**3GPP TSG-SA5 Meeting #157 *S5-246221***

**Hyderabad, India, 14 - 18 October 2024**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **32.422** | **CR** | **0482** | **rev** | **1** | **Current version:** | **16.16.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network | **X** |

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| ***Title:*** | Rel-16 CR TS 32.422 Update NG-RAN activation mechanisms for management based MDT | | | | | | | | | |
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| ***Source to WG:*** | CATT | | | | | | | | | |
| ***Source to TSG:*** | S5 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | TEI16 | | | | |  | ***Date:*** | | | 2024-09-29 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | 1. The TAU (Tracking Area Update) procedure (LTE) has be replaced by Registration procedures (see 5G TS 23.502 4.2.2.2). 2. Missing description for NR mandatory parameter (e.g., Event List for Event Triggered Measurement for logged MDT, Beam Level Measurement for immediate MDT etc) | | | | | | | | |
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| ***Summary of change:*** | | Update description about NG-RAN activation mechanisms for management based MDT. | | | | | | | | |
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| ***Consequences if not approved:*** | | Misaligned specifications may cause wrong implementation. | | | | | | | | |
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| ***Clauses affected:*** | | 4.1.1.9.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

***Start of First change***

4.1.1.9.2 NG-RAN activation mechanisms for management based MDT data collections without IMSI/IMEI(SV)/SUPI selection in the case of non-split architecture

For management based MDT data collection with no IMSI/IMEI(SV)/SUPI criteria in the case of non-split architecture, the UE selection can be done in the radio network at gNB based on the input information received from management system and the user consent information stored in the gNB. This mechanism works for the following OAM input parameters:

- Area information only

The following figure summarizes the flow as an example how the MDT configuration is done utilising the cell traffic trace functionality for this scenario:

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**Figure 4.1.1.9.2.1: Example for management based MDT activation in NG-RAN in the case of non-split architecture**

Whenever the gNB receives the Management based MDT allowed IE in Initial Context Setup Request or in Handover Request message, it shall save it for possible later usage.

1) The management system sends a Trace Session activation request to the gNB. This request includes the parameters for configuring UE measurements:

- Job Type.

- Area Scope where the UE measurements should be collected: list of NG-RAN cells. Tracking Area should be converted to NG-RAN cell.

- List of Measurements.

- Reporting Trigger.

- Report Interval.

- Report Amount.

- Event Threshold.

- Logging Interval.

- Logging Duration.

- Trace Reference.

- TCE IP Address and Trace Reporting Consumer URI (if streaming based report is supported).

- Anonymization of MDT Data.

- Collection Period for RRM Measurements NR (present only if any of M4 or, M5 measurements are requested).

- Collection Period M6 in NR (present only if any of M6 measurements (DL or UL) is requested).

- Collection Period M7 in NR (present only if any of M7 measurements (DL or UL) is requested).

- Positioning Method.

- MDT PLMN List.

- Report Type for Logged MDT (periodical logged or event-triggered measurement) for logged MDT only.

- Event Threshold, Hysteresis and Time to Trigger (present only if L1 event is configured for logged MDT).

- Events List for Event-Triggered Measurement for logged MDT only.

- Area Configuration for Neighbouring Cells for logged MDT only.

- Sensor Information for logged MDT and immediate MDT.

Note that at the same time not all the parameters can be present. The criteria for which parameters are present are described in clause 5 of the present document.

2) When gNB receives the Trace Session activation request from its management system, it shall start a Trace Session and should save the parameters associated to the Trace Session.

3) gNB shall select the suitable UEs for MDT data collection. The selection is based on the area received from the management system and the area where UE is located, user consent information received from the core network as part of the Management based MDT PLMN List IE (As described in section in 4.9.2 of this document). If the user is not in the specified area or if the Management based MDT PLMN List IE is not present in the UE context the UE shall not be selected by the gNB for MDT data collection. During UE selection, the gNB shall take into account also the UE capability (MDT capability) when it selects UE for logged MDT configuration. If the UE does not support logged MDT, the UE shall not be selected.   
If M4 or M5 measurements are requested in the MDT configuration, gNB should start the measurement according to the received configuration. Details of the measurements are defined in TS 38.314 [50].

4) gNB shall activate the MDT functionality to the selected UEs. When gNB selects a UE, it shall take into account the availability of Management based MDT PLMN List IE in the user context and the area scope parameter received in MDT configuration (Trace Session activation). Detailed description about user consent handling and how it is provided to the gNB is described in section 4.9.2. If there is no Management based MDT PLMN List IE in the user context or the user is outside the area scope defined in the MDT configuration, the UE shall not be selected for MDT data collection. The gNB shall assign Trace Recording Session Reference corresponding to the selected UE. The gNB shall send at least the following configuration information to the UE in case of Logged MDT:

- Trace Reference

- Trace Recording Session Reference

- TCE Id

- Logging Interval

- Logging Duration

- Absolute time reference

- Area Scope where the UE measurements should be collected: list of NG-RAN cells/TA.

- MDT PLMN List

- Event List for Event Triggered Measurement

- Event Threshold, Hysteresis and Time to Trigger (present only if L1 event is configured)

NOTE: For UEs currently being in idle or inactive mode and camping in the cell the logged MDT configuration cannot be sent. These UEs may be configured when they initiate some activity (e.g., Service Request or Mobility Registration) at next time.

In case of Immediate MDT, the following parameters shall be sent to the UE:

- List of Measurements

- Reporting Trigger

- Report Interval

- Report Amount

- Event Threshold

Note that at the same time not all the parameters can be present. Conditions of the parameters are described in clause 5 of the present document.

gNB performs necessary actions (e.g. activates GNSS module of the UE, enables and collects certain positioning measurements) specified in TS 38.305 [52] according to the value of Positioning Method (see clause 5.10.19) received in the Trace configuration. gNB captures location information and/or positioning measurements in the MDT trace record.

If Reporting Trigger parameter indicates that all configured RRM measurement trigger should be reported in MDT, then gNB should ask the UE to provide the "best effort" location information together with the measurement reporting by setting the *includeCommonLocationInfo* IE in all RRC measurement reporting configurations.

5) When UE receives the MDT activation it shall start the MDT functionality based on the received configuration parameters.

6) The gNB shall not retrieve MDT report from the UE if UE’s rPLMN does not match the PLMN where TCE used to collect MDT data resides (e.g. gNB’s primary PLMN). When the gNB receives the MDT report from UE, the gNB shall get the Trace Recording Session Reference, Trace Reference, and TCE Id from the report, and compare the Trace PLMN (PLMN portion of Trace Reference) with the PLMN where TCE used to collect MDT data resides (e.g. its primary PLMN) and discard MDT report in case of a mismatch. Otherwise if the MDT anonymization requires the IMEI-TAC in the MDT record gNB shall send the Trace Recording Session Reference, Trace Reference, serving cell CGI, and TCE IP Address (or Trace Reporting Consumer URI) in the CELL TRAFFIC TRACE message to the AMF via the NG connection. When AMF receives this NG signalling message containing the Trace Recording Session Reference, Trace Reference, serving cell CGI, and the Privacy Indicator (that shall be set to *Logged MDT* or *Immediate MDT* depending on the configured Job Type) if so indicated in the privacy indicator, the AMF shall look up the subscriber identities (IMEI(SV)) of the given call from its database, and send the IMEI-TAC together with the Trace Recording Session Reference and Trace Reference and for immediate MDT also the serving cell CGI to the TCE, as described in section 4.7 of the present document. For logged MDT, AMF will send the IMEI-TAC together with the Trace Recording Session Reference, Trace Reference to the TCE.

NOTE: For management based Immediate MDT, TRSR may be duplicated among different gNBs when multiple cells are selected as the area scope for the same MDT job. In this case, the combination of TRSR and the UE’s serving cell CGI in the MDT report can uniquely identify one trace recording session.

7) For Immediate MDT when the gNB receives the MDT report from the UE in the RRC message the gNB shall capture it and put the UE’s serving cell CGI together with the MDT report from the UE to the trace record. A UE configured to perform Logged MDT measurements in IDLE or INACTIVE indicates the availability of MDT measurements, by means of a one-bit indicator, in RRC messages definitions in 3GPP TS 38.331 [43], e.g., *RRCSetupComplete* message and *RRCReconfigurationComplete* message. The gNB can decide to retrieve the logged measurements based on this indication by sending the UEInformationRequest message to the UE. The UE can answer with the collected MDT logs in UEInformationResponse message.

8) The gNB shall forward the Trace Records to the Trace Collection Entity (TCE). In case of logged MDT, the TCE Id is indicated in the MDT report is translated to the actual IP address of the TCE or URI of the Trace Reporting Consumer by the gNB before it forwards the measurement records. (The TCE identity translation is using configured mapping in the gNB.) In case of immediate MDT, the IP address of the TCE or the URI of the Trace Reporting Consumer is indicated for the gNB in the trace configuration.

The Immediate MDT measurement configuration is deleted in the UE together with the RRC context when entering idle or inactive mode.

The Logged MDT trace session is preserved in the UE until the duration time of the trace session expires, including also multiple idle or inactive periods interrupted by various state transistions such as idle-connected-idlestate transitions.

The Logged MDT trace session context of the UE is stored in the network as long as the trace session is active, including also the periods when the UE is in connected state.

Management system shall validate that the MCC and MNC specified in the Trace Reference is the same as the PLMN supported by all the cells specified in the area scope. If the gNB receives a request with a PLMN in the TraceReference that does not match any PLMN in its list, it shall ignore the request.