**3GPP TSG- Meeting #**

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| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  |  | **CR** |  | **rev** |  | **Current version:** |  |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | DraftCR for Applicability of requirements for MIMO Evo | | | | | | | | | |
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| ***Source to WG:*** |  | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
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| ***Work item code:*** |  | | | | |  | ***Date:*** | | |  |
|  |  | | | |  | |  | | |  |
| ***Category:*** |  |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RAN4 has agreed to define demod and CSI requirements for new features for MIMO evo | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introduced applicability of PDSCH demod requirements with enhanced DMRS | | | | | | | | |
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| ***Consequences if not approved:*** | | The applicability of newly introduced requirements will not be included. | | | | | | | | |
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| ***Clauses affected:*** | | 5.1.1, 5.1.1.3 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 38.521-4 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

Change 1

5.1.1.3 Applicability of requirements for optional UE features

The performance requirements in Table 5.1.1.3-1 shall apply for UEs which support optional UE features only.

**Table 5.1.1.3-1: Requirements applicability for optional UE features**

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| --- | --- | --- | --- | --- | --- |
| **UE feature/capability [14]** | **Test type** | | **Test list** | **Applicability notes** | |
| SU-MIMO Interference Mitigation advanced receiver | FR1 FDD | PDSCH | Clause 5.2.2.1.1 (Test 3-1)  Clause 5.2.3.1.1 (Test 5-1) |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.1 (Test 3-1)  Clause 5.2.3.2.1 (Test 5-1) |  | |
| Alternative additional DMRS position for co-existence with LTE CRS *(additionalDMRS-DL-Alt)* | FR1 FDD | PDSCH | Clause 5.2.2.1.4 (Test 1-2)  Clause 5.2.3.1.4 (Test 1-2) |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.4 (Test 1-2)  Clause 5.2.3.2.4 (Test 1-2) |  | |
| Basic DL NR-NR CA operation (*supportedBandCombinationList*) | NR CA | SDR | Clause 5.5A.1 | 1)Up to 16 DL carriers  2)Same numerology across carrier for data/control channel at a given time | |
| Enhanced demodulation processing for HST-SFN joint transmission scheme with velocity up to 500km/h | FR1 FDD | PDSCH | Clause 5.2.2.1.9 (Test 1-1)  Clause 5.2.3.1.9 (Test 1-1) |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.9 (Test 1-1)  Clause 5.2.3.2.9 (Test 1-1) |  | |
| Alternative 64QAM MCS table for PDSCHNew 64QAM MCS table for PDSCH (*dl-64QAM-MCS-TableAlt*) | FR1 FDD | PDSCH | Clause 5.2.2.1.5  Clause 5.2.3.1.5  Clause 5.2.2.1.6  Clause 5.2.3.1.6 |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.5  Clause 5.2.3.2.5  Clause 5.2.2.2.6  Clause 5.2.3.2.6 |  | |
| CQI table with target BLER of 10^-5New CQI table (cqi-TableAlt) | FR1 FDD | PDSCH | Clause 5.2.2.1.5  Clause 5.2.3.1.5 |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.5  Clause 5.2.3.2.5 |  | |
| PDSCH repetitions over multiple slots *(pdsch-RepetitionMultiSlots)* | FR1 FDD | PDSCH | Clause 5.2.2.1.6  Clause 5.2.3.1.6 |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.6  Clause 5.2.3.2.6 |  | |
| UE PDSCH processing capability #2 *(pdsch-ProcessingType2)* | FR1 FDD | PDSCH | Clause 5.2.2.1.7  Clause 5.2.3.1.7 |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.7  Clause 5.2.3.2.7 |  | |
| Pre-emption indication for DL *(pre-EmptIndication-DL)* | FR1 FDD | PDSCH | Clause 5.2.2.1.8  Clause 5.2.3.1.8 |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.8  Clause 5.2.3.2.8 |  | |
| Single DCI based SDM transmission for multi-TRxP (singleDCI-SDM-scheme-r16) | FR1 FDD | PDSCH | Clause 5.2.2.1.11  Clause 5.2.3.1.11 |  | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.11  Clause 5.2.3.2.11 |  | |
| Multi DCI based multi-TRxP support (multiDCI-MultiTRP-r16) | FR1 FDD | PDSCH | Clause 5.2.2.1.12  Clause 5.2.3.1.12 |  | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.12  Clause 5.2.3.2.12 |  | |
| Single DCI based FDM Scheme-A for multi-TRxP(supportFDM-SchemeA-r16) | FR1 FDD | PDSCH | Clause 5.2.2.1.13  Clause 5.2.3.1.13 |  | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.13  Clause 5.2.3.2.13 |  | |
| Single DCI based inter-slot TDM for multi-TRxP (supportInter-slotTDM-r16) | FR1 FDD | PDSCH | Clause 5.2.2.1.14  Clause 5.2.3.1.14 |  | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.14  Clause 5.2.3.2.14 |  | |
| Maximum number of TCI states in Single-DCI based inter-slot TDM (maxNumberTCI-states-r16) | FR1 FDD | PDSCH | Clause 5.2.2.1.14  Clause 5.2.3.1.14 | | The requirements apply only when maxNumberTCI-states-r16 = 2. | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.14  Clause 5.2.3.2.14 | |
| DRX Adaptation (*drx-Adaptation-r16*) | FR1 FDD | PDCCH | Clause 5.3.2.1.3 | If the Test 1 in Clause 5.3.2.1.3 is passed, the test coverage can be considered fulfilled without executing Test 3 in clause 5.3.2.1.1. | |
| FR1 TDD | PDCCH | Clause 5.3.2.2.3 | If the Test 1 in Clause 5.3.2.2.3 is passed, the test coverage can be considered fulfilled without executing Test 2 in clause 5.3.2.2.1. | |
| FR1 FDD | PDCCH | Clause 5.3.3.1.3 | If the Test 1 in Clause 5.3.3.1.3 is passed, the test coverage can be considered fulfilled without executing Test 3 in clause 5.3.3.1.1. | |
| FR1 TDD | PDCCH | Clause 5.3.3.2.3 | If the Test 1 in Clause 5.3.3.2.3 is passed, the test coverage can be considered fulfilled without executing Test 2 in clause 5.3.3.2.1. | |
| Validating P/SP-CSI-RS reception (*periodicAndSemi-PersistentCSI-RS-r16*) | FR1 TDD | PDSCH | Clause 5.2.2.2.15  Clause 5.2.3.2.15  Clause 5.2A.2.3  Clause 5.2A.3.3 | The requirements apply only in case tested UE supporting operations in shared spectrum access and validation of P/SP-CSI-RS reception based on DCI | |
| Supported UL channels for dynamic channel access mode (*ul-DynamicChAccess-r16*) or UL channel access for semi-static channel access mode (ul-Semi-StaticChAccess-r16) or both | FR1 TDD | PDSCH | Clause 5.2.2.2.15  Clause 5.2.3.2.15 | The requirements apply only in case tested UE supports one of UL channels for dynamic channel access mode and UL channel access for semi-static channel access mode | |
| 1024QAM modulation for PDSCH for FR1 (*pdsch-1024QAM-FR1-r17* or *pdsch-1024QAM-2MIMO-FR1-r17*) | FR1 FDD | PDSCH | Clause 5.2.2.1.1 (Test 1-8)  Clause 5.2.3.1.1 (Test 1-8) |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.1 (Test 1-12)  Clause 5.2.3.2.1 (Test 1-12) |  | |
|  |  | SDR | Clause 5.5.1  Clause 5.5A.1 | 1024QAM MCS indexes are used only if UE supports 1024QAM for FR1 DL. | |
| Support of neighboring LTE cell CRS-IM in DSS scenario with NR 15 kHz SCS ( *CRS-IM-DSS-15kHzSCS-r17*) | FR1 FDD | PDSCH | Clause 5.2.2.1.18  Clause 5.2.3.1.17 | UE can support the feature on the CC(s) in a band only if the UE indicates support of rateMatchingLTE-CRS on that band. | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.19  Clause 5.2.3.2.18 |
| Support of neighboring LTE cell CRS-IM in non-DSS and 15 kHz NR SCS scenario, without the assistance of network signaling on LTE channel bandwidth (*CRS-IM-nonDSS-15kHzSCS-r17*) | FR1 FDD | PDSCH | Clause 5.2.2.1.19 (Test 2-1)  Clause 5.2.3.1.18 (Test 2-1) | The UE can perform CRS-IM when MeasObjectEUTRA IE is configured, and the configured measurement gaps overlap with neighbour LTE cell PBCH position. | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.20 (Test 2-1)  Clause 5.2.3.2.19 (Test 2-1) |
| Support of neighboring LTE cell CRS-IM in non-DSS and 15 kHz NR SCS scenario, with the assistance of network signaling on LTE channel bandwidth (*CRS-IM-nonDSS-NWA-15kHzSCS-r17*) | FR1 FDD | PDSCH | Clause 5.2.2.1.19 (Test 1-1)  Clause 5.2.3.1.18 (Test 1-1) | If the Test 2-1 in Clause 5.2.2.1.19 is passed, the test coverage can be considered fulfilled without executing Test 1-1 in clause 5.2.2.1.19.  If the Test 2-1 in Clause 5.2.3.1.18 is passed, the test coverage can be considered fulfilled without executing Test 1-1 in clause 5.2.3.1.18. | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.20 (Test 1-1)  Clause 5.2.3.2.19 (Test 1-1) | If the Test 2-1 in Clause 5.2.2.2.20 is passed, the test coverage can be considered fulfilled without executing Test 1-1 in clause 5.2.2.2.20.  If the Test 2-1 in Clause 5.2.3.2.19 is passed, the test coverage can be considered fulfilled without executing Test 1-1 in clause 5.2.3.2.19. | |
| CRS-IM in non-DSS and 30 kHz NR SCS scenario, without the assistance of network signaling on LTE channel bandwidth (*crs-IM-nonDSS-30kHzSCS-r17*) | FR1 TDD | PDSCH | Clause 5.2.2.2.20 (Test 2-2)  Clause 5.2.3.2.19 (Test 2-2) | The UE can perform CRS-IM when MeasObjectEUTRA IE is configured, and the configured measurement gaps overlap with neighbour LTE cell PBCH position. | |
| CRS-IM in non-DSS and 30 kHz NR SCS scenario, with the assistance of network signaling on LTE channel bandwidth (crs*-IM-nonDSS-NWA-30kHzSCS-r17*) | FR1 TDD | PDSCH | Clause 5.2.2.2.20 (Test 1-2)  Clause 5.2.3.2.19 (Test 1-2) | If the Test 2-2 in Clause 5.2.2.2.20 is passed, the test coverage can be considered fulfilled without executing Test 1-2 in clause 5.2.2.2.20.  If the Test 2-2 in Clause 5.2.3.2.19 is passed, the test coverage can be considered fulfilled without executing Test 1-2 in clause 5.2.3.2.19. | |
| Support for SFN scheme A for PDCCH scheduling SFN Scheme A PDSCH *(sfn-SchemeA-r17)* | FR1 FDD | PDSCH | Clause 5.2.2.1.20  Clause 5.2.3.1.19 |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.21  Clause 5.2.3.2.20 |  | |
| Support for SFN scheme B for PDCCH scheduling SFN Scheme B PDSCH *(sfn-SchemeB-r17)* | FR1 FDD | PDSCH | Clause 5.2.2.1.21  Clause 5.2.3.1.20 |  | |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.22  Clause 5.2.3.2.21 |  | |
| Support for PDCCH with intra-slot repetition *(mTRP-PDCCH-Repetition-r17)* | FR1 FDD | PDCCH | Clause 5.3.2.1.5  Clause 5.3.3.1.4 |  | |
|  | FR1 TDD | PDCCH | Clause 5.3.2.2.5  Clause 5.3.3.2.4 |  | |
| Support for TDD-TDD intra-band non-colocated NR-CA deployment (intraBandNR-CA-non-collocated-r18) | FR1 TDD | PDSCH | Clause 5.2A.2.6 | The requirements apply on in case the UE indicates support of 256QAM modulation scheme for PDSCH for FR1 (pdsch-256QAM-FR1) | |
| Support for enhanced DMRS (*pdsch-TypeA-DMRS-r18*) | FR1 FDD | PDSCH | Clause 5.2.2.1.1  Clause 5.2.3.1.1 | Test 2-3 Test 4-2 | |
| FR1 TDD | PDSCH | Clause 5.2.2.2.1  Clause 5.2.3.2.1 | Test 2-3 Test 4-2 | |

5.1.1.4 Applicability of requirements for mandatory UE features with capability signalling

The performance requirements in Table 5.1.1.4-1 shall apply for UEs which support mandatory UE features with capability signalling only.

**Table 5.1.1.4-1: Requirements applicability for mandatory features with UE capability signalling**

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| --- | --- | --- | --- | --- |
| **UE feature/capability [14]** | **Test type** | | **Test list** | **Applicability notes** |
| 256QAM modulation scheme for PDSCH for FR1 (*pdsch-256QAM-FR1*) | FR1 FDD | PDSCH | Clause 5.2.2.1.1 (Test 1-3)  Clause 5.2.3.1.1 (Test 1-3) |  |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.1 (Test 1-3)  Clause 5.2.3.2.1 (Test 1-3) |  |
| PDSCH mapping type B (*pdsch-MappingTypeB*) | FR1 FDD | PDSCH | Clause 5.2.2.1.3  Clause 5.2.3.1.3  Clause 5.2.2.1.7  Clause 5.2.3.1.7 |  |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.3  Clause 5.2.3.2.3  Clause 5.2.2.2.7  Clause 5.2.3.2.7 |  |
| Rate-matching around LTE CRS (*rateMatchingLTE-CRS*) | FR1 FDD | PDSCH | Clause 5.2.2.1.4  Clause 5.2.3.1.4 | For UEs supporting “Alternative additional DMRS position for co-existence with LTE CRS”, if Test 1-2 is tested, the test coverage can be considered fulfilled without executing Test 1-1. Otherwise, only Test 1-1 is tested. |
| FR1 TDD | PDSCH | Clause 5.2.2.2.4  Clause 5.2.3.2.4 |
| Supported maximum number of ports across all configured NZP-CSI-RS resources per CC (*maxConfigNumberPortsAcrossNZP-CSI-RS-PerCC*) | FR1 FDD | PDSCH | Clause 5.2.2.1.4 (Tests 1-1, 1-2)  Clause 5.2.3.1.1 (Tests 3-1, 4-1, 5-1, 4-2)  Clause 5.2.3.1.4 (Tests 1-1, 1-2) | The requirements apply only in case the number of NZP-CSI-RS ports in the test case satisfies UE capability on maximum number of NZP-CSI-RS ports |
|  | FR1 TDD | PDSCH | Clause 5.2.3.2.1 (Test 3-1, 4-1, 5-1, 4-2) |  |
| Supported maximum number of PDSCH MIMO layers (*maxNumberMIMO-LayersPDSCH*) | FR1 FDD | PDSCH | Clause 5.2.2.1.1 (Tests 2-1, 2-2, 3-1)  Clause 5.2.2.1.2  Clause 5.2.3.1.1 (Tests 2-1, 2-2, 3-1, 4-1, 5-1, 4-2)  Clause 5.2.3.1.2 | The requirements apply only in case the PDSCH MIMO rank in the test case does not exceed UE PDSCH MIMO layers capability |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.1 (Tests 2-1, 2-2, 3-1)  Clause 5.2.2.2.2  Clause 5.2.3.2.1 (Tests 2-1, 2-2, 3-1, 4-1, 5-1, 4-2)  Clause 5.2.3.2.2 |  |
| Support number of active TCI states per BWP per CC, including control and data *(maxNumberActiveTCI-PerBWP)* | FR1 FDD | PDSCH | Clause 5.2.2.1.10 (Test 1-2)  Clause 5.2.3.1.10 (Test 1-2) | The requirements apply only when *maxNumberActiveTCI-PerBWP* is other than n1. |
|  | FR1 TDD | PDSCH | Clause 5.2.2.2.10 (Test 1-2)  Clause 5.2.3.2.10 (Test 1-2) |  |
| Support for maximum number of TRS resource sets per CC which the UE can track simultaneously (*maxSimultaneousResourceSetsPerCC*) | FR1 FDD | PDSCH | Clause 5.2.2.1.10 (Test 1-2)  Clause 5.2.3.1.10 (Test 1-2)  Clause 5.2.2.1.11  Clause 5.2.2.1.12  Clause 5.2.2.1.13  Clause 5.2.2.1.14  Clause 5.2.3.1.11  Clause 5.2.3.1.12  Clause 5.2.3.1.13  Clause 5.2.3.1.14 | The requirements apply only when *maxSimultaneousResourceSetsPerCC* ≥ 2 |
| FR1 TDD | PDSCH | Clause 5.2.2.2.10 (Test 1-2)  Clause 5.2.3.2.10 (Test 1-2)  Clause 5.2.2.2.11  Clause 5.2.2.2.12  Clause 5.2.2.2.13  Clause 5.2.2.2.14  Clause 5.2.3.2.11  Clause 5.2.3.2.12  Clause 5.2.3.2.13  Clause 5.2.3.2.14 |

<Unchanged sections omitted>

Change 2

5.1.1.X Applicability of requirements with enhanced DMRS

The applicability rules for different requirements with enhanced DMRS in section 5 are specified in Table 5.1.1.X-1.

Table 5.1.1.X-1: Applicability of different requirements with enhanced DMRS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| If UE is tested | | | UE can skip | | | Applicability notes |
| Test type | | Test list | Test type | | Test list |
| FR1 FDD | PDSCH | Clause 5.2.2.1.1 (Test 2-3) | FR1 FDD | PDSCH | Clause 5.2.2.1.1 (Test 2-1) | If UE supports enhanced DMRS, UE is not tested for corresponding test with legacy DMRS. |
| FR1 TDD | PDSCH | Clause 5.2.2.2.1 (Test 2-3) | FR1 TDD | PDSCH | Clause 5.2.2.2.1 (Test 2-1) |