**3GPP TSG-RAN4 Meeting #111 *R4-2410736***

**Fukuoka, Japan, 20th – 24th May, 2024**

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| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | 38.101-3 | **CR** | 1199 | **rev** | **1** | **Current version:** | 16.19.0 |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | X | Radio Access Network |  | Core Network |  |

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| ***Title:*** | (TEI) On general clasue for receiver characteristics | | | | | | | | | |
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| ***Source to WG:*** | Apple | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | TEI16 | | | | |  | ***Date:*** | | | 2024-04-28 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
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| ***Reason for change:*** | | On how to verify the UE with 4Rx antenna ports, clarifications are needed for 4Rx port and 8Rx port and UE indicating capability *interBandMRDC-WithOverlapDL-Bands-r16*. | | | | | | | | |
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| ***Summary of change:*** | | The diversity characteristics are clarified,   * the UE shall be verified with four Rx antenna ports and skip two Rx antenna ports requirements in operating bands where the UE is equipped with four Rx antenna ports unless it is not mandated and the UE does not support 4Rx ports for band(s) in a band combination, in which case, the UE shall be verified with two Rx antenna ports. * the UE shall be verified with eight antenna ports and skip both two and four Rx antenna ports requirements in operating bands where the UE is equipped with eight Rx antenna ports unless UE is not supporting 8Rx ports for band(s) in a band combination in which case those band(s) shall be verified with four Rx antenna ports in that band combination, otherwise, the UE shall be verified with two Rx antenna ports. * For UE indicating capability interBandMRDC-WithOverlapDL-Bands-r16, it shall be verified with two Rx antenna ports requirements. | | | | | | | | |
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| ***Consequences if not approved:*** | | Diversity characteristics are not clear. | | | | | | | | |
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| ***Clauses affected:*** | | 7.1 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **x** |  | Test specifications | | | | TS 38.521-3 | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

# 7 Receiver characteristics

## 7.1 General

Unless otherwise stated the receiver characteristics are specified at the antenna connector(s) of the UE for the bands operating on frequency range 1 and over the air of the UE for the bands operating on frequency range 2. The requirements for frequency range 1 and frequency range 2 can be verified separately. For the carrier in frequency range 1, requirements can be verified with NR FR2 link disabled. For the carrier in frequency range 2, requirements can be verified in OTA mode with E-UTRA or NR FR1 connecting to the network by OTA without calibration.

The requirements defined in this clause are the extra requirements compared with the single carrier requirements defined in TS 38.101-1 [2] and TS 38.101-2 [3].

Unless otherwise stated, the UL and DL reference measurement channels are the same with the configurations specified in TS 38.101-1 [2] and TS 38.101-2 [3].

Unless otherwise stated, requirements for NR receiver written in TS 38.101-1 [2] and TS 38.101-2 [3] apply and are assumed anchor agnostic. Requirements are verified under conditions where anchor resources do not interfere NR operation.

For intra-band EN-DC, the output power is configured as follows:

- One E-UTRA uplink carrier with the output power set to 29 dB below PCMAX\_L and the NR band whose downlink is being tested has its uplink carrier output power set to 4 dB below PCMAX\_L,f,c.

- One NR uplink carrier with the output power set to 29 dB below PCMAX\_L,f,c and the E-UTRA band whose downlink is being tested has its uplink carrier output power set to 4 dB below PCMAX\_L,c.

For the additional requirements for intra-band non-contiguous EN-DC of two sub-blocks, an in-gap test refers to the case when the interfering signal is located at a negative offset with respect to the assigned lowest channel frequency of the highest sub-block and located at a positive offset with respect to the assigned highest channel frequency of the lowest sub-block.

For the additional requirements for intra-band non-contiguous EN-DC of two sub-blocks, an out-of-gap test refers to the case when the interfering signal(s) is (are) located at a positive offset with respect to the assigned channel frequency of the highest carrier frequency or located at a negative offset with respect to the assigned channel frequency of the lowest carrier frequency.

For the additional requirements for intra-band non-contiguous EN-DC of two sub-blocks with channel bandwidth larger than or equal to 5 MHz, the existing adjacent channel selectivity requirements, in-band blocking requirements (for each case), and narrow band blocking requirements apply for in-gap tests only if the corresponding interferer frequency offsets with respect to the two measured carriers satisfy the following condition in relation to the sub-block gap size Wgap for at least one of the E-UTRA or NR sub-blocks, so that the interferer frequency position does not change the nature of the core requirement tested:

Wgap ≥ 2∙|FInterferer (offset)| – BWChannel

For the E-UTRA sub-block, the FInterferer (offset), for a sub-block with a single component carrier is the interferer frequency offset with respect to carrier as specified in clause 7.5.1, clause 7.6.1 and clause 7.6.3 for the respective requirement in TS 36.101 [4] and BWChannel. FInterferer (offset) for the E-UTRA sub-block with two or more contiguous component carriers is the interference frequency offset with respect to the carrier adjacent to the gap is specified in clause 7.5.1A, 7.6.1A and 7.6.3A in TS 36.101 [4].

For the NR sub-block, the FInterferer (offset), for a sub-block with a single component carrier is the interferer frequency offset with respect to carrier as specified in clause 7.5.1, clause 7.6.1 and clause 7.6.3 for the respective requirement in TS 38.101-1 [2] and BWChannel.

The interferer frequency offsets for adjacent channel selectivity, each in-band blocking case and narrow-band blocking shall be tested separately with a single in-gap interferer at a time.

For sub-clauses with suffix A or B: the minimum requirements for band combinations including Band n41 also apply for the corresponding band combinations with Band n90 replacing Band n41 but with otherwise identical parameters. For brevity the said band combinations with Band n90 are not listed in the tables below but are covered by this specification.

Unless otherwise stated, for the FR1 requirements in this clause,

* the UE shall be verified with four Rx antenna ports and skip two Rx antenna ports requirements in operating bands where the UE is equipped with four Rx antenna ports unless it is not mandated and the UE does not support four Rx ports for these bands in a band combination, in which case, the UE shall be verified with two Rx antenna ports.
* the UE shall be verified with eight antenna ports and skip both two and four Rx antenna ports requirements in operating bands where the UE is equipped with eight Rx antenna ports unless UE is not supporting 8Rx ports for band(s) in a band combination in which case those band(s) shall be verified with four Rx antenna ports in that band combination, otherwise, the UE shall be verified with two Rx antenna ports.

For UE indicating capability interBandMRDC-WithOverlapDL-Bands-r16, it shall be verified with two Rx antenna ports requirements.