**3GPP TSG-RAN WG1 Meeting #106-e *R1-210xxxx***

**e-Meeting, August 16th – 27th, 2021**

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| *CR-Form-v12.1* |
| **DRAFT CHANGE REQUEST** |
|  |
|  | **38.212** | **CR** | **XXX** | **rev** | **-** | **Current version:** | **16.6.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  | Rel-15 editorial corrections for TS 38.212 (mirrored to Rel-16) |
|  |  |
| ***Source to WG:*** | Huawei |
| ***Source to TSG:*** | R1 |
|  |  |
| ***Work item code:*** | NR\_ newRAT-Core |  | ***Date:*** | 2021-08-25 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed e?planations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | 1. Corrections on data and control multiplexing as outcome of issue #3 in email discussion [106-e-NR-7.1CRs-11].
2. Corrections on DCI formats as outcome of issue #13 in email discussion [106-e-NR-7.1CRs-11].
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|  |  |
| ***Summary of change:*** | 1. Align the indent and add “only” in section 6.2.7 as in R1-2106517.
2. Capture the editorial corrections in DCI format 0\_1 as in section 3.3 in R1-2108412.
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| ***Consequences if not approved:*** | Specification is inconsistent |
|  |  |
| ***Clauses affected:*** | 6.2.7, 7.3.1.1.2, 7.3.1.1.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  |  |
| ***affected:*** |  | **X** |  Test specifications |  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications |  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

### 6.2.7 Data and control multiplexing

< Unchanged part is omitted >

If frequency hopping is configured for the PUSCH,

- denote  as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the first hop;

- denote  as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the second hop.

- denote  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the first hop;

- denote  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the second hop;

- if HARQ-ACK is present for transmission on the PUSCH with UL-SCH or if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH, let

-  and ;

- if CSI is present for transmission on the PUSCH with UL-SCH, let

- ;

- ;

- ; and

- ;

- if CG-UCI is present for transmission on the PUSCH with UL-SCH and without HARQ-ACK, let

- $G^{CG-UCI}\left(1\right)=N\_{L}∙Q\_{m}∙\left⌊{G^{CG-UCI}}/{\left(2∙N\_{L}∙Q\_{m}\right)}\right⌋$ and $G^{CG-UCI}\left(2\right)=N\_{L}∙Q\_{m}∙\left⌈{G^{CG-UCI}}/{\left(2∙N\_{L}∙Q\_{m}\right)}\right⌉$

- if only HARQ-ACK and CSI part 1 are present for transmission on the PUSCH without UL-SCH, let

- ;

- ;

- ; and

- ;

- if HARQ-ACK, CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let

- ;

- ;

- if the number of HARQ-ACK information bits is more than 2 or if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH,; otherwise, 

- ;

-  if the number of HARQ-ACK information bits is no more than 2, and  otherwise; and

-  if the number of HARQ-ACK information bits is no more than 2, and  otherwise;

- if CG-UCI is present for transmission on the PUSCH with UL-SCH and without HARQ-ACK, let

- $G^{CSI-part1}\left(1\right)=min\left(N\_{L}∙Q\_{m}∙\left⌊{G^{CSI-part1}}/{\left(2∙N\_{L}∙Q\_{m}\right)}\right⌋, M\_{1}∙N\_{L}∙Q\_{m}-G^{CG-UCI}\left(1\right) \right)$;

- $G^{CSI-part1}\left(2\right)= G^{CSI-part1}-G^{CSI-part1}\left(1\right)$;

- $G^{CSI-part2}\left(1\right)=M\_{1}∙N\_{L}∙Q\_{m}-G^{CG-UCI}\left(1\right)-G^{CSI-part1}\left(1\right)$; and

- $G^{CSI-part2}\left(2\right)=M\_{2}∙N\_{L}∙Q\_{m}-G^{CG-UCI}\left(2\right)-G^{CSI-part1}\left(2\right)$;

- if only CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let

- ;

- ;

- ; and

- ;

- let , and denote ,  as the number of OFDM symbols of the PUSCH in the first and second hop, respectively;

-  is the number of transmission layers of the PUSCH;

-  is the modulation order of the PUSCH;

- ;

- 

- .

< Unchanged part is omitted >

7.3.1.1.2 Format 0\_1

< Unchanged part is omitted >

Precoding information and number of layers – number of bits determined by the following:

- 0 bits if the higher layer parameter *txConfig = nonCodeBook*;

- 0 bits for 1 antenna port and if the higher layer parameter *txConfig = codebook*;

- 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRank*, and *codebookSubset*;

- 4 or 5 bits according to Table 7.3.1.1.2-2A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1, maxRank=2,* transform precoder is disabled, and according to the values of higher layer parameter *codebookSubset*;

- 4 or 6 bits according to Table 7.3.1.1.2-2B for 4 antenna ports, if *txConfig = codebook, ul-FullPowerTransmission = fullpowerMode1,* *maxRank=3 or 4,* transform precoder is disabled, and according to the values of higher layer parameter *codebookSubset*;

- 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank*, and *codebookSubset*;

- 3 or 4 bits according to Table 7.3.1.1.2-3A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1*, *maxRank=1*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameter *codebookSubset*;

- 2 or 4 bits according to Table7.3.1.1.2-4 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRank* and *codebookSubset*;

- 2 bits according to Table 7.3.1.1.2-4A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1*, transform precoder is disabled, *maxRank=2*, and *codebookSubset=nonCoherent*;

- 1 or 3 bits according to Table7.3.1.1.2-5 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank* and *codebookSubset*;

- 2 bits according to Table 7.3.1.1.2-5A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1*, *maxRank=1*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameter *codebookSubset*;

For the higher layer parameter *txConfig=codebook*, if *ul-FullPowerTransmission* is configured to *fullpowerMode2*, maxRank is configured to be larger than 2, and at least one SRS resource with 4 antenna ports is configured in an SRS resource set with usage set to 'codebook' and an SRS resource with 2 antenna ports is indicated via SRI in the same SRS resource set, then Table 7.3.1.1.2-4 is used.

For the higher layer parameter *txConfig = codebook*, if different SRS resources with different number of antenna ports are configured, the bitwidth is determined according to the maximum number of ports in an SRS resource among the configured SRS resources in an SRS resource set with usage set to 'codebook'. If the number of ports for a configured SRS resource in the set is less than the maximum number of ports in an SRS resource among the configured SRS resources, a number of most significant bits with value set to '0' are inserted to the field.

< Unchanged part is omitted >

Table 7.3.1.1.2-10: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 3

|  |  |  |
| --- | --- | --- |
| **Value** | **Number of DMRS CDM group(s) without data** | **DMRS port(s)** |
| 0 | 2 | 0-2 |
| 1-7 | Reserved | Reserved |

Table 7.3.1.1.2-11: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 4

|  |  |  |
| --- | --- | --- |
| **Value** | **Number of DMRS CDM group(s) without data** | **DMRS port(s)** |
| 0 | 2 | 0-3 |
| 1-7 | Reserved | Reserved |

 < Unchanged part is omitted >

##### 7.3.1.1.3 Format 0\_2

 < Unchanged part is omitted >

Precoding information and number of layers – number of bits determined by the following:

- 0 bits if the higher layer parameter *txConfig = nonCodeBook*;

- 0 bits for 1 antenna port and if the higher layer parameter *txConfig = codebook*;

- 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRankDCI-0-2*, and *codebookSubsetDCI-0-2*;

- 4 or 5 bits according to Table 7.3.1.1.2-2A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1,* the values of higher layer parameters *maxRankDCI-0-2=2,* transform precoder is disabled, and according to the value of higher layer parameter *codebookSubsetDCI-0-2*;

- 4 or 6 bits according to Table 7.3.1.1.2-2B for 4 antenna ports, if *txConfig = codebook, ul-FullPowerTransmission =fullpowerMode1,* the values of higher layer parameters *maxRankDCI-0-2=3 or 4,* transform precoder is disabled, and according to the value of higher layer parameter *codebookSubsetDCI-0-2*;

- 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;

- 3 or 4 bits according to Table 7.3.1.1.2-3A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1*, *maxRankDCI-0-2=1*, and according to whether transform precoder is enabled or disabled, and the value of higher layer parameter *codebookSubsetDCI-0-2*;

- 2 or 4 bits according to Table7.3.1.1.2-4 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;

- 2 bits according to Table 7.3.1.1.2-4A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1*, transform precoder is disabled, the *maxRankDCI-0-2=2*, and *codebookSubsetDCI-0-2=nonCoherent*;

- 1 or 3 bits according to Table7.3.1.1.2-5 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;

- 2 bits according to Table 7.3.1.1.2-5A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1*, *maxRankDCI-0-2=1*, and according to whether transform precoder is enabled or disabled, and the value of higher layer parameter *codebookSubsetDCI-0-2*.

< Unchanged part is omitted >