3GPP TSG-RAN WG4 Meeting #111 R4-24xxxxx

Fukuoka City, Fukuoka , Japan, 20th – 24th May, 2024

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
|  |
|  | **38.133** | **CR** |  | **rev** | **1** | **Current version:** | **18.5.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | (NR\_FR2\_multiRX\_DL-Core) draft CR on scheduling and measurement restrictions for multiple Rx chains |
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| ***Source to WG:*** | Apple |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_FR2\_multiRX\_DL-Core |  | ***Date:*** | 2024-04-23 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* *Rel-20 (Release 20)* |
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| ***Reason for change:*** | The CBD has been included also in the measurement restriction relaxation in the previous spec and big CR. The CBD needs Rx beam sweeping and it shall not be a case considered for measurement restriction relaxation even though UE support multi-Rx simultaneous DL reception capability. |
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| ***Summary of change:*** | Remove CBD from the measurement restriction relaxation. The change in this draft CR is added on top of the previous big CR R4-2406506. |
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| ***Consequences if not approved:*** | The current measurement restriction relaxation requirement is not correct (CBD shall not be included). |
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| ***Clauses affected:*** | 8.1 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  |  |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** | **Y** |  |  Test specifications | TS 38.533 |
| ***(show related CRs)*** |  |  |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

Start of Change 1

#### 8.1.2.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last TEvaluate\_out\_SSB [ms] period becomes worse than the threshold Qout\_SSB within TEvaluate\_out\_SSB [ms] evaluation period.

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last TEvaluate\_in\_SSB [ms] period becomes better than the threshold Qin\_SSB within TEvaluate\_in\_SSB [ms] evaluation period.

TEvaluate\_out\_SSB and TEvaluate\_in\_SSB are defined in Table 8.1.2.2-1 for FR1.

TEvaluate\_out\_SSB and TEvaluate\_in\_SSB are defined in Table 8.1.2.2-2 for FR2 with scaling factor N, where

N=2, 4, or 6 for FR2-1 for UE supporting [TBD - multi-rx fast beam switching capability] according to the conditions in clause 3.6.x.

N=8 for other cases in FR2-1, and

N=12 for FR2-2,

for FR2 power classes other than power class 6 or for FR2 power class 6 when *highSpeedMeasFlagFR2-r17* is not configured.

**--- Unchanged clauses and text is omitted ---**

### 8.1.3 Requirements for CSI-RS based radio link monitoring

**--- Unchanged clauses omitted ---**

#### 8.1.3.3 Measurement restrictions for CSI-RS based RLM

The SSB mentioned in this clause can be associated with either the serving cell PCI or a PCI different from serving cell PCI.

The UE is required to be capable of measuring CSI-RS for RLM without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following clauses.

For both FR1 and FR2, when the CSI-RS for RLM is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement, UE is not required to receive CSI-RS for RLM in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM, BFD, CBD, or L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for RLM, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for RLM, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS for RLM measurement without restrictions.

- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for RLM and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For FR1, when the CSI-RS for RLM is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement, UE shall be able to measure the CSI-RS for RLM without any restriction.

For FR2, when the CSI-RS for RLM measurement on one CC is in the same OFDM symbol as SSB for RLM, BFD, or L1-RSRP measurement on the same CC or different CCs in the same band, or in the same symbol as SSB for CBD measurement on the same CC or different CCs in the same band when beam failure is detected, UE is required to measure one of but not both CSI-RS for RLM and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For UE incapable of [*capability of measurement with RTD>CP*] and for UE capable of [*capability of measurement with RTD>CP*],

- For both FR1 and FR2, when the CSI-RS for RLM measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, UE is not required to receive CSI-RS for RLM measurement in the PRBs that overlap with an SSB.

- For FR1, when the CSI-RS for RLM measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, if CSI-RS and SSB have different SCS and UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both CSI-RS for RLM measurement and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

- For FR2, when the CSI-RS for RLM measurement on one CC fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap in the same band, UE is required to measure one of but not both CSI-RS for RLM measurement and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For FR2, when the CSI-RS for RLM measurement on one CC is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band,

- In the following cases, UE is required to measure one of but not both CSI-RS for RLM and the other CSI-RS. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

- The CSI-RS for RLM or the other CSI-RS in a resource set configured with repetition ON, or

- The other CSI-RS is configured in q1 and beam failure is detected, or

- The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,

- Otherwise, UE shall be able to measure the CSI-RS for RLM without any restriction.

For FR2-1, there is no measurement restriction allowed for UE supporting [TBD - multi-rx capability], according to the conditions described in clause 3.6.x, and the UE is required to measure both the CSI-RS for RLM and the other CSI-RS for RLM, BFD or L1-RSRP measurement, while meeting requirements in clause 8.1.3.2, provided the following conditions are met:

- Both CSI-RSs are not in any CSI-RS resource set with repetition ON, and

- [The two CSI-RS resources and both PDSCHs are overlapped on the same OFDM symbol, and]

- One CSI-RS has same QCL source as the active TCI state of one PDSCH, and the other CSI-RS has same QCL source as the active TCI state of the other PDSCH, and

- Resources of the active TCI states for the two PDSCHs have been reported as a resource group in Rel-17 group-based RSRP report.

Editor’s note: FFS how to capture UE is activated with multi-Rx operation.

End of Change 1

Start of Change 2

### 8.1.7 Scheduling availability of UE during radio link monitoring

#### **--- Unchanged clauses omitted ---**

#### 8.1.7.3 Scheduling availability of UE performing radio link monitoring on FR2

The following scheduling restriction applies due to radio link monitoring on an FR2 serving PCell and/or PSCell.

- If the RLM-RS is CSI-RS which is type-D QCLed with active TCI state for PDCCH or PDSCH, and the CSI-RS is not in a CSI-RS resource set with repetition ON,

- There are no scheduling restrictions due to radio link monitoring based on the CSI-RS.

- For FR2-1, for UE supporting [TBD - multi-rx capability], according to the conditions described in clause 3.6.x, and is configured to receive two PDSCH transmission occasions from two different QCL sources on PCell, there are no scheduling restrictions for the PDSCHs due to beam failure detection performed based on the CSI-RS, when following conditions are met:

- The CSI-RS is not in a CSI-RS resource set with repetition ON,

- The CSI-RS has same QCL source as the active TCI state of one of the PDSCHs and has different QCL-TypeD from the other PDSCH,

- The CSI-RS and both of the PDSCHs are on the same OFDM symbol(s),

- FFS: The CSI-RS and only one of the PDSCHs with different QCLed typeD are on the same OFDM symbol(s),

- Resources of the active TCI states for the two PDSCHs have been reported as a resource group in Rel-17 group-based RSRP report.

- Otherwise

- For FR2-1 or the RLM-RS is not using 480 kHz SCS or 960 kHz SCS on FR2-2, the UE is not expected to transmit PUCCH, PUSCH or SRS or receive PDCCH, PDSCH or CSI-RS for tracking or CSI-RS for CQI on RLM-RS symbols to be measured for radio link monitoring.

- For FR2-2 and the RLM-RS is using 480 kHz SCS or 960 kHz SCS, the UE is not expected to transmit PUCCH, PUSCH or SRS or receive PDCCH, PDSCH or CSI-RS for tracking or CSI-RS for CQI on RLM-RS symbols to be measured for radio link monitoring, and on one data symbol before each RLM-RS symbol to be measured and one data symbol after each RLM-RS symbol to be measured.

When intra-band carrier aggregation in FR2 is performed, the scheduling restrictions on FR2 serving PCell or PSCell applies to all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols.

When inter-band carrier aggregation in FR2 is performed, there are no scheduling restrictions on FR2 serving cell(s) in the bands for the following cases, provided that UE is capable of independent beam management on this FR2 band pair:

- when performing radio link monitoring performed on FR2 serving PCell or PSCell in different bands,

- the UE is configured with same or different numerology between SSB on one FR2 band and data on the other FR2 band.

For FR2, if following conditions are met,

- UE has been notified about system information update through paging,

- The gap between UE’s reception of PDCCH that UE monitors in the Type2-PDCCH CSS set and that notifies system information update, and the PDCCH that UE monitors in the Type0-PDCCH CSS set, is greater than 2 slots,

For the SSB for RLM and CORESET for RMSI scheduling multiplexing patterns 3, UE is expected to receive the PDCCH that UE monitors in the Type0-PDCCH CSS set, and the corresponding PDSCH, on SSB symbols to be measured for RLM; and

For the SSB for RLM and CORESET for RMSI scheduling multiplexing patterns 2, UE is expected to receive PDSCH that corresponds to the PDCCH that UE monitors in the Type0-PDCCH CSS set, on SSB symbols to be measured for RLM.

End of Change 2