**3GPP TSG-RAN WG1 Meeting #101-eR1-200xxxx**

**e-Meeting, May 25th – June 5th, 2020**

**Agenda Item:** 7.2.5.7

**Source:** Moderator (LG Electronics)

**Title:** Summary of [101-e-NR-L1enh-URLLC-IIoTenh-01]

**Document for:** Discussion and decision

# Introduction

According to discussion at the preparation phase, the following email thread is allocated by Chairman for further discussion:

[101-e-NR-L1enh-URLLC-IIoTenh-01] Email discussion on the following issues by 5/29 – Duckhyun (LGE)

* 3.1. Spec text in case of UE supporting 1 unicast PDSCH per slot
* 3.2. Text proposal for collision between dynamic PDSCH and multiple SPS PDSCHs
* 3.5. HARQ-ACK for SPS PDSCH cancelled by dynamic SFI/DCI
* 4.3. PUCCH resource selection for SPS HARQ-ACK and SR

To address the identified issues from companies’ contributions related to the above email thread, the suggestions for the issues are provided in Section 2. [In Section 3, a few open issues identified are listed up so companies are encouraged to provide your input/feedback in the next meeting in order to facilitate the discussion]. In section [4], the outcome from [101-e-NR-L1enh-URLLC-IIoTenh-01] are provided including all the agreements and all the endorsed TPs.

# Email discussions

## Issue 3.1: Spec text in case of UE supporting 1 unicast PDSCH per slot

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| --- | --- |
| [Agreement from RAN1#100b-e]  Agreements:   * Note: this supersedes the agreed TP to Sec. 5.1 in TS 38.214 from Email discussion [100b-e-NR-L1enh-URLLC-IIoTenh-03] * Adopt the following text proposal for section 5.1 in TS 38.214:  |  | | --- | | 5.1        UE procedure for receiving the physical downlink shared channel  **<**Unchanged text is omitted>  If more than one PDSCH on a serving cell each without a corresponding PDCCH transmission are in a slot, ~~partially or fully overlapping in time, a UE is not required to receive a PDSCH among these PDSCHs other than one with the lowest configured~~ *~~sps-ConfigIndex~~*~~.~~ after resolving overlapping with symbols in the slot indicated as uplink by *tdd-ULDL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*, a UE receives one or more PDSCHs without corresponding PDCCH transmissions in the slot as specified below.  ‒         Step 0: set *j*=0-number of selected PDSCH for decoding. Set *Q* to set of activated PDSCHs without corresponding PDCCH transmissions within the slot  ‒         Step 1: A UE receives one PDSCH with the lowest configured *sps-ConfigIndex* within *Q*, set *j*=*j*+1. Designate the received PDSCH as survivor PDSCH.  ‒        Step 2: The survivor PDSCH in step 1 and any other PDSCH(s) overlapping (even partially) with the survivor PDSCH in step 1 are excluded from *Q*.  ‒        Step 3: Repeat step 1 and 2 until *Q* is empty or *j* is equal to the number of unicast PDSCHs in a slot supported by the UE  **<**Unchanged text is omitted> | |

Since above agreement is addressing general procedure, there was a short discussion whether to remove or update a spec text for UE supporting 1 unicast PDSCH per slot. Following three options on this issue was listed in RAN1#100b-e, companies showed their preferences by contributions in this meeting.

**Option 1: Adopt the following text proposal for section 5.1 in TS 38.214:**

|  |
| --- |
| 5.1        UE procedure for receiving the physical downlink shared channel  **<**Unchanged text is omitted>  ~~If a UE does not indicate a capability to receive more than one unicast PDSCH per slot, and if there is more than one PDSCH on a serving cell each without a corresponding PDCCH transmission in a slot, the UE is not required to receive a PDSCH among these PDSCHs other than one with the lowest configured~~ *~~sps-ConfigIndex~~* ~~on the serving cell.~~  **<**Unchanged text is omitted> |

**Option 2: Adopt the following text proposal for section 5.1 in TS 38.214:**

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| --- |
| 5.1        UE procedure for receiving the physical downlink shared channel  **<**Unchanged text is omitted>  If a UE does not indicate a capability to receive more than one unicast PDSCH per slot, and if there is more than one PDSCH on a serving cell each without a corresponding PDCCH transmission in a slot, after resolving overlapping with symbols in the slot indicated as uplink by *tdd-ULDL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated,* the UE is not required to receive a PDSCH among ~~these~~ PDSCHs other than one with the lowest configured *sps-ConfigIndex* on the serving cell.  **<**Unchanged text is omitted> |

**Option 3: Keep the paragraph (no spec change)**

This option would not work properly. At least the text should take into account the aspect on conflict with semi-static UL.

Companies shows preferences by contributions in this meetings:

* Option 1: vivo, CATT, Samsung, Spreadtrum, LG
  + Reasons: Previous agreement already covers a UE incapable of the receiving more than one unicast PDSCH per slot
* Option 2: ZTE
  + Option 2 is more explicit for the case that UE does not indicate a capability to receive more than one unicast PDSCH per slot.

### FL suggestion on issue 3.1

Based on contributions, Both Option 1 and Option 2 seems work. If there is no differences on the UE behavior, I would like to suggest to take simpler one.

**Proposal 1: Take Option 1 as agreed TP to reflect previous agreements.**

Companies are encouraged to provide your feedback (or editorial correction) if any on above proposal.

**Comment:**

|  |  |
| --- | --- |
| Company | Comment if any |
| QC | In our view the text is still needed but having the pseudo code, the text can now refer to the outcome of the code. For example, for the case of two non-overlapping SPS PDSCHs in a slot, pseudo code returns both of them and the text after pseudo code would then refine as follows:  If a UE does not indicate a capability to receive more than *M* unicast PDSCH per slot, and if there is more than *M* PDSCH on a serving cell each without a corresponding PDCCH transmission in a slot, which are determined by the pseudo code in [xxx]*,* the UE is not required to receive a PDSCH among ~~these~~ PDSCHs other than the *M* lowest configured *sps-ConfigIndex* indices on the serving cell. |
| Samsung | Agree with the proposal.  @QC, we don’t think the modified text is necessary. In addition, it is not accurate. For example. M = 2, there are 3 SPS PDSCH configurations. SPS #1 and #2 are overlapping, SPS #3 does not overlap with SPS #1 and SPS #2. In this case, SPS #1 and #3 will be received. |
| CATT | We agree with the proposal. There is no need to keep the paragraph with further update which may lead to potential confusion and mistake.  In addition, we noticed that the endorsed CR R1-2003141 has not fully captured our agreements in the last meeting. To be more specific, “partially or fully overlapping in time” in the first sentence was not removed. Moreover, “*tdd-ULDL-ConfigurationCommon*” should be “*tdd-UL-DL-ConfigurationCommon*”. It is better to correct them. |
| vivo | Agree with proposal 1. |
| HW/HiSi | Agree with the proposal. |
| ZTE | Although we proposed option2, but we are open to the majority view. |
| Nokia, NSB | We agree with the FL’s Proposal 1. |
| OPPO | Agree with the proposal. |

### FL suggestion on issue 3.1 at 5/28

I reflected CATT’s comment to TP. Based on the discussion, I didn’t reflect Qualcomm’s comment. The pseudo code already cares UE capability so that the outcome should be less than or equal to the number of unicast PDSCHs in a slot supported by the UE.

**Proposal 1A: Adopt following TP to reflect previous agreements fully.**

|  |
| --- |
| <omitted text> 5.1 UE procedure for receiving the physical downlink shared channel <omitted text>  If more than one PDSCH on a serving cell each without a corresponding PDCCH transmission are in a slot, after resolving overlapping with symbols in the slot indicated as uplink by *tdd-UL-DL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*, a UE receives one or more PDSCHs without corresponding PDCCH transmissions in the slot as specified below.  ‒ Step 0: set *j=0*, where *j* is thenumber of selected PDSCH(s) for decoding. *Q* is the set of activated PDSCHs without corresponding PDCCH transmissions within the slot  ‒ Step 1: A UE receives one PDSCH with the lowest configured *sps-ConfigIndex* within *Q*, set *j=j+1*. Designate the received PDSCH as survivor PDSCH.  ‒ Step 2: The survivor PDSCH in step 1 and any other PDSCH(s) overlapping (even partially) with the survivor PDSCH in step 1 are excluded from *Q*.  ‒ Step 3: Repeat step 1 and 2 until *Q* is empty or *j* is equal to the number of unicast PDSCHs in a slot supported by the UE  <omitted text> |

Proposal 1A has been agreed on the first Friday (5/29) in the email discussion of [101-e-NR-L1enh-URLLC-IIoTenh-01] Text proposal for previous agreement.

## Issue 3.2: Text proposal for collision between dynamic PDSCH and SPS PDSCHs

[Open issues to be discussed from [IIoTenh-01] in RAN1#100b-e]

In RAN1#100bis-e, the following agreement has been made. But TP has not been made due to lack of time.

|  |
| --- |
| **Agreements:**  If dynamic scheduled PDSCH is overlapped with multiple SPS PDSCHs after resolving overlapping for SPS PDSCHs, the reference SPS PDSCH for the 14 symbols is an SPS PDSCH having the earliest starting symbol among SPS PDSCHs overlapped with dynamic scheduled PDSCH after resolving overlapping for SPS PDSCHs. |

For your information, this is a related part of TS 38.214:

|  |
| --- |
| The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI or MCS-C-RNTI and another PDSCH scheduled in the same serving cell with CS-RNTI if the PDSCHs partially or fully overlap in time except if the PDCCH scheduling the PDSCH with C-RNTI or MCS-C-RNTI ends at least 14 symbols before the start of the PDSCH with CS-RNTI without the corresponding DCI, in which case the UE shall decode the PDSCH scheduled with C-RNTI or MCS-C-RNTI. |

Current specification is considering two of PDSCH. If multiple SPS PDSCH are required to receive and overlapped with dynamic PDSCH, some of them may meet timeline but others may not. In this case, UE shall decode dynamic PDSCH for some SPS PDSCH, at same time UE is not expected to decode both dynamic PDSCH and others SPS PDSCH. The agreement was made in order to solve the problem by defining reference SPS PDSCH and dropping whole SPS PDSCHs if the reference SPS PDSCH meets the timeline.

In [4], following figure shows an example of that

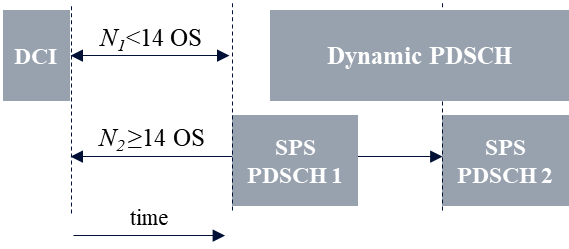


Figure: Dynamic PDSCH overlapped with multiple SPS PDSCHs.

For this issue, Following TP and proposals are provided by companies’ contributions.

**<Samsung, [8]>**

***Proposal 2: Support to revise following text in TS 38.214.***

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| --- |
| The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI or MCS-C-RNTI and another PDSCH scheduled in the same serving cell with CS-RNTI if the PDSCHs partially or fully overlap in time after resolving overlapping for PDSCHs without corresponding PDCCH transmissions except if the PDCCH scheduling the PDSCH with C-RNTI or MCS-C-RNTI ends at least 14 symbols before the earliest starting symbol of the PDSCH(s) with CS-RNTI without the corresponding DCI, in which case the UE shall decode the PDSCH scheduled with C-RNTI or MCS-C-RNTI. |

**<Nokia, [4]>**

**Proposal 1: If the 14-symbol timeline for overwriting SPS PDSCH with a dynamic PDSCH is not fulfilled for a first SPS PDSCH, but fulfilled for subsequent SPS PDSCH(s), the UE does not receive any of the SPS PDSCHs overlapping with the dynamic PDSCH.**

**<LG, [10]>**

Note that the red text in the below TP has been already endorsed in RAN1#100bis-e (R1-2003141).

|  |
| --- |
| 5.1 UE procedure for receiving the physical downlink shared channel  **<Unchanged text is omitted>**  The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI or MCS-C-RNTI and one or multiple PDSCHs scheduled in the same serving cell with CS-RNTI if the PDSCHs partially or fully overlap in time after resolving overlapping for PDSCHs without corresponding PDCCH transmissions except if the PDCCH scheduling the PDSCH with C-RNTI or MCS-C-RNTI ends at least 14 symbols before the start of the earliest PDSCH among these PDSCHs with CS-RNTI without the corresponding DCI, in which case the UE shall decode the PDSCH scheduled with C-RNTI or MCS-C-RNTI. |

### FL suggestion on issue 3.2

To remove ambiguity mentioned above, it seems necessary to change current specification. At least, uncaptured agreement should be captured. Based on contributions, I tried to merge provided TP to capture previous agreement.

**Proposal 2: Adopt following TP for section 5.1. in TS 38.214  
(Note:** that the blue text in the below TP has been already endorsed in RAN1#100bis-e)

|  |
| --- |
| The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI or MCS-C-RNTI and ~~another~~ one or multiple PDSCH(s) scheduled in the same serving cell with CS-RNTI if the PDSCHs partially or fully overlap in time after resolving overlapping for PDSCHs without corresponding PDCCH transmissions except if the PDCCH scheduling the PDSCH with C-RNTI or MCS-C-RNTI ends at least 14 symbols before the earliest starting symbol of the PDSCH(s) with CS-RNTI without the corresponding DCI, in which case the UE shall decode the PDSCH scheduled with C-RNTI or MCS-C-RNTI. |

Companies are encouraged to provide your feedback (or editorial correction) if any on above proposal.

**Comment:**

|  |  |
| --- | --- |
| Company | Comment if any |
| QC | The spec is already clear to us, that is UE does not expect to receive a PDCCH scheduling DG that overlaps with one or more SPS PDSCHs and 14symbol is not fulfilled for some of them. We are OK with clarification as follows:  The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI or MCS-C-RNTI and ~~another~~ one or multiple PDSCH(s) scheduled in the same serving cell with CS-RNTI if the PDSCHs partially or fully overlap in time after resolving overlapping for PDSCHs without corresponding PDCCH transmissions except if the PDCCH scheduling the PDSCH with C-RNTI or MCS-C-RNTI ends at least 14 symbols before the first symbol of the earliest PDSCH with CS-RNTI without the corresponding DCI, in which case the UE shall decode the PDSCH scheduled with C-RNTI or MCS-C-RNTI. |
| Samsung | **Agreements:**  If dynamic scheduled PDSCH is overlapped with multiple SPS PDSCHs after resolving overlapping for SPS PDSCHs, the reference SPS PDSCH for the 14 symbols is an SPS PDSCH having the earliest starting symbol among SPS PDSCHs overlapped with dynamic scheduled PDSCH after resolving overlapping for SPS PDSCHs.  “dynamic scheduled PDSCH” in the agreements should include following two cases：   1. An SPS PDSCH with an activating DCI 2. An SPS PDSCH retransmission scheduled by a DCI   “multiple SPS PDSCHs after resolving overlapping for SPS PDSCHs” should refer to the SPS PDSCHs without receiving a corresponding DCI.  We suggest the following text  The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI, CS-RNTI or MCS-C-RNTI and ~~another~~ one or multiple PDSCH~~(~~s~~)~~ scheduled in the same serving cell ~~with CS-RNTI~~ without corresponding DCI(s) if the PDSCHs partially or fully overlap in time after resolving overlapping for PDSCHs without corresponding DCI(s) except if the PDCCH scheduling the PDSCH with C-RNTI, CS-RNTI or MCS-C-RNTI ends at least 14 symbols before the earliest starting symbol of the PDSCH(s) with CS-RNTI without the corresponding DCI, in which case the UE shall decode the PDSCH scheduled with C-RNTI, CS-RNTI or MCS-C-RNTI. |
| CATT | We are still not clear why spec change is needed. For the example shown in the figure, according to the existing spec texts, UE is not expected to decode dynamic PDSCH, SPS PDSCH1 and SPS PDSCH2 since the dynamic PDSCH and SPS PDSCH overlap in time. In addition, the exception condition is not satisfied since the DCI associated with the dynamic PDSCH does not end 14 symbols earlier than SPS PDSCH1. |
| vivo | We are fine with Samsung’s updated TP. |
| HW/HiSi | We are ok with the TP suggested by Samsung. |
| ZTE | Fine with Samsung’s updated TP, but the “with CS-RNTI” in the last second row should be deleted.  The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI, CS-RNTI or MCS-C-RNTI and ~~another~~ one or multiple PDSCH~~(~~s~~)~~ scheduled in the same serving cell ~~with CS-RNTI~~ without corresponding DCI(s) if the PDSCHs partially or fully overlap in time after resolving overlapping for PDSCHs without corresponding DCI(s) except if the PDCCH scheduling the PDSCH with C-RNTI, CS-RNTI or MCS-C-RNTI ends at least 14 symbols before the earliest starting symbol of the PDSCH(s) **~~with CS-RNTI~~** without the corresponding DCI, in which case the UE shall decode the PDSCH scheduled with C-RNTI, CS-RNTI or MCS-C-RNTI. |
| Nokia, NSB | We agree with the Samsung proposed TP and the small addition on top by ZTE to remove with CS-RNTI in the latter part of the TP. |
| OPPO | We are fine with Samsung’s TP and the ZTE’s update. |
| LG | We are fine with latest ZTE’s update |

### FL suggestion on issue 3.1 at 5/28

I updated proposal based on all of comments. It seems to me that the earliest starting symbol is same as the first symbol of the earliest PUSCH but the earliest starting symbol is bit clearer. In addition, I changed DCI(s) to PDCCH transmission(s) to align other part in the spec. Hope it would be agreeable.

**Proposal 2A: Adopt following TP for section 5.1. in TS 38.214  
(Note:** that the blue text in the below TP has been already endorsed in RAN1#100bis-e)

|  |
| --- |
| <omitted text> 5.1 UE procedure for receiving the physical downlink shared channel <omitted text>  The UE is not expected to decode a PDSCH scheduled in a serving cell with C-RNTI, CS-RNTI or MCS-C-RNTI and one or multiple PDSCHs scheduled in the same serving cell without corresponding PDCCH(s) if the PDSCHs partially or fully overlap in time after resolving overlapping for PDSCHs without corresponding PDCCH transmissions except if the PDCCH scheduling the PDSCH with C-RNTI, CS-RNTI or MCS-C-RNTI ends at least 14 symbols before the earliest starting symbol of the PDSCH(s) without the corresponding PDCCH transmissions, in which case the UE shall decode the PDSCH scheduled with C-RNTI, CS-RNTI or MCS-C-RNTI.  <omitted text> |

## Issue 3.5 HARQ-ACK for SPS PDSCH cancelled by dynamic SFI/DCI

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| Agreements:  HARQ-ACK feedback for a SPS PDSCH is included in the HARQ-ACK codebook when the SPS PDSCH is cancelled by DCI/dynamic SFI in which case NACK is generated for the SPS PDSCH.   * For type-1 codebook, the main bullet is not applied if only a single HARQ-ACK bit, for an SPS PDSCH, is mapped on a PUCCH; otherwise, the main bullet is applied. * For type-2 codebook, the main bullet is applied. |

For your convenience, the “tentative” TP can be provided as below so **companies are encouraged to check it out and to bring the TP for capturing RAN1 intention properly.**

Tentative TP proposal:

**Adopt the following text proposal for section 9.1.2 in TS 38.213**

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| 9.1.2  Type-1 HARQ-ACK codebook determination  <unnecessary part is omitted>  within the cid:image002.png@01D61E3A.180D7580 occasions for candidate PDSCH receptions as determined in Clause 9.1.2.1, the UE determines a HARQ-ACK codebook only for the SPS PDSCH release or only for the PDSCH reception or only for the SPS PDSCH receptions according to corresponding cid:image002.png@01D61E3A.180D7580 occasion(s) on respective serving cell(s), where the value of counter DAI in DCI format 1\_0 is according to Table 9.1.3-1 and HARQ-ACK information bits in response to more than one SPS PDSCH reception~~s~~ that the UE is configured to receive are ordered according to the following pseudo-code; otherwise, the procedures in Clause 9.1.2.1 and Clause 9.1.2.2 for a HARQ-ACK codebook determination apply.  **<**Unchanged text is omitted>  while  Set – SPS PDSCH configuration index: lower indexes correspond to lower RRC indexes of corresponding SPS configurations  while  Set – slot index  while  if UE is configured to receive a SPS PDSCH in slot for SPS PDSCH configuration on serving cell , ~~and~~ except that the SPS PDSCH is not required to be received among overlapping SPS PDSCHs, if any according to [6, TS 38.214], or based on a UE capability for a number of PDSCH receptions in a slot according to [6, TS 38.214], or due to overlapping with a set of symbols indicated as uplink by *tdd-ULDL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*  = HARQ-ACK information bit for this SPS PDSCH reception  ;  end if  ;  end while  ;  end while  ;  end while  **<**Unchanged text is omitted> |

* The first part makes the pseudo-code in 9.1.2 be applied to the case for multiple HARQ-ACK bits only for SPS PDSCHs (without DG PDSCH) on a PUCCH (and not to be applied to the case for single HARQ-ACK bit for an SPS PDSCH).
* The second part is to include A/N bits for cancelled SPS PDSCH by dynamic SFI/DCI in the codebook for the case for multiple HARQ-ACK bits only for SPS PDSCHs without DG PDSCH on a PUCCH (for both type-1 and type-2 codebook).

The update of “or due to overlapping with a set of symbols indicated as uplink by *tdd-ULDL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*” comes from QC’s offline comment to make sure that A/N bit for the cancelled SPS PDSCH by semi-static UL is not included in the codebook as in Rel-15.

For this issue, Following TP and proposals are provided by companies’ contributions.

**<ZTE, [1]>**

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| --- |
| 9.1.2 Type-1 HARQ-ACK codebook determination ...  If a UE reports HARQ-ACK information in a PUCCH only for  - a SPS PDSCH release indicated by DCI format 1\_0 with counter DAI field value of 1, or  - a PDSCH reception scheduled by DCI format 1\_0 with counter DAI field value of 1 on the PCell, or  - SPS PDSCH reception~~s~~  within the cid:image002.png@01D61E3A.180D7580 occasions for candidate PDSCH receptions as determined in Clause 9.1.2.1, the UE determines a HARQ-ACK codebook only for the SPS PDSCH release or only for the PDSCH reception or only for ~~the~~ one SPS PDSCH reception~~s~~ according to corresponding cid:image002.png@01D61E3A.180D7580 occasion(s) on respective serving cell(s), where the value of counter DAI in DCI format 1\_0 is according to Table 9.1.3-1 and HARQ-ACK information bits in response to more than one SPS PDSCH reception~~s~~ that the UE is configured to receive are ordered according to the following pseudo-code; otherwise, the procedures in Clause 9.1.2.1 and Clause 9.1.2.2 for a HARQ-ACK codebook determination apply.  **<**Unchanged text is omitted> |

**<Nokia, [4]>**

**Proposal 6: Adopt the following text proposal to capture the RAN1#100-bis-e agreement of “HARQ-ACK feedback for a SPS PDSCH is included in the HARQ-ACK codebook when the SPS PDSCH is cancelled by DCI/dynamic SFI in which case NACK is generated for the SPS PDSCH.”**

**<Samsung, [8]>**

***Proposal 5: For a PUCCH transmission using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 and for a number of UCI bits smaller than or equal to 11, if UCI only contains all known NACKs for the cancelled SPS PDSCHs in the HARQ-ACK codebook, UE does not transmit the PUCCH.***

***~~Proposal 7: For a Type-1 HARQ-ACK codebook, if a UE does not indicate the capability to receive more than one unicast PDSCH per slot, for an active BWP of a serving cell, following two alternatives can be considered,~~***

***~~Alt 1: it can be up to gNB’s implementation to ensure HARQ-ACK codebook construction.~~***

***~~Alt 2: when UE receives an SPS release DCI in a slot, UE will transmit HARQ-ACK for the release DCI and does not need to receive any SPS PDSCH in this slot.~~***

### FL suggestion on issue 3.5

Based on the contributions, TP is needed with some modification.

Except for the discussion on the TP, some companies raise issues such as PUCCH power control and order between SFI and SPS overlapping handling. Those issues will be discussed after the conclusion for tentative TP.

**Proposal 3: Adopt the following text proposal for section 9.1.2 in TS 38.213**

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| 9.1.2  Type-1 HARQ-ACK codebook determination  <unnecessary part is omitted>  If a UE reports HARQ-ACK information in a PUCCH only for  - a SPS PDSCH release indicated by DCI format 1\_0 with counter DAI field value of 1, or  - a PDSCH reception scheduled by DCI format 1\_0 with counter DAI field value of 1 on the PCell, or  - SPS PDSCH reception(s)  within the cid:image002.png@01D61E3A.180D7580 occasions for candidate PDSCH receptions as determined in Clause 9.1.2.1, the UE determines a HARQ-ACK codebook only for the SPS PDSCH release or only for the PDSCH reception or only for ~~the~~ one SPS PDSCH reception~~s~~ according to corresponding cid:image002.png@01D61E3A.180D7580 occasion(s) on respective serving cell(s), where the value of counter DAI in DCI format 1\_0 is according to Table 9.1.3-1 and HARQ-ACK information bits in response to more than one SPS PDSCH reception~~s~~ that the UE is configured to receive are ordered according to the following pseudo-code; otherwise, the procedures in Clause 9.1.2.1 and Clause 9.1.2.2 for a HARQ-ACK codebook determination apply.  **<**Unchanged text is omitted>  while  Set – SPS PDSCH configuration index: lower indexes correspond to lower RRC indexes of corresponding SPS configurations  while  Set – slot index  while  if UE is configured to receive a SPS PDSCH in slot for SPS PDSCH configuration on serving cell , ~~and~~ except that the SPS PDSCH is not required to be received among overlapping SPS PDSCHs, if any according to [6, TS 38.214], or based on a UE capability for a number of PDSCH receptions in a slot according to [6, TS 38.214], or due to overlapping with a set of symbols indicated as uplink by *tdd-ULDL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*  = HARQ-ACK information bit for this SPS PDSCH reception  ;  end if  ;  end while  ;  end while  ;  end while  **<**Unchanged text is omitted> |

Companies are encouraged to provide your feedback (or editorial correction) if any on above proposal.

**Comment:**

|  |  |
| --- | --- |
| Company | Comment if any |
| QC | For the first part of TP change, the intension needs to be clarified, on why it is needed and how related to the current discussion. For the changes under the pseudo code, better to avoid double neg. |
|  |  |
| Samsung | Fine with the proposal 3  The proposal 5 from our contribution does not conflict with the discussion of the TP, in addition, the timeline for this email thread is 29th, we suggest to discuss the power control issue simultaneously. We would like to hear other company’s views regarding this issue.  ***Proposal 5: For a PUCCH transmission using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 and for a number of UCI bits smaller than or equal to 11, if UCI only contains all known NACKs for the cancelled SPS PDSCHs in the HARQ-ACK codebook, UE does not transmit the PUCCH.***  Proposal 7 is not related, instead it is related to Issue 3.4. |
| CATT | We are fine with the proposed text proposal with a minor comment that “*tdd-ULDL-ConfigurationCommon*” should be “*tdd-UL-DL-ConfigurationCommon*”. |
| vivo | We are fine with the proposal 3.  For proposal 5 proposed by Samsung, we have different views on the “  is the number of SPS PDSCH receptions”. Even if all the SPS PDSCH receptions are cancelled by dynamic SFI, NSPS still equals to the number of cancelled SPS PDSCHs. We do not see the necessity of this proposal, it is optimization. |
| HW/HiSi | We support Proposal 3 |
| ZTE | Fine with proposal 3, about the proposal change on “SPS PDSCH reception(s)”, “~~the~~ one SPS PDSCH reception~~s~~”, and the main intention for the change is that for the SPS PDSCH reception, one or multiple SPS PDSCH reception should be both supported in this TP. The original description ‘SPS PDSCH receptions’ implies only multiple SPS PDSCH reception is permitted. So the change of “SPS PDSCH reception(s)” in TP is proposed to include not only one SPS PDSCH reception but also mulitple SPS PDSCH receptions. And the change of “~~the~~ one SPS PDSCH reception~~s~~” is regarded as only one SPS PDSCH reception which in addition with the ‘one’. This is the fallback to only one SPS PDSCH reception consistent with the Rel-15 specification description. |
| Nokia, NSB | We support proposal 3. |
| OPPO | We support proposal 3. |
| LG | Support Proposal 3 |

### FL suggestion on issue 3.5 at 5/28

I updated proposal with “tdd-UL-DL” based on the comment. Regarding to Qualcomm’s concern, I hope ZTE’s comment could be an answer.

**Proposal 3A: Adopt the following text proposal for section 9.1.2 in TS 38.213**

|  |
| --- |
| 9.1.2  Type-1 HARQ-ACK codebook determination  <unnecessary part is omitted>  If a UE reports HARQ-ACK information in a PUCCH only for  - a SPS PDSCH release indicated by DCI format 1\_0 with counter DAI field value of 1, or  - a PDSCH reception scheduled by DCI format 1\_0 with counter DAI field value of 1 on the PCell, or  - SPS PDSCH reception(s)  within the cid:image002.png@01D61E3A.180D7580 occasions for candidate PDSCH receptions as determined in Clause 9.1.2.1, the UE determines a HARQ-ACK codebook only for the SPS PDSCH release or only for the PDSCH reception or only for ~~the~~ one SPS PDSCH reception~~s~~ according to corresponding cid:image002.png@01D61E3A.180D7580 occasion(s) on respective serving cell(s), where the value of counter DAI in DCI format 1\_0 is according to Table 9.1.3-1 and HARQ-ACK information bits in response to more than one SPS PDSCH reception~~s~~ that the UE is configured to receive are ordered according to the following pseudo-code; otherwise, the procedures in Clause 9.1.2.1 and Clause 9.1.2.2 for a HARQ-ACK codebook determination apply.  **<**Unchanged text is omitted>  while  Set – SPS PDSCH configuration index: lower indexes correspond to lower RRC indexes of corresponding SPS configurations  while  Set – slot index  while  if UE is configured to receive a SPS PDSCH in slot for SPS PDSCH configuration on serving cell , ~~and~~ except that the SPS PDSCH is not required to be received among overlapping SPS PDSCHs, if any according to [6, TS 38.214], or based on a UE capability for a number of PDSCH receptions in a slot according to [6, TS 38.214], or due to overlapping with a set of symbols indicated as uplink by *tdd-UL-DL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*  = HARQ-ACK information bit for this SPS PDSCH reception  ;  end if  ;  end while  ;  end while  ;  end while  **<**Unchanged text is omitted> |

Proposal 3A has been agreed on the first Friday (5/29) in the email discussion of [101-e-NR-L1enh-URLLC-IIoTenh-01] Text proposal for previous agreement.

## Issue 4.3: PUCCH resource selection for SPS HARQ-ACK and SR

In Rel-16 a UE can have multiple SPS PDSCH configurations and a corresponding HARQ-ACK information that the UE needs to report can be larger than 2 bits. The current specifications do not capture the case of multiplexing SR with more than 2 HARQ-ACK information bits corresponding to multiple SPS PDSCHs. Samsung propose to include a reference to section 9.2.1 (multiple SPS PDSCHs) in addition to section 9.2.3 (one SPS PDSCH) and provide corresponding TP as follows.

**<Samsung, [8]>**

**Proposal 9: Capture multiplexing of SR with HARQ-ACK from multiple SPS PDSCH by adding a reference to clause 9.2.1 as follows.**

|  |
| --- |
| 9.2.5.1 UE procedure for multiplexing HARQ-ACK or CSI and SR in a PUCCH \*\*\* Unchanged text is omitted \*\*\*  If a UE would transmit a PUCCH with  HARQ-ACK information bits in a resource using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 in a slot, as described in Clauses 9.2.1 and 9.2.3,  bits representing a negative or positive SR, in ascending order of the values of *schedulingRequestResourceId* and *schedulingRequestIDForBFR*, are appended to the HARQ-ACK information bits and the UE transmits the combined  UCI bits in a PUCCH using a resource with PUCCH format 2 or PUCCH format 3 or PUCCH format 4 that the UE determines as described in Clauses 9.2.1 and 9.2.3. If one of the SRs is a positive LRR, the value of the  bits indicates the positive LRR. An all-zero value for the  bits represents a negative SR value across all  SRs.  \*\*\* Unchanged text is omitted \*\*\* |

### FL suggestion on issue 4.3

The proposal seems concrete and necessary.

**Proposal 4: Adopt the following text proposal for section 9.2.5.1 in TS 38.213**

|  |
| --- |
| 9.2.5.1 UE procedure for multiplexing HARQ-ACK or CSI and SR in a PUCCH \*\*\* Unchanged text is omitted \*\*\*  If a UE would transmit a PUCCH with  HARQ-ACK information bits in a resource using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 in a slot, as described in Clauses 9.2.1 and 9.2.3,  bits representing a negative or positive SR, in ascending order of the values of *schedulingRequestResourceId* and *schedulingRequestIDForBFR*, are appended to the HARQ-ACK information bits and the UE transmits the combined  UCI bits in a PUCCH using a resource with PUCCH format 2 or PUCCH format 3 or PUCCH format 4 that the UE determines as described in Clauses 9.2.1 and 9.2.3. If one of the SRs is a positive LRR, the value of the  bits indicates the positive LRR. An all-zero value for the  bits represents a negative SR value across all  SRs.  \*\*\* Unchanged text is omitted \*\*\* |

Companies are encouraged to provide your feedback (or editorial correction) if any on above proposal.

**Comment:**

|  |  |
| --- | --- |
| Company | Comment if any |
| QC | Agree with proposal |
| Samsung | Agree with proposal |
| CATT | Agree |
| vivo | Agree with proposal |
| HW/HiSi | Agree |
| ZTE | Agree |
| Nokia, NSB | Agree |
| OPPO | Agree |

### FL suggestion on issue 4.3 at 5/28

I marked Proposal 4 as green since there was no concern.

Proposal 4 has been agreed on the first Friday (5/29) in the email discussion of [101-e-NR-L1enh-URLLC-IIoTenh-01] Text proposal for previous agreement.

# Open issues to be discussed

For section 3, it is recommended for companies to take into account the issues carefully and to come back with sufficiently specific options/preference/suggestions to the next meeting so that we can complete RAN1 works on the relevant functionalities with respect to specification.

* 1. Counting HARQ-ACKs for SPS PDSCH cancelled by dynamic SFI/DCI

In this meeting, there is an issue raised by Samsung, on how to count SPS PDSCH for PUCCH power control.

In principle, PUCCH power control takes into account the number of information bit. However, if the meaningful information bit  for HARQ-ACK is zero, and if there is no other meaningful information bit, PUCCH power control offset become minus infinity.

**Samsung’s proposal in R1-2003869:**

***Proposal 5: For a PUCCH transmission using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 and for a number of UCI bits smaller than or equal to 11, if UCI only contains all known NACKs for the cancelled SPS PDSCHs in the HARQ-ACK codebook, UE does not transmit the PUCCH.***

Even in the case of UCI bits larger than 11, UE would transmit PUCCH with CRC bit only. In the principle of Rel.15, PUCCH for PDSCH would be not transmitted if PDSCH is cancelled by SFI as we extended following agreements. Therefore, the issue could be general for both cases.

Agreements:

HARQ-ACK feedback for a SPS PDSCH is included in the HARQ-ACK codebook when the SPS PDSCH is cancelled by DCI/dynamic SFI in which case NACK is generated for the SPS PDSCH.

* For type-1 codebook, the main bullet is not applied if only a single HARQ-ACK bit, for an SPS PDSCH, is mapped on a PUCCH; otherwise, the main bullet is applied.
* For type-2 codebook, the main bullet is applied.

**Companies are encouraged to check if such change is necessary or not, and hopefully to provide your feedback/comment/TP (if deemed necessary) in the next meeting (regardless of whether or not such change is necessary).**

# Final outcome from [101-e-NR-L1enh-URLLC-IIoTenh-01]

**Agreement**

**The following text proposal in R1-2004803 is endorsed for the editor’s CR on TS38.214**

**(Note:**that the text not yellow highlighted in the below TP has been already endorsed in RAN1#100bis)

|  |
| --- |
| <omitted text> 5.1 UE procedure for receiving the physical downlink shared channel <omitted text>  If more than one PDSCH on a serving cell each without a corresponding PDCCH transmission are in a slot, after resolving overlapping with symbols in the slot indicated as uplink by *tdd-UL-DL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*, a UE receives one or more PDSCHs without corresponding PDCCH transmissions in the slot as specified below.  ‒ Step 0: set *j=0*, where *j* is thenumber of selected PDSCH(s) for decoding. *Q* is the set of activated PDSCHs without corresponding PDCCH transmissions within the slot  ‒ Step 1: A UE receives one PDSCH with the lowest configured *sps-ConfigIndex* within *Q*, set *j=j+1*. Designate the received PDSCH as survivor PDSCH.  ‒ Step 2: The survivor PDSCH in step 1 and any other PDSCH(s) overlapping (even partially) with the survivor PDSCH in step 1 are excluded from *Q*.  ‒ Step 3: Repeat step 1 and 2 until *Q* is empty or *j* is equal to the number of unicast PDSCHs in a slot supported by the UE  <omitted text> |

**Agreement**

The following text proposal in R1-2004803 is endorsed for the editor’s CR in TS38.213.

|  |
| --- |
| 9.1.2  Type-1 HARQ-ACK codebook determination  <unnecessary part is omitted>  If a UE reports HARQ-ACK information in a PUCCH only for  - a SPS PDSCH release indicated by DCI format 1\_0 with counter DAI field value of 1, or  - a PDSCH reception scheduled by DCI format 1\_0 with counter DAI field value of 1 on the PCell, or  - SPS PDSCH reception(s)  within the cid:image002.png@01D61E3A.180D7580 occasions for candidate PDSCH receptions as determined in Clause 9.1.2.1, the UE determines a HARQ-ACK codebook only for the SPS PDSCH release or only for the PDSCH reception or only for ~~the~~ one SPS PDSCH reception~~s~~ according to corresponding cid:image002.png@01D61E3A.180D7580 occasion(s) on respective serving cell(s), where the value of counter DAI in DCI format 1\_0 is according to Table 9.1.3-1 and HARQ-ACK information bits in response to more than one SPS PDSCH reception~~s~~ that the UE is configured to receive are ordered according to the following pseudo-code; otherwise, the procedures in Clause 9.1.2.1 and Clause 9.1.2.2 for a HARQ-ACK codebook determination apply.  **<**Unchanged text is omitted>  while  Set – SPS PDSCH configuration index: lower indexes correspond to lower RRC indexes of corresponding SPS configurations  while  Set – slot index  while  if UE is configured to receive a SPS PDSCH in slot for SPS PDSCH configuration on serving cell , ~~and~~ except that the SPS PDSCH is not required to be received among overlapping SPS PDSCHs, if any according to [6, TS 38.214], or based on a UE capability for a number of PDSCH receptions in a slot according to [6, TS 38.214], or due to overlapping with a set of symbols indicated as uplink by *tdd-UL-DL-ConfigurationCommon*, or by *tdd-UL-DL-ConfigurationDedicated*  = HARQ-ACK information bit for this SPS PDSCH reception  ;  end if  ;  end while  ;  end while  ;  end while  **<**Unchanged text is omitted> |

**Agreement**

The following text proposal in R1-2004803is endorsed for the editor’s CR in TS38.213.

|  |
| --- |
| 9.2.5.1 UE procedure for multiplexing HARQ-ACK or CSI and SR in a PUCCH \*\*\* Unchanged text is omitted \*\*\*  If a UE would transmit a PUCCH with  HARQ-ACK information bits in a resource using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 in a slot, as described in Clauses 9.2.1 and 9.2.3,  bits representing a negative or positive SR, in ascending order of the values of *schedulingRequestResourceId* and *schedulingRequestIDForBFR*, are appended to the HARQ-ACK information bits and the UE transmits the combined  UCI bits in a PUCCH using a resource with PUCCH format 2 or PUCCH format 3 or PUCCH format 4 that the UE determines as described in Clauses 9.2.1 and 9.2.3. If one of the SRs is a positive LRR, the value of the  bits indicates the positive LRR. An all-zero value for the  bits represents a negative SR value across all  SRs.  \*\*\* Unchanged text is omitted \*\*\* |

# References

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