**3GPP TSG RAN WG1 #118 R1-240xxxx**

**Maastricht, NL, August 19th - 23rd, 2024**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.214** | **CR** | **xxxx** | **rev** | **-** | **Current version:** | **18.3.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | CR on SRS transmission for NCB PUSCH in STxMP SDM/SFN scheme | | | | | | | | | |
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| ***Source to WG:*** | Moderator (OPPO), Corporation, Sanechips | | | | | | | | | |
| ***Source to TSG:*** | RAN1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_MIMO\_evo\_DL\_UL-Core | | | | |  | ***Date:*** | | | 19 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | 8 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | According to the current TS 38.213, the maximum number of simultaneous transmitted SRS resources in one symbol only capture the case from one single SRS resource set. In the meanwhile, for non-codebook based PUSCH in STxMP SDM/SFN scheme, it can be noticed that the UE can simultaneously transmit multiple SRS resources from two SRS resource sets in one symbol, which depends on component-9 in FG 40-6-1a and component-9 in FG 40-6-2a for STx2P SDM and SFN, respectively.  Consequently, it should be captured in TS 38.213 that, for NCB PUSCH transmission in STxMP SDM/SFN scheme, multiple SRS resources from two SRS resource sets can be simultaneous transmitted in one symbol, which is subject to UE capability. | | | | | | | | |
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| ***Summary of change:*** | | To specify that for non-codebook based PUSCH in STxMP SDM/SFN scheme, multiple SRS resources from two SRS resource sets can be simultaneous transmitted in one symbol, which is subject to UE capability. | | | | | | | | |
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| ***Consequences if not approved:*** | | The specifications incorrectly precluded the case that, subject to UE capability, multiple SRS resources from two SRS resource sets can be simultaneous transmitted in one symbol in case of non-codebook based PUSCH in STxMP SDM/SFN scheme. | | | | | | | | |
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| ***Clauses affected:*** | | 6.1.1.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | **Isolated impact analysis:** | | | | | | | | |
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| ***This CR's revision history:*** | | This is the first version of this CR. | | | | | | | | |

6.1.1.2 Non-Codebook based UL transmission

For non-codebook based transmission, PUSCH can be scheduled by DCI format 0\_0, DCI format 0\_1, DCI format 0\_2, DCI format 0\_3 or semi-statically configured to operate according to Clause 6.1.2.3. If this PUSCH is scheduled by DCI format 0\_1, DCI format 0\_2, DCI format 0\_3 or semi-statically configured to operate according to Clause 6.1.2.3, the UE can determine its PUSCH precoder(s) and transmission rank based on the SRI(s) when multiple SRS resources are configured, where the SRI(s) is given by one or two SRS resource indicator(s) in DCI according to clause 7.3.1.1.2 and 7.3.1.1.3 of [5, 38.212] for DCI format 0\_1 and DCI format 0\_2, or the SRI is given by one SRS resource indicator in DCI according to clause 7.3.1.1.4 of [5, 38.212] for DCI format 0\_3, or the SRI is given by *srs-ResourceIndicator* according to clause 6.1.2.3, or SRIs given by *srs-ResourceIndicator* and *srs-ResourceIndicator2* according to clause 6.1.2.3.. The *SRS-ResourceSet(s)* applicable for PUSCH scheduled by DCI format 0\_1 and DCI format 0\_2 are defined by the entries of the higher layer parameter *srs-ResourceSetToAddModList* and *srs-ResourceSetToAddModListDCI-0-2* in *SRS-config*, respectively. The UE shall use one or multiple SRS resources for SRS transmission, where, in one or two SRS resource set(s), the maximum number of SRS resources which can be configured to the UE for simultaneous transmission in the same symbol and the maximum number of SRS resources are UE capabilities. The SRS resources transmitted simultaneously occupy the same RBs. For a given CC, multiple SRS resources in a set with usage “nonCodebook” are not expected to be partially overlapped in time. Only one SRS port for each SRS resource is configured. Only one or two SRS resource sets can be configured in *srs-ResourceSetToAddModList* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook', and only one or two SRS resource sets can be configured in *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook'. When two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook', SRIs are given by the DCI fields of two SRS resource indicators in clauses 7.3.1.1.2 and 7.3.1.1.3 of [5, TS 38.212] for DCI format 0\_1 and 0\_2 and the UE applies the indicated SRI(s) to one or more PUSCH repetitions according to the associated SRS resource set of a PUSCH repetition according to clause 6.1.2.1. A UE does not expect two SRS resource sets are configured in *srs-ResourceSetToAddModList* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook' for a serving cell, when the serving cell is included in *schedulingCellListDCI-0-3-r18* for a set of serving cells provided by *mc-DCI-SetOfCellsToAddModList-r18*. The maximum number of SRS resources per SRS resource set that can be configured for non-codebook based uplink transmission is 1, 2, 4 or 8 depending on UE capability. Each of the indicated SRIs in slot *n* is associated with the most recent transmission of SRS resource(s) of associated SRS resource set identified by the SRI, where the SRS transmission is prior to the PDCCH carrying the SRI. When two SRS resource sets are configured in *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with higher layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook', the UE is not expected to be configured with different number of SRS resources in the two SRS resource sets.

<------------------------- **Irrelevant parts are omitted** ------------------------->