**3GPP TSG-SA5 Meeting #157 *S5-245980***

Hyderabad, India, 14 - 18 October 2024

**Source: ZTE Corporation, Huawei, NEC**

**Title: DP on data collection for UE-side Model Training**

**Document for: Endorsement**

**Agenda Item: 5.3**

# 1 Decision/action requested

***The group is asked to endorse the detailed proposal in section 4.***

# 2 References

[1] RP-242389: “LS on AIML data collection”

# 3 Rationale

## 3.1 Introduction

According to the “Table 7.2.1.3.2-1” in LS RP-242389 [1], different data collection options for UE-side model training and technics are briefly analyzed. The options of the AI/ML-specific Data Transfer Path include:

* Option 1a: UE to OTT server via either 3GPP or non-3GPP network
* Option 1b: UE ->Server for data collection for UE -side model training/OTT server
* Option 2: UE-> CN -> Server for data collection for UE-side model training/OTT server
* Option 3: UE-> gNB->OAM -> Server for data collection for UE-side model training/OTT server

The analysis of the above options and the impact on SA5 are shown as follows.

## 3.2 SA5 UE data collection related mechanisms

SA5 has defined the following collection mechanisms related to UE data collection.

* MDT data collection are documented in TS 32.422, which can collect the measurements of RRC\_IDLE, RRC\_INACTIVE and logged MDT.
* NG-RAN UE level measurements collection and reporting are documented in TS 28.558.
* QoE data collection are documented in TS 28.405.

For the above data collection mechanisms, OAM triggers and perform the management configuration to the gNB for specific MDT measurements collection. The UE collects the MDT measurements and transfer to gNB. The gNB subsequently forwards the MDT measurements to either TCE or MCE. The OAM has fully control of the data collection process.

**Observation 1: SA5 has defined MDT data collection, NG-RAN UE level measurements collection and QoE measurements collection, and OAM has control of the data collection process.**

## 3.2 Analysis of SA5 relevance to option 1a/1b/2/3

In RP-242389 [1], the content of UE-side data collection is not elaborated. Based on the existing data collection mechanism defined in SA5, for option1a/1b/2/3, the following analysis is provided for the different paths.

#### 3.2.1 Analysis on Option 1a

**For Option 1a**: the AI/ML-specific Data Transfer Path is UE to OTT server via either 3GPP or non-3GPP network

* In case of via 3GPP, considering that the data collection is transparent between UE and OTT server as described in clause 7.2.1.3.2 in TR 38.843. There is no management and control requirements for SA5.
* In case of via non-3GPP, there is no management and control requirements for SA5.

**Observation 1: There is no standardized management support identified from SA5**

#### 3.2.2 Analysis on Option 1b/2

**For Option 1b**: the AI/ML-specific Data Transfer Path is UE ->Server for data collection for UE-side model training/OTT server



* In case of endpoint is OTT server, there is no management and control requirements for SA5.
* In case of endpoint is “Server for data collection for UE -side model training”, there is management and control requirements for SA5. Operator needs to configure the Server for data collection for UE-side model training/CN/gNB to support the data collection. If the server is not control by MNO, synchronize data collection requirements between the OTT server and the Operator may be required.

**For Option 2:** the AI/ML-specific Data Transfer Path is UE-> CN -> Server for data collection for UE-side model training/OTT server



* In case of endpoint is OTT server for CP tunnel and/or UP tunnel, there is management and control requirements for SA5. Operator configures the CN/gNB to support the data collection. Synchronization data collection requirements between the OTT server and the Operator may be required. Data collection transfer process needs to be captured in SA5.



* In case of endpoint is “Server for data collection for UE -side model training”, if the server is fully controlled by MNO, operator configures the Server for data collection for UE -side model training/CN/gNB to support the data collection. Data collection transfer process needs to be captured in SA5. If the server is not control by MNO, operator configures the CN/gNB to support the data collection. Synchronize data collection requirements between the OTT server and the Operator may be required. Data collection transfer process needs to be captured in SA5.

**Observation 2: For option 1b and option 2, there may be management and control requirements for SA5.**

**Proposal 1: for option 1b and option 2, it is propose to ask RAN for the following clarification:**

* Clarification from RAN1/RAN2 on whether “Server for data collection for UE-side model training” is controlled by operators.
* Clarify what standardized data to be collected in option 1b/2.

#### 3.2.3 Analysis on Option 3

* **First termination entity & AI/ML-specific Data Transfer Path**

For option3, the termination entity is Server for data collection for UE-side model training/OTT server. For MDT, the termination entity can be the data consumer (e.g., TCE) to collect data directly or via the management system. For QoE Measurements, the termination entity can be the Measurement Collector Entity (MCE) to collect data. These termination entity for MDT and QoE measurements can be fully controlled by OAM.



In case of endpoint is the Server controlled by MNO, operator has the control of the standardized data collection transfer process for gNB by extending existing UE data collection mechanism (e.g., MDT/QoE measurements). Data collection requirements between the Server for data collection for UE-side model training and the Operator can be internal implementation.



In case of endpoint is the OTT server or the Server not controlled by MNO, operator has the control of the data collection transfer process for gNB by extending existing UE data collection mechanism (e.g., MDT/QoE measurements). Data collection requirements between the OTT server and the Operator may be required.

* **UP/CP tunnel & Protocol layer for data transfer & Controllability of MNO on data transfer & Solution for network controllability**
* CP Tunnel. For the existing UE data collection mechanism (MDT, QMC) above, only the control plane tunnel is supported. Data collection configuration parameters and corresponding data measurements reported are within the RRC signalling.
* For UP Tunnel: Needs clarify what is “the protocol layer for UP tunnel”? Data collection via user plane is not discussed in SA5.

The existing data collection mechanism only supports data transferred within the RRC signalling in the CP tunnel, which is fully controlled.

* **Possible Options for Visibility of data content in MNO and Data format**
* MDT and QoE data collection: the standardized data can be collected.
* QoE Measurement Collection Content. QoE measurements are contained in the measReportAppLayerContainer. QoE metrics for 3GP-DASH, VR, and MTSI are defined by SA4 in TS 26.247 and TS 26.114.

**Observation 3: The existing data collection mechanism in SA5 can supports to collect standardized data via the CP tunnel.**

**Observation 4: SA5 does not distinguish between the UP tunnel and CP tunnel.**

**Proposal 2: for option 3, it is propose to ask RAN for the following clarification:**

* SA5 would like to clarify what standardized data to be collected in option 3.
* Clarify what is “the protocol layer for UP tunnel”?

## 3.3 Summary

**For option 1a:**

* There is no standardized management support identified from SA5.

**For option 1b/2:**

* Clarification from RAN1/RAN2 on whether “Server for data collection for UE-side model training” is controlled by operators.
* SA5 would like to clarify what standardized data to be collected in option 1b/2.
* SA5 will evaluate the potential management support needed for 1b/2 based on the progress in RAN.

**For option 3:**

CP tunnel:

* SA5 think the functionality of “Server for data collection for UE-side model training” could be carried by TCE as defined in SA5 MDT mechanism or MCE as defined in SA5 QoE mechanism for CP tunnel. SA5 will evaluate potential enhancement that may be needed based on the progress in RAN.
* SA5 would like to clarify what standardized data to be collected in option 3.

UP tunnel:

* Clarify what is “the protocol layer for UP tunnel”
* SA5 doesn’t differentiate UP tunnel and CP tunnel concepts if the termination entity is inside OAM domain.

# 4 Detailed proposal

Proposal #1: Take the three obervations and related analysis above into consideration when drafting the LS reply.