**3GPP TSG-RAN2 Meeting #127bis *R2-2409386***

**Hefei, China, 14 - 18 October 2024**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.306** | **CR** | **-** | **rev** | **-** | **Current version:** | **18.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Guidelines on implementing FRx/xDD differentiation in per UE capability | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core, TEI18 | | | | |  | ***Date:*** | | | 2024-10-14 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | To include the guidelines on implementing the FRx/xDD differentiation for per UE capability agreed in RAN2 116bis-e into the specifications:   * From Rel-17 onwards, at least for new capabilities, if a UE capability requires at least FRx or at least xDD differentiation, it is defined with both FRx and xDD differentiation in per band signaling, i.e. no new UE capabilities will be defined in the FRX and XDD capability signaling branches. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | * Add a note in Section 4.2.1 to include the above guideline to TS 38.306 as per the online agreement below:We will document the following RAN2 agreement: From Rel-17 onwards, at least for new capabilities, if a UE capability requires at least FRx or at least xDD differentiation, it is defined with both FRx and xDD differentiation in per band signaling, i.e. no new UE capabilities will be defined in the FRX and XDD capability signaling branches * This will be document in a NOTE 38.306 and 38.331 to mention when a branch is not used. NOTE FFS   **Impact analysis**  Impacted functionality:  UE capability  Inter-operability:  No interoperability issue. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Without the guidelines documented, there is a risk that FRx/xDD differentiation for per UE capability is still being implemented in the FRx/xDD differentiation branch in the ASN.1 and not as per band capability. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 4.2.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.331 (R2-2409387) | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<Start of Change>

## 4.2 UE Capability Parameters

### 4.2.1 Introduction

The following clauses define the UE radio access capability parameters. Only parameters for which there is the possibility for UEs to signal different values are considered as UE radio access capability parameters. Therefore, mandatory features without capability parameters that are the same for all UEs are not listed here.

The network needs to respect the signalled UE radio access capability parameters when configuring the UE and when scheduling the UE.

For capabilities that required to be set consistently for all FDD-FR1 bands (i.e. capabilities that are supposed to be per UE), the UE shall also set capability values for all SUL bands with same values for FDD-FR1 bands if SUL band is supported by the UE.

The UE may support different functionalities between FDD and TDD, and/or between FR1 and FR2. The UE shall indicate the UE capabilities as follows. In the table of UE capability parameter in subsequent clauses, "Yes" in the column by "FDD-TDD DIFF" and "FR1-FR2 DIFF" indicates the UE capability field can have a different value for between FDD and TDD or between FR1 and FR2 and "No" indicates if it cannot. "(Incl FR2-2 DIFF)" in the column by "FR1-FR2 DIFF" indicates the UE capability field can have a different value for between FR2-1 and FR2-2. Regarding to the per UE capabilities that are FDD/TDD differentiated(i.e. capabilities indicated as "Yes" in the column by "FDD-TDD DIFF"), the corresponding capabilities indicated by the FDD capability is applied to SUL/SDL if SUL/SDL band is supported by the UE. "FD" in the column indicates to refer the associated field description. "FR1 only" or "FR2 only" in the column indicates the associated feature is only supported in FR1 or FR2 and "TDD only" indicates the associated feature is only supported in TDD and not applicable to SUL/SDL carriers. "N/A" in the column indicates it is not applicable to the feature (e,g. the signalling supports the UE to have different values between FDD and TDD or between FR1 and FR2).

1> set all fields of UE-NR/MRDC-Capability except fdd-Add-UE-NR/MRDC/Sidelink-Capabilities, tdd-Add-UE-NR/MRDC/Sidelink-Capabilities, fr1-Add-UE-NR/MRDC-Capabilities and fr2-Add-UE-NR/MRDC-Capabilities, to include the values applicable for all duplex mode(s) and frequency range(s) that the UE supports;

1> if UE supports both FDD (or SUL/SDL) and TDD and if (some of) the UE capability fields have a different value for FDD (or SUL/SDL) and TDD:

2> if for FDD (and, if the UE supports SUL/SDL, for SUL/SDL), the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability/SidelinkParameters:

3> include field fdd-Add-UE-NR/MRDC/Sidelink-Capabilities and set it to include fields reflecting the additional functionality applicable for FDD;

2> if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability/SidelinkParameters:

3> include field tdd-Add-UE-NR/MRDC/Sidelink-Capabilities and set it to include fields reflecting the additional functionality applicable for TDD;

1> if UE supports both FR1 and FR2 and if (some of) the UE capability fields have a different value for FR1 and FR2:

2> if for FR1, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field fr1-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR1;

2> if for FR2, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field fr2-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR2;

NOTE 1: The fields which indicate "shall be set to 1" or "shall be set to *supported*" in the following tables means these features are purely mandatory and are assumed they are the same as mandatory without capability signalling.

NOTE 2: For the case where the UE is allowed to support different functionality between FDD and TDD and between FR1 and FR2 according to the specification, the UE capability indication is clarified in Annex B.

NOTE 2a: In this release of the specification, if the UE is allowed to support different functionalities between FDD and TDD, and between FR1 and FR2, these functionalities are signalled per band with the text “UE shall set the capability value consistently for all FDD-FR1 bands, all TDD-FR1 bands, all TDD-FR2-1 bands and all TDD-FR2-2 bands respectively”.For optional features, the UE radio access capability parameter indicates whether the feature has been implemented and successfully tested. For mandatory features with the UE radio access capability parameter, the parameter indicates whether the feature has been successfully tested. In the table of UE capability parameter in subsequent clauses, "Yes" in the column by "M" indicates the associated feature is mandatory and "No" indicates the associated feature is optional. "CY" in the column indicates the associated feature is conditional mandatory and the condition is described in the field description and the associated feature is considered mandatory with capability parameter, when the described condition is satisfied. "FD" in the column indicates to refer the associated field description. Some parameters in subsequent clauses are not related to UE features and in the case, "N/A" is indicated in the column.

UE capability parameters have hierarchical structure. In the table of UE capability parameter in subsequent clauses, "Per" indicates the level the associated parameter is included. "UE" in the column indicates the associated parameter is signalled per UE, "Band" indicates it is signalled per band, "BC" indicates it is signalled per band combination, "FS" indicates it is signalled per feature set (per band per band combination), "FSPC" indicates it is signalled per feature set per component carrier (per CC per band per band combination), and "FD" in the column indicates to refer the associated field description.

NOTE 3: Unless otherwise specified, for dependent capabilities with prerequisite capability in a finer granularity, the UE should indicate support of the prerequisite capability in at least one finer granularity. And the dependent capability is supported only in the finer granularity where the prerequisite capability is supported, e.g. a UE indicating support of *supportNewDMRS-Port-r16* (dependent capability which is defined per band) should indicate at least one band combination where *singleDCI-SDM-scheme-r16* (prerequisite capability which is defined per feature set) is supported in the corresponding band. In this case, *supportNewDMRS-Port-r16* is considered supported only in the corresponding band of the band combination where *singleDCI-SDM-scheme-r16* is supported.

<End of Change>