**3GPP TSG-RAN WG4 Meeting # 112R4-2412194**

Maastricht, Netherlands, 19th – 23rd August, 2024

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| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.133** | **CR** | **4783** | **rev** | **1** | **Current version:** | **18.6.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | CR on measurement restriction requirements maintenance for R18 FR2 multi-RX | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_FR2\_multiRX\_DL-Core | | | | |  | ***Date:*** | | | 2024-08-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
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| ***Reason for change:*** | | In exsiting spec, the conditions for measurement restriction relaxation requires both CSI-RS overlapping with PDSCH. The requirements is not clear considering the time period before timeDurationForQCL and after timeDurationForQCL. | | | | | | | | |
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| ***Summary of change:*** | | Update the conditions for measurement restriction relaxation | | | | | | | | |
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| ***Consequences if not approved:*** | | The requirements for measurement restriction relaxation are not clear. | | | | | | | | |
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| ***Clauses affected:*** | | 8.1.3.3, 8.5.3.3, 8.18.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 38.533 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

### <Start of Change 1>

8.1.3.3 Measurement restrictions for CSI-RS based RLM

The SSB mentioned in this clause can be associated with either the serving cell PCI or a PCI different from serving cell PCI.

The UE is required to be capable of measuring CSI-RS for RLM without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following clauses.

For both FR1 and FR2, when the CSI-RS for RLM is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement, UE is not required to receive CSI-RS for RLM in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM, BFD, CBD, or L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for RLM, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for RLM, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS for RLM measurement without restrictions.

- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for RLM and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For FR1, when the CSI-RS for RLM is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement, UE shall be able to measure the CSI-RS for RLM without any restriction.

For FR2, when the CSI-RS for RLM measurement on one CC is in the same OFDM symbol as SSB for RLM, BFD, or L1-RSRP measurement on the same CC or different CCs in the same band, or in the same symbol as SSB for CBD measurement on the same CC or different CCs in the same band when beam failure is detected, UE is required to measure one of but not both CSI-RS for RLM and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For UE incapable of [*capability of measurement with RTD>CP*] and for UE capable of [*capability of measurement with RTD>CP*],

- For both FR1 and FR2, when the CSI-RS for RLM measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, UE is not required to receive CSI-RS for RLM measurement in the PRBs that overlap with an SSB.

- For FR1, when the CSI-RS for RLM measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, if CSI-RS and SSB have different SCS and UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both CSI-RS for RLM measurement and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

- For FR2, when the CSI-RS for RLM measurement on one CC fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap in the same band, UE is required to measure one of but not both CSI-RS for RLM measurement and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For FR2, when the CSI-RS for RLM measurement on one CC is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band,

- In the following cases, UE is required to measure one of but not both CSI-RS for RLM and the other CSI-RS. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

- The CSI-RS for RLM or the other CSI-RS in a resource set configured with repetition ON, or

- The other CSI-RS is configured in q1 and beam failure is detected, or

- The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,

- Otherwise, UE shall be able to measure the CSI-RS for RLM without any restriction.

For FR2-1, there is no measurement restriction allowed for UE supporting *schedulingMeasurementRelaxation-r18*, according to the conditions described in clause 3.6.19, and the UE is required to measure both the CSI-RS for RLM and the other CSI-RS for RLM, BFD or L1-RSRP measurement, while meeting requirements in clause 8.1.3.2, provided the following conditions are met:

-     Both CSI-RSs are not in any CSI-RS resource set with repetition ON, and

-     One CSI-RS has same QCL source as either

* the active TCI state of a PDSCH scheduled in the same OFDM symbol or
* the QCL source based on the default QCL assumption to be applied in the same OFDM symbol according to 38.214 clause 5.1.5, and

-     the other CSI-RS has same QCL source as either

* the active TCI state of a PDSCH scheduled in the same OFDM symbol or
* the QCL source based on the default QCL assumption to be applied in the same OFDM symbol according to 38.214 clause 5.1.5, and

-     Resources of the active TCI states of the two PDSCHs, or QCL sources of the default QCL assumption, or the active TCI state of PDSCH and QCL source of the default QCL assumption have been reported as a resource group in Rel-17 group-based RSRP report.

Editor’s note: FFS how to capture UE is activated with multi-Rx operation.

### <End of Change 1>

### <Start of Change 2>

8.5.3.3 Measurement restrictions for CSI-RS beam failure detection

The SSB mentioned in this clause can be associated with either the serving cell PCI or a PCI different from serving cell PCI.

The UE is required to be capable of measuring CSI-RS for BFD without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following scenarios.

For both FR1 and FR2, when the CSI-RS for BFD measurement is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS measurement without restrictions.

- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for BFD measurement is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as SSB for RLM, BFD or L1-RSRP measurement on the same CC or different CCs in the same band, or in the same symbol as SSB for CBD measurement on the same CC or different CCs in the same band when beam failure is detected, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For UE incapable of [*capability of measurement with RTD>CP*] and for UE capable of [*capability of measurement with RTD>CP*],

- For both FR1 and FR2, when the CSI-RS for BFD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

- For FR1, when the CSI-RS for BFD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, if CSI-RS and SSB have different SCS and UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD is expected, and no requirements are defined.

- For FR2, when the CSI-RS for BFD measurement on one CC fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap in the same band, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD is expected, and no requirements are defined.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band,

- In the following cases, UE is required to measure one of but not both CSI-RS for BFD measurement and the other CSI-RS. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

- The CSI-RS for BFD measurement or the other CSI-RS in a resource set configured with repetition ON, or

- The other CSI-RS is configured in set  and beam failure is detected, or

- The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,

- Otherwise, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2-1, when the first CSI-RS for BFD measurement is in the same OFDM symbol as the second CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same serving cell according to the conditions in clause 3.6.19, the UE supporting *schedulingMeasurementRelaxation-r18* is required to measure both the first and the second CSI-RSs without measurement restrictions, provided the following conditions are met:

-     Both CSI-RSs are not in any CSI-RS resource set with repetition ON, and

-     One CSI-RS has same QCL source as either

* the active TCI state of a PDSCH scheduled in the same OFDM symbol or
* the QCL source based on the default QCL assumption to be applied in the same OFDM symbol according to 38.214 clause 5.1.5, and

-     the other CSI-RS has same QCL source as either

* the active TCI state of a PDSCH scheduled in the same OFDM symbol or
* the QCL source based on the default QCL assumption to be applied in the same OFDM symbol according to 38.214 clause 5.1.5, and

-     Resources of the active TCI states of the two PDSCHs, or QCL sources of the default QCL assumption, or the active TCI state of PDSCH and QCL source of the default QCL assumption have been reported as a resource group in Rel-17 group-based RSRP report.

### <End of Change 2>

### <Start of Change 3>

#### 8.18.3.3 Measurement restrictions for CSI-RS beam failure detection

The SSB mentioned in this clause can be associated with either the serving cell PCI or a PCI different from serving cell PCI.

The UE is required to be capable of measuring CSI-RS for BFD without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following scenarios.

For both FR1 and FR2, when the CSI-RS for BFD measurement is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS measurement without restrictions.

- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for BFD measurement is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as SSB for RLM, BFD or L1-RSRP measurement on the same CC or different CCs in the same band, or in the same symbol as SSB for CBD measurement on the same CC or different CCs in the same band when beam failure is detected, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For UE incapable of [capability of measurement with RTD>CP] and for UE capable of [capability of measurement with RTD>CP],

- For both FR1 and FR2, when the CSI-RS for BFD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

- For FR1, when the CSI-RS for BFD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, if CSI-RS and SSB have different SCS and UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD is expected, and no requirements are defined.

- For FR2, when the CSI-RS for BFD measurement on one CC fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap in the same band, UE is required to measure one of but not both CSI-RS for CBD measurement and SSB. Longer measurement period for CSI-RS based BFD is expected, and no requirements are defined.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band,

- In the following cases, UE is required to measure one of but not both CSI-RS for BFD measurement and the other CSI-RS. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

- The CSI-RS for BFD measurement or the other CSI-RS in a resource set configured with repetition ON, or

- The other CSI-RS is configured in two sets and and beam failure is detected, or

- The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,

- Otherwise, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2-1, there is no measurement restriction allowed for UE supporting *schedulingMeasurementRelaxation-r18* according to the conditions described in clause 3.6.19, and the UE is required to measure both the CSI-RS for BFD and the other CSI-RS for RLM, BFD or L1-RSRP measurement, provided the following conditions are met:

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-     Both CSI-RSs are not in any CSI-RS resource set with repetition ON, and

-     One CSI-RS has same QCL source as either

* the active TCI state of a PDSCH scheduled in the same OFDM symbol or
* the QCL source based on the default QCL assumption to be applied in the same OFDM symbol according to 38.214 clause 5.1.5, and

-     the other CSI-RS has same QCL source as either

* the active TCI state of a PDSCH scheduled in the same OFDM symbol or
* the QCL source based on the default QCL assumption to be applied in the same OFDM symbol according to 38.214 clause 5.1.5, and

-     Resources of the active TCI states of the two PDSCHs, or QCL sources of the default QCL assumption, or the active TCI state of PDSCH and QCL source of the default QCL assumption have been reported as a resource group in Rel-17 group-based RSRP report.

- [FFS how to capture UE is activated with multi-Rx operation]

When two CSI-RSs for BFD measurements are from different sets and , UE shall be able to perform measure both CSI-RSs for BFD measurements.

### <End of Change 3>