their follow up. Regarding the comment: “Hence, I am not sure if supporting cross-TRP scheduling should be criteria for two TA enhancement, or should be a new feature by itself.” We think that even if cross-TRP scheduling is not supported in Rel-16, introduce a solution in Rel-18 that inherits this restriction is not a good future proof-design. Indeed, cross-TRP scheduling is being considered in other agenda items in Rel-18. Cross-TRP scheduling is useful especially in case if beam failure on one TRP.  The only drawback mentioned for associating the TA with TCI-state/spatial relation is that TCI-state/spatial relation maybe not be configured in FR1. To address this, we agree with Ericsson that “Of course, there is nothing that prevents that we require spatial relations to be configured in FR1, as a part of the new feature”. In fact the TCI state/spatial relation design of FR2 can be supported in FR1 with no RAN1 spec impact. |

# 3 Need for separate PRACH configurations

In the first FL summary R1-2210304, the following questions were discussed:

Question 3 (from Previous Round)

*Whether there is a need to configure separate CFRA configurations to the UE for each additional PCI in case of inter-cell MTRP scenario?*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | Yes. Each additional PCI can have independent RACH configuration. |
| Google | We are OK to it |
| QC | Yes. |
| Lenovo | Open to discuss it. |
| MediaTek | We are fine to have separate resource configuration for Msg1 |
| OPPO | Yes. |
| ZTE | Yes |
| vivo | Yes, CFRA configuration for each non-serving cell is required. |
| NTT DOCOMO | Yes |
| Apple | Yes |
| Sharp | No, we think it is enough that a part of CFRA resources in a CFRA configuration is used. |
| LGE | Yes but we prefer to discuss intra-cell and inter-cell together for unifying solution. |
| Spreadtrum | Yes. |
| Xiaomi | Yes. |
| CMCC | Yes |
| CATT | Yes |
| Ericsson | No, CBRA should be enough. Also, configuring separate preambles for each TRP does not scale. |
| Nokia/NSB | In principle yes, but we are open to further discuss this point. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | CFRA configurations for inter-cell MTRP should be further discussed. |

*FL Comment:* The following is the summary of company views related to supporting separate CFRA config for each additional PCI

* Support separate CFRA config for each additional PCI **(17)**: Huawei/HiSi, Google, Qualcomm, MediaTek, OPPO, ZTE, vivo, NTT DOCOMO, Apple, LGE (unifying solution for intra-cell and inter-cell cases), Spreadtrum, Xiaomi, CMCC, CATT, Lenovo, Nokia/NSB, Samsung
* Not Support separate CFRA config for each additional PCI **(2)**: Sharp, Ericsson

Question 4 (from Previous Round)

*Whether there is a need to configure separate CBRA configurations to the UE for each addition PCI in case of inter-cell MTRP scenario?*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | It is still unclear how CBRA can work for TA acquisition under MTRP case. Detail of CBRA including use case, procedure and triggering condition should be clarified first. |
| Google | We are OK to it |
| QC | It depends on whether per-TRP CBRA is introduced or not. |
| Lenovo | Open to discuss it. |
| MediaTek | We are fine to have separate resource configuration for Msg1 |
| OPPO | For TA acquisition, it seems CFRA configuration of inter-cell would work. So, we see no strong motivation to introduce CBRA configuration of inter-cell for the same purpose as well. |
| ZTE | Yes.  According to the current specification, when non-synchronized UL caused by TAT expiry, the MAC entity will maintain timing advance values of this TAG or all TAGs, and CBRA can be triggered to acquire the initial TA. If TAT associated with the TRP without initial access expires, CBRA should still be triggered to align with the legacy procedures, otherwise some new procedures should be specified additionally in such case. For example, UE cannot maintain timing advance values until receiving a PDCCH order in case of TAT expiry of the TAG associated with additional PCI. |
| vivo | No, since RACH towards non-serving cell targets for acquiring initial synchronization, CFRA triggered by PDCCH order is sufficient. |
| NTT DOCOMO | Same view with QC |
| Apple | Yes.  In general, CBRA procedure is always a fallback operation for CFRA procedure. In addition, CFRA causes increased RACH overhead, which is mitigated by CBRA. In our view, the CBRA function should be supported and leave network to decide which one to go e.g., tradeoff between latency and overhead. |
| Sharp | CFRA enhancement should be discussed first |
| LGE | Open to discuss. |
| Spreadtrum | If CBRA for inter-cell is supported, we are fine to have separated RACH configuration. |
| Xiaomi | Similar view with OPPO. |
| CMCC | Open to discuss. |
| CATT | Open to discuss. |
| Ericsson | There is probably a need. Note that a CBRA configuration is a subset of a CFRA configuration. |
| Nokia/NSB | We are open to support CBRA also for inter-cell M-TRP cases. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | CBRA configurations for inter-cell MTRP should be further discussed. |

*FL Comment*: The following is the summary of company views related to supporting separate CBRA config for each additional PCI

* Support separate CFRA config for each additional PCI **(11)**: Google, MediaTek, ZTE, Apple, Ericsson, Nokia, CMCC, CATT, LGE, Lenovo, Spreadtrum
* Need further discussion **(3)**: Qualcomm, NTT Docomo, Samsung
* Not Support separate CBRA config for each additional PCI **(5)**: Huawei/HiSilicon, OPPO, vivo, Sharp, Xiaomi

Based on Questions 3 and 4 from previous rounds, the following is proposed:

## Proposal 4

*For multi-DCI based inter-cell Multi-TRP operation with two TA enhancement, support to configure additional PRACH configurations.*

* *one additional PRACH configuration is supported for each additional configured PCI*
* *the additional PRACH configurations are for CFRA*
* *FFS: whether the additional PRACH configurations are for CBRA*

*Please provide your input on Proposal 4 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| NTT Docomo | Support |
| Huawei, Hisilicon | Support the proposal. |
| QC | Support |
| LGE | We suggest to consider intra-cell and inter-cell together for unifying solution.  *For multi-DCI based ~~inter-cell~~ Multi-TRP operation with two TA enhancement, support to configure additional PRACH configurations.*   * *one additional PRACH configuration is supported for each additional ~~configured PCI~~TRP and/or UE panel* * *the additional PRACH configurations are for CFRA*   *FFS: whether the additional PRACH configurations are for CBRA* |
| OPPO | Support |
| Lenovo | We only support the proposal for inter-cell but not intra-cell. |
| MediaTek | Support the proposal. We don’t see why we need separate PRACH configuration for intra-cell case. |
| InterDigital | Support |
| Futurewei | Support FL’s proposal. |
| Sharp | We can go with the majority |
| Samsung | We have concern about the configuration overhead of this proposal. Having a PRACH configuration for each additional PCI increases the configuration overhead. |
| NEC | Support in general.  But we think it can be also used for inter-cell cases with one TA.  We would like to check if the proposal indicates that if no two TA operation is configured, no additional PRACH configuration. |
| Transsion | Support the proposal. We prefer to consider PRACH configuration for inter-cell first. |
| vivo | Support |
| Spreadtrum | Support |
| Nokia/NSB | Fine with the proposal. |
| Xiaomi | Support the proposal. |
| ZTE | Support FL’s proposal.  @Samsung, your concern is somehow the same to total number of configured SSBs in case of inter-cell MTRP in Rel-17. I think whether to increase PRACH configurations overhead is another issue and can be further discussed. |
| CMCC | Fine with the proposal.  Our thinking is in the inter-cell MTRP, UE cannot get the PRACH configuration of the 2nd TRP. Then additional PRACH configuration is necessary.  If it is intra-cell MTRP, any way all the PRACH configuration could be provided to the UE. And the PRACH configuration are associated with the same set of SSBs. No additional PRACH configurations are needed for the intra cell MTRP.  The additional PRACH config for CFRA to the 2nd TRP which is another cell is also needed.  Although we do not have strong view, if there is no additional PRACH config for CBRA for the 2nd TRP of the 2nd cell, whether UE can take a UL transmission depends on whether a PDCCH order RACH happened. If no PDDCH order RACH to the 2nd TRP happened, without a CBRA, UE cannot set up uplink synchronization with the 2nd TRP and request UL transmission. Maybe it is not a big deal, since the UE still has the UL connection with the 1st TRP. We are open to further discuss whether to introduce the additional PRACH config for CBRA. |
| Ericsson | Do not support. The proposal is unclear – does this mean that we configure RACH-ConfigDedicated? Note that with a PDCCH order, we can directly provide a preamble and an SSB in the PDCCH order, and then there is no need to configure a full RACH-ConfigDedicated. If the use case is a contention-free PDCCH order (which I assume it is), we should see what is needed. |
| Moderator | Majority of the companies support this proposals. No change to the proposal. But three companies have some comments. Could the proponents of this proposal address these comments?  -> Comment 1 (from LG): should the proposal consider both inter-cell and intra-cell cases as proposed by LG?  -> Comment 2 (from Samsung): Could proponents address Samsung’s question regarding Configuration overhead?  -> Comment 3 (from Ericsson): Could proponents respond to Ericsson’s question above? |
| Lenovo | For Comment 1: We do not support the proposal for intra-cell case since the RACH configuration is configured per cell, therefore, it does not need additional RACH configuration for another TRP in intra-cell case.  For Comment 2: The configuration is RRC level where the overhead is not a big issue. |
| QC | Regarding comment 1: No, this issue is specific to inter-cell. We do not see any need for enhancing PRACH configuration for intra-cell.  Regarding comment 2: Given that this is RRC, we think it is ok. Anyway, RAN2 can explore efficient ways to implement this.  Regarding comment 3: Our understanding is that RAN1 only needs to discuss and define functionalities, and RAN2 can design the RRC configurations efficiently (whether separate RACH-ConfigDedicated is needed for each PCI, or a new IE should be defined that enables the agreed functionalities). |
| Ericsson | To QC: thanks for elaborating on the need for CFRA – and we agree that RAN1 should focus on functionality. But the proposal does not talk about functionality, it talks about configuration. We would think that RAN1 could agree that we want to support contention-free PDCCH order targeting another cell – and then take it from there. A modified proposal would then be: Proposal 4 *For multi-DCI based inter-cell Multi-TRP operation with two TA enhancement, support to configure additional PRACH configurations.*   * *one additional PRACH configuration is supported for each additional configured PCI* * *the additional PRACH configurations should enable a contention-free RACH procedure triggered by a PDCCH order for each additional configured PCI*   Contention-free RACH triggered by higher layers could be discussed later. |
| Samsung | It seems that companies responding acknowledge that there will RRC overhead, even those they don’t think that this is an issue. We think that this should be further considered till the next meeting and suggest the following update to proposal (configuration details also require input from RAN2).  *For multi-DCI based inter-cell Multi-TRP operation with two TA enhancement, support ~~to configure additional~~ PRACH ~~configurations~~ associated with additional configured PCIs different from the PCI of the serving cell.*   * *FFS: details* * *~~one additional PRACH configuration is supported for each additional configured PCI~~* * *~~the additional PRACH configurations are for CFRA~~* * *~~FFS: whether the additional PRACH configurations are for CBRA~~* |

# 4 Information on PRACH configuration in PDCCH order

In the first FL summary R1-2210304, the following question was discussed:

Question 1 (from Previous Round)

*Whether enhancements are needed to introduce information about which RACH configuration (i.e., RACH configuration corresponding to serving cell PCI or an additional PCI) to use in the PDCCH order in case of inter-cell MTRP scenario?*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | Yes, support introducing AdditionalPCIIndex in PDCCH order. |
| Google | Yes |
| QC | Yes, but shouldn’t the need for multiple PRACH configurations (Question 3) be discussed first? |
| NEC | Yes. RACH configuration corresponding to non-serving cell is needed. |
| Lenovo | Yes. |
| MediaTek | Yes, RACH procedure has to be associated with SSB with PCI different from the serving cell |
| OPPO | Yes. For inter-cell MTRP scenario, multiple RACH configuration seems necessary. |
| ZTE | Yes, both intra-cell MDCI MTRP and inter-cell MDCI MTRP should be taken into account. |
| vivo | Yes |
| InterDigital | Yes. |
| NTT DOCOMO | Yes. |
| Apple | Yes |
| Sharp | Q3 should be discussed first |
| LGE | Yes but we prefer to use unified solution for both inter-cell and intra-cell, e.g. indicating TAG ID in PDCCH order. |
| Spreadtrum | Yes. |
| Xiaomi | Yes. |
| CMCC | Yes |
| CATT | Yes |
| Ericsson | Yes |
| Nokia/NSB | Yes. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | Yes |
|  |  |

*FL Comment:* All companies seem to be supportive. Hence, the following proposal is proposed:

## Proposal 5 – Rev2

*For multi-DCI based inter-cell Multi-TRP operation with two TA enhancement, support a mechanism to determine which PRACH configuration (i.e., RACH configuration corresponding to serving cell PCI or an additional PCI) to be used in the RACH procedure triggered by PDCCH order*

* *FFS: Explicit indication or implicit indication through PDCCH order*

*Please provide your input on Proposal 5 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| NTT Docomo | Support  A clarification question: does this proposal intend to have an explicit indication of this information in PDCCH order?  *[Moderator] Please see modified proposal according to suggestions from MediaTek and vivo* |
| Huawei, Hisilicon | Support the proposal. |
| QC | Support.  We think both methods of explicit indication in DCI payload as well as implicit indication (e.g., based on coresetPoolIndex of the PDCCH order DCI) have pros / cons, but we lean toward explicit indication method. |
| LGE | We don’t even have agreed on introducing of PDCCH-order for TRP-specific RACH transmission. From the procedure perspective, firstly we should agree that PDCCH-order is supported for TRP-specific RACH triggering. So we suggest as below: Proposal 5 *For multi-DCI based Multi-TRP operation with two TA enhancement, support PDCCH order for TRP-specific RACH triggering.*  *[Moderator] Support of PDCCH-order triggering TRP specific RACH (to the same TRP or a different TRP) is discussed in Proposal 6. This can be discussed as part of Proposal 6.* |
| OPPO | Similar clarification as Docomo. On the introduced information, is it to be added into PDCCH order, like a short indicator for PRACH configuration selection? Though the signaling details are under FFS, hopefully the intention can be further clarified. Thanks. |
| Lenovo | Support. |
| MediaTek | We have concern on current version. We think the purpose can be clarified first, i.e., to determine which PRACH configuration (i.e., RACH configuration corresponding to serving cell PCI or an additional PCI) is to be used in the PDCCH order RACH. Since the scheme can be based explicit signaling of implicit association, we suggest to capture it in high-level. Proposal 5 *For multi-DCI based inter-cell Multi-TRP operation with two TA enhancement, support a mechanism ~~introducing information about~~ to determine which PRACH configuration (i.e., RACH configuration corresponding to serving cell PCI or an additional PCI) to be used in the RACH procedure triggered by PDCCH order*   * *FFS: ~~Signaling Details~~ The mechanism* |
| InterDigital | Support |
| Futurewei | Support FL’s proposal. |
| Samsung | The PRACH configuration can be determined based on the cell from which the PDCCH order is triggered or the indicated in the PDCCH order. This would depend on other proposals such as proposal 4 and proposal 6. We suggest to discuss this after agreeing on proposal 4 and 6. |
| NEC | Support in general.  But we think it can be also used for inter-cell cases with one TA.  We would like to check if the proposal indicates that if no two TA operation is configured, no additional PRACH configuration. |
| Transsion | Support the proposal. |
| vivo | We share similar views as MediaTek. Explicit and implicit indication should be further discussed comparing the pros and cons. Dedicated field should be designed in PDCCH order to explicitly indicate the serving cell or non-serving cell associated with the RACH configuration. Explicit association can be achieved by the CORESETPoolIndex or the TCI state associates with the PDCCH order. Explicit indication increases the signaling overhead. Across TRP triggering RACH can be achieved by explicit indication. Implicit indication is simple from the signaling point of view. However, PDCCH order sent by one TRP triggering RACH procedure towards another TRP is not possible in this way. Whether to support cross TRP triggering RACH is yet to be agreed, so we suggest to keep both indications in the proposal. The updated proposal as follows: Proposal 5 *For multi-DCI based inter-cell Multi-TRP operation with two TA enhancement, support a mechanism ~~introducing information about~~ to determine which PRACH configuration (i.e., RACH configuration corresponding to serving cell PCI or an additional PCI) to be used in the RACH procedure triggered by PDCCH order*   * *FFS:* Explicit indication or implicit indication through PDCCH order *~~Signaling Details The mechanism~~* |
| Spreadtrum | Support |
| Nokia/NSB | Support the proposal. |
| Xiaomi | Support FL’s proposal. |
| ZTE | Support FL’s proposal. |
| CMCC | Fine with the proposal. |
| Ericsson | Support MTK’s version. This would mean that we also agree to support a PDCCH order targeting an additional PCI. |
| Moderator | Proposal revised according to MediaTek and vivo suggestions |
| Lenovo | Fine with the revised proposal. |
| QC | Ok. |
| NTT Docomo | Support |
| Ericsson | Support with a small addition of “contention-free”:  *For multi-DCI based inter-cell Multi-TRP operation with two TA enhancement, support a mechanism to determine which PRACH configuration (i.e., RACH configuration corresponding to serving cell PCI or an additional PCI) to be used in the contention-free RACH procedure triggered by PDCCH order*   * *FFS: Explicit indication or implicit indication through PDCCH order* |

# 5 Per TRP vs cross TRP PDCCH order

In the first FL summary R1-2210304, the following question was discussed:

Question 2 (from Previous Round)

*Whether a PDCCH order that triggers RACH procedure towards another TRP/Cell needs to be supported?*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | It should be supported. This is beneficial for PDCCH load balance. For example, when gNB intends to trigger RACH for TRP1 but PDCCH resources of TRP1 are all used for other purposes, gNB can transmit the PDCCH order via TRP2. |
| Google | Yes, it should be supported. It provides network flexibility. |
| QC | Not necessarily. We do not think “load balancing” is a justification here as PDCCH order DCI is not transmitted very frequently. Also, we do not understand the flexibility argument. Flexibility for which purpose? |
| NEC | We are open to it but per TRP RACH might be enough to obtain/maintain two TAs. |
| Lenovo | Yes. |
| MediaTek | Yes, but intra-cell MTRP may not need enhancement |
| OPPO | Consider the commonly assumed non-ideal backhaul for M-DCI MTRP, we tend to think the cross-TRP RACH triggering can be deprioritized at least for intra-cell MTRP. It seems reasonable to focus on the case that the TRP/cell sends PDCCH order for itself first. |
| ZTE | Yes |
| vivo | Yes, TRP specific absolute TAC is required for 2 TAGs. |
| InterDigital | Yes. |
| NTT DOCOMO | We think it is not necessary |
| Apple | Yes |
| Sharp | Yes, the UE needs to transmit preamble to another TRP based on the PDCCH order |
| LGE | Yes, this can be supported optionally for ideal backhaul scenario. |
| QC (2) | Just an observation from the responses above: Some companies (including us) understood the question as cross-TRP PDCCH order (TRP1 triggers PDCCH order for TRP2), while other companies may have understood the question as per-TRP PDCCH order. If the intention of the question was the latter, we also think the answer is yes at least for some cases (CFRA PDCCH order). |
| Spreadtrum | We are open for this issue. |
| Xiaomi | Yes. |
| CMCC | It depends on which kind of mechanism for acquiring the initial TA is supported. For the acquiring of initial TA based on PDCCH ordered RACN mechanism, the answer is yes. |
| CATT | Yes |
| Ericsson | We think this would be an attractive option. There are also big synergies with the mobility item. |
| Nokia/NSB | In principle, yes, it’s needed in order for the network to acquire an (additional) TA at least for inter-cell M-TRP cases. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | Yes |

*FL Comment*: There are two cases being discussed above. The case with PDCCH order sent by one TRP triggering RACH procedure towards the same TRP seems obviously needed. The question is then whether a PDCCH order sent by one TRP can trigger RACH procedure towards a different TRP? The two possibilities need further discussion. Hence, the following is proposed:

## Proposal 6 – Rev2

*For multi-DCI based Multi-TRP operation with two TA enhancement, support one of the following alternatives in RAN1#111:*

*Alt 1: PDCCH order sent by one TRP triggers RACH procedure towards the same TRP*

* *note: with Alt 1, PDCCH order sent by one TRP triggering RACH procedure towards another TRP is not allowed*

*Alt 2: PDCCH order sent by one TRP triggers RACH procedure towards either the same TRP or a different TRP*

* *FFS if PDCCH order sent by one TRP can trigger PRACHs (or RACH procedures) towards two TRPs.*

*Please provide your input on Proposal 6 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| NTT Docomo | Support |
| Huawei, Hisilicon | Support Alt 2. Here are some reasons:   * This is beneficial for PDCCH load balance. For example, when gNB intends to trigger RACH for TRP1 but PDCCH resources of TRP1 are all used for other purposes, gNB can transmit the PDCCH order via TRP2, instead of waiting for PDCCH resource. * PDCCH order can be transmitted via the TRP with better quality to ensure the reliability of PDCCH order transmission. |
| QC | Support the proposal. |
| LGE | Fine in principle. In our view, Alt 2 can be supported for ideal backhaul scenario and Alt1 can be supported for non-ideal backhaul case, i.e. both Alts can be supported and which one to use is up to NW configuration. Thus, we propose:  *For multi-DCI based Multi-TRP operation with two TA enhancement, support at least one of the following alternatives in RAN1#111:*  *[Moderator] Alt 2 supports triggering RACH procedure towards either the same TRP or a different TRP. So, Alt 2 is a superset of Alt 1.* |
| OPPO | Support the FL proposal |
| Lenovo | Support and prefer Alt2. |
| CATT | Support Proposal 6, this issue needs to be discussed. |
| MediaTek | Support |
| InterDigital | Support, and Alt 2 is preferred. |
| Futurewei | Support FL’s proposal. |
| Sharp | Support |
| Samsung | We are supportive of the direction of the proposal. We think that there are three alternatives:  *Alt 1: PDCCH order sent by one TRP triggers RACH procedure towards the same TRP*  *Alt 2: PDCCH order sent by one TRP triggers RACH procedure towards either the same TRP or a different TRP*  *Alt 3: PDCCH order sent by one TRP triggers RACH procedure towards both the same TRP and a different TRP*  *Alt 4: Alt2 + Alt3*  *[Moderator]* Wouldn’t Alt 3 require allowing for two parallel random access procedures which is not supported yet? Nokia proposes to add this as an FFS. So, I have added this FFS.  We can further study these alternatives and down select in the next RAN1 meeting |
| NEC | Support. |
| Transsion | Support the proposal. For intra-cell MTRP, RACH procedure triggered by PDCCH order towards the same TRP is sufficient. |
| vivo | Support FL’s proposal. |
| Spreadtrum | Support |
| Nokia/NSB | Support the proposal in principle. We could also consider an FFS point on whether a PDCCH order sent by one TRP triggers RACH procedure towards two TRPs, which could provide more efficiency for the PDCCH order operation at least in some cases. We thus suggest the following:  *For multi-DCI based Multi-TRP operation with two TA enhancement, support one of the following alternatives in RAN1#111:*  *Alt 1: PDCCH order sent by one TRP triggers RACH procedure towards the same TRP*   * *note: with Alt 1, PDCCH order sent by one TRP triggering RACH procedure towards another TRP is not allowed*   *Alt 2: PDCCH order sent by one TRP triggers RACH procedure towards either the same TRP or a different TRP*   * *FFS if PDCCH order sent by one TRP can trigger PRACHs (or RACH procedures) towards two TRPs.*   [Moderator] Wouldn’t the FFS require allowing for two parallel random access procedures which is not supported yet? Anyway, let’s see other companies views on this. |
| Xiaomi | Support. |
| ZTE | Samsung’s version is more preferable. |
| CMCC | Fine with the proposal. PDCCH order sent by one TRP triggers RACH procedure towards the same TRP should be supported without any question. But the motivation for PDCCH order sent by TRP A to trigger RACH procedure to TRP B is not clear. At least the downlink could work for the TRP for which needs uplink synchronization. The TRP whose uplink is not synchronized by UE could also send a PDCCH order for RACH. |
| Ericsson | Support the original version. We are OK with Nokia’s modification too.  We don’t think it’s realistic to specify a solution where the UE would adjust the transmission properties of the PRACH based on measurements (pathloss, spatial properties) from two TRPs.  Note that only Alt2 will work for the mobility case. |
| Moderator | Majority of companies support the proposal. FFS suggested by Nokia has been added. |
| Lenovo | Same view with Moderator that the FFS part will require allowing for two parallel random access procedures. Therefore, we don’t support the FFS part. |
| QC | We do not think FFS is needed. PDCCH order DCI is not sent very frequently. Hence, allowing one TRP to trigger two RACH procedures with the same DCI should not be a design goal by itself. Instead, allowing for two parallel random access procedures (separately triggered by different PDCCH order DCIs but before having to wait for the whole random access procedure of one TRP to be completed) is a useful enhancement here. We are open to study parallel random access procedures in general. |
| NTT Docomo | Support |
| Ericsson | We tend to agree with QC that it would be unlikely that we trigger two RACH procedures with the same PDCCH order. |
| Samsung | One of the use cases of PDCCH order is the arrival of DL data when the UL is non-synchronized. If the data is being sent from both TRPs, each would require to establish its TA value. One solution would be to trigger two PDCCH orders one from each RACH procedure. However, we see that it is more efficient to trigger a single PDCCH order for two RACH procedures (one from each TRP). We prefer having the triggering of the two PRACH procedures as a separate option rather than an FFS. We decide in a future meeting which option(s) to support. |

# 6 Need for configure type1 CSS for receiving RAR from a TRP corresponding to an additional PCI

In the first FL summary R1-2210304, the following question was discussed:

Question 5 (from Previous Round)

*Whether there is a need for configure type1 CSS for receiving RAR from a TRP corresponding to an additional PCI in inter-cell MTRP scenario?*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | We think this is not needed. PDCCH order for triggering RACH of TRP corresponding to an additional PCI can be transmitted by the serving cell. Hence, there is no need to configure type 1 CSS dedicatedly for additional PCI. |
| Google | Q5 seems to be related to Q3 and Q4. |
| QC | This is one possibility, but not the only one. |
| Lenovo | Same view with Huawei. |
| MediaTek | No, we don’t see the need to configure a Type1 CSS particular for additional cell |
| OPPO | In Rel.17, we understanding is that some of the DL common channels can only be transmitted by serving cell, rather than non-serving cell with different PCI. If PDCCH order comes with such restriction, then our answer to Q5 is a No. |
| ZTE | Not needed. For inter-cell MDCI MTRP in Rel-17, the following agreement was reached.   |  | | --- | | **Agreement**  UE is not required to monitor a Type0/0A/1/2 CSS in a CORESET when the active TCI state is associated with a PCI different from serving cell PCI. | |
| Vivo | OK to discuss. |
| NTT DOCOMO | Similar view with QC that this is one solution. |
| Apple | The preamble is received at the target TRP. If the RAR is transmitted by the serving TRP, it requires ‘RAR forwarding’ from target TRP to the serving TRP. Considering the target mDCI mTRP use case or even inter-cell mobility, it causes significantly increased latency, which can be avoided by directly monitoring Type1 CSS from the target TRP. If a unified two TA framework is targeted to share inter-cell mobility, latency reduction is the key for UL sync procedure, and it makes sense to transmit RAR from target TRP based on its Type1-CSS. |
| Sharp | It is unnecessary because Q5 is related to Q3 |
| LGE | Regarding Q3/4/5, prefer to discuss for intra-cell mDCI case and inter-cell mDCI together. |
| Spreadtrum | Agree with Huawei. |
| Xiaomi | OK to discuss. |
| CATT | We share similar view as QC. |
| Ericsson | Huawei and Apple describe the two main options. Both have pros and cons. In general, having multiple type1-CSS seems awkward – and places burden on the UE. On the other hand, if “RAR” should be sent from the same TRP that send the PDCCH order, it would lead to longer delay between the PRACH reception and the “RAR” transmission. But we lean towards that we should avoid configuring multiple type1-CSSs. |
| Nokia/NSB | We have similar view as Huawei that this may not be needed, but this approach could be one possibility as mentioned by QC. Anyways, we are open to further discuss this aspect. Also, as pointed out by other companies, this question is related to at least one other question above. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | This can be discussed after we progress on questions Q3 and Q4. |
| NEC | No need. Similar view as ZTE. |

Based on the above responses, there are two possibilities:

* Alt 1: RAR will always be received from serving cell: in this case, there is no need for additional type 1 CSS configuration per additional PCI
* Alt 2: RAR can be received from a TRP corresponding to an additional PCI: in this case, there is a need support additional type 1 CSS configuration per additional PCI

The two possibilities need further discussion. Hence, the following is proposed:

## Proposal 7 (Moved for email endorsement)

*For inter-cell multi-DCI based Multi-TRP operation with two TA enhancement, support one of the alternatives (down selection to be done in RAN1#111):*

*Alt 1: RAR will always be received from serving cell => there is no need for additional type 1 CSS configuration per additional PCI*

*Alt 2: RAR can be received from a TRP corresponding to an additional PCI for a RACH procedure associated to the additional PCI => additional type 1 CSS configuration per additional PCI needs to be supported*

*Please provide your input on Proposal 7 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| NTT Docomo | Support |
| Huawei, Hisilicon | Support Alt 1. If I remember correctly, in Rel-17, it was agreed that non-UE dedicated PDCCH/PDSCH should only be transmitted by the serving cell. |
| QC | Support |
| LGE | Support. |
| OPPO | Support with preference on Alt.1.  We agree with the assessment from HW. Recall the inter-cell beam management in Rel.17, the cell common channel can only be received from serving cell. Note that there is ongoing parallel session to treat TA issue for mobility, it is not desire to make overlapped design on TA acquisition in this AI. |
| Support | Support and prefer Alt1. |
| CATT | Support |
| MediaTek | Support |
| InterDigital | Support |
| Futurewei | Support FL’s proposal and we prefer Alt 1. We share views similar to Oppo and Huawei/Hisilicon on Rel. 17 inter-cell beam management that cell common channel can only be received from serving cell. |
| Sharp | Support. We prefer Alt 1 because Alt 2 has high burden on the UE. |
| Samsung | Support |
| Transsion | Support Alt 1. We prefer to use the same mechanism as in Rel-17. |
| vivo | Support the proposal with further clarification.If our understanding is correct, PDSCH is received based on the QCL assumption of SSB associates with RACH procedure, while PDCCH is QCLed with PDCCH order. It means PDSCH is always received from the TRP associates with RACH procedure. So we suggest to add PDCCH for more precision.Proposal 7 *For inter-cell multi-DCI based Multi-TRP operation with two TA enhancement, support one of the alternatives (down selection to be done in RAN1#111):*  *Alt 1: RAR PDCCH will always be received from serving cell => there is no need for additional type 1 CSS configuration per additional PCI*  *Alt 2: RAR PDCCH can be received from a TRP corresponding to an additional PCI for a RACH procedure associated to the additional PCI => additional type 1 CSS configuration per additional PCI needs to be supported* |
| Spreadtrum | Support |
| Nokia/NSB | Support the proposal. |
| Xiaomi | Support. Considering the R17 beam management framework, our preference is Alt1. |
| ZTE | Support |
| CMCC | A little bit confused. If the RAR is received from the serving cell or TRP, it should be differentiated that the RAR is from the serving cell/TRP or non-serving cell/TRP. In this case, if the RAR is from the serving cell, it should be with a PCI indicating that this is the response for the non-serving cell. |
| Ericsson | Support |
| Moderator | This proposal has been moved for email endorsement. Please check the following email thread and provide your comments (if any):  [110bis-e-R18-MIMO-02] Email discussion on two TAs for multi-DCI: EMAIL ENDORSEMENT 2 |

# 7 Details of TA/TAG identification for Intra-cell Multi-DCI

In the first FL summary R1-2210304, the following questions were discussed:

Question 6 (from Previous Round)

*for CFRA triggered by PDCCH order, whether enhancements are needed for determination of whether TA command in RAR PDSCH corresponds to first TAG or second TAG?*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | Yes. UE can determine the TRP according to the SSB indicated in the PDCCH order. This can be easily realized by configuring SSB of each TRP in an SSB list/group and associate SSB list/group with TAG.  Note that, with SSB list/group configuration, the issue of TA association in section 4 can also be easily solved. In other words, SSB list/group configuration can minimize the spec impact of the whole TA enhancement framework. |
| Google | Yes. In our views, if Q2 is true/supported, then UE can understand which TRP is targeted for in a received PDCCH order, and UE should also understand whether TA command in RAR PDSCH corresponds to first TAG or second TAG. |
| QC | Is this for intra-cell or inter-cell? The answer depends on this assumption. Of course, in both cases, the UE should know that TA corresponds to which TAG. However, for intra-cell, this info can come later (e.g., in the RAR itself) as PDCCH order and PRACH are transparent (wrt first or second TRP) in intra-cell case. On the other hand, for inter-cell, the UE should know which PRACH configuration should be used (so, this info cannot be in RAR PDSCH itself) |
| NEC | Not needed if the MAC entity can only have one valid RACH procedure for two TAGs of one serving cell. MAC entity may not start RACH for TAG 2 before the completion of RACH for TAG 1, in this case, there is no need to have TAG ID in RAR. It might be better to consult with RAN2 on the RACH capability of one MAC entity. |
| Lenovo | It may be different for intra-cell and inter-cell cases. In intra-cell case, enhancement is needed in the TA command in RAR PDSCH to inform the TA command is associated which TAG if there is no other enhancement for RACH resource/configuration for PDCCH order targeting for different TRPs. For inter-cell case, since the RACH configuration of serving cell and non-serving cell need be different, UE can know which TAG of the RACH resource indicated by the PDCCH order, therefore, no further enhancement is needed in the TA command in RAR PDSCH. |
| MediaTek | Yes, at least for intra-cell MTRP case, it could be ambiguous that the triggered RACH procedure is specific to which TRP/TAG. |
| OPPO | In our understanding, it depends. If PDCCH order indicates to which associated TAG (1st or 2nd) the RACH procedure is triggered, it seems not necessary to enhance the RAR PDSCH. Otherwise, the UE should know which TAG should be updated with new TAC in RAR. |
| ZTE | Yes, share the similar view with Huawei. |
| vivo | Yes |
| InterDigital | Yes, at least for intra-cell MTRP case. |
| NTT DOCOMO | Yes. |
| Apple | Yes, for intra-cell mTRP case at least. For inter-cell mTRP, TAG ID maybe implicitly associated with the non-serving cell. If the CFRA is triggered for non-serving cell TRP based on PDCCH order, the TA in the subsequent RAR is used to update the TAG associated with the non-serving cell TRP and there is no need to implicit indicate in RAR payload. |
| Sharp | Yes, we have the same view as Google. |
| LGE | Yes. In our understanding, introducing TRP-specific type1-CSS for RAR can easily solve the problem, i.e., CORESET pool specific type1-CSS. If specific RACH transmission is related with a CORESET pool index, then gNB/UE transmit/receive RAR in type1-CSS of the CORESET pool index. |
| Spreadtrum | Yes. Agree with the majority that it may depend on use case. But at least for inter-cell M-TRP case, it is needed. Given this, we prefer one unified solution. |
| Xiaomi | Yes. |
| CMCC | Yes, at least for intra-cell MTRP. |
| CATT | It depends. If PDCCH order indicates to which TRP the RACH procedure is triggered, UE can use the CORESEPoolIndex of the PDCCH order to decide the TA included in the RAR MAC PDU belong to a specific TRP. Otherwise, the UE needs to be indicated to which TRP the TA should be updated with the new TAC in RAR MAC PDU. |
| Ericsson | This depends on the overall design of the PDCCH order solution. We should aim for one solution for intra-cell and inter-cell. Again, we should align with mobility. |
| Nokia/NSB | This aspect could be discussed separately for inter-cell case and intra-cell case. Also, it may depend on the outcome/response of other questions and proposals. So, we think it would be better to discuss this aspect at a later stage. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | Yes |

*FL Comment:* In the above discussion, two different solutions were discussed:

* Solution 1: include TAG ID as part of TA command RAR
* Solution 2: indicate TAG ID as part of PDCCH order

While some companies expressed preference for unified solution for inter-cell and intra-cell cases, some other companies preferred to intra-cell and intra-cell cases separately. It was pointed out by some that only Solution 1 will not work for the inter-cell multi-DCI multi-TRP scenario as the UE already needs to know which PRACH configuration to use in order to transmit RACH. Solution 2 can work for inter-cell multi-DCI or intra-cell multi-DCI. Given companies still prefer to study different solutions, we’ll focus on the intra-cell case in this section. Note that the inter-cell case will be discussed as part of Proposal 5.

Question 7 (from Previous Round)

*For intra-cell M-TRP scenario, whether there is a need to divide SSBs/RACH resources/Preambles into two groups, where for a RACH procedure*

* *if the corresponding SSB/RACH resource/preamble belongs to 1st group, then the TA obtained via RACH procedure corresponds to first TRP, and*
* *if the corresponding SSB/RACH resource/preamble belongs to 2nd group, then the TA obtained via RACH procedure corresponds to second TRP.*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | Yes. SSB grouping based solution is better than other solutions, like introducing new field in PDCCH order or introducing TAG ID in the RAR format, which consume the reserved bit of PDCCH/RAR. For future enhancement, every reserved bit is precious. We’d better avoid consuming the reserved bits if there are other options. |
| Google | We are open to it |
| QC | No, this is not necessarily required for intra-cell, but is it is one design option. |
| NEC | It seems just one of NW implementations and can be transparent to UE. |
| Lenovo | Yes. |
| MediaTek | We don’t see the need |
| OPPO | No, for intra-cell MTRP, the grouping of SSBs/RACH resources/preambles can be up to NW’s implementation on MTRP and transparent to UE. PDCCH order can deliver SSB index, preamble, etc toward to a specific TRP. |
| ZTE | Yes.  SSB index indicated in the PDCCH order or determined based on RSRP measurement is used to determine the RACH occasion(s) to transmit a preamble. When two SSB groups are configured for two TRPs, the mapping of SSB index to RACH occasion can be per TRP as well. As the number of SSB indexes mapped in a RACH occasion can be indicated by ssb-perRACH-OccasionAndCB-PreamblesPerSSB in RACH-Config, SSB grouping should ensure the SSB indexes mapped in the same RACH occasion are associated with the same TRP. |
| vivo | No, the intention of grouping SSB/RACH resources is to acquire the association between TAG and the absolute TAC received in RACH procedure, which can also be achieved by indication TAG ID in RAR, or, by the associating RACH procedure with coresetPoolIndex. |
| NTT DOCOMO | Similar view with QC. We think it is one of the options for determination of whether TA command in RAR PDSCH corresponds to first TAG or second TAG, but not the only one. |
| Apple | This is just one candidate solution. |
| Sharp | Yes, RACH resources for secondary TA acquisition should be configured. |
| LGE | Yes. To associate RACH with a specific TRP/TAG, RACH resource grouping can be useful. |
| Spreadtrum | Seems not necessary. It can be up to gNB’s implementation and configuration. |
| Xiaomi | Yes. |
| CMCC | This is kind of related to the association mechanism discussed in proposal 3. But if the UE has to acquire the timing difference between two TRPs, it should have the knowledge that the SSB #A is from TRP#1 and SSB#B is from another. And the RACH resources and preambles are all related to the SSB indices. |
| CATT | We think the grouping of SSBs/preambles depend on NW’s implementation and transparent to UE. The preamble index and the beam to send preamble (SSB index) to a specific TRP can be included in PDCCH order. Upon the reception of the RAR MAC PDU in the RAR window after sending the preamble, UE can use the CORESETPoolIndex of the PDCCH order to decide the TA included in the RAR MAC PDU belong to a specific TRP. |
| Ericsson | This is not necessary and should be avoided. |
| Nokia/NSB | This is one possibility. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | Yes, we can discuss further |

*FL Comment:* AS commented by some companies, the solution(s) discussed in Question 7 from previous round contains three solution variants for intra-cell multi-DCI case. Hence, the following can be considered as potential solutions for the intra-cell multi-DCI case.

* Solution 3: divide SSBs into two groups, where for a RACH procedure, if the corresponding SSB belongs to the *nth* group (*n=1,2)*, then the TA obtained via the RACH procedure corresponds to the *nth* TRP.
* Solution 4: divide RACH resources into two groups, where for a RACH procedure, if the corresponding RACH resource belongs to the *nth* group (*n=1,2)*, then the TA obtained via the RACH procedure corresponds to the *nth* TRP.
* Solution 5: divide preambles into two groups, where for a RACH procedure, if the corresponding preamble belongs to the *nth* group (*n=1,2)*, then the TA obtained via the RACH procedure corresponds to the *nth* TRP.

Combining the solutions from Questions 6-7, the following is proposed:

## Proposal 8 – Rev2

*For intra-cell multi-DCI based Multi-TRP operation with two TA enhancement, support at least one of the following alternatives (down selection to be done in RAN1#111):*

*Alt 1: include TAG ID as part of TA command in RAR*

*Alt 2: indicate TAG ID as part of PDCCH order*

*Alt 3: divide SSBs into two groups, one for each TRP. If a SSB associated to a RACH procedure belongs to the nth group (n=1,2), then the TA obtained via the RACH procedure corresponds to the nth TRP.*

*Alt 4: divide RACH resources into two groups, where for a RACH procedure, if the corresponding RACH resource belongs to the nth group (n=1,2), then the TA obtained via the RACH procedure corresponds to the nth TRP.*

*Alt 5: divide preambles into two groups, where for a RACH procedure, if the corresponding preamble belongs to the nth group (n=1,2), then the TA obtained via the RACH procedure corresponds to the nth TRP*

*Alt 6: TAG ID is associated with CORESETPoolIndex and TAG ID is determined based on the CORESETPoolIndex of PDCCH order or RAR*

*Alt 7: Each TCI state is associated with a TAG ID, and the TAG ID corresponding to RACH triggered by a PDCCH order is determined based on the TCI state used to receive the PDCCH order*

*Please provide your input on Proposal 8 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| NTT Docomo | One clarification question is does Alt.1/2 intend to explicitly indicate TAG ID in RAR or PDCCH order? If so, instead of explicitly indicating TAG ID in PDCCH order or RAR, we think another alternative is to associate TAG ID with CORESETPoolIndex and implicitly determine TAG ID based on the CORESETPoolIndex of PDCCH order/RAR. Thus, we suggest adding an Alt.6.   * + - Alt.6. TAG ID is associated with CORESETPoolIndex and TAG ID is determined based on the CORESETPoolIndex of PDCCH order or RAR   [Moderator] Added. |
| Huawei, Hisilicon | Support Alt 3.  For Alt 1 and 2, it will consume reserved bit of RAR/PDCCH, which is not good for future enhancement. While, Alt 3, Alt 4 and Alt 5 don’t consume any reserved bit of RAR/PDCCH.  For Alt 4 and Alt 5, they will degrade the flexibility of RACH. For example, preamble/RO allocated to TRP1 cannot be used for TRP2. While, there is no such issue for Alt 3, since the allocation of SSB between two TPRs is usually static. |
| QC | Support, and suggest Alt6 mentioned by DCM to be captured as Alt2B (while Alt2A can be based on the PDCCH order DCI payload)  [Moderator] Added Alt 6. |
| LGE | OK to list and down-select in the next meeting, but not sure whether one solution works for all cases. Suggest to revise ‘support at least one of….’ for safety.  [Moderator] Added ‘at least’ |
| OPPO | Support the proposal in principle.  There could be one more implicit solution. That is from which CORESET the PDCCH order come, if TAG ID is associated with *CORESETPoolIndex*. The advantages over other Alts could be no additional new filed in PDCCH order and no need for grouping rules. |
| Lenovo | Support Alt1, 3 and 4. And similar view with LGE that it may support more than one alternative. Propose to revise the proposal as follows. Proposal 8 *For intra-cell multi-DCI based Multi-TRP operation with two TA enhancement, support at least one of the following alternatives (down selection to be done in RAN1#111):*  *Alt 1: include TAG ID as part of TA command in RAR*  *Alt 2: indicate TAG ID as part of PDCCH order*  *Alt 3: divide SSBs into two groups, one for each TRP. If a SSB associated to a RACH procedure belongs to the nth group (n=1,2), then the TA obtained via the RACH procedure corresponds to the nth TRP.*  *Alt 4: divide RACH resources into two groups, where for a RACH procedure, if the corresponding RACH resource belongs to the nth group (n=1,2), then the TA obtained via the RACH procedure corresponds to the nth TRP.*  *Alt 5: divide preambles into two groups, where for a RACH procedure, if the corresponding preamble belongs to the nth group (n=1,2), then the TA obtained via the RACH procedure corresponds to the nth TRP* |
| CATT | This issue can be discussed after the solution of 6 is obtained, where if the PDCCH order sent by one TRP triggers RACH procedure towards the same TRP(Alt1 in proposal 6), the CORESETPoolIndex of the PDCCH order can be used to decide the TA included in the RAR belong to a specific TRP. In this way, it’s up to NW implementation and no spec impact is needed. Otherwise, we can further discuss the other alternatives. |
| MediaTek | We ‘d like to suggest one alternative as follows:  *Alt 7: Each TCI state is associated with a TAG-ID, and the TAG-ID correspond to RACH triggered by a PDCCH order is determined based on the TCI state used to receive the PDCCH order*  *[Moderator] Added.* |
| Futurewei | We are ok to list all the alternatives for down selection and we would like to add one more alternative as follows:  *Alt 7: Each SSB/TRS is associated with a TAG-ID. If the DMRS of a PDCCH carrying a PDCCH order is QCLed with a SSB/TRS, the TAG-ID corresponding to RACH triggered by the PDCCH order is the TAG-ID associated with the SSB/TRS.*  *[Moderator] Isn’t this a specif way to implement Alt 3 in the above list? If so, couldn’t Alt 3 include this possibility?* |
| Samsung | We are fine to further study and down-select  We like to add Alt8:  Alt 8: Include 2 TAG\_IDs in RAR  [Moderator] In my understanding, the above proposal deals with associating a single TAG ID with TA command in RAR (Alt 1) and other ways to achieve this association. Regarding including two TAG IDs in RAR, let’s hear more views from companies. |
| Transsion | Support Alt 3 and Alt 4.  Regarding Alt 3, by SSB grouping, it can differentiate RACH procedures for different TRP without PDCCH order enhancement.  Regarding Alt 4, by RACH resource grouping, the RACH resource toward two TRPs can not be overlapped if two RACH procedures are supported. |
| vivo | Support the proposal for further down-selection in the next meeting. |
| Spreadtrum | Fine to study and down-selection |
| Nokia/NSB | Fine with the proposal and with adding the suggested alternative by DOCOMO. |
| Xiaomi | We share similar view with Huawei. |
| ZTE | Lenovo suggested “at least” should be captured in the main bullet. |
| CMCC | Fine to further discuss. |
| Ericsson | Support. But we don’t think it’s necessary to make the down selection in RAN1#111.  To DCM: we don’t think it’s possible to associate RAR with a CORESETPoolIdx, since the corresponding PDCCH is transmitted using a Type1-PDCCH CSS. |
| Moderator | Samsung has a proposal to including 2 TAG IDs in RAR. Do companies support to discuss this? If yes, should this be discussed as another alternative in this proposal or as a separate preoposal? |
| Lenovo | Whether to include 2 TAG IDs can be discussed further if Alt1 is supported. |
| QC | Including 2 TAG IDs in RAR is a separate discussion. For this, we first need PRACH transmission with different beams, which is under discussion in coverage enhancement AI in Rel-18. We do not think it is essential at least at this stage for this sub-agenda. |
| NTT Docomo | To Ericsson: Thanks for the comment. You’re right. We can remove RAR in Alt.6.  *Alt 6: TAG ID is associated with CORESETPoolIndex and TAG ID is determined based on the CORESETPoolIndex of PDCCH order ~~or RAR~~* |
| Ericsson | We are OK. Then it seems that some of the options depend on which alternative is agreed for proposal 6 |
| Samsung | Thank you for the discussion. We would like to update Alt1 and Alt2 as follows:  *Alt 1: include at least one TAG ID as part of TA command in RAR*  *Alt 2: indicate at least one TAG ID as part of PDCCH order*  We would like to also add the following note:   * Note: This doesn’t preclude a PDCCH order triggering 2 RACH procedure.   Difference between Alt4 and Alt5 is not clear as a preamble can be considered as RACH resource. |

# 8 Potential enhancements to absolute TA command

In the first FL summary R1-2210304, the following question was discussed:

Question 9 (from previous round)

*Are there other issues RACH related issues that are not captured by Questions 1-8?*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| QC | Yes:   * Absolute TA MAC-CE is also related to random access procedures (part of 2-step RACH procedures). * Allowing for two parallel random access procedures is also relevant for multi-DCI based mTRP with 2 TAs. RAN1 should study the use case and benefit further, and if needed, ask about RAN2’s opinion. |
| Lenovo | Agree with QC about the need to study Absolute TA MAC-CE since it is also related to RACH. |
| Ericsson | Agree with QC that absolute TA MAC CE should be enhanced. An enhanced absolute MAC CE can be used in more situations than in 2-step RACH. |
| Nokia/NSB | It should be discussed whether a PRACH transmission is always needed when a new TCI state(s), associated with a different/new or even same TRP/PCI, is indicated or activated. And whether to let the UE determine the need for such a transmission at least in some cases. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | For PDCCH order, whether a PDCCH order can trigger one preamble only or two preambles (one from each TRP). In case two preamble are triggered, whether the RAR includes one or two TAs. |

*FL Comment:* Three companies point out potential enhancements to absolute TA command. We can try to collect comments on this in Proposal 9. The other issues mentioned in the above table are only discussed by one company each. We can discuss these proposals in the future.

## Proposal 9 (Moved for email endorsement)

*For multi-DCI based Multi-TRP operation with two TA enhancement, support potential enhancements related to indicating TAG ID via absolute TA command:*

* *FFS: whether the indication is implicit or explicit*
* *Detailed indication schemes are FFS*

*Please provide your input on Proposal 9 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| NTT Docomo | Support |
| Huawei, Hisilicon | We are open to discuss this. |
| QC | Support |
| LGE | This could be merged with Proposal 8? |
| OPPO | Open for further discussion. |
| Lenovo | Ok to discuss and it seems it can be merged with Proposal 8 since it’s RACH related. |
| CATT | We are open to discuss this. |
| MediaTek | Okay for the study |
| Futurewei | We are ok for further discussion. |
| Sharp | Support |
| Samsung | Fine to study, we would like to update as follows:  *For multi-DCI based Multi-TRP operation with two TA enhancement, support potential enhancements related to indicating TAG ID(s) via absolute TA command:*   * *FFS: whether the indication is implicit or explicit* * *FFS: Details on TA reporting for two TAG IDs if supported* * *Detailed indication schemes are FFS* |
| Transsion | We are open to discuss this. |
| vivo | OK for further study. |
| Spreadtrum | Support |
| Nokia/NSB | OK to further discuss this aspect. |
| Xiaomi | OK for discuss. |
| ZTE | Open to further discuss. |
| CMCC | Fine to further discuss |
| Ericsson | Support the proposal. Then on the “implicit vs explicit”, it’s not clear how something could be implicit in a MAC CE. |
| Moderator | This proposal has been moved for email endorsement. Please check the following email thread and provide your comments (if any):  [110bis-e-R18-MIMO-02] Email discussion on two TAs for multi-DCI: EMAIL ENDORSEMENT 2 |

# 9 Overlapped region handling

In TDocs submitted, some companies propose to support overlapped transmission when the UE is capable of simultaneous uplink transmission. In RAN1#110bis-e, simultaneous transmission of PUSCH+PUSCH has been agreed for multi-DCI via the following agreement in agenda 9.1.4.1:

**FL Proposal 2-1**

**Support STxMP PUSCH+PUSCH transmission in multi-DCI based system in Rel-18.**

* **Two independent PUSCHs associated with different TRPs can be transmitted by a UE simultaneously in same active BWP.**
* **The total number of layers of these two PUSCHs is up to 4.**
* **FFS: whether the number of layers of each of these two PUSCHs is up to 2.**

Hence, we can see if the following proposal is agreeable:

## Proposal 10

*For multi-DCI based Multi-TRP operation with two TA enhancement, support overlapping transmission between two PUSCHs transmitted with different TAs for UEs that are capable of multi-DCI based PUSCH+PUSCH STxMP*

* *Further details are FFS*

*Please provide your input on Proposal 10 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| NTT Docomo | Support |
| Huawei, Hisilicon | More details should be clarified. In our view, whether overlapping of two UL slots is allowed or not should depends on whether the two beams use for the two UL slots can be used for STxMP or not. A UE that supports STxMP may not support overlapped UL slots if the beams used for these two UL slots cannot be used for STxMP. |
| QC | One issue we see is that UE may need to follow one rule for PUSCH+PUSCH and another rule for SRS+PUSCH, PUSCH+PUCCH, SRS+PUCCH.  This type of overlap should not be based on whether the spec supports a specific combinations of STxMP UL signals / channels. Rather, this type of overlap can happen even for signals / channels logically TDMed (due to different TAs). Hence, whether the UE needs to drop something (or scheduling restriction is needed) depends on RF capability of UE (two Tx RF chains), and not based on which STxMP combinations are supported by the spec in Rel-18.  We see two solutions:   1. Leave this up to UE implementation, i.e., UE may drop or not drop 2. UE indicates a separate capability whether a dropping / scheduling restriction is needed or not. This capability is not necessarily tied to STxMP cases supported by the spec.   At the end, we think there is really no good solution for this issue in general. Hence, we might as well leave this to UE implementation. |
| LGE | OK in principle. |
| OPPO | Support.  For M-DCI PUSCH + PUSCH STxMP, it seems two separate UL transmission chains can be deployed at UE side, if supporting STxMP. From UL beam aspects, no matter these UL beams are within the same slot or cross slots, UE should be able to transmit independently two PUSCHs. |
| Lenovo | Support. |
| CATT | Support the proposal. |
| MediaTek | Support in principle. However, we prefer this can reported as a UE optional capability |
| Futurewei | More discussions are needed as it is related to UE implementation/UE capability. |
| Sharp | For STxMP, there are potential restrictions such as power limit and the number of layers.  At least in terms of power control, transmission power is determined based logical transmission occasion, but overlapping problem occurs regardless of the logical time line. For this reason, we suggest that “*support logically overlapping transmission*”. |
| Samsung | Fine in principle |
| NEC | Support. |
| Transsion | We are open to discuss this as it is related to UE capability. |
| vivo | support |
| Spreadtrum | Support |
| Nokia/NSB | Fine with the proposal, and we somewhat share a similar view as QC. |
| Xiaomi | Support the proposal. |
| ZTE | Support FL’s proposal. |
| CMCC | Fine with proposal |
| Ericsson | Not sure that we need the proposal. At least some overlapping transmissions are supported by default with STxMP. |
| Moderator | Although most companies support the proposal, some companies expressed the view that this can be left to UE implementation. Do companies who supported this proposal above agree with the view that this issue can be left to UE implementation? If the answer is yes, we can close the discussion on this. |
| QC | We suggest discussing this together with Proposal 11. Please see our discussions in the next section. |

Next, on the issue of overlap handling for UEs that are not capable of simultaneous uplink transmission, whether scheduling restriction can be adopted may depend on if the two TRPs both have knowledge of the overlapping region. Hence, companies are asked to provide their views on the assumption of the knowledge of overlapping region at both TRPs in the questions below:

## Question 10

*For multi-DCI based Multi-TRP operation with two TA enhancement, can it be assumed that both TRPs have knowledge of the overlapping region between transmissions corresponding to the two TAs?*

## Question 11

*If the answer to Question 10 is yes, can the overlapped handling issue be addressed via scheduling restriction?*

*Please provide your input on Questions 10 and 11 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Huawei, Hisilicon | For Q10, we don’t think this assumption is reasonable. It is unclear how the two TRPs can get the knowledge of the overlapped region. |
| QC | Question 10: Not always (e.g., not in non-ideal BH) or not accurately (exact absolute TA value at the UE is not known even in ideal backhaul).  Question 11: Yes, leaving a symbol gap can address the issue (MTTD is half a symbol for inter-band CA, and it is very unlikely that RAN4 increases MTTD for mTRP), but this approach results in overhead. |
| LGE | For Question 10, it depends on whether it is ideal backhaul case or non-ideal backhaul case. For non-ideal backhaul case, dynamically scheduling channels/signals could not be shared across different TRPs so both TRPs may not have knowledge of the overlapping region.  For Question 11, since the answer to Question 10 is no, overlapping handling issue with scheduling restriction is not proper solution. Rather, dropping of overlapped part from UE side could be the solution as gNB can detect partial dropping. |
| OPPO | Q10: for M-DCI MTRP, we cannot easily assume the TRPs could coordinate in slot level.  Consider the legacy approach in Spec, the overlapped part of later transmission can be dropped by UE. |
| CATT | For Q10, the answer is yes. The knowledge of the overlapping region between transmissions corresponding to the two TAs can be obtained by UE reporting the overlapped interval, or alternatively, by NW through the UE position estimation. |
| MediaTek | Q10, not for non-ideal BH case |
| Futurewei | Q10: we share the same view as other companies that the assumption is not true, especially for non-ideal backhaul case. |
| Samsung | Q10 Yes for Intra-No, unlikely for Inter-DU  Q11 Yes |
| NEC | Q10 depends on the deployment.  Q11 can partially solve the overlapping. |
| vivo | Q10: the assumption is not true in some cases such as non-ideal backhaul, imperfect synch between TRPs, etc.  Q11: Maximum scheduling gap may be required to avoid the overlapping scheduling. |
| Spreadtrum | Q10, the assumption may be true. In R16, we have assumption that PUCCH/PUSCH from different TRPs are TDMed by gNB implementation. Thus, the assumption from R16 can be reused.  Q11, yes. But the scheduling restriction is not necessary. If there are overlapping, simple rule, e.g., dropping the overlapping part for the latter slot for single TRP case in Rel-15, can be considered |
| Nokia/NSB | Q10: accurate knowledge of the overlapping region between transmissions may not be available.  Q11: if assuming some worst-case overlapping region. Otherwise, some simple dropping rule can be defined. |
| Xiaomi | For Q10, the knowledge of the overlapping region may not be acquired accurately. |
| ZTE | Q10: It should be noted that both ideal and non-ideal hack-haul can be assumed for MDCI MTRP operation. Based on that, the answer can be yes at least.  Q11: Yes. |
| Ericsson | Q10: Not without additional UE reporting, since the transmissions from the TRPs are not perfectly synchronized. Slot-level synch is possible of course, but the requirements are much tighter here.  Q11: Only if worst case overlap is assumed. The legacy dropping rule is better – just applicable in more cases. |

Based on input provided above, we can draw the following conclusion. Also, since knowledge of the overlapping region may not be known always at both the TRPs, scheduling restrictions may not always work. Some companies suggested to solve the overlapping issue via UE dropping rule(s). This is included as a proposal below:

## Conclusion 1

*For multi-DCI based Multi-TRP operation with two TA enhancement, it cannot always be assumed that both TRPs have knowledge of the overlapping region between transmissions corresponding to the two TAs.*

## Proposal 11

*For multi-DCI based multi-TRP operation with two TAs, overlapping between two UL transmissions associated with two TAs is handled by introducing UE dropping rules*

* *FFS exact dropping rules.*

*Please provide your input on Conclusion 1 and Proposal 11 below:*

|  |  |
| --- | --- |
| **Company Name** | **Comments** |
| Lenovo | We are fine with the Conclusion 1.  For Proposal 11, does it mean that the solution by scheduling restriction to address the overlapping issue is excluded? |
| QC | We agree with the conclusion, but we do not agree with “scheduling restrictions may not always work”. As we explained above, leaving a symbol gap can address the issue since MTTD is half a symbol for inter-band CA, and RAN4 will not increase this further for mTRP. It should be noted that for Rel-16 TDM based multi-DCI with non-ideal backhaul, TRPs need to coordinate at least semi statically to ensure that UL signals / channels do not overlap logically. Now, in addition to that, TRPs needs to leave a symbol margin as part of that semi-static coordination to ensure that UL signals / channels do not overlap physically.  Also, we do not think we should jump to Proposal 11 w/o listing all alternatives clearly and careful consideration. When UE drops part of the UL,   * Case 1: If the dropped part is from the beginning of the later UL (legacy rule), DMRS is lost if UL has front-loaded DMRS (typical case), and hence, the whole UL is lost. * Case 2: If the dropped part is from the ending of the earlier UL, this become different than legacy rule, and coding rate is impacted (as the last symbol is lost) * In both cases, at least one symbol is lost anyways (unless if the overlapping part is very small). Then, it seems that the scheduling restriction (leaving a symbol gap) is better, no?   We suggest the following, but we are also open to clarify each Alt further or to consider additional Alts. Proposal 11 *For multi-DCI based multi-TRP operation with two TAs, down-select one Alt for handling overlapping between two UL transmissions associated with two TAs by RAN1#111*   * *Alt1: Introducing UE dropping rules*   + *FFS exact dropping rules* * *Alt2: Handled by gNB implementation, i.e., UE does not expect the two UL transmissions to overlap in actual (physical) time, even partially* * *Alt3: It is left to UE implementation how to handle the overlapping part between two UL transmissions, i.e., it is treated similar to “transient period”* * *This applies at least to TDM UL multi-DCI based multi-TRP*   + *FFS: Whether UE capability is needed to indicate the need / no need of the selected Alt (in case of Alt1/Alt2) or if the need / no need is dependent on other features (such as multi-DCI STxMP for PUSCH)* |
| NTT Docomo | Support |
| Ericsson | Interesting comments from Qualcomm. However, we think dropping rules are needed in any case. |
| Samsung | The conclusion is a gNB/network implementation issue. Its relevance to the issue being discussed is also under network control. For example, if the network knows that there is a potential overlap, it can decide to always introduce scheduling restrictions regardless of whether both TRPs or just one of them is transmitting. Scheduling restrictions can introduce a gap-symbol if there is a potential of overlap. Therefore, we still think that the overlap issue can be handled by scheduling restrictions.  We are fine to leave dropping to be based on UE implementation should there be an otherlap.  In Rel-15 overlap can occur between consecutive UL slots, when the transmission time of the later slot is advanced relative to the earlier slot. This can already be handled by UEs. |
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# 10 Potential Issue related to RAN2

In the first FL summary R1-2210304, the following question was discussed:

Question 8 (from previous round)

*Whether there is a need to enhance CBRA procedures to support UE-based PRACH triggering per TRP when the corresponding Time alignment timer expires?*

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| **Company Name** | **Comments** |
| Huawei, Hisilicon | We are open to discuss this. |
| Google | We are OK to it. |
| QC | This may be a RAN2 issue. We suggest to first focus on CFRA from RAN1 signaling perspective, and also send an LS to RAN2 regarding timer and PTAG definition. |
| Lenovo | Open to discuss it. |
| MediaTek | TA timer related issue can be left to RAN2 |
| OPPO | Support to study in RAN1. |
| ZTE | Yes.  In addition to our elaboration in Q4, it should be noted that the following events related to TA (as specified in TS38.300) can be based on CBRA:   * DL or UL data arrival during RRC\_CONNECTED when UL synchronisation status is "non-synchronised" * Request by RRC upon synchronous reconfiguration (e.g. handover) * To establish time alignment for a secondary TAG |
| vivo | Yes, it could be discussed in RAN2, in our view, only relevant enhancement on RAR is to simply include TAG ID. |
| InterDigital | Support to study, and also agree with QC to send an LS to RAN2 regarding the timer and PTAG related issues. |
| NTT DOCOMO | We think a necessary enhancement for UE triggered RACH is determination of whether TA command in RAR PDSCH corresponds to first TAG or second TAG. |
| Apple | If the intended use case is ‘TAT timer expires’, it can be handled by RAN2. |
| Sharp | Support to study |
| LGE | Open to discuss. However it seems more like gNB’s choise to trigger RACH for the corresponding TAG. If both of timers are expired in SpCell, it is clear that UE-based PRACH triggering should be supported. |
| Spreadtrum | Fine to leave it to RAN2. |
| Xiaomi | Support to discuss in RAN1. |
| CMCC | Open to discuss. |
| CATT | We are open to discuss it. |
| Ericsson | The use case should be clarified first, and RAN2 should be involved. |
| Nokia/NSB | This aspect needs to be discussed and addressed, be it in RAN1 and/or RAN2. |
| Moderator | Let’s continue discussion in next round. |
| Samsung | Existing procedures seem to be sufficient. When the timer expires (for first TA or second TA), the UE can trigger a corresponding preamble. This can be further considered in RAN2 |
| Ericsson | Note that the UE does not automatically trigger a RACH procedure when the TA timer expires, only when the UE has something to transmit in UL.  It would seem natural that if both timers expire, the UE will resort to legacy procedures: MAC will not transmit anything in UL in that case. The interesting thing is what happens if one of the timers expires, when the UE can still transmit signals in UL, using one of the TRPs. This is a question that RAN1 can at least think about. |

*FL Comment:* Several companies pointed out that the issue discussed should be considered by RAN2. Some companies also proposed to send an draft LS to RAN2. Some companies also pointed out that there may be other issues that are RAN2 related also (e.g., whether one or two PTAGs need to be supported when the SpCell contains two TAGs). Note that we haven’t discussed these other issues yet. From FL’s perspective, this is the first meeting where we are discussing RACH related issues in RAN1. May be we can wait 1-2 more meetings to make some more progress on RAN1 related issues before sending the LS to RAN2.

# 11 Proposals for Online Discussion

TBD