**3GPP TSG RAN WG1 #103-e R1-200xxxx**

**e-Meeting, October 26th – November 13th, 2020**

**Agenda item:** 8.8.2.2

**Source:** Moderator (Qualcomm)

**Title:** FL summary of PUCCH coverage enhancement

**Document for:** Discussion/Decision

# Introduction

In this document, a summary of companies’ view on potential techniques for PUCCH coverage enhancement is provided.

# Summary of study on prioritized schemes

## Sequence based DMRS-less PUCCH

Ten companies have provided LLS results for this scheme. The following table is firstly extracted from R1-2007483 “[102-e-Post-NR-CovEnh-02] Phase 3: initial collection of simulation results for enhancements” [23], followed by adding new results submitted to RAN103e in [1][10].

Table 1: Performance gain observed for sequence based DMRS-less PUCCH

|  |  |
| --- | --- |
| Company | Observed performance gain  |
| ZTE | 2 ~ 3 dB |
| Intel | -1.0 ~ 0.2 dB |
| Qualcomm | 3 ~ 4 dB |
| Sharp | 3 dB |
| CMCC | 1 ~ 2.7dB |
| vivo | 0.3 ~ 0.5dB |
| Ericsson | 0 ~ 0.2dB |
| EURECOM | 1.5 ~ 2.1dB (Coding gain)4.8 dB (PAPR gain) |
| Huawei, HiSi | 3 ~ 4dB4.5dB (PAPR gain) |
| OPPO | ~3dB |

Besides the LLS simulations to study the gain of the scheme, a few other aspects of the schemes are also discussed/studied:

* The spec impact of the scheme is discussed in [1][4][6]
* The receiver complexity with the scheme is studied/discussed in [1][15][18][19]
* The receiver sensitivity to time and frequency error is studied in [18]

## PUSCH repetition Type-B like PUCCH repetition

One company provided LLS results for this scheme. The following table is extracted from [23].

Table : Performance gain observed for PUSCH repetition Type-B like PUCCH repetition

|  |  |
| --- | --- |
| Company | Observed performance gain  |
| VIVO | 0.5dB (w/o DMRS bundling) 1~1.5dB (w DMRS bundling) |

Besides the LLS simulations to study the gain of the scheme, a few other aspects of the schemes are also discussed/studied:

* The spec impact of the scheme is discussed in [4][6]
* Restrictions to apply the scheme in certain scenarios such as >11 bits UCI [4]
* Some design details of the scheme are discussed in [9][20]

## (Explicit or implicit) Dynamic PUCCH repetition factor indication

Two companies provided simulation results for this scheme. The following table is extracted from [23].

Table : Performance gain observed for PUSCH repetition Type-B like PUCCH repetition

|  |  |
| --- | --- |
| Company | Observed performance gain  |
| Ericsson | 5 dB (with repetition factor 8) |
| ZTE | Reducing the number of PUCCH repetitions for more than 70% cases. |

A point was raised in [19] that this scheme cannot be considered as an independent solution for PUCCH coverage enhancement, because this is only a scheme to enhance signalling which does not offer extra coverage.

## DMRS bundling cross PUCCH repetitions

Three companies provided LLS results for this scheme. The following table is extracted from [23].

Table : Performance gain observed for PUSCH repetition Type-B like PUCCH repetition

|  |  |
| --- | --- |
| Company | Observed performance gain  |
| ZTE | 1 dB  |
| Intel | ~1.2 dB  |
| VIVO | 0.85 ~ 1.3 dB  |

To allow DMRS bundling, one prerequisite is the phase coherency cross PUCCH repetitions. This issue was mentioned in a few contributions. It is suggested in [12] to send LS to RAN4 to ask under what conditions UE can keep phase coherence cross repetitions.

## FL proposals for prioritized schemes

Based on the input from companies, the following is proposed.

**Proposed conclusion**: **For the prioritized schemes agreed in RAN1 102e for PUCCH coverage enhancement, further study and conclude in RAN1 103e the following aspects:**

* **Use case/restriction/prerequisite of the schemes**
* **Performance gains including SINR gain (to achieve the required BLER) and PAPR gain**
* **Potential spec impact of the scheme**
* **Impact to base station receiver implementation including receiver complexity and sensitivity to time and frequency error**
* **Impact to UE implementation**
	+ **Send LS to RAN4 for identified RAN4 related issue if any.**

Table 5: Comments to the FL proposal

|  |  |
| --- | --- |
| Company | Comments |
|  |  |

# Summary of study on other schemes

The study results on other schemes for PUCCH coverage enhancement are captured in Section 3.2 in [23], and copied as below.

Table : Performance gain observed for other PUCCH coverage enhancement schemes

|  |  |  |
| --- | --- | --- |
| Company | Solutions | Performance gain |
| CATT | One antenna precoder cycling | 1 dB |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | Power boosting for pi/2 BPSK | 3 dB for <50% UL duty cycle |
| 6 dB for <25 % UL duty cycle |
| Qualcomm | UCI payload compression (FR2 L1 beam report) | Helps increase reliability of beam switching procedure |
| NTT DOCOMO | Repetition for PUCCH format 2 | 1.5 dB |
| Ericsson | Aperiodic CSI on PUCCH | 3.5 dB MIL5.0 dB LLS |

# Further discussion

The next phase is to have more technical discussions on each proposed technique. For each scheme, companies are welcome to express feedback and comments to further discuss the LLS gain, PAPR gain, the spec impact, and the impact to receiver implementation.

## Sequence based DMRS-less PUCCH

Companies are welcomed to provide views in the following table to identify the pros. and cons. of this scheme.

Table 6: Comments on the “Sequence based DMRS-less PUCCH”

|  |  |
| --- | --- |
| Company:  | Use case of the scheme: |
| Any Restriction to apply the scheme: |
| Any prerequisite to apply the scheme:  |
| Performance gain | SNR gain:  |
| PAPR gain:  |
| Spec impact: |
| Impact to receiver | Receiver complexity:  |
| Receiver sensitivity to time/frequency error: |
| Impact to UE implementation |  |

## PUSCH repetition Type-B like PUCCH repetition

Companies are welcomed to provide views in the following table to identify the pros. and cons. of this scheme.

Table 7: Comments on the “PUSCH repetition Type-B like PUCCH repetition”

|  |  |
| --- | --- |
| Company:  | Use case of the scheme: |
| Any Restriction to apply the scheme: |
| Any prerequisite to apply the scheme:  |
| Performance gain | SNR gain:  |
| PAPR gain:  |
| Spec impact: |
| Impact to receiver | Receiver complexity:  |
| Receiver sensitivity to time/frequency error: |
| Impact to UE implementation |  |

## (Explicit or implicit) Dynamic PUCCH repetition factor indication

Companies are welcomed to provide views in the following table to identify the pros. and cons. of this scheme.

Table 8: Comments on the “(Explicit or implicit) Dynamic PUCCH repetition factor indication”

|  |  |
| --- | --- |
| Company:  | Use case of the scheme: |
| Any Restriction to apply the scheme: |
| Any prerequisite to apply the scheme:  |
| Performance gain | SNR gain:  |
| PAPR gain:  |
| Spec impact: |
| Impact to receiver | Receiver complexity:  |
| Receiver sensitivity to time/frequency error: |
| Impact to UE implementation |  |

## DMRS bundling cross PUCCH repetitions

Table 9: Comments on the “DMRS bundling cross PUCCH repetitions”

|  |  |
| --- | --- |
| Company:  | Use case of the scheme: |
| Any Restriction to apply the scheme: |
| Any prerequisite to apply the scheme:  |
| Performance gain | SNR gain:  |
| PAPR gain:  |
| Spec impact: |
| Impact to receiver | Receiver complexity:  |
| Receiver sensitivity to time/frequency error: |
| Impact to UE implementation |  |

## Other schemes

Table 10: Comments on the “DMRS bundling cross PUCCH repetitions”

|  |  |  |
| --- | --- | --- |
| Company:  | Scheme: | Use case of the scheme: |
| Any Restriction to apply the scheme: |
| Any prerequisite to apply the scheme:  |
| Performance gain | SNR gain:  |
| PAPR gain:  |
| Spec impact: |
| Impact to receiver | Receiver complexity:  |
| Receiver sensitivity to time/frequency error: |
| Impact to UE implementation |  |

# References

1. [R1-2007584](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007584.zip%22%20%5Ct%20%22_parent), “Potential solutions for PUCCH coverage enhancement,” Huawei, HiSilicon, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
2. R1-2008942, “Discussion on Solutions for PUCCH coverage enhancement,” VIVO, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
3. [R1-2007744](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007744.zip), “Discussion on potential techniques for PUCCH coverage enhancements,” ZTE, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2007875](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007875.zip%22%20%5Ct%20%22_parent), “Discussion on potential techniques for PUCCH coverage enhancement,” CATT, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
2. [R1-2007955](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007955.zip), “On potential techniques for PUCCH coverage enhancement,” Intel Corporation, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2007995](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007995.zip%22%20%5Ct%20%22_parent), “Discussion on PUCCH coverage enhancements,” China Telecom, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
2. [R1-2008027](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008027.zip), “Discussion on PUCCH coverage enhancement,” CMCC, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
3. [R1-2008079](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008079.zip), “Discussion on PUCCH coverage enhancement,” NEC, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2008182](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008182.zip%22%20%5Ct%20%22_parent), “PUCCH coverage enhancement,” Samsung, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2008272](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008272.zip%22%20%5Ct%20%22_parent), “PUCCH coverage enhancement schemes,” OPPO, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
2. [R1-2008371](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008371.zip), “On PUCCH coverage enhancement techniques,” Sony, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2008379](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008379.zip%22%20%5Ct%20%22_parent), “Discussion on PUCCH coverage enhancements,” Panasonic Corporation, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
2. [R1-2008400](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008400.zip), “PUCCH coverage enhancement,” Sharp, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
3. [R1-2008404](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008404.zip), “Discussions on PUCCH coverage enhancement,” LG Electronics, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2008420](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008420.zip%22%20%5Ct%20%22_parent), “PUCCH coverage enhancement,” Ericsson, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
2. [R1-2008484](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008484.zip), “PUCCH coverage enhancements,” InterDigital, Inc, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
3. [R1-2008560](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008560.zip), “Potential techniques for PUCCH coverage enhancements,” NTT DOCOMO, INC, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2008627](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008627.zip%22%20%5Ct%20%22_parent), “Potential coverage enhancement techniques for PUCCH,” Qualcomm Incorporated, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2008704](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008704.zip%22%20%5Ct%20%22_parent), “Discussion on approaches and solutions for NR PUCCH coverage enhancement,” Nokia, Nokia Shanghai Bell, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

1. [R1-2008730](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008730.zip%22%20%5Ct%20%22_parent), “Discussion on potential techniques for PUCCH coverage enhancement,” WILUS Inc, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
2. [R1-2008756](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008756.zip), “PUCCH coverage enhancements,” Indian Institute of Tech (H), RAN1 #103 e-Meeting, October 26th – November 13th, 2020
3. [R1-2008759](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008759.zip), “Low-PAPR Sequence-Based Approaches for PUCCH Coverage Enhancement,” EURECOM, RAN1 #103 e-Meeting, October 26th – November 13th, 2020
4. R1-2007483, “[102-e-Post-NR-CovEnh-02] Phase 3: initial collection of simulation results for enhancements,” Moderator, RAN1 #103 e-Meeting, October 26th – November 13th, 2020

|  |  |  |
| --- | --- | --- |
| **Tdoc #** | **Title** | **Source** |
| [R1-2007584](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007584.zip%22%20%5Ct%20%22_parent) | Potential solutions for PUCCH coverage enhancement | Huawei, HiSilicon |
| [R1-2007681](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007681.zip)Revision: R1-2008942 | Discussion on Solutions for PUCCH coverage enhancement | vivo |
| [R1-2007744](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007744.zip) | Discussion on potential techniques for PUCCH coverage enhancements | ZTE |
| [R1-2007875](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007875.zip) | Discussion on potential techniques for PUCCH coverage enhancement | CATT |
| [R1-2007955](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007955.zip) | On potential techniques for PUCCH coverage enhancement | Intel Corporation |
| [R1-2007995](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007995.zip) | Discussion on PUCCH coverage enhancements | China Telecom |
| [R1-2008027](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008027.zip) | Discussion on PUCCH coverage enhancement | CMCC |
| [R1-2008079](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008079.zip) | Discussion on PUCCH coverage enhancement | NEC |
| [R1-2008182](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008182.zip) | PUCCH coverage enhancement | Samsung |
| [R1-2008272](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008272.zip) | PUCCH coverage enhancement schemes | OPPO |
| [R1-2008371](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008371.zip) | On PUCCH coverage enhancement techniques | Sony |
| [R1-2008379](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008379.zip) | Discussion on PUCCH coverage enhancements | Panasonic Corporation |
| [R1-2008400](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008400.zip) | PUCCH coverage enhancement | Sharp |
| [R1-2008404](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008404.zip) | Discussions on PUCCH coverage enhancement | LG Electronics |
| [R1-2008420](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008420.zip) | PUCCH coverage enhancement | Ericsson |
| [R1-2008484](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008484.zip) | PUCCH coverage enhancements | InterDigital, Inc. |
| [R1-2008560](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008560.zip) | Potential techniques for PUCCH coverage enhancements | NTT DOCOMO, INC. |
| [R1-2008627](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008627.zip) | Potential coverage enhancement techniques for PUCCH | Qualcomm Incorporated |
| [R1-2008704](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008704.zip) | Discussion on approaches and solutions for NR PUCCH coverage enhancement | Nokia, Nokia Shanghai Bell |
| [R1-2008730](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008730.zip) | Discussion on potential techniques for PUCCH coverage enhancement | WILUS Inc. |
| [R1-2008756](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008756.zip) | PUCCH coverage enhancements | Indian Institute of Tech (H) |
| [R1-2008759](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2008759.zip) | Low-PAPR Sequence-Based Approaches for PUCCH Coverage Enhancement | EURECOM |