**3GPP TSG RAN WG1 #103-e- R1-2009415**

**e-Meeting, October 26th – November 13th, 2020**

Source: moderator (vivo)

Title: Feature lead summary on Enhancements on Multi-TRP inter-cell operation

Agenda Item: 8.1.2.2

Document for: Discussion and Decision

1. Introduction

The following agreements were achieved in RAN1 #102e.

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| **Agreement**  Study the following aspects of QCL /TCI-related enhancement to enable inter-cell multi-DCI based multi-TRP operation.   * Details on configuration of non-serving cell RS; * Allowed source and target RS types for RS transmitted from the non-serving cell TRP ; * Allowed QCL types for RS transmitted from the non-serving cell TRP ; * Measurement and reporting related to QCL /TCI enhancement except for that in 8.1.1, if any; * Clarification on potential UE behavior for associating/multiplexing non-serving cell RS with other RS/channels; * Other details not precluded. |

In this contribution, contributions submitted in AI 8.1.2.2 are summarized. In section 2, the points raised in the contributions are listed.

1. 1. Item 1: QCL/TCI state/spatial relation configuration

For the detials on configurationof non-serving cell RS, there are mainly two issues mentioned from contributions (including [R1-2007541], [R1-2007646], [R1-2007765], [R1-2007826], [R1-2008002], [R1-2008150], [R1-2008219], [R1-2008348], [R1-2008440], [R1-2008905], [R1-2008912], [R1-2008945], [R1-2009029]):

Issue 1: the following information needed for configuration are mentioned by companies: PCI, SSB Periodicity, SSB position in burst and frequency position, beam sweeping property, or MeasObjectId

Majority companies support configuration of at least PCI for non-serving cell, based on the input the following FL proposal is proposed:

**FL Proposal 1-1:**

* **Non-serving cell information for inter-cell MTRP operation at least includes non-serving cell PCI**
* **FFS whether the following non-serving cell information is needed: SSB Periodicity, SSB position in burst, frequency position, beam sweeping property, MeasObjectId**
* **FFS introducing a flag to represent non-serving cell information**

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| Company | comments |
| CATT | At least the periodicity and frequency posisition of SSB in non-serving cell are needed. |
| vivo | Support the FL proposal.. |
| ZTE | We are supportive of Proposal 1-1 in principle. But some wording should be refined.  In order to identify the unique SSB from neighbor cell as QCL source, additional information besides PCI is needed, such as absolution frequency, SCS, etc. Meanwhile, additional information of neighbor cell SSB have been configured during mobility measurement processing. Specifically, on the other hand, those information (e.g., PCIs of multiple neighbor cells, *ARFCN-ValueNR* for frequency position, SCS for SSB, SMTC, etc.) are included in *MeasObjectNR* configured for each UE, each of which is identified by MeasObjectId.  Thus, as per our view, configure PCI and MeasObjectId is sufficient to introduce neighbor cell SSB in TCI state as QCL source. It is noted that MeasObjectId contains the SSB information, and it can be used to carry SSB information. But it is not one property of SSB. So our suggestion is as follows  Proposal 1-1:   * Non-serving cell information for inter-cell MTRP operation at least includes non-serving cell PCI * FFS whether the following non-serving cell information is needed: SSB Periodicity, SSB position in burst, frequency position, beam sweeping property, subcarrier spacing, SMTC * FFS how to configure the non-serving cell information, e.g. MeasObjectId + PCI * FFS introducing a flag to represent non-serving cell information |
| MediaTek | Support the proposal |
| DOCOMO | Support the FL Proposal 1-1 in principle.  For the first bullet, we suggest to add “SSB subcarrier spacing” and “SSB transmission power” for FFS. |
| Xiaomi | Support the proposal |
| QC | In addition to PCI, non-serving cell information is already specified in Rel. 16 :  SSB-Configuration-r16 ::= SEQUENCE {  ssb-Freq-r16 ARFCN-ValueNR,  halfFrameIndex-r16 ENUMERATED {zero, one},  ssbSubcarrierSpacing-r16 SubcarrierSpacing,  ssb-Periodicity-r16 ENUMERATED { ms5, ms10, ms20, ms40, ms80, ms160, spare2,spare1 }  sfn0-Offset-r16 SEQUENCE {  sfn-Offset-r16 INTEGER (0..1023),  integerSubframeOffset-r16 INTEGER (0..9)  }  sfn-SSB-Offset-r16 INTEGER (0..15),  ss-PBCH-BlockPower-r16 INTEGER (-60..50)  }  We think this can be the starting point and we can discuss if additional information / restriction is required.  We do not think this is related to MeasObjectId. Measurements for mobility are performed at a different time scale for a different purpose. Of course, exiting RRM measurements can be used by the network to identify the relevant neighbor cells (which is network implementation), but TCI state / QCL-related enhancements and L1-measurments for BM are separate than RRB measurements. |
| Apple | We think the transmission power of SSB from neighbor cell is needed for AGC and other measurement. We also do not understand what the second FFS means.  We suggest following changes:  **FL Proposal 1-1:**   * **Non-serving cell information for inter-cell MTRP operation at least includes non-serving cell PCI** * **FFS whether the following non-serving cell information is needed: SSB Periodicity, SSB position in burst, frequency position, beam sweeping property, MeasObjectId, transmission power of SSB** |
| OPPO | We support FL’s proposal. |
| Huawei/HiSilicon | We support this proposal.  Regarding Issue 1, in our understanding the only information needed for configuration is the PCI. The necessity of other information needs justification considering the practical scenario. For example, Rel-16 M-TRP operation relies on the assumption that PDSCHs are received on *the same BWP*, so same frequency position can be assumed.  Also, typical deployment would have each serving cell being associated with a corresponding measurement object given by *servingCellMO*. This MO carries information for measuring SS/PBCH blocks on the same frequency layer (higher-layer parameters such as *ssbFrequency* and *ssbSubcarrierSpacing* have to match with *frequencyInfoDL*) as the serving cell, using higher-layer parameters such as *ssbPeriodicityAndOffset*, *ssb-ToMeasure*, *ssbFrequency*. So by providing PCI to the UE, it’s already enough for the UE to implicitly track down proper MO for all information it needs. |
| Nokia/NSB | Support inclusion of PCI information (in TCI state and CSI measurement configuration) for inter-cell MTPR operation.  1st FFS bullet may not be needed as we do not plan to define non-serving cell identification for mult-TRP operation. We expect that the network will only trigger inter-cell multi-TRp operation when there is prior knowledge of the other cells that can be used to serve the UE. This prior knowledge is there can be by the L3 measurements, that we think not related to this discussion. |
| APT | We support this proposal |
| Ericsson | Support. We don’t understand the flag bullet, if the configured PCI is different from the PCI of the serving cell, it is by definition non-serving cell information |
| Samsung | To indicate a non-serving cell RS in TCI state, we do not see the need to include PCI in the TCI state. The UE only needs to differentiate between serving-cell RS and non-serving cell RS given that the PCI information have been configured to the UE via other RRC configurations such as MeasObjectNR. Hence, besides PCI in TCI, other methods to indicate/identify a non-serving cell RS in TCI should also be considered. We therefore suggest the following changes to the FL’s proposal:  **FL Proposal 1-1:**   * **Non-serving cell information is needed for inter-cell MTRP operation** * **FFS detailed/exact method(s) of incorporating non-serving cell information** * **FFS whether the following non-serving cell information is needed: SSB Periodicity, SSB position in burst, frequency position, beam sweeping property, MeasObjectId** |
| Futurewei | We agree with Qualcomm’s view based on existing Rel-16 design, but we are also open to other designs.  In addition, in Rel-16 non-serving cell cases, the neighbor cell’s may have different parameters such as BWP bandwidth, SCS, etc., than the serving cell’s, and hence those parameters may need to be configured for the UE. In Rel-17 M-TRP, however, those parameters should be the same as the serving cell’s, and hence the UE may ignore those parameters, or to avoid any ambiguity, those parameters may be removed from the configuration. |
| Lenovo/MotM | We share similar views with Ericsson and we can support the FL proposal without the second FFS bullet.  **FL Proposal 1-1:**   * **Non-serving cell information for inter-cell MTRP operation at least includes non-serving cell PCI** * **FFS whether the following non-serving cell information is needed: SSB Periodicity, SSB position in burst, frequency position, beam sweeping property, MeasObjectId** * **~~FFS introducing a flag to represent non-serving cell information~~** |
| Intel | Similar view as QC and Futurewei that we can consider exiting Rel-16 design as starting point |
| LG | We share similar views with Ericsson and Lenovo/MotM. We don’t see the need of the flag in addition to PCID. Furthermore, we have similar understanding with Huawei. Specifically, MO provides sufficient information about neighbor cell SSB so that it does not have to use separate RRC signaling for the SSB information. Motivation to consider neighbor cell out of RRM measurement as MTRP candidate is not clear. |
| CMCC | Support FL’s Proposal 1-1 in principle.  For M-TRP operation, the BWP and SCS is same for serving cell and non-serving cell, which do not need to additional configure this information for SSB from non-serving cell. Besides, from our understanding, the PCI can be used to represent non-serving cell information, we are not clear about the usage of “flag” in second bullet. |
| NEC | Support the proposal. |

Issue 2: various configuration methods are proposed by companies, including non-serving cell information is indicated in the TCI state, group TCI state and associate non-serving cell information with each group, non-serving cell information is indicated in the CSI-ReportConfig, non-serving cell information is indicated in the CSI-ResourceConfig, introduce a flag to represent non-serving cell information SSB. With these configuration method in mind, the following proposal is proposed:

**FL Proposal 1-2:**

**Support configuration of non-serving cell information with one or multiple of the following alternatives**

* **Alt 1: Non-serving cell information is indicated in the TCI state**
* **Alt 2: Group TCI state and associate non-serving cell information with each group**
* **Alt 3: Non-serving cell information is indicated in the CSI-ResourceConfig**
* **Alt 4: Non-serving cell information is indicated in the CSI-ReportConfig.**

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| Company | comments |
| CATT | Alt 1 is preferred. |
| vivo | Support FL proposal.  Support both Alt1 and Alt3. |
| ZTE | We are supportive of this proposal. Alt 2 is our preference.  Due to the geographical locations of serving cell TRP and neighbor cell TRP are different, the propagation and channel characteristic associated with data streams of the two TRPs are generally different. Correspondingly, configurations of TCI states of serving cell and neighbor cell should be TRP specific. Furthermore, in Rel-16 NR eMIMO, the parameter *CORESETPoolIndex* has been introduced to support multi-DCI based Multi-TRP configurations, such as separate HARQ-ACK, power control, etc. Therefore, to better support Multi-TRP inter-cell operation in NR Rel-17, we think the parameter *CORESETPoolIndex* should be associated with the group TCI state. For instance, *CORESETPoolIndex* = 0 corresponds to the serving cell and *CORESETPoolIndex* = 1 corresponds to the neighbor cell.  Besides, from our perspective, Alt. 1 will cause unnecessary signaling overhead. The benefit of Alt.3 and Alt.4 is unclear for us. |
| MediaTek | Support Alt 1 and Alt 2 |
| DOCOMO | Support FL proposal.  And regarding the four alternatives, we support Alt.1 and Alt.3. |
| Xiaomi | Support the proposal.  And Alt 1 and Alt 3 are preferred. |
| QC | We support Alt 1 and Alt 3 (for CSI-SSB-ResourceSet). For Alt 1, we suggest to also add QCL-Info as the non-serving info is more natural to be part of QCL-Info (which defined a TCI state) since it is only relevant for SSB, i.e., if a TCI state / QCL-Info uses CSI-RS as “referenceSignal”, non-serving cell information is not required. For Alt3, we suggest to mention CSI-SSB-ResourceSet (rather than CSI-ResourceConfig) as nzp-CSI-RS resource set does not need the non-serving cell information (only SSB resource set required that info).   * **Alt 1: Non-serving cell information is indicated in the TCI state / QCL-Info.** * **Alt 3: Non-serving cell information is indicated in the ~~CSI-ResourceConfig~~ CSI-SSB-ResourceSet.** |
| Apple | We think Alt3/Alt4 are related to beam measurement, which should depend on the outcome of 8.1.1, as we have discussed. So we suggest the following revision. Regarding Alt1 and Alt2, we support Alt2.  **FL Proposal 1-2:**  **Support configuration of non-serving cell information with one or multiple of the following alternatives**   * **Alt 1: Non-serving cell information is indicated in the TCI state** * **Alt 2: Group TCI state and associate non-serving cell information with each group** |
| OPPO | We support Alt.1 and Alt.3. For Alt.1, we think a flag to identify non-serving cell RS is sufficient and can avoid unnecessary signaling overhead. Detailed non-serving cell information can be indicated in the *CSI-ResourceConfig*. |
| Huawei/HiSilicon | We support Alt 1.  As per the WID, we should focus our discussions on how to enhance QCL indications and/or TCI states such that neighbor cell RSs can be used as QCL sources for PDSCH transmissions. |
| Nokia/NSB | Support Alt. 1 or 3. |
| APT | Our first preference is Alt. 1. Nonetheless, we can also accept Alt. 2. |
| Ericsson | Support Alt1. Alt3 is desirable, but that discussion belongs in AI 8.1.1 |
| Samsung | We prefer Alt. 1, and exact method(s) to identify non-serving cell RS should be FFS targeting at minimizing RAN2 impact and signaling overhead |
| Futurewei | We prefer Alt. 1 |
| Lenovo/MotM | We prefer Alt. 1 |
| Intel | Support Alt-1, Alt-3 but should clarify the scope of the agreement – does it imply that it applies to all RS/channels with TCI-state or that aspect will be decided separately. |
| LG | We support Alt. 1 and Alt 3,4 should be removed since it is discussed in AI 8.1.1 |
| CMCC | Support the updated proposal from QC.  Support Alt1. We agree with QC that only SSB for L1 beam measurement needs to be configured with non-serving cell information. |
| NEC | Support Alt. 1 and Alt. 3. |

* 1. Item 2: Allowed RS types and QCL types

Regarding the allowed RS types and QCL types, there are the following issues mentioned by companies ([R1-2007541], [R1-2007588], [R1-2007588], [R1-2007646], [R1-2007765], [R1-2007826], [R1-2008002], [R1-2008150], [R1-2008440], [R1-2008575], [R1-2008912], [R1-2008945]):

Issue 2-1: Whether SSB and CSI-RS for mobility from non-serving cell configured as non-serving cell RS. Majority companies supports to configure SSB from non-serving cell configured as non-serving RS. Several companies also support CSI-RS for mobility configured as non-serving RS. For other RS types, e.g. TRS, whether they can directly configured and the corresponding spec impact also needs further study.

**FL Proposal 2-1 : Support to configure SSB and CSI-RS for mobility from non-serving cell configured as non-serving cell RS.**

* **FFS : other RS type and their spec impact.**

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| Company | comments |
| CATT | Support to configure SSB from non-serving cell as non-serving cell RS |
| vivo | Support FL proposal. |
| ZTE | We are supportive of Proposal 2-1. Moreover, we are fine to use the neighbor cell TRS as the QCL source in TCI state. |
| MediaTek | Support the proposal |
| DOCOMO | Support FL proposal. |
| Xiaomi | Support to configure SSB from non-serving cell as non-serving cell RS |
| QC | Do not support “CSI-RS for mobility”. First, we do not think both proposal 2-1 as well as previous proposals are needed. Second and as mentioned above, measurements for mobility are performed at a different time scale for a different purpose. It is unclear to us how CSI-RS for mobility can be used for beam management purpose. |
| Apple | We are little bit confused with the proposal. It means to configure SSB/CSI-RS for mobility as non-serving cell RS for TCI indication? TCI for which signal? Some clarification may be needed. |
| OPPO | Support to configure SSB from non-serving cell as non-serving cell RS. We can further study CSI-RS for mobility. |
| Huawei/HiSilicon | We support this proposal. |
| Nokia/NSB | Support only SSB. Configuring CSI-RS for mobility requires in practice SSB association (e.g. in FR2). CSI-RS for mobility adds another step in the configuration and is not feasible in beam management framework |
| Ericsson | It is not clear what this means in addition to FL proposal 1-2, which seems complete. |
| Samsung | Support SSB from non-serving cell as non-serving cell source RS |
| Futurewei | Support SSB/TRS/CSI-RS from non-serving cell as source RS |
| Lenovo/MotM | Support SSB from non-serving cell as source RS. |
| Intel | Mobility issue is not clear here, the configuration should follow from FL Proposal 1-2 |
| LG | We support this proposal. |
| CMCC | Support to configure SSB from non-serving cell configured as non-serving cell RS.  For CSI-RS from non-serving cell, CSI-RS configuration is similar with CSI-RS for L1 beam management from serving cell, the difference is that this CSI-RS is transmitted from non-serving cell TRP. Whether a CSI-RS is from serving cell or non-serving cell TRP is transparent to UE with no spec impact. |
| NEC | Support to configure SSB from non-serving cell configured as non-serving cell RS. |

Issue 2-2: Several companies propose to allow TRS, CSI-RS, DL DMRS to be associated with non-serving cell RS. Based on these inputs, the following FL proposal is made:

**FL Proposal 2-2 : Support to associate TRS, CSI-RS(for beam management and for CSI acquisition), DMRS with non-serving cell RS.**

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| Company | comments |
| CATT | Support this proposal. |
| Vivo | Support FL proposal. It could be further clarified that the DMRS includes the DMRS of PDSCH and PDCCH. For the target signal of DMRS of PDCCH, further clarification in item 7 is needed. |
| ZTE | Support |
| MediaTek | Support |
| DOCOMO | Support FL proposal.  And we think DMRS includes the DMRS of PDSCH and DMRS of PDCCH. |
| QC | The specification impact for this proposal is not clear. Our understanding is that once TCI state / QCL-Info is properly defined for non-serving SSBs, the QCL relationship and/or QCL chain can follow existing procedures. |
| Apple | Similar to the issue above, what does the proposal mean? |
| OPPO | Agree with QC that if we define non-serving cell RS as source RS of TRS/CSI-RS, the existing procedure can be reused. It is unclear for now which additional specification impact is needed. |
| Huawei/HiSilicon | Assume this proposal is talking about target RS of a QCL link. Since even in Rel-15/16, we don’t have SSB-DMRS association directly, we don’t see the need to apply non-serving cell SSB to DMRS. The QCL link for RS source-target pairs can follow agreed QCL table in Rel-15. |
| Nokia/NSB | We are supportive of this.  However, not clear what additionally needed to support in the spec as QC mentioned. This seems more like a conclusion. |
| Ericsson | Unclear what this means in addition to FL proposal 1-2. TRS, CSI-RS and DMRS all have QCL sources, signalled in a TCI state. If the PCI is included in the TCI state (as proposed in FL proposal 1-2), we do not see that anything else is needed. |
| Samsung | Existing QCL relationship chain can be reused given that SSB from non-serving cell is used non-serving cell QCL source RS |
| Futurewei | Agree with companies to support currently allowed target RSs based on existing QCL relationship. Also SRS and UL DMRS may be included in the general framework. |
| Lenovo/MotM | This proposal can be further discussed after the outcome of proposal 2-1.  The Rel-15/16 QCL chain can be reused by enable non-serving cell SSB as the source QCL-TypeC/D of the TRS from serving cell. |
| Intel | Support |
| LG | We have similar view with Huawei. We don’t see the need to specify new QCL linkage in addition to Rel-15/16 QCL source to target RS relationship. |
| CMCC | Support to associate TRS, CSI-RS(for beam management and for CSI acquisition) with SSB from non-serving cell RS. For CSI-RS, no spec impact. |

* 1. Item 3 : measurement and reporting

Measurement and reporting related to non-serving cell RS is also mentioned by several companies, including coordination with the mobility discussion. Based on the input from [R1-2007541] [R1-2007646] [R1-2008219] [R1-2008440] [R1-2008905], the following proposals are made.

**FL Proposal 3-1: Further study the following aspects related to measurement and reporting related to non-serving cell RS, if not cover by AI 8.1.1:**

* **Whether and how L1 measurement of non-serving cell RS are configured**
* **Whether and how L1 reporting of non-serving cell RS measurement needs to be enhanced.**

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| Company | comments |
| CATT | It’s not necessary to enhace measurement and reporting to non-serving cell RS. |
| vivo | Support to further study L1 measurement of non-serving cell RS. |
| ZTE | To avoid the overlapping/parallel discussion of L1-centric measurement/reporting in AI 8.1.1, we suggest that the further discussion on L1 measurement/reporting of non-serving cell RS may happen after AI 8.1.1 discussions or based on additional RAN guidance. |
| MediaTek | This can be discussed in AI 8.1.1. We don’t need to discuss this in AI 8.1.2.2 |
| DOCOMO | Support FL proposal.  And we support L1 measurement/reporting of non-serving cell RS for non-serving cell operation. |
| QC | We think L1-RSRP measurement is a necessary part of inter-cell multi-TRP, and it should be a consistent design wrt TCI state enhancements. Furthermore, this proposal can be discussed as part of proposal 1-2 (Alt3), which is related to CSI-SSB-ResourceSet configurations (how to indicate / associate an SSB in CSI-SSB-ResourceSet with the non-serving cell SSB index). |
| Apple | Do not support the proposal. So far we do not know what is covered by 8.1.1, and cannot make a decision about this. |
| OPPO | Agree with ZTE. |
| Huawei/HiSilicon | We don’t support this proposal.  In our understanding, the RRM measurement/reporting framework, using SS/PBCH blocks and CSI-RS for mobility, is sufficient for the purpose of enabling inter-cell M-TRP operation. |
| Nokia/NSB | We think it is easier to handle this within M-TRP, as it is not even clear the use cases that companies discuss in 8.1.1. So, we do not want to delay this discussion by binding this to that.  **Further study the following aspects related to measurement and reporting related to non-serving cell RS~~, if not cover by AI 8.1.1:~~**   * **Whether and how L1 measurement of non-serving cell RS are configured** * **Whether and how L1 reporting of non-serving cell RS measurement needs to be enhanced.**   We support SSB and NZP-CSI-RS measurements of a non-serving cell. SSB list in the measurement configuration should be associated with a specific PCI. for NZP-CSI-RS measurements SSB can be configured as TCI state (with a PCI association) and used as QCL source.  Support L1 reporting on SSB and NZP-CSI-RS measurements. Reporting configuration associated with resource csi-measurement configuration implicitly associates the reporting for non-serving cell signals. This implies that L1 measurement reporting may not need to enhanced if RS in the measurement configuration is associated with one cell (PCI) |
| Ericsson | The discussion belongs in AI 8.1.1. Note that measurements based on CSI-RS (where there is a TCI state configured as QCL source) can be supported without any enhancement, provided that a PCI is included in the TCI state |
| Samsung | We think RRM based measurement and reporting are sufficient for the purpose of inter-cell MTRP operation. As indicated by several other companies, we should better wait for the output from 8.1.1. |
| Futurewei | Seems mainly implementation, and UE can follow network configuration. |
| Lenovo/MotM | This issue should be discussed in AI 8.1.1. |
| Intel | This scope is not clear |
| LG | This issue should be discussed in AI 8.1.1. |
| CMCC | This issue should be discussed in AI 8.1.1. |

* 1. Item 4 : Enhancement for UL

Enhancement for UL towards target cell are also supported by several companies. Based on contributions submitted ([R1-2007541], [R1-2007646], [R1-2007826], [R1-2008219]), the following FL proposal is proposed.

**FL proposal 4-1: Further study spatial relation and power control related enhancement for SRS, PUCCH, PUSCH transmission towards a non-serving cell TRP.**

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| Company | comments |
| CATT | UL enhancement is out of the scope. |
| Vivo | Support to enhance UL related aspects either in this item or in MB item. |
| ZTE | Support |
| MediaTek | Agree with CATT. It is out of the scope. |
| DOCOMO | Support FL proposal.  Support to study the non-serving cell operation for UL. |
| QC | Support the proposal. For UL spatial relation info or PL-RS for power control, the “referenceSignal” can be an SSB index. When TCI state / QCL-Info can use a SSB index from non-serving cell, the same should be allowed for spatial relation and power control related enhancement for SRS, PUCCH, PUSCH. Otherwise, it becomes unclear how the UL signals can be transmitted in inter-cell mTRP scenario. |
| Apple | It is clear that this is out of scope. |
| OPPO | We think this should have low priority. |
| Huawei/HiSilicon | We don’t support this proposal.  In our understanding: UL spatial relation and power control related enhancements are out-of-scope for this WID, which focuses solely on QCL/TCI enhancements for inter-cell M-TRP operation in DL. All discussions for this WID should focus on DL operation. |
| Nokia/NSB | Support.  UL transmission towards different TRPs is not out of scope as Multi-TRp operation also have UL feedback towards different TRPs already in Rel-16. This is just extending the operation for inter-cell scenario. |
| Ericsson | This can be discussed with low priority. |
| Samsung | It is out of scope for this agenda item |
| Futurewei | Support the FL’s proposal.  Unless RAN1 decides to support UL transmission to only one TRP in inter-cell M-TRP case, UL should be discussed. In the case of non-ideal backhaul, at least for HARQ purpose and link adaptation purpose, separate UL transmissions to different TRPs are requires. Spatial relation info and pathloss RS can be viewed as part of a general QCL/TCI framework. |
| Lenovo/MotM | It is out of scope. |
| Intel | We can come back to UL after more progress |
| LG | It is out of scope. |
| CMCC | Support FL’s proposal.  SSB from non-serving cell can be used as a reference RS in spatial relation info or PL-RS for power control. |
| NEC | Out of scope. |

* 1. Item 5: clarification on synchronization, UL/DL timing

The timing issues are intensely discussed in RAN1 102e and also in plenary, based on the contribution ([R1-2007541], [R1-2007646], [R1-2008575], [R1-2007765]), the FL proposal is made as following to both allow the network to deploy inter-cell MTRP operation with relaxed timing requirement and relax UE implementation without the necessity to simultaneously receive non-sync signals from M-TRP.

**FL proposal 5-1: For inter MTRP operation, UE can be configured with signals with different QCL source timing, with the restriction that UE does not expect to receive signals with timing offset beyond CP simultaneously.**

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| Company | comments |
| CATT | Support this proposal. |
| Vivo | Support FL proposal. |
| ZTE | The timing issues related to Multi-TRP inter-cell operation has been discussed in previous RAN plenary meeting and then has been precluded during the drafting process of the WID. Meanwhile, considering the limited time budget and the large scope for the current Rel-17 NR FeMIMO, we prefer to deprioritize this issue compared with QCL/TCI-related enhancement. |
| MediaTek | Agree with FL proposal in principle. We are also fine with ZTE’s proposal. |
| DOCOMO | Support FL proposal. |
| Xiaomi | Support the proposal |
| QC | We are ok with the proposal. We have a question for clarification. Is the proposal only for the case of simultaneous reception? If yes, then is there a need to discuss the case for non-simultaneous reception, or is the intention that AI 8.1.1 will discuss and decide that part? |
| Apple | We do not know what “QCL source timing” means. |
| OPPO | In principle we agree with ZTE. What’s the specification impact with this proposal? |
| Huawei/HiSilicon | OK to discuss this further.  In our understanding, inter-cell M-TRP operation implies signal/channel reception with timing offset within CP length. Correspondingly: neighbor cell RSs used as QCL sources would also have timing reception assumptions such that they are within CP length. |
| Nokia/NSB | Not clear why this is needed. We should further discuss details of different source timing and possible impacts. But not as priority issue, but it should not be ruled out yet. |
| Ericsson | The RAN1 impact is unclear. This can be discussed with low priority. |
| Samsung | OK to discuss the timing synchronization related issues, but do not see the need for the non-simultaneous reception restriction as indicated in the FL proposal “…**with the restriction that UE does not expect to receive signals with timing offset beyond CP simultaneously**” |
| Futurewei | Agree with the general thinking of the FL to clarify the controversial issue. It is desirable to reach a conclusion on the target use cases.  There seems to be several different cases covered by the FL’s proposal:   * The signals are within a CP, and UE may receive them on the same OFDM symbol or different OFDM symbols * The signals are beyond the CP, and UE receives them on different OFDM symbols only.   Is this the correct understanding? |
| Lenovo/MotM | Support FL proposal. |
| Intel | We think within CP is not sufficient clarification, it is beneficial to clarify that reception is expected on the same OFDM symbol (exact details can be considered in RAN4) |
| LG | We also have the same question as Futurewei. If the siganals are beyond CP, simultaneous reception is not supported but TDM based operation like DPS is possible? |

* 1. Item 6: Rate matching

Rate matching related issues are proposed by several companies ([R1-2007646], [R1-2008219], [R1-2008440]). The following FL proposal is made based on these input.

**FL proposal 6-1: Further study rate matching behavior related to non-serving cell RS.**

|  |  |
| --- | --- |
| Company | comments |
| vivo | Support FL proposal |
| ZTE | Support |
| MediaTek | Not support. This can be deprioritized. |
| DOCOMO | Support FL proposal. |
| QC | Suggest to change “RS” to “SSB”. For CSI-RS, this discussion is unnecessary. |
| Apple | Support to change “RS” into “SSB”. |
| OPPO | Support the proposal and agree with QC’s wording. |
| Huawei/HiSilicon | OK to discuss this further. |
| Nokia/NSB | OK. |
| APT | We can support to study this issue. |
| Ericsson | OK to study. |
| Samsung | We are OK to study |
| Futurewei | Support |
| Lenovo/MotM | Support |
| Intel | Support |
| CMCC | Support FL proposal. |
| NEC | Support |

* 1. Item 7: CORESETs configuration

CORESETs configuration for inter-cell MTRP operation is also discussed by contributions. Based on the input([R1-2007646], [R1-2008905]), the following FL proposal is made.

**FL proposal 7-1: Further study how to configure CORESETs associated with non-serving cell for inter-cell MTRP operation, including possible configuration restrictions on CORESETs with common search space.**

|  |  |
| --- | --- |
| Company | comments |
| vivo | Support FL proposal. |
| ZTE | Support |
| MediaTek | Not support. We don’t see why we need to discuss this. |
| DOCOMO | Support FL proposal in principle.  But in FL proposal 2-2, we already support to associate DMRS (of PDCCH/PDSCH) with non-serving cell RS. So just for clarification, the focus of Proposal 7-1 is for CORESETs with common search space? |
| Xiaomi | Support the proposal |
| QC | We are fine to study this aspect further for any possible issue that may require restriction. It would be helpful if the issue is also described more clearly in the proposal (to give more clear direction for “further study”). |
| Apple | We failed to see how this is connected with QCL/TCI enhancement |
| OPPO | Support the proposal and prefer to delete the “including…” part. |
| Huawei/HiSilicon | OK to discuss this further.  In our understanding: inter-cell M-TRP operation should only cover UE-specific CORESETs. It is worth remembering that for Rel-16 M-TRP the UE is only aware of a single serving cell configuration and this should remain true for Rel-17 inter-cell M-TRP. Therefore: common CORESETs carrying e.g. SI/Paging information should only relate to the current serving cell and the NW shouldn’t use neighbor cell RSs as QCL sources of common CORESETs. |
| Nokia/NSB | Ok to support.  We do not foresee any additional restrictions compared to Rel-16 behaviors, but would like to hear company views. |
| APT | We have similar view/question as DOCOMO. |
| Ericsson | In Rel-16 mDCI, there is no restriction on how to configure the CORESETs. The UE only monitors the common search space(s) of the serving cell in any case. We don’t see a need to add further restrictions. |
| Samsung | OK for further discussions regarding this aspect |
| Futurewei | Ok to study |
| Lenovo/MotM | We are OK to discuss it. |
| Intel | OK |
| LG | We are not clear the motivation to consider configuration restrictions on CORESETs with common search space. Also, we fail to see relevance of QCL enhancement. |
| CMCC | We are OK to discuss it.  From our understanding, CORESET 0 should be only QCLed to SSB or CSI-RS from serving cell. |

* 1. Item 8: Others

Issue 8-1: The following proposal is intended to down-select deployment scenarios for inter-cell MTRP operation([R1-2007628]). Please share your comments for this proposal

Proposal 1 from [R1-2007628]: For inter-cell M-TRP operation down-select one of the following alternatives

Alt1 - Inter-cell M-TRP is supported only for FR1 operation with a subcarrier spacing of 15 KHz

Alt2 - Inter-cell M-TRP is supported only based on UE capability

* + Similar to Rel-16 UE DAPS, the capability signalling may comprise of the following parameters:
    - interCellAsync-r17 indicates whether the UE supports asynchronous DAPS handover.
    - interCellDiffSCS-r17 indicates supported subcarrier spacings

Alt3 - Inter-cell M-TRP is supported only based on cell synchronization accuracy in a given M-TRP deployment

Alt4 – All of the above

|  |  |
| --- | --- |
| Company | comments |
| CATT | Alt3 is preferred. |
| ZTE | Same as item 5, we prefer to deprioritize this issue compared with QCL/TCI-related enhancement. |
| MediaTek | Agree with ZTE |
| DOCOMO | We think Proposal 5-1 is sufficient. |
| QC | We do not see the need for this proposal at this stage as it is either related to UE capability details or RAN4 requirements. |
| Apple | All of these can be deprioritized. |
| Nokia/NSB | Not essential discussion for now. |
| Futurewei | Support this proposal, and any of the alternatives can be considered.  Clearly, for each of the alternatives listed above, a different UE design and a different gNB design is needed. If this issue is not discussed or clarified, then there is no target/default scenarios that the UEs and gNBs can assume. The UEs and gNBs may have to consider all the possible scenarios in their designs, which makes this feature difficult to use. |
| Intel | May be considered in 2-5 proposal already |
| NEC | We share similar view with ZTE. This should be deprioritized. |

Issue 8-2: TRS sequence generation of the neighbor cell related issues are proposed by one company ([[R1-2007765](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_103-e/Docs/R1-2007765.zip)]). The following FL proposal is made based on the input.

**FL proposal 8-2: Further study TRS sequence generation of the neighbor cell in the case when the slot indices are different between the serving cell and the neighbor cell.**

|  |  |
| --- | --- |
| Company | comments |
| ZTE | Support.  According to the description in TS 38.211, the frame timing difference between serving cell and neighbor cell is smaller than 5ms, that means the slot indices of the serving cell and neighbor cell can be different. If TRS sequence of neighbor cell is still based on the slot number of the serving cell, the received neighbor cell TRS cannot be shared with other UEs connecting with the neighbor cell. |
|  |  |

1. Reference

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| --- | --- | --- |
| R1-2007541 | Inter-cell multi-TRP operation | FUTUREWEI |
| Proposal 1: For inter-cell multi-TRP enhancement:   * Propagation delay difference is equal to or larger than that of Rel-16 considering URLLC use cases and large cells; * Further clarify the scenario and key assumptions on synchronization, backhaul, and UL support:   + Clarify FR1 synchronization offset and backhaul between two TRPs, and whether the resulting signals can be beyond the CP length for the UE or not   + Clarify FR2 synchronization offset and backhaul between two TRPs, and whether the resulting signals can be beyond the CP length for the UE or not   Proposal 2: For inter-cell multi-TRP enhancement, QCL/TCI state can include a non-serving cell PCI/SSB/RS, and reuse Rel-16 scheme for a non-serving cell’s SSB/RS configuration as much as possible but remove parameters common between the M-TRPs (e.g., BWP BW, SCS, etc.).  Proposal 3: For inter-cell multi-TRP, configure an optional SSB search time window when configuring a neighbor cell’s SSB/PCI.  Proposal 4: For inter-cell multi-TRP, allow QCL types of all existing QCL types and DL-UL spatial relation info and SRI and PL RS relation.  Proposal 5: For inter-cell multi-TRP, allow source RS to be SSB, TRS, and CSI-RS, and target RS to be TRS, CSI-RS, DL DMRS, SRS, and UL DMRS.  Proposal 6: For inter-cell multi-TRP, UE shall perform measurement and reporting for non-serving cell based on network configuration.  Proposal 7: For inter-cell multi-TRP, study the minimum standard support for UE to receive DL signals with different arrival timings and to transmit UL signals with different timings. | | |
| R1-2007588 | Enhancements on inter-cell multi-TRP operations in Rel-17 | Huawei, HiSilicon |
| The following proposals are provided,  Proposal 1: Support using NZP-CSI-RS from a non-serving cell or CSI-RS for mobility associated with a non-serving cell as QCL source for multi-DCI multi-TRP transmission.  Proposal 2: Extend QCL association type applicability such as QCL-TypeA/B/C to CSI-RS for mobility for inter-cell M-TRP operation. | | |
| R1-2007628 | Synchronization Analysis for M-TRP Inter-cell Operation | InterDigital, Inc. |
| Proposal 1: For inter-cell M-TRP operation down-select one of the following alternatives  Alt1 - Inter-cell M-TRP is supported only for FR1 operation with a subcarrier spacing of 15 KHz  Alt2 - Inter-cell M-TRP is supported only based on UE capability   * Similar to Rel-16 UE DAPS, the capability signalling may comprise of the following parameters:   + interCellAsync-r17 indicates whether the UE supports asynchronous DAPS handover.   + interCellDiffSCS-r17 indicates supported subcarrier spacings   Alt3 - Inter-cell M-TRP is supported only based on cell synchronization accuracy in a given M-TRP deployment  Alt4 – All of the above | | |
| R1-2007646 | Further discussion on inter-cell MTRP operation | vivo |
| Proposal 1: Inter-cell multi-TRP operation in Rel-17 should consider both ideal backhaul and non-ideal backhaul scenarios.  Proposal 2: Inter-cell multi-TRP operation in Rel-17 should consider both QCL enhancement for DL and spatial relation enhancement for UL.  Proposal 3: Inter-cell m-TRP enhancement should consider both of the following two aspects:   * TCI state configuration/activation enhancement with additional information of the target cells (at least including PCI information) * Enhanced configuration/activation of L1 measured SSBs/CSI-RS with additional information of the target cells.   Proposal 4: Clarify UE behaviour for receiving signals associated with different QCL source timing, with the restriction that UE does not expect to receive signals with timing offset beyond CP simultaneously.  Proposal 5: Configuration of L1 measurement of non-serving cell RS should enable inter-cell L1 measurement of a target cell for both the case with and without corresponding inter-cell L3 measurement of the target cell.  Proposal 6: Consider configuring inter-cell L1 measurement for a target cell with similar structure as MeasObjectNR for L3 measurement.  Proposal 7: Inter-cell L1 measurement is enabled through the following two ways   * For cases when the inter-cell L1 measurement is associated with L3 measurement, the measurement is enabled through normal CSI measurement configuration by associating (the QCL source of) an L1 measured RS with an RS configured for L3 measurement. * For cases when the inter-cell L1 measurement is not associated with any L3 measurement, the measurement is enabled through signalling with similar structure as MeasObjectNR for L3 measurement.   Proposal 8: L1 measurement limited within SMTC and without limitation should both be supported.  Proposal 9: Support to configure L1 reporting of non-serving cell RS measurement results based on Rel-15/16 L1 reporting setting configuration with enhancement on association of the RS with a target measurement object.  Proposal 10: Timing offset between different signals should be reported from UE to determine whether Rx timing the signals from multi-TRP are within CP or not.  Proposal 11: Clarify UE behaviour when CORESETs with type 0/1/2 SS is configured/activated with TCI states associated with SSB of another PCI.  Proposal 12: CSI-RS for CSI, beam management and tracking should all be allowed to be associated with non-serving cell RS for L1 inter-cell measurement.  Proposal 13: Rel-15/16 configuration restriction on the source and target RS/channel of QCL chains is also applied for Rel-17 inter-cell operation.  Proposal 14: Spatial relation and power control related configurations should be enhanced for SRS, PUCCH, PUSCH transmission towards target cell. | | |
| R1-2007765 | Discussion on Multi-TRP inter-cell operation | ZTE |
| Proposal 1: Support SSB and CSI-RS for mobility from the neighbor cell to be used as the QCL source.   * Configure MeasObjectId and PCI to identify the SSB and CSI-RS from a neighbor cell.   Proposal 2: All TCI states should be split into two groups corresponding to the serving cell and the neighbor cell respectively.   * Each group is associated with a CORESETPoolIndex value.   Proposal 3: Support neighbor cell TRS as the QCL source in TCI, where the sequence generation of the neighbor cell TRS is based on slot index of neighbor cell.  Proposal 4: In Rel-17, deprioritize the discussion of the issue about UL and DL synchronization assumptions. | | |
| R1-2007826 | Discussion on multi-TRP/panel inter-cell operation | CATT |
| Proposal 1: SSB of non-serving cell can be used as source QCL for RSs transmitted from that cell in inter-cell M-TRP operation, and CSI-RS can be used as source QCL as well when SSB is absent.  Proposal 2: Periodicity and frequency position of non-serving cell SSB can be configured.  Proposal 3: Include the PCI of non-serving cell in RRC configured TCI states referring to the non-serving cell source QCL RS.  Proposal 4: For non-serving cell, the source QCL RS can be configured as PUCCH resource spatial relation and be configured as PUCCH pathloss RS. | | |
| R1-2008002 | Enhancements on Multi-TRP inter-cell operation | CMCC |
| Proposal 1: Non-serving cell SSBs with an independently configured PCI should be configured to UE.  Proposal 2: Both SSB and CSI-RS could be source RS transmitted from the non-serving cell, and both CSI-RS and DMRS could be target RSs transmitted from the non-serving cell.  Proposal 3: An indication, such as PCI, should be configured in TCI state to enable the SSB from non-serving cell can be referenced as a QCL source. | | |
| R1-2008150 | Enhancements on Multi-TRP inter-cell operation | Samsung |
| Proposal 1: Support the use of SSBs from the serving-cell TRP as the QCL source/reference for the downlink transmissions from the non-serving-cell TRP depending on the QCL type   * The information of the SSBs from the non-serving-cell TRP may need to be available at the UE, and their monitoring/measurement procedure may also need to be specified. * For QCL-typeD, the SSBs from the non-serving-cell TRP should be the only QCL source for the DL transmission, e.g., a TRS, from the non-serving-cell TRP. * For other QCL types than QCL-typeD, the SSBs from the serving-cell TRP could be used as the QCL source for the DL transmission, e.g., a TRS, from the non-serving-cell TRP.   Proposal 2: Apply SSB re-indexing to the SSBs from the non-serving-cell TRP. If a SSB from the non-serving-cell TRP is used as the QCL source RS, its new index, i.e., after applying the SSB re-indexing over its original index, is indicated in the TCI state. | | |
| R1-2008219 | Enhancement on inter-cell multi-TRP operation | OPPO |
| Proposal 1: For non-serving cell RS,   * Non-serving cell RS includes neighboring cell SSB. * Neighboring cell SSB can be source RS for TRS and CSI-RS for beam management, w.r.t QCL type C and/or QCL type D. FFS whether it can be the source RS/pathloss RS for UL signal/channel. * Introduce a flag to indicate neighboring cell SSB in QCL information. * SSB configuration information of one neighboring cell is sufficient for inter-cell multi-DCI based multi-TRP operation, which can be configured independently from QCL information.   + Consider to reuse the signaling structure of SSB configuration in spatial relation information of positioning SRS or to link the SSB configuration information to mobility measurement.   Proposal 2: L1-beam measurement/reporting based on neighboring cell SSB should have low priority.  Proposal 3: If SSB of neighboring cell is included in TCI state or CSI resource, the other DL signal should not be impacted by the SSB, e.g. the other DL signal are not rate-matched and can be transmitted in the same symbol as the SSB. | | |
| R1-2008348 | Considerations on inter-cell operation | Sony |
| Proposal 1 Non-serving cell information such as Cell ID or Physical Cell ID for RS shall be added in the CSI-ReportConfig.  Proposal 2 QCL information among CSI-ResourceConfig in terms of beam sweeping property shall be included in the CSI-ReportConfig. | | |
| R1-2008440 | Views on Rel-17 Inter-cell multi-TRP operation | Apple |
| Proposal 1: Support to divide TCI states into N groups, where each group is associated with a physical cell ID.   * Support to configure the physical cell ID, SSB transmission power, SSB periodicity, SSB position in burst and offset to point A for a TCI state group.   Proposal 2: UE shall expect the signals associated with the same CORESET pool should be associated with the same physical cell ID from QCL indication perspective.  Proposal 3: The allowed QCL type for assistant cell should reuse what has been defined for serving cell QCL indication.  Proposal 4: Further enhancement on measurement and reporting related to QCL/TCI enhancement should wait for the outcome of 8.1.1.  Proposal 5: For assistant cell signals, the resources for assistant SSBs should be considered as “not available”.   * For serving cell signals, whether resources for assistant SSBs should be considered as “not available” or not should be reported by UE capability. | | |
| R1-2008575 | Enhancements on Multi-TRP inter-cell operation | LG Electronics |
| Proposal #1: Reuse neighbor cell’s SSB or mobility CSI-RS in measurement object for QCL type C/D source of TRS/CSI-RS to support inter-cell multi-TRP operations.  Proposal #2: For inter-cell MTRP transmission, consider the case that the timing difference/offset between two TRPs at the UE side is larger than 1 CP due to imperfect network synchronization and the large difference of propagation delay in FR 2. | | |
| R1-2008905 | Enhancements to enable inter-cell multi-TRP operations | Nokia, Nokia Shanghai Bell |
| Proposal 1: To configure SSB as non-serving cell RS, indicate the associated cell (PCI) for the SSB in the *referenceSignal* parameter.  Proposal 2: Allow configuration of TCI State of non-serving cell RS to the serving cell TCI State list.  Proposal 3: To configure NZP-CSI-RS resource as non-serving cell RS, configure the RS with a QCL source RS that is associated with a non-serving cell.  Proposal 4: For L1 SSB based beam measurements and reporting, enhance SSB-index parameter in the *CSI-SSB-ResourceSet IE* to associate set of SSBs with a cell specific identifier (PCI).  Proposal 5: For non-serving cell CSI-RS measurements, configure the NZP-CSI-RS with a QCL source RS that is associated with a non-serving cell identifier.  Proposal 6: For inter-cell multi-DCI based multi-TRP support, extend the TCI framework using the Rel-16 multi-DCI based multi-TRP framework.  Proposal 7: The non-serving cell CORESET(s) can be configured on the serving cell PDCCH-config. | | |
| R1-2008912 | Enhancements on Multi-TRP inter-cell operation | Lenovo, Motorola Mobility |
| Proposal 1: SSB from a non-serving cell can be set as the source QCL-TypeC and QCL-TypeD RS for TRS, CSI-RS for beam management and CSI-RS for CSI acquisition.  Proposal 2: PCI can be introduced in QCL-Info to enable the use of SSB from non-serving cells as QCL-TypeC and QCL-TypeD source.  Proposal 3: Enhancements on intra-cell multi-TRP operation should also be considered. | | |
| R1-2008945 | Discussion on multi-TRP inter-cell operation | NEC |
| Proposal: SSB from non-serving cell should be supported for source RS, and PCI, time/frequency resource of the SSB should be configured to UE. | | |
| R1-2009029 | Enhancement on Inter-cell Multi-TRP operations | Xiaomi |
| ***Proposal 1: The complexity at UE side should be considered before discussing inter-cell multi-TRP operation.***  ***Proposal 2: SSB is more preferred for inter-cell beam measurement and TCI state indication.***  ***Proposal 3: Group based beam reporting can be used for inter-cell beam pairing.***  ***Proposal 4: Add PCI into the definition of TCI state.***  ***Proposal 5: Inter-cell beam management by gNB can be supported.***  ***Proposal 6: The sum of the monitored PDCCH candidate (non-overlapped CCEs) associated with serving cell and neighboring cell should no more than the maximum number of the monitored PDCCH candidate (non-overlapped CCEs) per slot per serving cell.***  ***Proposal 7: Take assumption that the timing difference between inter-cell multi-TRP are within CP.*** | | |
|  | | |