**3GPP TSG-RAN Meeting #**

**, Japan, May, 20 -**

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| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-4** | **CR** | **Draft** | **rev** |  | **Current version:** | **18.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Draft CR 38.101-4 Introduction of CQI reporting requirements for static/fading condition for RedCap enhancements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_redcap\_enh-Perf | | | | |  | ***Date:*** | | | 2024-05-13 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | CQI reporting requirements for eRedCap UE are missing in TS 38.101-4. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | CQI reporting requirements for eRedCap UE are introduced for 1 Rx in clause 6.2.1 and for 2 Rx in clause 6.2.2 with CQI to TBS mapping in Table A.4-1a. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Incomplete performance requirements for eRedCap UE. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.2.1.1.1.2 (new), 6.2.1.1.2.2 (new), 6.2.1.2.1.2 (new), 6.2.1.2.2.2 (new), 6.2.2.1.1.5 (new), 6.2.2.1.2.5, 6.2.2.2.1.6 (new), 6.2.2.2.2.5 (new), Table A.4-1a (new) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | None. | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

**--- Start of change 1 ---**

6.2 Reporting of Channel Quality Indicator (CQI)

This clause includes the requirements for the reporting of channel quality indicator (CQI).

6.2.1 1RX requirements

6.2.1.1 FDD

6.2.1.1.1 CQI reporting definition under AWGN conditions

The reporting accuracy of the channel quality indicator (CQI) under frequency non-selective conditions is determined by the reporting variance and the BLER performance using the transport format indicated by the reported CQI median. The purpose is to verify that the reported CQI values are in accordance with the CQI definition given in TS 38.214 [12]. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

<<Unchanged sections omitted>>

6.2.1.1.1.2 Minimum requirement for periodic CQI reporting for RedCap enhancements

For the parameters specified in Table 6.2.1.1.1.2-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements for the eRedCap UE are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

**Table 6.2.1.1.1.2-1: CQI reporting definition test**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 10 | | | |
| Subcarrier spacing | | | kHz | 15 | | | |
| Duplex Mode | | |  | FDD | | | |
| SNR | | | dB | [TBD] | [TBD] | [TBD] | [TBD] |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×1 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| BWP size | | | RB | 52 (PRB 0 to 51) | | | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | | | |
| PDSCH BW | | | RB | 15 (PRB 0 to 14) | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/5 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/5 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/5 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 10 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X1 | | | |

6.2.1.1.2 CQI reporting under fading conditions

<<Unchanged sections omitted>>

6.2.1.1.2.2 Minimum requirement for wideband CQI reporting for RedCap enhancements

The purpose of the requirements is to verify that the eRedCap UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for the frequency non-selective scheduling.

The reporting accuracy of CQI under frequency non-selective fading conditions is determined by the reporting variance, the relative increase of the throughput obtained when the transport format is indicated by the reported CQI compared to the throughput obtained when a fixed transport format is configured according to the reported median CQI, and a minimum BLER using the transport formats indicated by the reported CQI. To account for sensitivity of the input SNR the wideband CQI reporting under frequency selective fading conditions is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.1.1.2.2-1 and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) A CQI index not in the set {median CQI -1, median CQI, median CQI +1} shall be reported at least *α*% of the time where *α*% is specified in Table 6.2.1.1.2.2-2;

b) The ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be ≥ *γ*, where *γ* is specified in Table 6.2.1.1.2.2-2;

c) When transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater than or equal to 0.02.

**Table 6.2.1.1.2.2-1: Wideband CQI reporting test under frequency non-selective fading conditions**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 10 | | | |
| Subcarrier spacing | | | kHz | 15 | | | |
| Duplex Mode | | |  | FDD | | | |
| SNR | | | dB | [TBD] | [TBD] | [TBD] | [TBD] |
| Propagation channel | | |  | TDLA30-5 | | | |
| Antenna configuration | | |  | 2×1 | | | |
| Correlation configuration | | |  | ULA high | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| BWP size | | | RB | 52 (PRB 0 to 51) | | | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | | | |
| PDSCH BW | | | RB | 15 (PRB 0 to 14) | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/5 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/5 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/5 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 10 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X1 | | | |

**Table 6.2.1.1.2.2-2: Minimum requirements**

|  |  |  |
| --- | --- | --- |
| **Parameters** | **Test 1** | **Test 2** |
| ** [%] | 20 | 20 |
| ** | 1.05 | 1.05 |

6.2.1.2 TDD

6.2.1.2.1 CQI reporting definition under AWGN conditions

<<Unchanged sections omitted>>

6.2.1.2.1.2 Minimum requirement for periodic CQI reporting for RedCap enhancements

The purpose of the requirements for the eRedCap UE is to verify that the reported CQI values are in accordance with the CQI definition given in TS 38.214 [12]. The reporting accuracy of CQI under AWGN condition is determined by the reporting variance and BLER performance using the transport format indicated by the reported CQI median. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.1.2.1.2-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

**Table 6.2.1.2.1.2-1: CQI reporting definition test**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 20 | | | |
| Subcarrier spacing | | | kHz | 30 | | | |
| Duplex Mode | | |  | TDD | | | |
| TDD UL-DL pattern | | |  | FR1.30-1 | | | |
| SNR | | | dB | [TBD] | [TBD] | [TBD] | [TBD] |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×1 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| BWP size | | | RB | 51 (PRB 0 to 50) | | | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | | | |
| PDSCH BW | | | RB | 7 (PRB 0 to 6) | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/1 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 9.5 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X3 | | | |

6.2.1.2.2 CQI reporting under fading conditions

<<Unchanged sections omitted>>

6.2.1.2.2.2 Minimum requirement for wideband CQI reporting for RedCap enhancements

The purpose of the requirements is to verify that the eRedCap UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for the frequency non-selective scheduling.

The reporting accuracy of CQI under frequency non-selective fading conditions is determined by the reporting variance, the relative increase of the throughput obtained when the transport format is indicated by the reported CQI compared to the throughput obtained when a fixed transport format is configured according to the reported median CQI, and a minimum BLER using the transport formats indicated by the reported CQI. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.1.2.2.2-1 and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) A CQI index not in the set {median CQI -1, median CQI, median CQI +1} shall be reported at least *α*% of the time where *α*% is specified in Table 6.2.1.2.2.2-2;

b) The ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be ≥ *γ*, where *γ* is specified in Table 6.2.1.2.2.2-2;

c) When transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater than or equal to 0.02.

**Table 6.2.1.2.2.2-1: Wideband CQI reporting test under frequency non-selective fading conditions**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 20 | | | |
| Subcarrier spacing | | | kHz | 30 | | | |
| Duplex Mode | | |  | TDD | | | |
| TDD UL-DL pattern | | |  | FR1.30-1 | | | |
| SNR | | | dB | [TBD] | [TBD] | [TBD] | [TBD] |
| Propagation channel | | |  | TDLA30-5 | | | |
| Antenna configuration | | |  | 2×1 | | | |
| Correlation configuration | | |  | ULA high | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| BWP size | | | RB | 51 (PRB 0 to 50) | | | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | | | |
| PDSCH BW | | | RB | 7 (PRB 0 to 6) | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/1 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 9.5 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X3 | | | |

**Table 6.2.1.2.2.2-2: Minimum requirements**

|  |  |  |
| --- | --- | --- |
| **Parameters** | **Test 1** | **Test 2** |
| ** [%] | 20 | 20 |
| ** | 1.05 | 1.05 |

6.2.2 2RX requirements

This sub-clause includes the requirements for reporting of CQI for UE equipped with 2 receiver antennas.

6.2.2.1 FDD

6.2.2.1.1 CQI reporting definition under AWGN conditions

The reporting accuracy of the channel quality indicator (CQI) under frequency non-selective conditions is determined by the reporting variance and the BLER performance using the transport format indicated by the reported CQI median. The purpose is to verify that the reported CQI values are in accordance with the CQI definition given in TS 38.214 [12]. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

<<Unchanged sections omitted>>

6.2.2.1.1.5 Minimum requirement for periodic CQI reporting for RedCap enhancements

For the parameters specified in Table 6.2.2.1.1.5-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements for the eRedCap UE are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

**Table 6.2.2.1.1.5-1: CQI reporting definition test**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 10 | | | |
| Subcarrier spacing | | | kHz | 15 | | | |
| Duplex Mode | | |  | FDD | | | |
| SNR | | | dB | [TBD] | [TBD] | [TBD] | [TBD] |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×2 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| BWP size | | | RB | 52 (PRB 0 to 51) | | | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | | | |
| PDSCH BW | | | RB | 15 (PRB 0 to 14) | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/5 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/5 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/5 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 010000 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 10 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X2 | | | |

6.2.2.1.2 CQI reporting under fading conditions

<<Unchanged sections omitted>>

6.2.2.1.2.5 Minimum requirement for wideband CQI reporting for RedCap enhancements

The purpose of the requirements is to verify that the eRedCap UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for the frequency non-selective scheduling.

The reporting accuracy of CQI under frequency non-selective fading conditions is determined by the reporting variance, the relative increase of the throughput obtained when the transport format is indicated by the reported CQI compared to the throughput obtained when a fixed transport format is configured according to the reported median CQI, and a minimum BLER using the transport formats indicated by the reported CQI. To account for sensitivity of the input SNR the wideband CQI reporting under frequency selective fading conditions is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.2.1.2.5-1 and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) A CQI index not in the set {median CQI -1, median CQI, median CQI +1} shall be reported at least *α*% of the time where *α*% is specified in Table 6.2.2.1.2.5-2;

b) The ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be ≥ *γ*, where *γ* is specified in Table 6.2.2.1.2.5-2;

c) When transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater than or equal to 0.02.

**Table 6.2.2.1.2.5-1: Wideband CQI reporting test under frequency non-selective fading conditions**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | |
| Bandwidth | | | MHz | 10 | |
| Subcarrier spacing | | | kHz | 15 | |
| Duplex Mode | | |  | FDD | |
| SNR | | | dB | [TBD] | [TBD] |
| Propagation channel | | |  | TDLA30-5 | |
| Antenna configuration | | |  | 2×2 | |
| Correlation configuration | | |  | ULA high | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | |
| BWP size | | | RB | 52 (PRB 0 to 51) | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | |
| PDSCH BW | | | RB | 15 (PRB 0 to 14) | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | |
| Number of CSI-RS ports (*X*) | |  | 4 | |
| CDM Type | |  | FD-CDM2 | |
| Density (ρ) | |  | 1 | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | |
| CSI-RS  periodicity and offset | | slot | 10/5 | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | |
| Number of CSI-RS ports (*X*) | |  | 2 | |
| CDM Type | |  | FD-CDM2 | |
| Density (ρ) | |  | 1 | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/5 | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | |
| CSI-IM RE pattern | |  | 0 | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/5 | |
| ReportConfigType | | |  | Periodic | |
| CQI-table | | |  | Table 1 | |
| reportQuantity | | |  | cri-RI-PMI-CQI | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | |
| cqi-FormatIndicator | | |  | Wideband | |
| pmi-FormatIndicator | | |  | Wideband | |
| Sub-band Size | | | RB | 8 | |
| Csi-ReportingBand | | |  | 1111111 | |
| CSI-Report periodicity and offset | | | slot | 10/9 | |
| aperiodicTriggeringOffset | | |  | Not configured | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | |
|  | | Codebook Mode |  | 1 | |
|  | | (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | |
|  | | CodebookSubsetRestriction |  | 000001 | |
|  | | RI Restriction |  | N/A | |
| Physical channel for CSI report | | |  | PUCCH | |
| CQI/RI/PMI delay | | | ms | 10 | |
| Maximum number of HARQ transmission | | |  | 1 | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X2 | |

**Table 6.2.2.1.2.5-2: Minimum requirements**

|  |  |
| --- | --- |
| **Parameters** | **Test 1** |
| ** [%] | 20 |
| ** | 1.05 |

6.2.2.2 TDD

6.2.2.2.1 CQI reporting definition under AWGN conditions

<<Unchanged sections omitted>>

6.2.2.2.1.6 Minimum requirement for periodic CQI reporting for RedCap enhancements

The purpose of the requirements for the eRedCap UE is to verify that the reported CQI values are in accordance with the CQI definition given in TS 38.214 [12]. The reporting accuracy of CQI under AWGN condition is determined by the reporting variance and BLER performance using the transport format indicated by the reported CQI median. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.2.2.1.6-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

**Table 6.2.2.2.1.6-1: CQI reporting definition test**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 20 | | | |
| Subcarrier spacing | | | kHz | 30 | | | |
| Duplex Mode | | |  | TDD | | | |
| TDD UL-DL pattern | | |  | FR1.30-1 | | | |
| SNR | | | dB | [TBD] | [TBD] | [TBD] | [TBD] |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×2 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| BWP size | | | RB | 51 (PRB 0 to 50) | | | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | | | |
| PDSCH BW | | | RB | 7 (PRB 0 to 6) | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/1 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
|  | | Codebook Mode |  | 1 | | | |
|  | | (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
|  | | CodebookSubsetRestriction |  | 010000 | | | |
|  | | RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 9.5 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X4 | | | |

6.2.2.2.2 CQI reporting under fading conditions

<<Unchanged sections omitted>>

6.2.2.2.2.5 Minimum requirement for wideband CQI reporting for RedCap enhancements

The purpose of the requirements is to verify that the eRedCap UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for the frequency non-selective scheduling.

The reporting accuracy of CQI under frequency non-selective fading conditions is determined by the reporting variance, the relative increase of the throughput obtained when the transport format is indicated by the reported CQI compared to the throughput obtained when a fixed transport format is configured according to the reported median CQI, and a minimum BLER using the transport formats indicated by the reported CQI. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.2.2.2.5-1 and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) A CQI index not in the set {median CQI -1, median CQI, median CQI +1} shall be reported at least *α*% of the time where *α*% is specified in Table 6.2.2.2.2.5-2;

b) The ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be ≥ *γ*, where *γ* is specified in Table 6.2.2.2.2.5-2;

c) When transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater than or equal to 0.02.

**Table 6.2.2.2.2.5-1: Wideband CQI reporting test under frequency non-selective fading conditions**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | |
| Bandwidth | | | MHz | 20 | |
| Subcarrier spacing | | | kHz | 30 | |
| Duplex Mode | | |  | TDD | |
| TDD UL-DL pattern | | |  | FR1.30-1 | |
| SNR | | | dB | [TBD] | [TBD] |
| Propagation channel | | |  | TDLA30-5 | |
| Antenna configuration | | |  | 2×2 | |
| Correlation configuration | | |  | ULA high | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | |
| BWP size | | | RB | 51 (PRB 0 to 50) | |
| CSI-RS BW | | | RB | 24 (PRB 0 to 23) | |
| PDSCH BW | | | RB | 7 (PRB 0 to 6) | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | |
| Number of CSI-RS ports (*X*) | |  | 4 | |
| CDM Type | |  | FD-CDM2 | |
| Density (ρ) | |  | 1 | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | |
| CSI-RS  periodicity and offset | | slot | 10/1 | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | |
| Number of CSI-RS ports (*X*) | |  | 2 | |
| CDM Type | |  | FD-CDM2 | |
| Density (ρ) | |  | 1 | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | |
| CSI-IM RE pattern | |  | 0 | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | |
| ReportConfigType | | |  | Periodic | |
| CQI-table | | |  | Table 1 | |
| reportQuantity | | |  | cri-RI-PMI-CQI | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | |
| cqi-FormatIndicator | | |  | Wideband | |
| pmi-FormatIndicator | | |  | Wideband | |
| Sub-band Size | | | RB | 8 | |
| Csi-ReportingBand | | |  | 1111111 | |
| CSI-Report periodicity and offset | | | slot | 10/9 | |
| aperiodicTriggeringOffset | | |  | Not configured | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | |
|  | | Codebook Mode |  | 1 | |
|  | | (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | |
|  | | CodebookSubsetRestriction |  | 000001 | |
|  | | RI Restriction |  | N/A | |
| Physical channel for CSI report | | |  | PUCCH | |
| CQI/RI/PMI delay | | | ms | 9.5 | |
| Maximum number of HARQ transmission | | |  | 1 | |
| Measurement channel | | |  | As specified in Table A.4-1a, TBS.1-X4 | |

**Table 6.2.2.2.2.5-2: Minimum requirements**

|  |  |
| --- | --- |
| **Parameters** | **Test 1** |
| ** [%] | 20 |
| ** | 1.05 |

**--- End of change 1 ---**

**--- Start of change 2 ---**

A.4 CSI reference measurement channels

This clause defines the DL signal applicable to the reporting of channel state information (Clauses 6 and 8).

Tables in this clause specifies the mapping of CQI index to Information Bit payload, which complies with the CQI definition specified in clause 5.2.2.1 of TS 38.214 [12] and with MCS definition specified in clause 5.1.3 of TS 38.214 [12].

**Table A.4-1: Mapping of CQI Index to Information Bit payload (CQI table 1)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TBS Scheme | | | | TBS.1-1 | TBS.1-2 | TBS.1-3 | TBS.1-4 | TBS.1-5 | TBS.1-6 |
| MCS table | | | | 64QAM | | | | | |
| Number of allocated PDSCH resource blocks | | | | 66 | 66 | 52 | 52 | 51 | 51 |
| Number of consecutive PDSCH symbols | | | | 12 | 12 | 12 | 12 | 12 | 12 |
| Number of PDSCH MIMO layers | | | | 1 | 2 | 1 | 2 | 1 | 2 |
| Number of DMRS REs (Note 1) | | | | 24 | 24 | 24 | 24 | 24 | 24 |
| Overhead for TBS determination | | | | 6 | 6 | 0 | 0 | 0 | 0 |
| Available RE-s | | | | 7590 | 7590 | 6240 | 6240 | 6120 | 6120 |
| CQI index | Spectral efficiency | MCS index | Modulation | Information Bit Payload per Slot | | | | | |
| 0 | OOR | OOR | OOR | N/A | N/A | N/A | N/A | N/A | N/A |
| 1 | 0.2344 | 0 | QPSK | 1800 | 3624 | 1480 | 2976 | 1480 | 2856 |
| 2 | 0.2344 | 0 | 1800 | 3624 | 1480 | 2976 | 1480 | 2856 |
| 3 | 0.3770 | 2 | 2856 | 5640 | 2408 | 4744 | 2408 | 4616 |
| 4 | 0.6016 | 4 | 4480 | 8968 | 3752 | 7424 | 3752 | 7296 |
| 5 | 0.8770 | 6 | 6528 | 13064 | 5504 | 11016 | 5376 | 10760 |
| 6 | 1.1758 | 8 | 8712 | 17928 | 7296 | 14600 | 7168 | 14344 |
| 7 | 1.4766 | 11 | 16QAM | 11016 | 22032 | 9224 | 18432 | 8968 | 17928 |
| 8 | 1.9141 | 13 | 14344 | 28680 | 12040 | 24072 | 11784 | 23568 |
| 9 | 2.4063 | 15 | 17928 | 35856 | 15112 | 30216 | 14600 | 29192 |
| 10 | 2.7305 | 18 | 64QAM | 20496 | 40976 | 16896 | 33816 | 16896 | 33816 |
| 11 | 3.3223 | 20 | 25104 | 50184 | 20496 | 40976 | 20496 | 40976 |
| 12 | 3.9023 | 22 | 29192 | 58384 | 24576 | 49176 | 24072 | 48168 |
| 13 | 4.5234 | 24 | 33816 | 67584 | 28168 | 56368 | 27656 | 55304 |
| 14 | 5.1152 | 26 | 38936 | 77896 | 31752 | 63528 | 31240 | 62504 |
| 15 | 5.5547 | 28 | 42016 | 83976 | 34816 | 69672 | 33816 | 67584 |
| Note 1: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data  Note 2: PDSCH is not scheduled on slots containing CSI-RS for tracking, CSI-RS for CSI acquisition and CSI-RS for beam refinement or slots which are not full DL  Note 3: PDSCH is not scheduled on slots containing PBCH, i.e. slot#0 per 20ms periodicity  Note 4: Spectral efficiency is based on MCS Table defined in Table 5.1.3.1-1 of TS 38.214 [12] | | | | | | | | | |

**Table A.4-1a: Mapping of CQI Index to Information Bit payload (CQI table 1)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TBS Scheme | | | | TBS.1-X1 | TBS.1-X2 | TBS.1-X3 | TBS.1-X4 |  |  |
| MCS table | | | | 64QAM | | | | | |
| Number of allocated PDSCH resource blocks | | | | 15 | 15 | 7 | 7 |  |  |
| Number of consecutive PDSCH symbols | | | | 12 | 12 | 12 | 12 |  |  |
| Number of PDSCH MIMO layers | | | | 1 | 2 | 1 | 2 |  |  |
| Number of DMRS REs (Note 1) | | | | 24 | 24 | 24 | 24 |  |  |
| Overhead for TBS determination | | | | 0 | 0 | 0 | 0 |  |  |
| Available RE-s | | | | 1800 | 1800 | 840 | 840 |  |  |
| CQI index | Spectral efficiency | MCS index | Modulation | Information Bit Payload per Slot | | | | | |
| 0 | OOR | OOR | OOR | N/A | N/A | N/A | N/A |  |  |
| 1 | 0.2344 | 0 | QPSK | 432 | 848 | 192 | 408 |  |  |
| 2 | 0.2344 | 0 | 432 | 848 | 192 | 408 |  |  |
| 3 | 0.3770 | 2 | 672 | 1352 | 320 | 640 |  |  |
| 4 | 0.6016 | 4 | 1128 | 2152 | 504 | 1032 |  |  |
| 5 | 0.8770 | 6 | 1608 | 3240 | 736 | 1480 |  |  |
| 6 | 1.1758 | 8 | 2152 | 4232 | 984 | 2024 |  |  |
| 7 | 1.4766 | 11 | 16QAM | 2664 | 5256 | 1224 | 2472 |  |  |
| 8 | 1.9141 | 13 | 3496 | 6920 | 1608 | 3240 |  |  |
| 9 | 2.4063 | 15 | 4360 | 8712 | 2024 | 4040 |  |  |
| 10 | 2.7305 | 18 | 64QAM | 4872 | 9736 | 2280 | 4616 |  |  |
| 11 | 3.3223 | 20 | 6024 | N/A | 2792 | 5512 |  |  |
| 12 | 3.9023 | 22 | 7048 | N/A | 3368 | 6536 |  |  |
| 13 | 4.5234 | 24 | 8064 | N/A | 3824 | 7560 |  |  |
| 14 | 5.1152 | 26 | 9224 | N/A | 4232 | 8456 |  |  |
| 15 | 5.5547 | 28 | 9992 | N/A | 4616 | 9224 |  |  |
| Note 1: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data  Note 2: PDSCH is not scheduled on slots containing CSI-RS for tracking, CSI-RS for CSI acquisition and CSI-RS for beam refinement or slots which are not full DL  Note 3: PDSCH is not scheduled on slots containing PBCH, i.e. slot#0 per 20ms periodicity  Note 4: Spectral efficiency is based on MCS Table defined in Table 5.1.3.1-1 of TS 38.214 [12]  Note 5: TBS.1-X1 to TBS.1-X4 are applicable to eRedCap UE.  Note 6: For TBS.1-X2, MCS 20 and higher are not applicable (>10 Mbps). | | | | | | | | | |

**Table A.4-2: Mapping of CQI Index to Information Bit payload (CQI table 2, Rank 1 and Rank 2)**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TBS Scheme | | | | TBS.2-1 | TBS.2-2 | TBS.2-3 | TBS.2-4 | TBS.2-5 | TBS.2-6 | TBS.2-7 | TBS.2-8 |
| MCS table | | | | 256QAM | | | | | | |  |
| Number of allocated PDSCH resource blocks | | | | 52 | 52 | 106 | 106 | 8 | 16 | 32 | 51 |
| Number of consecutive PDSCH symbols | | | | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 |
| Number of PDSCH MIMO layers | | | | 1 | 2 | 1 | 2 | 1 | 1 | 1 | 2 |
| Number of DMRS REs (Note 1) | | | | 24 | 24 | 24 | 24 | 24 | 24 | 24 | 24 |
| Overhead for TBS determination | | | | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 |
| Available RE-s for PDSCH | | | | 6240 | 6240 | 12720 | 12720 | 960 | 1920 | 3680 | 6120 |
| CQI index | Spectral efficiency | MCS index | Modulation | Information Bit Payload per Slot | | | | | | |  |
| 0 | OOR | OOR | OOR | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 1 | 0.2344 | 0 | QPSK | 1480 | 2976 | 2976 | 5896 | 224 | 456 | 848 | 2856 |
| 2 | 0.3770 | 1 | 2408 | 4744 | 4744 | 9480 | 368 | 736 | 1416 | 4616 |
| 3 | 0.8770 | 3 | 5504 | 11016 | 11016 | 22536 | 848 | 1736 | 3240 | 10760 |
| 4 | 1.4766 | 5 | 16QAM | 9224 | 18432 | 18960 | 37896 | 1416 | 2856 | 5376 | 17928 |
| 5 | 1.9141 | 7 | 12040 | 24072 | 24576 | 49176 | 1864 | 3752 | 6912 | 23568 |
| 6 | 2.4063 | 9 | 15112 | 30216 | 30728 | 61480 | 2408 | 4608 | 8712 | 29192 |
| 7 | 2.7305 | 11 | 64QAM | 16896 | 33816 | 34816 | 69672 | 2600 | 5248 | 9992 | 33816 |
| 8 | 3.3223 | 13 | 20496 | 40976 | 42016 | 83976 | 3240 | 6400 | 12040 | 40976 |
| 9 | 3.9023 | 15 | 24576 | 49176 | 49176 | 98376 | 3752 | 7424 | 14344 | 48168 |
| 10 | 4.5234 | 17 | 28168 | 56368 | 57376 | 114776 | 4352 | 8712 | 16392 | 55304 |
| 11 | 5.1152 | 19 | 31752 | 63528 | 65576 | 131176 | 4864 | 9736 | 18432 | 62504 |
| 12 | 5.5547 | 21 | 256QAM | 34816 | 69672 | 69672 | 139376 | 5248 | 10760 | 20496 | 67584 |
| 13 | 6.2266 | 23 | 38936 | 77896 | 79896 | 159880 | 6016 | 12040 | 22536 | 75792 |
| 14 | 6.9141 | 25 | 43032 | 86040 | 88064 | 176208 | 6656 | 13320 | 25104 | 83976 |
| 15 | 7.4063 | 27 | 46104 | 92200 | 94248 | 188576 | 7040 | 14088 | 27144 | 90176 |
| Note 1: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data  Note 2: PDSCH is not scheduled on slots containing CSI-RS for tracking, CSI-RS for CSI acquisition and CSI-RS for beam refinement or slots which are not full DL  Note 3: PDSCH is not scheduled on slots containing PBCH, i.e. slot#0 per 20ms periodicity  Note 4: Spectral efficiency is based on MCS Table defined in Table 5.1.3.1-2 of TS 38.214 [12] | | | | | | | | | | | |

**Table A.4-3: Mapping of CQI Index to Information Bit payload (CQI table 2, Rank 3 and Rank 4)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TBS Scheme | | | | TBS.3-1 | TBS.3-2 | TBS.3-3 | TBS.3-4 |  |  |
| MCS table | | | | 256QAM | | | | | |
| Number of allocated PDSCH resource blocks | | | | 52 | 52 | 106 | 106 |  |  |
| Number of consecutive PDSCH symbols | | | | 12 | 12 | 12 | 12 |  |  |
| Number of PDSCH MIMO layers | | | | 3 | 4 | 3 | 4 |  |  |
| Number of DMRS REs (Note 1) | | | | 24 | 24 | 24 | 24 |  |  |
| Overhead for TBS determination | | | | 0 | 0 | 0 | 0 |  |  |
| Available RE-s for PDSCH | | | | 6240 | 6240 | 12720 | 12720 |  |  |
| CQI index | Spectral efficiency | MCS index | Modulation | Information Bit Payload per Slot | | | | | |
| 0 | OOR | OOR | OOR | N/A | N/A | N/A | N/A |  |  |
| 1 | 0.2344 | 0 | QPSK | 4360 | 5896 | 8976 | 11784 |  |  |
| 2 | 0.3770 | 1 | 7048 | 9480 | 14344 | 18976 |  |  |
| 3 | 0.8770 | 3 | 16392 | 22032 | 33816 | 45096 |  |  |
| 4 | 1.4766 | 5 | 16QAM | 27656 | 36896 | 56368 | 75792 |  |  |
| 5 | 1.9141 | 7 | 35856 | 48168 | 73776 | 98376 |  |  |
| 6 | 2.4063 | 9 | 45096 | 60456 | 92200 | 122976 |  |  |
| 7 | 2.7305 | 11 | 64QAM | 51216 | 67584 | 104496 | 139376 |  |  |
| 8 | 3.3223 | 13 | 62504 | 81976 | 127080 | 167976 |  |  |
| 9 | 3.9023 | 15 | 73776 | 98376 | 147576 | 196776 |  |  |
| 10 | 4.5234 | 17 | 83976 | 112648 | 172176 | 229576 |  |  |
| 11 | 5.1152 | 19 | 96264 | 127080 | 196776 | 262376 |  |  |
| 12 | 5.5547 | 21 | 256QAM | 104496 | 139376 | 213176 | 278776 |  |  |
| 13 | 6.2266 | 23 | 116792 | 155776 | 237776 | 319784 |  |  |
| 14 | 6.9141 | 25 | 129128 | 172176 | 262376 | 352440 |  |  |
| 15 | 7.4063 | 27 | 139376 | 184424 | 278776 | 376896 |  |  |
| Note 1: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data  Note 2: PDSCH is not scheduled on slots containing CSI-RS for tracking, CSI-RS for CSI acquisition and CSI-RS for beam refinement or slots which are not full DL  Note 3: PDSCH is not scheduled on slots containing PBCH, i.e. slot#0 per 20ms periodicity  Note 4: Spectral efficiency is based on MCS Table defined in Table 5.1.3.1-2 of TS 38.214 [12] | | | | | | | | | |

**Table A.4-4: Mapping of CQI Index to Information Bit payload (CQI table 3)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TBS Scheme | | | | TBS.4-1 | TBS.4-2 |  |  |  |  |
| MCS table | | | | 64QAMLowSE | | | | | |
| Number of allocated PDSCH resource blocks | | | | 52 | 106 |  |  |  |  |
| Number of consecutive PDSCH symbols | | | | 12 | 12 |  |  |  |  |
| Number of PDSCH MIMO layers | | | | 1 | 1 |  |  |  |  |
| Number of DMRS REs (Note 1) | | | | 24 | 24 |  |  |  |  |
| Overhead for TBS determination | | | | 0 | 0 |  |  |  |  |
| Available RE-s for PDSCH | | | | 6240 | 12720 |  |  |  |  |
| CQI index | Spectral efficiency | MCS index | Modulation | Information Bit Payload per Slot | | | | | |
| 0 | OOR | OOR | OOR | N/A | N/A |  |  |  |  |
| 1 | 0.0586 | 0 | QPSK | 368 | 768 |  |  |  |  |
| 2 | 0.0977 | 2 | 608 | 1256 |  |  |  |  |
| 3 | 0.1523 | 4 | 984 | 2024 |  |  |  |  |
| 4 | 0.2344 | 6 | 1480 | 2976 |  |  |  |  |
| 5 | 0.3770 | 8 | 2408 | 4744 |  |  |  |  |
| 6 | 0.6016 | 10 | 3752 | 7680 |  |  |  |  |
| 7 | 0.8770 | 12 | 5504 | 11016 |  |  |  |  |
| 8 | 1.1758 | 14 | 7296 | 14856 |  |  |  |  |
| 9 | 1.4766 | 16 | 16QAM | 9224 | 18960 |  |  |  |  |
| 10 | 1.9141 | 18 | 12040 | 24576 |  |  |  |  |
| 11 | 2.4063 | 20 | 15112 | 30728 |  |  |  |  |
| 12 | 2.7305 | 22 | 64QAM | 16896 | 34816 |  |  |  |  |
| 13 | 3.3223 | 24 | 20496 | 42016 |  |  |  |  |
| 14 | 3.9023 | 26 | 24576 | 49176 |  |  |  |  |
| 15 | 4.5234 | 28 | 28168 | 57376 |  |  |  |  |
| Note 1: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data  Note 2: PDSCH is not scheduled on slots containing CSI-RS for tracking and CSI-RS for CSI acquisition or slots which are not full DL  Note 3: PDSCH is not scheduled on slots containing PBCH, i.e. slot#0 per 20ms periodicity | | | | | | | | | |

**Table A.4-5: Mapping of CQI Index to Information Bit payload (CQI table 4)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TBS Scheme | | | | TBS.5-1 | TBS.5-2 |  |  |  |  |
| MCS table | | | | 1024QAM | | | | | |
| Number of allocated PDSCH resource blocks | | | | 52 | 106 |  |  |  |  |
| Number of consecutive PDSCH symbols | | | | 12 | 12 |  |  |  |  |
| Number of PDSCH MIMO layers | | | | 1 | 1 |  |  |  |  |
| Number of DMRS REs (Note 1) | | | | 24 | 24 |  |  |  |  |
| Overhead for TBS determination | | | | 0 | 0 |  |  |  |  |
| Available RE-s | | | | 6240 | 12720 |  |  |  |  |
| CQI index | Spectral efficiency | MCS index | Modulation | Information Bit Payload per Slot | | | | | |
| 0 | OOR | OOR | OOR | N/A | N/A |  |  |  |  |
| 1 | 0.2344 | 0 | QPSK | 1480 | 2976 |  |  |  |  |
| 2 | 0.377 | 1 | 2408 | 4744 |  |  |  |  |
| 3 | 0.877 | 2 | 5504 | 11016 |  |  |  |  |
| 4 | 1.4766 | 3 | 16QAM | 9224 | 18960 |  |  |  |  |
| 5 | 2.4063 | 5 | 15112 | 30728 |  |  |  |  |
| 6 | 3.3223 | 8 | 64QAM | 20496 | 42016 |  |  |  |  |
| 7 | 3.9023 | 10 | 24576 | 49176 |  |  |  |  |
| 8 | 4.5234 | 12 | 28168 | 57376 |  |  |  |  |
| 9 | 5.1152 | 14 | 31752 | 65576 |  |  |  |  |
| 10 | 5.5547 | 16 | 256QAM | 34816 | 69672 |  |  |  |  |
| 11 | 6.2266 | 18 | 38936 | 79896 |  |  |  |  |
| 12 | 6.9141 | 20 | 43032 | 88064 |  |  |  |  |
| 13 | 7.4063 | 22 | 46104 | 94248 |  |  |  |  |
| 14 | 8.3301 | 24 | 1024QAM | 52224 | 106576 |  |  |  |  |
| 15 | 9.2578 | 26 | 57376 | 116792 |  |  |  |  |
| Note 1: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data  Note 2: PDSCH is not scheduled on slots containing CSI-RS for tracking, CSI-RS for CSI acquisition and CSI-RS for beam refinement or slots which are not full DL  Note 3: PDSCH is not scheduled on slots containing PBCH, i.e. slot#0 per 20ms periodicity  Note 4: Spectral efficiency is based on MCS Table defined in Table 5.1.3.1-4 of TS 38.214 [12] | | | | | | | | | |

**--- End of change 2 ---**