**3GPP TSG-RAN WG2 Meeting #126 *R2-2405819***

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
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|  | **38.306** | **CR** | 1118 | **rev** | **1** | **Current version:** | **17.8.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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|  |
| ***Title:***  | Clarification on xDD differentiation for SDL bands |
|  |  |
| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2024-05-10 |
|  |  |  |  |  |
| ***Category:*** | A |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19) Rel-20 (Release 20)* |
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| ***Reason for change:*** | In RAN#90e, there are following conclusion on xDD differentiation on SUL bands.*- No new signalling will be introduced in Rel-16 to provide a DL/UL configuration for an SUL carrier.* *- Per UE Capabilities that are FDD/TDD differentiated when applied to SUL carriers are indicated by the FDD capability (i.e. in effect the capabilities are not FDD/TDD differentiated for this case). Per UE capabilities that are TDD only are not applicable to SUL. RAN2 is tasked to prepare Rel-15 and 16 CRs to capture this agreement.*The RAN2 CRs were agreed in R2-2101911/R2-2102389 to capture the agreements above. However, it is still not clear how to interpret the per UE capabilities with xDD differentiation for SDL bands. To avoid any confusion for implementation, similar clarification should be captured in the TS 38.306 spec for SDL bands. That is, regarding to the per UE capabilities that are FDD/TDD differentiated, the corresponding capabilities indicated by the FDD capability is applied to SDL if SDL band is supported by the UE. Per UE capabilties that are TDD only are not applicable to SDL. |
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| ***Summary of change:*** | Clarify that regarding to the per UE capabilities that are FDD/TDD differentiated, the corresponding capabilities indicated by the FDD capability is applied to SDL if SDL band is supported by the UE. Per UE capabilties that are TDD only are not applicable to SDL.**Impact analysis**Impacted 5G architecture options:NR SA, NR-DC, (NG)EN-DCImpacted functionality:UE capability reporting for SDL bandInter-operability: If the network implements the CR and the UE does not or vice versa, there is no inter-operability issue as the clarification is aligned with general assumption on SDL operation. |
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| ***Consequences if not approved:*** | It is not clear whether/which per UE capabilties with xDD differentiation is applicable to SDL band.  |
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| ***Clauses affected:*** | 4.2.1 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | Revision-1:1) Add abbreviations for SDL,2) Update on punctuation mark |

*START OF CHANGE*

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

A-CSI Aperiodic-CSI

BAP Backhaul Adaptation Protocol

BC Band Combination

BPS Body Proximity Sensing

BT Bluetooth

CCS Cross Carrier Scheduling

CMR Channel Measurement Resource

CPAC Conditional PSCell Addition/Change

DAPS Dual Active Protocol Stack

DL Downlink

EHC Ethernet Header Compression

FS Feature Set

FSPC Feature Set Per Component-carrier

GSO Geosynchronous Orbit

HSDN High Speed Dedicated Network

IAB-MT Integrated Access Backhaul Mobile Termination

MAC Medium Access Control

MHI Mobility History Information

MBS Multicast/Broadcast Service

MCG Master Cell Group

MN Master Node

MRB MBS Radio Bearer

MR-DC Multi-Radio Dual Connectivity

mTRP Multiple TRP

MUSIM Multi-Universal Subscriber Identity Module

NCJT Non-Coherent Joint Transmission

NCSG Network Controlled Small Gap

NGSO Non-Geosynchronous Orbit

NTN Non-Terrestrial Network

P-CSI Periodic CSI

PDCP Packet Data Convergence Protocol

QoE Quality of Experience

RLC Radio Link Control

RTT Round Trip Time

SCG Secondary Cell Group

SDAP Service Data Adaptation Protocol

SDL Supplementary Downlink

SN Secondary Node

sTRP Serving TRPTRP Transmit/Receive Point

UDC Uplink Data Compression

UL Uplink

WLAN Wireless Local Area Network

*NEXT CHANGE*

## 4.2 UE Capability Parameters

### 4.2.1 Introduction

The following clauses define the UE radio access capability parameters. Only parameters for which there is the possibility for UEs to signal different values are considered as UE radio access capability parameters. Therefore, mandatory features without capability parameters that are the same for all UEs are not listed here.

The network needs to respect the signalled UE radio access capability parameters when configuring the UE and when scheduling the UE.

For capabilities that required to be set consistently for all FDD-FR1 bands (i.e. capabilities that are supposed to be per UE), the UE shall also set capability values for all SUL bands with same values for FDD-FR1 bands if SUL band is supported by the UE.

The UE may support different functionalities between FDD and TDD, and/or between FR1 and FR2. The UE shall indicate the UE capabilities as follows. In the table of UE capability parameter in subsequent clauses, "Yes" in the column by "FDD-TDD DIFF" and "FR1-FR2 DIFF" indicates the UE capability field can have a different value for between FDD and TDD or between FR1 and FR2 and "No" indicates if it cannot. "(Incl FR2-2 DIFF)" in the column by "FR1-FR2 DIFF" indicates the UE capability field can have a different value for between FR2-1 and FR2-2. Regarding to the per UE capabilities that are FDD/TDD differentiated(i.e. capabilities indicated as "Yes" in the column by "FDD-TDD DIFF"), the corresponding capabilities indicated by the FDD capability is applied to SUL/SDL, if SUL/SDL band is supported by the UE. "FD" in the column indicates to refer the associated field description. "FR1 only" or "FR2 only" in the column indicates the associated feature is only supported in FR1 or FR2 and "TDD only" indicates the associated feature is only supported in TDD and not applicable to SUL/SDL carriers. "N/A" in the column indicates it is not applicable to the feature (e,g. the signalling supports the UE to have different values between FDD and TDD or between FR1 and FR2).

1> set all fields of UE-NR/MRDC-Capability except fdd-Add-UE-NR/MRDC/Sidelink-Capabilities, tdd-Add-UE-NR/MRDC/Sidelink-Capabilities, fr1-Add-UE-NR/MRDC-Capabilities and fr2-Add-UE-NR/MRDC-Capabilities, to include the values applicable for all duplex mode(s) and frequency range(s) that the UE supports;

1> if UE supports both FDD (or SUL/SDL) and TDD and if (some of) the UE capability fields have a different value for FDD (or SUL/SDL) and TDD

2> if for FDD (and, if the UE supports SUL/SDL, for SUL/SDL), the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability/SidelinkParameters:

3> include field fdd-Add-UE-NR/MRDC/Sidelink-Capabilities and set it to include fields reflecting the additional functionality applicable for FDD;

2> if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability/SidelinkParameters:

3> include field tdd-Add-UE-NR/MRDC/Sidelink-Capabilities and set it to include fields reflecting the additional functionality applicable for TDD;

1> if UE supports both FR1 and FR2 and if (some of) the UE capability fields have a different value for FR1 and FR2:

2> if for FR1, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field fr1-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR1;

2> if for FR2, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field fr2-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR2;

NOTE 1: The fields which indicate "shall be set to 1" or "shall be set to *supported*" in the following tables means these features are purely mandatory and are assumed they are the same as mandatory without capability signalling.

NOTE 2: For the case where the UE is allowed to support different functionality between FDD and TDD and between FR1 and FR2 according to the specification, the UE capability indication is clarified in Annex B.

For optional features, the UE radio access capability parameter indicates whether the feature has been implemented and successfully tested. For mandatory features with the UE radio access capability parameter, the parameter indicates whether the feature has been successfully tested. In the table of UE capability parameter in subsequent clauses, "Yes" in the column by "M" indicates the associated feature is mandatory and "No" indicates the associated feature is optional. "CY" in the column indicates the associated feature is conditional mandatory and the condition is described in the field description and the associated feature is considered mandatory with capability parameter, when the described condition is satisfied. "FD" in the column indicates to refer the associated field description. Some parameters in subsequent clauses are not related to UE features and in the case, "N/A" is indicated in the column.

UE capability parameters have hierarchical structure. In the table of UE capability parameter in subsequent clauses, "Per" indicates the level the associated parameter is included. "UE" in the column indicates the associated parameter is signalled per UE, "Band" indicates it is signalled per band, "BC" indicates it is signalled per band combination, "FS" indicates it is signalled per feature set (per band per band combination), "FSPC" indicates it is signalled per feature set per component carrier (per CC per band per band combination), and "FD" in the column indicates to refer the associated field description.

NOTE 3: Unless otherwise specified, for dependent capabilities with prerequisite capability in a finer granularity, the UE should indicate support of the prerequisite capability in at least one finer granularity. And the dependent capability is supported only in the finer granularity where the prerequisite capability is supported, e.g. a UE indicating support of *supportNewDMRS-Port-r16* (dependent capability which is defined per band) should indicate at least one band combination where *singleDCI-SDM-scheme-r16* (prerequisite capability which is defined per feature set) is supported in the corresponding band. In this case, *supportNewDMRS-Port-r16* is considered supported only in the corresponding band of the band combination where *singleDCI-SDM-scheme-r16* is supported.

*END OF CHANGE*