**3GPP TSG-RAN WG4 Meeting #112 R4-2414072**

**Maastricht, Netherlands, 19th - 23rd August, 2024**

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| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **4858** | **rev** |  | **Current version:** | **18.6.0** |  |
|  | | | | | | | | |
| *For* ***[HE](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)******[LP](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)*** *on using this form: comprehensive instructions can be found at  <http://www.3gpp.org/Change-Requests>.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | draftCR on L3-RSRP measurement requirements maintenance in above 10 GHz scenario | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Xiaomi | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_NTN\_enh-Core | | | | |  | ***Date:*** | | | 2024-08-08 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Requirements for scheduling availability is not complete.  Since only intra-satellite measurement is specified for NTN above 10GHz, there is no time misalignment between serving and target cell. The scheduling restriction to the serving cell can be limited to the time span of target SSB symbols to be measured, without considering the 1 additional symbol before and after SSB symbols. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Remove the editor’s note, and complete the scheduling availability requirements. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Incomplete scheduling availability requirements. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 9.2C.7 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

=================================Start of Change #1====================================

### 9.2C.7 Intra frequency measurements without measurement gaps for NTN band above 10GHz

#### 9.2C.7.1 Intra frequency cell identification

The UE shall be able to identify a new detectable intra-frequency cell within Tidentify\_intra\_without\_index if the UE is not indicated to report SSB based RRM measurement result with the associated SSB index(*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured), or the UE is indicated that the neighbour cell is synchronous with the serving cell (*deriveSSB-IndexFromCell* is enabled). Otherwise UE shall be able to identify a new detectable intra frequency cell within Tidentify\_intra\_with\_index. The UE shall be able to identify a new detectable intra frequency SS block of an already detected cell within Tidentify\_intra\_without\_index.

Tidentify\_intra\_without\_index = (TPSS/SSS\_sync\_intra + T SSB\_measurement\_period\_intra) ms

Tidentify\_intra\_with\_index = (TPSS/SSS\_sync\_intra + T SSB\_measurement\_period\_intra + TSSB\_time\_index\_intra) ms

Where:

TPSS/SSS\_sync\_intra: it is the time period used in PSS/SSS detection given in table 9.2C.7.1-1

TSSB\_time\_index\_intra: it is the time period used to acquire the index of the SSB being measured given in table 9.2C.7.1-2

TSSB\_measurement\_period\_intra: equal to a measurement period of SSB based measurement given in table 9.2C.7.2-1

CSSFintra: it is a carrier specific scaling factor and is determined

according to CSSFoutside\_gap,i in clause 9.1.5.1 for measurement conducted outside measurement gaps, i.e. when intra-frequency SMTC is fully non overlapping or partially overlapping with measurement gaps, or according to CSSFwithin\_gap,i in clause 9.1.5.2 for measurement conducted within measurement gaps, i.e. when intra-frequency SMTC is fully overlapping with measurement gaps.

if the high layer in TS 38.331 [2] signalling of *smtc2* is configured, the assumed periodicity of intra-frequency SMTC occasions corresponds to the value of higher layer parameter *smtc2*; Otherwise the assumed periodicity of intra-frequency SMTC occasions corresponds to the value of higher layer parameter *smtc1*.

Kp is the scaling factor for an SSB frequency layer to be measured without measurement gaps. Kp = Ntotal\_SAN / Navailable\_SAN, where Navailable\_SAN and Ntotal\_SAN are calculated as follows:

- For a window W of duration max(SMTC period, MGRP\_max), where

- If UE is configured with concurrent measurement gaps, MGRP max is the maximum MGRP across all configured per-UE measurement gap. Otherwise, MGRP max is the MGRP of configured measurement gap.

- Ntotal\_SAN is the total number of SMTC occasions within the window, including those overlapped and non-overlapped with measurement gap occasions within the window, and

- Navailable\_SAN is the number of SMTC occasions within the window W that don’t collide with any non-dropped MG occasion within or outside the window W, after accounting for measurement gap collisions by applying the measurement gap collision rule in section 9.1C.8.3. The collision rule between SMTC occasion and measurement gap occasion is defined in section 9.1C.9.1

Kp = [1] when Navailable\_SAN = 0 and measurement gap sharing in clause 9.1.2.1a shall apply.

Kp = 1 when intra-frequency SMTC is fully non overlapping with measurement gaps.

For calculation of Kp, if the high layer signalling (TS 38.331 [2]) of *smtc2* is configured, for cells indicated in the *pci-List* parameter in *smtc2*, the SMTC periodicity corresponds to the value of higher layer parameter *smtc2*; for the other cells, the SMTC periodicity corresponds to the value of higher layer parameter *smtc1.*

Klayer1\_measurement: it is scaling factor for sharing between L3 and L1 measurement, and Klayer1\_measurement =1, if GEO satellites are measured on the carrier, or if LEO satellites are measured on the carrier and UE supports *parallelMeasurementWithoutRestriction*, otherwise

Klayer1\_measurement =1,

- if all of the reference signals configured for RLM, BFD, CBD or L1-RSRP for beam reporting outside measurement gap are not fully overlapped by intra-frequency SMTC occasions, or

- if all of the reference signal configured for RLM, BFD, CBD or L1-RSRP for beam reporting outside measurement gap and fully-overlapped by intra-frequency SMTC occasions are not overlapped with any of the SSB symbols and the RSSI symbols, and 1 symbol before each consecutive SSB symbols and the RSSI symbols, and 1 symbol after each consecutive SSB symbols and the RSSI symbols, given that *SSB-ToMeasure* and *SS-RSSI-Measurement* are configured, and RSSI symbols are indicated by *SS-RSSI-Measurement*;

Klayer1\_measurement =1.5, otherwise.

If the above-mentioned reference signal configured for L1-RSRP measurement is aperiodic CSI-RS resource, longer cell identification delay would be expected.

If the higher layer signaling in TS38.331 [2] signalling of *smtc2* is present and smtc1 is fully overlapping with measurement gaps and smtc2 is partially overlapping with measurement gaps, requirements are not specified for Tidentify\_intra\_without\_index or Tidentify\_intra\_with\_index

Table 9.2C.7.1-1: Time period for PSS/SSS detection

|  |  |
| --- | --- |
| DRX cycle | TPSS/SSS\_sync\_intra |
| No DRX | max( 600ms, ceil( 5 x Kp x Klayer1\_measurement) x SMTC period )Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max( 600ms, ceil(1.5x 5 x Kp x Klayer1\_measurement) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil(5 x Kp x Klayer1\_measurement) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified | |

Table 9.2C.7.1-2: Time period for time index detection

|  |  |
| --- | --- |
| DRX cycle | TSSB\_time\_index\_intra |
| No DRX | max(120ms, ceil( 3 x Kp x Klayer1\_measurement)x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(120ms, ceil (1.5 x 3 x Kp x Klayer1\_measurement) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | Ceil(3 x Kp x Klayer1\_measurement) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified | |

#### 9.2C.7.2 Measurement period

The measurement period for intra-frequency measurements without gaps is as shown in table 9.2C.7.2-1.

If the higher layer signaling in TS38.331 [2] signalling of *smtc2* is present and smtc1 is fully overlapping with measurement gaps and smtc2 is partially overlapping with measurement gaps, requirements are not specified for TSSB\_measurement\_period\_intra

Table 9.2C.7.2-1: Measurement period for intra-frequency measurements without gaps

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX | max(200ms, ceil( 5 x Kp x Klayer1\_measurement) x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(200ms, ceil(1.5x 5 x Kp x Klayer1\_measurement) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil( 5 x Kp x Klayer1\_measurement) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified | |

#### 9.2C.7.3 Scheduling availability of UE during intra-frequency measurements

When any of the conditions in the following clauses is met, there are restrictions on the scheduling availability; otherwise, there is no scheduling restriction. Note that the SSB symbols indicated by the union set of SSB-ToMeasure from all the configured measurement objects on the same serving carrier which can be merged[2], if it is configured; otherwise, all *L* SSB symbols within the SMTC window duration defined in clause 4.1 of TS 38.213 [3] are included. For UL, the scheduling restriction applies to UL symbols that fully or partially overlap with the restricted symbols as defined below.

9.2C.7.3.1 Scheduling availability of UE performing measurements with a different subcarrier spacing than PDSCH/PDCCH on NTN bands above 10GHz

For UE which do not support *simultaneousRxDataSSB-DiffNumerology* [14] the following restrictions apply due to SS-RSRP/RSRQ/SINR measurement

- If *deriveSSB\_IndexFromCell* is enabled the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on SSB symbols to be measured within SMTC window duration. If the high layer signalling of *smtc2*is configured(in TS 38.331 [2]), the SMTC periodicityfollows *smtc2*; Otherwise the SMTC periodicity follows *smtc1.*

- If *deriveSSB\_IndexFromCell* is not enabled the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on all symbols within SMTC window duration. If the high layer signalling of *smtc2*is configured in TS 38.331 [2], the SMTC periodicityfollows *smtc2*; Otherwise the SMTC periodicity follows *smtc1.*

=================================End of Change #1====================================