**3GPP TSG- Meeting #113 *R4-241xxxx***

**Orlando, US, Nov.18th – 22nd 2024**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **5108** | **rev** | 1 | **Current version:** | **16.21.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | (NR\_2step\_RACH-Perf) Correction to 2-step RACH RRM RMCs and test cases\_R16 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | , Starpoint | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_2step\_RACH-Perf | | | | |  | ***Date:*** | | | 2024-11-09 |
|  |  | | | |  | |  | | |  |
| ***Category:*** |  |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | To fix the issues in 2-step RACH RMCs and test cases mentioned in discussion paper R4-2418637:   1. DUT is required to transmit the first MsgA PUSCH with a unreasonably high power of 3\*(2+μ) +0.6 dBm. Which is actually a copy-and-paste mistake. According to WF R4-2017254 MsgA PUSCH shall be transmitted with a power 3\*(2+μ) dB higher than MsgA PRACH power, rather than at the absolute power of 3\*(2+μ) dBm. 2. Current power shift is derived based on msgA-DeltaPramble = 3dB (Detailed derivation can be found in R4-2014934). However, it’s impossible to set msgA-DeltaPramble = 3dB because msgA-DeltaPramble can only be an even value as specified in 38.331.  |  | | --- | | ***msgA-DeltaPreamble***  Power offset of msgA PUSCH relative to the preamble received target power. Actual value = field value \* 2 [dB] (see TS 38.213 [13], clause 7.1). |   To fix issues above. We suggest update msgA-DeltaPramble in RMC to 2dB.   1. The power offset between first MsgA PUSCH power and first MsgA PRACH power also needs to be updated accordingly. We suggest change the power offset to -3dB for FDD test configurations and 0dB for TDD test configurations. Detailed calculation is given in R4-2418637 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Test requirements are updated. 2. RMC are updated. 3. Typos corrected. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Conforamnt UE will fail the test. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | A.3.20.2.1, A.3.20.2.2, A.3.20.3.1, A.3.20.3.2, 4.3.2.2.3, 4.3.2.2.4, 5.3.2.2.3, 5.3.2.2.4, 6.3.2.2.3, 6.3.2.2.4, 7.3.2.2.3, 7.3.2.2.4 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 38.533 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | **1st revision:**  Updated based on comments received from RAN4/RAN5 discussion   1. Typos on coversheet is corrected. 2. Change 4 is reversed 3. Unit is added. | | | | | | | | |

#### **<Start of Change 1>**

#### A.3.20.2.1 FR1 MsgA configuration 1

FR1 MsgA configuration 1 in this clause provides the typical MsgA configuration for SSB-based contention based random access for 2-step RA type in FR1.

Table A.3.20.2.1-1: Parameters for FR1 MsgA configuration 1

|  |  |  |
| --- | --- | --- |
| Field | Value | Comment |
| msgA-prach-ConfigurationIndex | 102 | 10ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-2 and table 6.3.3.2-3 in TS 38.211 [6]. |
| msgA-SubcarrierSpacing | Same as UL carrier SCS |  |
| msgA-totalNumberOfRA-Preambles | 48 | Total number of preambles used for contention based and contention free random access |
| numberOfRA-PreamblesGroupA | 48 | No group B. |
| msgA-PRACH-RootSequenceIndex | 0 | Logic sequence index = 0, resulting in root sequence = 1. |
| msgA-SSB-perRACH-OccasionAndCB-PreamblesPerSSB | oneFourth, n48 | OneFourth: 1 SSB associated with 4 RACH occasions n48: 48 contention based preambles per SSB |
| msgA-RO-FDM | One | One PRACH transmission occasions FDMed in one time instance. |
| ra-ContentionResolutionTimer | sf48 | 48 sub-frames |
| msgA-PreamblePowerRampingStep | dB2 |  |
| msgA-PreambleReceivedTargetPower | dBm-120 |  |
| preambleTransMax | n6 | Max number of RA preamble transmission performed before declaring a failure is 6 |
| msgB-ResponseWindow | sl10 | 10 slots |
| msgA-ZeroCorrelationZoneConfig | 11 | N-CS configuration, NCS = 23 |
| Backoff Parameter Index | 2 | 20ms, as defined in table 7.2-1 in TS 38.321 [7]. |
| msgA-MCS | 1 | MCS index for MsgA PUSCH |
| nrofSlotsMsgA-PUSCH | 1 | Number of slots containing one or multiple PUSCH occasions |
| nrofMsgA-PO-PerSlot | 1 | Number of time domain PUSCH occasions in each slot |
| msgA-PUSCH-TimeDomainOffset | 1 | A single time offset with respect to the start of each PRACH slot, counted as the number of slots |
| PUSCH start symbol | 0 |  |
| PUSCH allocation length | 14 |  |
| mappingTypeMsgA-PUSCH | typeA |  |
| nrofPRBs-PerMsgA-PO | 2 | Number of RBs per PUSCH occasion |
| nrofMsgA-PO-FDM | One | The number of MsgA PUSCH occasions FDMed in one time instance |
| msgA-DMRS-AdditionalPosition | pos1 | Position for additional DM-RS |
| msgA-PUSCH-NrofPorts | 1 | Configure 1 port per CDM group |
| msgA-DeltaPreamble | 2dB | Power offset of msgA PUSCH relative to the preamble received target power |
| msgA-Alpha | alpha1 | Alpha value for MsgA PUSCH. Set 1 |
| deltaMCS | Disabled | Whether to apply delta MCS |
| Note: For further information see clause 6.3.2 in TS 38.331 [2]. | | |

#### A.3.20.2.2 FR1 MsgA configuration 2

FR1 PRACH configuration 2 in this clause provides the typical MsgA configuration for SSB based non-contention based random access for 2-step RA type in FR1.

Table A.3.20.2.2-1: Parameters for FR1 MsgA configuration 2

|  |  |  |
| --- | --- | --- |
| Field | Value | Comment |
| msgA-prach-ConfigurationIndex | 102 | 10ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-2 and table 6.3.3.2-3 in TS 38.211 [6]. |
| msgA-SubcarrierSpacing | Same as UL carrier SCS |  |
| msgA-totalNumberOfRA-Preambles | 48 | Total number of preambles used for contention based and contention free random access |
| numberOfRA-PreamblesGroupA | 48 | No group B. |
| msgA-PRACH-RootSequenceIndex | 0 | Logic sequence index = 0, resulting in root sequence = 1. |
| ssb-perRACH-Occasion | oneFourth | OneFourth: 1 SSB associated with 4 RACH occasions |
| msgA-RO-FDM | One | One PRACH transmission occasions FDMed in one time instance. |
| msgA-PreamblePowerRampingStep | dB2 |  |
| msgA-PreambleReceivedTargetPower | dBm-120 |  |
| preambleTransMax | n6 | Max number of RA preamble transmission performed before declaring a failure is 6 |
| msgB-ResponseWindow | sl10 | 10 slots |
| msgA-ZeroCorrelationZoneConfig | 11 | N-CS configuration, NCS = 23 |
| Backoff Parameter Index | 2 | 20ms, as defined in table 7.2-1 in TS 38.321 [7]. |
| ssb-ResourceList | ra-PreambleIndex = 50 | Associated with SSB index 0. UE doesn’t use ssb-ResourceList and BFR-SSB-Resource IEs at the same time. UE doesn’t use this field if is transmitting CFRA to convey BFR. |
| BFR-SSB-Resource | ra-PreambleIndex = 50 | Associated with SSB index 0. UE doesn’t use ssb-ResourceList and BFR-SSB-Resource IEs at the same time. UE uses this field only if is transmitting CFRA to convey BFR |
| ra-ssb-OccasionMaskIndex | 1 | PRACH occasion index 1 is allowed |
| msgA-MCS | 1 | MCS index for MsgA PUSCH |
| nrofSlotsMsgA-PUSCH | 1 | Number of slots containing one or multiple PUSCH occasions |
| nrofMsgA-PO-PerSlot | 1 | Number of time domain PUSCH occasions in each slot |
| msgA-PUSCH-TimeDomainOffset | 1 | A single time offset with respect to the start of each PRACH slot, counted as the number of slots |
| PUSCH start symbol | 0 |  |
| PUSCH allocation length | 14 |  |
| mappingTypeMsgA-PUSCH | typeA |  |
| nrofPRBs-PerMsgA-PO | 2 | Number of RBs per PUSCH occasion |
| nrofMsgA-PO-FDM | One | The number of MsgA PUSCH occasions FDMed in one time instance |
| msgA-DMRS-AdditionalPosition | pos1 | Position for additional DM-RS |
| msgA-PUSCH-NrofPorts | 1 | Configure 1 port per CDM group |
| msgA-DeltaPreamble | 2dB | Power offset of msgA PUSCH relative to the preamble received target power |
| msgA-Alpha | alpha1 | Alpha value for MsgA PUSCH. Set 1 |
| deltaMCS | Disabled | Whether to apply delta MCS |
| Note: For further information see clause 6.3.2 in TS 38.331 [2]. | | |

#### **<End of Change 1>**

#### **<Start of Change 2>**

#### A.3.20.3.1 FR2 MsgA configuration 1

FR2 MsgA configuration 1 in this clause provides the typical MsgA configuration for SSB-based contention based random access for 2-step RA type in FR2.

Table A.3.20.3.1-1: Parameters for FR2 MsgA configuration 1

|  |  |  |
| --- | --- | --- |
| Field | Value | Comment |
| msgA-prach-ConfigurationIndex | 190 | Preamble Format C2, with 10ms PRACH periodicity, and other detailed configurations defined in table 6.3.3.2-4 in TS 38.211 [6]. |
| msgA-SubcarrierSpacing | Same as UL carrier SCS |  |
| msgA-totalNumberOfRA-Preambles | 48 | Total number of preambles used for contention based and contention free random access |
| numberOfRA-PreamblesGroupA | 48 | No group B. |
| msgA-PRACH-RootSequenceIndex | 0 | Logic sequence index = 0, resulting in root sequence = 1. |
| msgA-SSB-perRACH-OccasionAndCB-PreamblesPerSSB | oneFourth, n48 | OneFourth: 1 SSB associated with 4 RACH occasions n48: 48 contention-based preambles per SSB |
| msgA-RO-FDM | One | One PRACH transmission occasions FDMed in one time instance. |
| ra-ContentionResolutionTimer | sf48 | 48 sub-frames |
| msgA-PreamblePowerRampingStep | dB2 |  |
| msgA-PreambleReceivedTargetPower | dBm-120 |  |
| preambleTransMax | n6 | Max number of RA preamble transmission performed before declaring a failure is 6 |
| msgB-ResponseWindow | sl10 | 10 slots |
| msgA-ZeroCorrelationZoneConfig | 11 | N-CS configuration, NCS = 23 |
| Backoff Parameter Index | 2 | 20 ms, as defined in table 7.2-1 in TS 38.321 [7]. |
| msgA-MCS | 1 | MCS index for MsgA PUSCH |
| nrofSlotsMsgA-PUSCH | 1 | Number of slots containing one or multiple PUSCH occasions |
| nrofMsgA-PO-PerSlot | 1 | Number of time domain PUSCH occasions in each slot |
| msgA-PUSCH-TimeDomainOffset | 1 | A single time offset with respect to the start of each PRACH slot, counted as the number of slots |
| PUSCH start symbol | 0 |  |
| PUSCH allocation length | 10 |  |
| mappingTypeMsgA-PUSCH | typeA |  |
| nrofPRBs-PerMsgA-PO | 2 | Number of RBs per PUSCH occasion |
| nrofMsgA-PO-FDM | One | The number of MsgA PUSCH occasions FDMed in one time instance |
| msgA-DMRS-AdditionalPosition | pos1 | Position for additional DM-RS |
| msgA-PUSCH-NrofPorts | 1 | Configure 1 port per CDM group |
| msgA-DeltaPreamble | 2dB | Power offset of msgA PUSCH relative to the preamble received target power |
| msgA-Alpha | alpha1 | Alpha value for MsgA PUSCH. Set 1 |
| deltaMCS | Disabled | Whether to apply delta MCS |
| Note: For further information see clause 6.3.2 in TS 38.331 [2]. | | |

#### A.3.20.3.2 FR2 MsgA configuration 2

FR2 MsgA configuration 2 in this clause provides the typical MsgA configuration for SSB based non-contention based random access for 2-step RA type in FR2.

Table A.3.20.3.2-1: Parameters for FR2 MsgA configuration 2

|  |  |  |
| --- | --- | --- |
| Field | Value | Comment |
| msgA-prach-ConfigurationIndex | 190 | Preamble Format C2, with 10ms PRACH periodicity, and other detailed configurations defined in table 6.3.3.2-4 in TS 38.211 [6]. |
| msgA-SubcarrierSpacing | Same as UL carrier SCS |  |
| totalNumberOfRA-Preambles | 48 | Total number of preambles used for contention based and contention free random access |
| numberOfRA-PreamblesGroupA | 48 | No group B. |
| msgA-PRACH-RootSequenceIndex | 0 | Logic sequence index = 0, resulting in root sequence = 1. |
| ssb-perRACH-Occasion | oneFourth | OneFourth: 1 SSB associated with 4 RACH occasions |
| msgA-RO-FDM | One | One PRACH transmission occasions FDMed in one time instance. |
| msgA-PreamblePowerRampingStep | dB2 |  |
| msgA-PreambleReceivedTargetPower | dBm-120 |  |
| preambleTransMax | n6 | Max number of RA preamble transmission performed before declaring a failure is 6 |
| msgB-ResponseWindow | sl10 | 10 slots |
| msgA-ZeroCorrelationZoneConfig | 11 | N-CS configuration, NCS = 23 |
| Backoff Parameter Index | 2 | 20 ms, as defined in table 7.2-1 in TS 38.321 [7]. |
| ssb-ResourceList | ra-PreambleIndex = 50 | Associated with SSB index 0. UE doesn’t use ssb-ResourceList and BFR-SSB-Resource IEs at the same time. UE doesn’t use this field if is transmitting CFRA to convey BFR. |
| BFR-SSB-Resource | ra-PreambleIndex = 50 | Associated with SSB index 0. UE doesn’t use ssb-ResourceList and BFR-SSB-Resource IEs at the same time. UE uses this field only if is transmitting CFRA to convey BFR |
| ra-ssb-OccasionMaskIndex | 1 | PRACH occasion index 1 is allowed |
| msgA-MCS | 1 | MCS index for MsgA PUSCH |
| nrofSlotsMsgA-PUSCH | 1 | Number of slots containing one or multiple PUSCH occasions |
| nrofMsgA-PO-PerSlot | 1 | Number of time domain PUSCH occasions in each slot |
| msgA-PUSCH-TimeDomainOffset | 1 | A single time offset with respect to the start of each PRACH slot, counted as the number of slots |
| PUSCH start symbol | 0 |  |
| PUSCH allocation length | 10 |  |
| mappingTypeMsgA-PUSCH | typeA |  |
| nrofPRBs-PerMsgA-PO | 2 | Number of RBs per PUSCH occasion |
| nrofMsgA-PO-FDM | One | The number of MsgA PUSCH occasions FDMed in one time instance |
| msgA-DMRS-AdditionalPosition | pos1 | Position for additional DM-RS |
| msgA-PUSCH-NrofPorts | 1 | Configure 1 port per CDM group |
| msgA-DeltaPreamble | 2dB | Power offset of msgA PUSCH relative to the preamble received target power |
| msgA-Alpha | alpha1 | Alpha value for MsgA PUSCH. Set 1 |
| deltaMCS | Disabled | Whether to apply delta MCS |
| Note: For further information see clause 6.3.2 in TS 38.331 [2]. | | |

#### **<End of Change 2>**

#### **<Start of Change 3>**

##### A.4.3.2.2.3 2-step RA type contention based random access test in FR1 for PSCell in EN-DC

A.4.3.2.2.3.1 Test Purpose and Environment

The purpose of this test is to verify that the behaviour of the random access procedure is according to the requirements and that the MsgA PRACH, MsgA PUSCH power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.3 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in clause A.3.7.2.1 and Cell 2 configured as PSCell in FR1. Supported test parameters are shown in Table A.4.3.2.2.3.1-1. UE capable of EN-DC with PSCell in FR1 needs to be tested by using the parameters in Table A.4.3.2.2.3.1-2.

Table A.4.3.2.2.3.1-1: Supported test configurations for 2-step RA type contention based random access test in FR1 for PSCell in EN-DC

|  |  |
| --- | --- |
| Config | Description |
| 1 | LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 2 | LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 3 | LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations depending on UE capability | |

Table A.4.3.2.2.3.1-2: General test parameters for 2-step RA type contention based random access test in FR1 for PSCell in EN-DC

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | | Unit | Test-1 | Comments |
| SSB Configuration | | Config 1,2 |  | SSB pattern 3 in FR1 | As defined in A.3.10 |
|  | | Config 3,4 |  | SSB pattern 4 in FR1 |  |
| Duplex Mode for Cell 2 | | Config 1,2 |  | FDD |  |
|  | | Config 3,4 |  | TDD |
| TDD Configuration | | Config 3,4 |  | TDDConf.2.1 |  |
| OCNG Pattern Note 1 | | |  | OCNG pattern 1 | As defined in A.3.2.1. |
| PDSCH parameters Note 3 | | Config 1,2 |  | SR.1.1 FDD | As defined in A.3.1.1. |
| Config 3,4 |  | SR.2.1 TDD |  |
| RMSI CORESET Reference Channel | | Config 1,2 |  | CR.1.1 FDD |  |
| Config 3,4 |  | CR.2.1 TDD |  |
| Dedicated CORESET Reference Channel | | Config 1,2 |  | CCR.1.1 FDD |  |
| Config 3,4 |  | CCR.2.1 TDD |  |
| NR RF Channel Number | | |  | 1 |  |
| EPRE ratio of PSS to SSS | | | dB |  |  |
| EPRE ratio of PBCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | | dB | 0 |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | | dB |  |  |
| SSB with index 0 |  | | dB | 3 | Power of SSB with index 0 is set to be above configured *msgA-RSRP-ThresholdSSB* |
|  |  | Config 1,2 | dBm/15kHz | -98 |  |
|  |  | Config 3,4 |  | -101 |  |
|  |  | | dB | 3 |  |
|  | SS-RSRP Note 2 | | dBm/ SCS | -95 |  |
| SSB with index 1 |  | | dB | -17 | Power of SSB with index 1 is set to be below configured *msgA-RSRP-ThresholdSSB* |
|  |  | Config 1,2 | dBm/15kHz | -98 |  |
|  |  | Config 3,4 |  | -101 |  |
|  |  | | dB | -17 |  |
|  | SS-RSRP Note 2 | | dBm/ SCS | -115 |  |
| Io | | Config 1,2 | dBm | -65.3/9.36MHz | For symbols without SSB |
|  | | Config 3,4 |  | -62.2/38.16MHz | index 1 |
| ss-PBCH-BlockPower | | | dBm/ SCS | -5 | As defined in clause 6.3.2 in TS 38.331 [2]. |
| Configured UE transmitted power () | | | dBm | 23 | As defined in clause 6.2.4 in TS 38.101-1. |
| MsgA Configuration | | |  | FR1 MsgA configuration 1 | As defined in A.3.20.2.1. |
| *msgA-RSRP-ThresholdSSB* | | | dBm | RSRP\_51 | The actual value of the threshold is -105dBm, as defined in TS 38.331 [2]. |
| Propagation Condition | | | - | AWGN |  |
| Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: SS-RSRP, Es/Iot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.  Note 3: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required. | | | | | |

A.4.3.2.2.3.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

A.4.3.2.2.3.2.1 MsgA Transmission

To test the UE behaviour specified in Clause 6.2.2.3.1.1 the System Simulator shall receive the MsgA with a preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured *msgA-RSRP-ThresholdSSB*.

In addition, the power applied to all MsgA transmission shall be in accordance with what is specified in Clause 6.2.2.2. The power of the first MsgA preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3 dB lower than first MsgA PRACH power for test configuration 1 & 2 and same as first MsgA PRACH power for test configuration 3 & 4 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.4.3.2.2.3.2.2 MsgB Reception

To test the UE behaviour specified in Clause 6.2.2.3.1.2 the System Simulator shall transmit a MsgB with fallbackRAR containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a MsgB *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for MsgB(s) and shall transmit the msg3 if the MsgB with a fallbackRAR contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if all received MsgB’s contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all MsgA transmission shall be in accordance with what is specified in Clause 6.2.2.2. The power of the first MsgA preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3 dB lower than first MsgA PRACH power for test configuration 1 & 2 and same as first MsgA PRACH power for test configuration 3 & 4 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.4.3.2.2.3.2.3 No MsgB Reception

To test the UE behavior specified in clause 6.2.2.3.1.3 the System Simulator shall transmit a MsgB with fallbackRAR containing a successRAR message and a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if no MsgB is received within the MsgB Response window.

In addition, the power applied to all MsgA transmission shall be in accordance with what is specified in Clause 6.2.2.2. The power of the first MsgA preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3 dB lower than first MsgA PRACH power for test configuration 1 & 2 and same as first MsgA PRACH power for test configuration 3 & 4 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

##### A.4.3.2.2.4 2-step RA type non-contention based random access test in FR1 for PSCell in EN-DC

A.4.3.2.2.4.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the MsgA PRACH, MsgA PUSCH power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.3 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in clause A.3.7.2.1 and Cell 2 configured as PSCell in FR1. Supported test parameters are shown in Table A.4.3.2.2.4.1-1. UE capable of EN-DC with PSCell in FR1 needs to be tested by using the parameters in Table A.4.3.2.2.4.1-2.

Table A.4.3.2.2.4.1-1: Supported test configurations for non-contention based random access test for 2-step RA type in FR1 for PSCell in EN-DC

|  |  |
| --- | --- |
| Config | Description |
| 1 | LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 2 | LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 3 | LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations depending on UE capability | |

Table A.4.3.2.2.4.1-2: General test parameters for non-contention based random access test for 2-step RA type in FR1 for PSCell in EN-DC

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | | Unit | Test-1 | Comments |
| SSB Configuration | | Config 1,2 |  | SSB pattern 3 in FR1 | As defined in A.3.10 |
|  | | Config 3,4 |  | SSB pattern 4 in FR1 |  |
| Duplex Mode for Cell | | Config 1,2 |  | FDD |  |
| 2 | | Config 3,4 |  | TDD |  |
| TDD Configuration | | Config 3,4 |  | TDDConf.2.1 |  |
| OCNG Pattern Note 1 | | |  | OCNG pattern 1 | As defined in A.3.2.1. |
| PDSCH parameters | | Config 1,2 |  | SR.1.1 FDD | As defined in |
| Note 3 | | Config 3,4 |  | SR.2.1 TDD | A.3.1.1. |
| RMSI CORESET Reference Channel | | Config 1,2 |  | CR.1.1 TDD |  |
| Config 3,4 |  | CR.2.1 TDD |  |
| Dedicated CORESET Reference Channel | | Config 1,2 |  | CCR.1.1 TDD |  |
| Config 3,4 |  | CCR.2.1 TDD |  |
| NR RF Channel Number | | |  | 1 |  |
| EPRE ratio of PSS to SSS | | | dB |  |  |
| EPRE ratio of PBCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | | dB | 0 |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | | dB |  |  |
| SSB with index 0 |  | | dB | 3 | Power of SSB with index 0 is set to be above configured *msgA-RSRP-ThresholdSSB* |
|  |  | Config 1,2 | dBm/15kHz | -98 |  |
|  |  | Config 3,4 |  | -101 |  |
|  |  | | dB | 3 |  |
|  | SS-RSRP | | dBm/ SCS | -95 |  |
| SSB with index 1 |  | | dB | -17 | Power of SSB with index 1 is set to be below configured *msgA-RSRP-ThresholdSSB* |
|  |  | Config 1,2 | dBm/15kHz | -98 |  |
|  |  | Config 3,4 |  | -101 |  |
|  |  | | dB | -17 |  |
|  | SS-RSRP | | dBm/ SCS | -115 |  |
| Io Note 2 | | Config 1,2 | dBm | -65.3/9.36MHz | For symbols |
|  | | Config 3,4 |  | -62.2/38.16MHz | without SSB index 1 |
| ss-PBCH-BlockPower | | | dBm/ SCS | -5 | As defined in clause 6.3.2 in TS 38.331 [2]. |
| Configured UE transmitted power (PCMAX,f,c) | | | dBm | 23 | As defined in clause 6.2.4 in TS 38.101-1. |
| MsgA Configuration | | |  | FR1 MsgA configuration 2 | As defined in A.3.20.2. |
| *msgA-RSRP-ThresholdSSB* | | | dBm | RSRP\_51 | The actual value of the threshold is -105dBm, as defined in TS 38.331 [2]. |
| Propagation Condition | | | - | AWGN |  |
| Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: SS-RSRP, Es/Iot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.  Note 3: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required. | | | | | |

A.4.3.2.2.4.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

A.4.3.2.2.4.2.1 MsgA Transmission

In Test-1, to test the UE behavior specified in Clause 6.2.2.3.2.1 for MsgA transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs configured, the System Simulator shall receive the MsgA which has the Preamble Index associated with the SSB with index 0.

In addition, the System Simulator shall receive the MsgA on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belongs to the PRACH occasions permitted by the restrictions given first by the *msgA-SSB-SharedRO-MaskIndex* if configured, or next by the *ra-ssb-OccasionMaskIndex* if configured.

In addition, the power applied to all MsgA transmission shall be in accordance with what is specified in Clause 6.2.2.2. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3 dB lower than first MsgA PRACH power for test configuration 1 & 2 and same as first MsgA PRACH power for test configuration 3 & 4 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.4.3.2.2.4.2.2 MsgB Reception

To test the UE behavior specified in Clause 6.2.2.3.2.2 the System Simulator shall transmit a MsgB containing a successRAR MAC subPDU corresponding to the transmitted Random Access Preamble after 5 MsgA transmissions have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a MsgB *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for MsgB if the MsgB contains a successRAR MAC subPDU corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS38.321 [7], and transmit with the calculated MsgA transmission power if Random Access Responses Reception has not been considered as successful.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3 dB lower than first MsgA PRACH power for test configuration 1 & 2 and same as first MsgA PRACH power for test configuration 3 & 4 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.4.3.2.2.4.2.3 No MsgB Reception

To test the UE behavior specified in clause 6.2.2.3.2.3 the System Simulator shall transmit a MsgB corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS38.321 [7], and transmit with the calculated MsgA transmission power when the backoff time expires if no MsgB is received within the MsgB Response window configured in *RACH-ConfigGenericTwoStepRA*.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3 dB lower than first MsgA PRACH power for test configuration 1 & 2 and same as first MsgA PRACH power for test configuration 3 & 4 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

#### **<End of Change 3>**

#### **<Start of Change 4>**

##### A.5.3.2.2.3 2-step RA type contention based random access test in FR2 for PSCell/SCell in EN-DC

A.5.3.2.2.3.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the MsgA power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.3 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in clause A.3.7.2.1 and Cell 2 configured as PSCell or SCell in FR2. Supported test parameters are shown in Table A.5.3.2.2.3.1-1. UE capable of EN-DC with PSCell or SCell in FR2 needs to be tested by using the parameters in Table A.5.3.2.2.3.1-2 and Table A.5.3.2.2.3.1-3.

Table A.5.3.2.2.3.1-1: Supported test configurations for 2-step RA type contention based random access test in FR2 for PSCell/SCell in EN-DC

|  |  |
| --- | --- |
| Config | Description |
| 1 | LTE FDD, NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations depending on UE capability | |

Table A.5.3.2.2.3.1-2: General test parameters for 2-step RA type contention based random access test in FR2 for PSCell/SCell in EN-DC

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| SSB Configuration | Config 1,2 |  | SSB.1 FR2 | As defined in A.3.10 |
| Duplex Mode for Cell 2 | Config 1,2 |  | TDD |  |
| TDD Configuration | Config 1,2 |  | TDDConf.3.1 |  |
| BWchannel | Config 1 | MHz | 100: NRB,c = 24 |  |
| OCNG Pattern Note 1 | |  | OP.3 | As defined in A.3.2.1. |
| PDSCH Reference Channel Note 2 | Config 1,2 |  | SR.3.1 TDD | As defined in A.3.1.1. |
| RMSI CORESET Reference Channel | Config 1,2 |  | CR.3.1 TDD | As defined in A.3.1.2 |
| RMC CORESET Reference Channel | Config 1,2 |  | CCR.3.1 TDD |  |
| NR RF Channel Number | |  | 1 |  |
| EPRE ratio of PSS to SSS | | dB | 0 |  |
| EPRE ratio of PBCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | dB |  |  |
| ss-PBCH-BlockPower | | dBm/ SCS | +20 +ΔUL | As defined in TS 38.331 [2].  ΔUL is derived from the uplink calibration process Note 3 |
| Configured UE transmitted power () | | dBm | maximum value configurable for certain power class | As defined in clause 6.2.4 in TS 38.101-2 [19] |
| MsgA Configuration | |  | FR2 MsgA configuration 1 | As defined in A.3.20.3.1, with exceptions as defined below. |
| *msgA-RSRP-ThresholdSSB* | | dBm | RSRP\_69 +ΔDL | RSRP\_69 corresponds to -88dBm. ΔDL is derived from the downlink calibration process Note 4 |
| msgA-PreambleReceivedTargetPower | | dBm | -100 | As defined in TS 38.331 [2] |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.  Note 3: The ΔUL value is calculated as -ROUND(PMsgA0 -1), where PMsgA0 is the measured first MsgA PRACH power with -80.6dBm/SCS applied, *msgA-PreambleReceivedTargetPower* = -100dBm and *ss-PBCH-BlockPower* = 20dBm. These values are used during the uplink calibration process carried out before the test case is run, with the UE configured to send MsgA.  Note 4: The ΔDL value is calculated as (RSRP\_REP – RSRP\_76), where RSRP\_REP is the SS-RSRP Reported value in Table 10.1.6.1-1 with -80.6dBm/SCS applied. These values are used during the downlink calibration process carried out before the test case is run, with the UE configured to report SS-RSRP. For a Reported value RSRP\_x, x is treated as a positive integer value. | | | | |

Table A.5.3.2.2.3.1-3: OTA-related test parameters for 2-step RA type contention based random access test in FR2 for PSCell/SCell in EN-DC

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| AoA setup | |  | Setup 1 | As defined in A.3.15.1 |
| Assumption for UE beamsNote 2 | |  | Rough |  |
| SSB with | Es Note1 | dBm/SCS | -80.6 | Power of SSB with index 0 is set to be above configured *msgA-RSRP-ThresholdSSB* |
| index 0 | SSB\_RP | dBm/SCS | -80.6 |
|  | Es/IotBB | dB | 21.09 |  |
|  | Io | dBm/95.04 MHz | -56.01 | Io in symbols containing SSB index 0 |
| SSB with | Es Note1 | dBm/SCS | -95.0 | Power of SSB with index 1 is set to be below configured *msgA-RSRP-ThresholdSSB* |
| index 1 | SSB\_RP | dBm/SCS | -95.0 |
|  | Es/IotBB | dB | 6.69 |  |
|  | Io | dBm/95.04 MHz | -70.41 | Io in symbols containing SSB index 1 |
| Propagation Condition | | - | No external noise (Note 3) |  |
| Note 1: No artificial noise is applied in this test.  Note 2: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 3: The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects as specified in TS 38.521-2 B.0 [37]. | | | | |

A.5.3.2.2.3.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

A.5.3.2.2.3.2.1 MsgA Transmission

To test the UE behaviour specified in Clause 6.2.2.3.1.1 the System Simulator shall receive the Random Access Preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured *msgA-RSRP-ThresholdSSB*.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first MsgA preamble transmission shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA PRACH and MsgA PUSCH transmissions shall be within the accuracy specified in Clause 7.1.2.

A.5.3.2.2.3.2.2 MsgB Reception

To test the UE behaviour specified in Clause 6.2.2.3.1.2 the System Simulator shall transmit a MsgB with successRAR containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 2 preambles, the System Simulator shall transmit a MsgB *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for MsgB(s) and shall transmit an ACK if the MsgB with a successRAR contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble and if the Contention Resolution is successful.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if all received MsgBs contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first MsgA preamble transmission shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.5.3.2.2.3.2.3 No MsgB Reception

To test the UE behaviour specified in clause 6.2.2.3.1.3 the System Simulator shall transmit a MsgB with successRAR containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 3 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 2 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if no MsgB is received within the RA Response window.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first MsgA preamble transmission shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA PRACH and MsgA PUSCH transmissions shall be within the accuracy specified in Clause 7.1.2.

##### A.5.3.2.2.4 2-step RA type non-contention based random access test in FR2 for PSCell/SCell in EN-DC

A.5.3.2.2.4.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the MsgA power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.3 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in clause A.3.7.2.1 and Cell 2 configured as PSCell or SCell in FR2. Supported test parameters are shown in Table A.5.3.2.2.4.1-1. UE capable of EN-DC with PSCell or SCell in FR2 needs to be tested by using the parameters in Table A.5.3.2.2.4.1-2 and Table A.5.3.2.2.4.1-3 for SSB-based non-contention based random access test.

Table A.5.3.2.2.4.1-1: Supported test configurations for non-contention based random access test in FR2 for PSCell/SCell in EN-DC

|  |  |
| --- | --- |
| Config | Description |
| 1 | LTE TDD, NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations depending on UE capability | |

Table A.5.3.2.2.4.1-2: General test parameters for non-contention based random access test in FR2 for PSCell/SCell in EN-DC

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| SSB Configuration | Config 1 |  | SSB.1 FR2 | As defined in A.3.10 |
| Duplex Mode for Cell 2 | Config 1 |  | TDD |  |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |  |
| BWchannel | Config 1 | MHz | 100: NRB,c = 24 |  |
| OCNG Pattern Note 1 | |  | OCNG pattern 1 | As defined in A.3.2.1. |
| PDSCH Reference Channel Note 2 | Config 1 |  | SR3.1 TDD | As defined in A.3.1.1. |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD | As defined in A.3.1.2 |
| RMC CORESET Reference Channel | Config 1 |  | CCR.3.1 TDD |  |
| NR RF Channel Number | |  | 1 |  |
| EPRE ratio of PSS to SSS | | dB | 0 |  |
| EPRE ratio of PBCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | dB |  |  |
| ss-PBCH-BlockPower | | dBm/ SCS | +20 +ΔUL | As defined in TS 38.331 [2].  ΔUL is derived from the uplink calibration process Note 3 |
| Configured UE transmitted power () | | dBm | maximum value configurable for certain power class | As defined in clause 6.2.4 in TS 38.101-2 [19] |
| MsgA Configuration | |  | FR2 MsgA configuration 2 | As defined in A.3.20.3.2, with exceptions as defined below |
| msgA-*RSRP-ThresholdSSB* | | dBm | RSRP\_69 +ΔDL | RSRP\_69 corresponds to -88dBm. ΔDL is derived from the downlink calibration process Note 4 |
| preambleReceivedTargetPower | | dBm | -100 | As defined in TS 38.331 [2] |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.  Note 3: The ΔUL value is calculated as -ROUND(PMsgA0 -1), where PMsgA0 is the measured first MsgA PRACH power with -80.6dBm/SCS applied, *msgA-PreambleReceivedTargetPower* = -100dBm and *ss-PBCH-BlockPower* = 20dBm. These values are used during the uplink calibration process carried out before the test case is run, with the UE configured to send MsgA.  Note 4: The ΔDL value is calculated as (RSRP\_REP – RSRP\_76), where RSRP\_REP is the SS-RSRP Reported value in Table 10.1.6.1-1 with -80.6dBm/SCS applied. These values are used during the downlink calibration process carried out before the test case is run, with the UE configured to report SS-RSRP. For a Reported value RSRP\_x, x is treated as a positive integer value. | | | | |

Table A.5.3.2.2.4.1-3: OTA-related test parameters for non-contention based random access test in FR2 for PSCell/SCell in EN-DC

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| AoA setup | |  | Setup 1 | As defined in A.3.15.1 |
| Assumption for UE beamsNote 2 | |  | Rough |  |
| SSB with | Es Note1 | dBm/SCS | -80.6 | Power of SSB with index 0 is set to be above configured msgA-*RSRP-ThresholdSSB* |
| index 0 | SSB\_RP | dBm/SCS | -80.6 |
|  | Es/IotBB | dB | 21.09 |  |
|  | Io | dBm/95.04 MHz | -56.01 | Io in symbols containing SSB index 0 |
| SSB with | Es Note1 | dBm/SCS | -95.0 | Power of SSB with index 1 is set to be below configured msgA-*RSRP-ThresholdSSB* |
| index 1 | SSB\_RP | dBm/SCS | -95.0 |
|  | Es/IotBB | dB | 6.69 |  |
|  | Io | dBm/95.04 MHz | -70.41 | Io in symbols containing SSB index 1 |
| Propagation Condition | | - | No external noise (Note 3) |  |
| Note 1: No articial noise is applied in this test.  Note 2: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 3: The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects as specified in TS 38.521-2 B.0 [37]. | | | | |

A.5.3.2.2.4.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

A.5.3.2.2.4.2.1 MsgA Transmission

To test the UE behavior specified in Clause 6.2.2.3.2.1, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs configured, the System Simulator shall receive the MsgA with a preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0.

In addition, the System Simulator shall receive the MsgA PRACH on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belongs to the PRACH occasions permitted by the restrictions given first by the *msgA-SSB-SharedRO-MaskIndex* if configured, or next by the *ra-ssb-OccasionMaskIndex* if configured.

In addition, the power applied to all preambles shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Clause 7.1.2.

A.5.3.2.2.4.2.3 MsgB Reception

To test the UE behavior specified in Clause 6.2.2.3.2.2 the System Simulator shall transmit a MsgB containing a fallbackRAR MAC subPDU.

The UE shall fallback to the 4-step RA type by transmitting the msg3 containing the payload of MsgA PUSCH and monitoring contention resolution as described in clause 8.2A in TS 38.213 [3].

In addition, the power applied to all preambles shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA and msg3 transmissions shall be within the accuracy specified in Clause 7.1.2.

A.5.3.2.2.4.2.4 No MsgB Reception

To test the UE behavior specified in clause 6.2.2.3.2.3 the System Simulator shall transmit a MsgB containing a successRAR message and a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA transmission power when the backoff time expires if no MsgB is received within the MsgB Response window.

In addition, the power applied to all preambles shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Clause 7.1.2.

#### **<End of Change 4>**

#### **<Start of Change 5>**

##### A.6.3.2.2.3 2-step RA type contention based random access test in FR1 for NR standalone

A.6.3.2.2.3.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the 2-step RA type random access procedure is according to the requirements and that the MsgA PRACH, MsgA PUSCH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.3 and Clause 7.1.2 in an AWGN model.

For this test one cell is used and configured as PCell in FR1. Supported test parameters are shown in Table A.6.3.2.2.3.1-1. UE capable of SA with PCell in FR1 needs to be tested by using the parameters in Table A.6.3.2.2.3.1-2.

Table A.6.3.2.2.3.1-1: Supported test configurations for 2-step RA type contention based random access with successRAR test in FR1 for NR standalone

|  |  |
| --- | --- |
| Config | Description |
| 1 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 2 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations depending on UE capability | |

Table A.6.3.2.2.3.1-2: General test parameters for 2-step RA type contention based random access with successRAR test in FR1 for NR standalone

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | | Unit | Test-1 | Comments |
| SSB Configuration | | Config 1 |  | SSB pattern 1 in FR1 | As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below |
|  | | Config 2 |  | SSB pattern 2 in FR1 |
| Number of SSBs per SS-burst | | |  | 2 | Different from the definition in A.3.10 |
| SS/PBCH block index | | |  | 0,1 | Different from the definition in A.3.10 |
| Duplex Mode for Cell 2 | | Config 1 |  | FDD |  |
|  | | Config 2 |  | TDD |
| TDD Configuration | | Config 2 |  | TDDConf.2.1 |  |
| OCNG Pattern Note 1 | | |  | OP.1 | As defined in A.3.2.1. |
| PDSCH parameters Note 3 | | Config 1 |  | SR.1.1 FDD | As defined in A.3.1.1. |
|  | | Config 2 |  | SR.2.1 TDD |
| NR RF Channel Number | | |  | 1 |  |
| EPRE ratio of PSS to SSS | | | dB | 0 |  |
| EPRE ratio of PBCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | | dB |  |  |
| SSB with index 0 |  | | dB | 3 | Power of SSB with index 0 is set to be above configured *msgA-RSRP-ThresholdSSB* |
|  |  | Config 1 | dBm/15kHz | -98 |
|  |  | Config 2 |  | -101 |
|  |  | | dB | 3 |
|  | SS-RSRP | | dBm/ SCS | -95 |
| SSB with index 1 |  | | dB | -17 | Power of SSB with index 1 is set to be below configured *msgA-RSRP-ThresholdSSB* |
|  |  | Config 1 | dBm/15kHz | -98 |
|  |  | Config 2 |  | -101 |
|  |  | | dB | -17 |
|  | SS-RSRP | | dBm/ SCS | -115 |
| Io Note 2 | | Config 1 | dBm | -65.3/9.36MHz | For symbols without SSB index 1 |
|  | | Config 2 | -62.2/38.16MHz |
| ss-PBCH-BlockPower | | | dBm/ SCS | -5 | As defined in clause 6.3.2 in TS 38.331 [2]. |
| Configured UE transmitted power () | | | dBm | 23 | As defined in clause 6.2.4 in TS 38.101-1. |
| MsgA Configuration | | |  | FR1 MsgA configuration 1 | As defined in A.3.20.2.1. |
| *msgA-RSRP-ThresholdSSB* | | | dBm | RSRP\_51 | The actual value of the threshold is -105dBm, as defined in TS 38.331 [2]. |
| Propagation Condition | | | - | AWGN |  |
| Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: SS-RSRP, Es/Iot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.  Note 3: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required. | | | | | |

A.6.3.2.2.3.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

A.6.3.2.2.3.2.1 MsgA Transmission

To test the UE behavior specified in Clause 6.2.2.3.1.1 the System Simulator shall receive the MsgA with a preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured *msgA-RSRP-ThresholdSSB*.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first MsgA preamble transmission shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3dB lower than the first MsgA PRACH power for test configuration 1 and equal to the first MsgA PRACH power for test configuration 2 & 3 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.6.3.2.2.3.2.2 MsgB Reception

To test the UE behavior specified in Clause 6.2.2.3.1.2 the System Simulator shall transmit a MsgB containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a MsgB *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for MsgB(s) and shall transmit an ACK if the MsgB with a successRAR contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble and if the Contention Resolution is successful.

The UE may stop monitoring for MsgB(s) and shall transmit the msg3 if the MsgB with a fallbackRAR contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if all received MsgB(s) contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3dB lower than the first MsgA PRACH power for test configuration 1 and equal to the first MsgA PRACH power for test configuration 2 & 3 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.6.3.2.2.3.2.3 No MsgB Reception

To test the UE behavior specified in clause 6.2.2.3.1.3 the System Simulator shall transmit a MsgB containing a successRAR message and a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if no MsgB is received within the MsgB Response window.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be 3dB lower than the first MsgA PRACH power for test configuration 1 and equal to the first MsgA PRACH power for test configuration 2 & 3 with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

##### A.6.3.2.2.4 2-step RA type non-contention based test in FR1 for NR standalone

A.6.3.2.2.4.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the MsgA PRACH, MsgA PUSCH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.3 and Clause 7.1.2 in an AWGN model.

For this test one cell is used and configured as PCell in FR1. Supported test parameters are shown in Table A.6.3.2.2.4.1-1. UE capable of SA with PCell in FR1 needs to be tested by using the parameters in Table A.6.3.2.2.4.1-2.

Table A.6.3.2.2.4.1-1: Supported test configurations for non-contention based random access test in FR1 for NR standalone

|  |  |
| --- | --- |
| Config | Description |
| 1 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations depending on UE capability | |

Table A.6.3.2.2.4.1-2: General test parameters for non-contention based random access test in FR1 for NR Standalone

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | | Unit | Test-1 | Comments |
| SSB Configuration | | Config 1 |  | SSB pattern 2 in FR1 | As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below |
| Number of SSBs per SS-burst | | |  | 2 | Different from the definition in A.3.10 |
| SS/PBCH block index | | |  | 0,1 | Different from the definition in A.3.10 |
| Duplex Mode for Cell 1 | | Config 1 |  | TDD |  |
| TDD Configuration | | Config 1 |  | TDDConf.2.1 |  |
| OCNG Pattern Note 1 | | |  | OP.1 | As defined in A.3.2.1. |
| PDSCH parameters Note 4 | | Config 1 |  | SR.2.1 TDD | As defined in A.3.1.1. |
| NR RF Channel Number | | |  | 1 |  |
| EPRE ratio of PSS to SSS | | | dB | 0 |  |
| EPRE ratio of PBCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | | dB |  |  |
| msgA-*RSRP-ThresholdSSB* | | | dBm | RSRP\_51 | The actual value of the threshold is -105dBm, as defined in TS 38.331 [2]. |
| SSB with index 0 |  | | dB | 3 | Power of SSB with index 0 is set to be above configured msgA-*RSRP-ThresholdSSB* |
|  |  | Config 1 | dBm/15kHz | -101 |
|  |  | | dB | 3 |
|  | SS-RSRP Note 3 | | dBm/ SCS | -95 |
| SSB with index 1 |  | | dB | -17 | Power of SSB with index 1 is set to be below configured msgA-*RSRP-ThresholdSSB* |
|  |  | Config 1 | dBm/15kHz | -101 |
|  |  | | dB | -17 |
|  | SS-RSRP Note 3 | | dBm/ SCS | -115 |
| Io Note 2 | | Config 1 | dBm | -62.2/38.16MHz | For symbols without SSB index 1 |
| ss-PBCH-BlockPower | | | dBm/ SCS | -5 | As defined in clause 6.3.2 in TS 38.331 [2]. |
| Configured UE transmitted power () | | | dBm | 23 | As defined in clause 6.2.4 in TS 38.101-1. |
| MsgA Configuration | | |  | FR1 MsgA configuration 2 | As defined in A.3.20.2.2. |
| Propagation Condition | | | - | AWGN |  |
| Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: SS-RSRP, Es/Iot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.  Note 3: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required. | | | | | |

A.6.3.2.2.4.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

A.6.3.2.2.4.2.1 MsgA Transmission

To test the UE behavior specified in Clause 6.2.2.3.2.1, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs configured, the System Simulator shall receive the MsgA with a preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0.

In addition, the System Simulator shall receive the MsgA PRACH on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belongs to the PRACH occasions permitted by the restrictions given first by the *msgA-SSB-SharedRO-MaskIndex* if configured, or next by the *ra-ssb-OccasionMaskIndex* if configured.

In addition, the power applied to all preambles shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.6.3.2.2.4.2.2 MsgB Reception

To test the UE behavior specified in Clause 6.2.2.3.2.2 the System Simulator shall transmit a MsgB containing a fallbackRAR containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a MsgB *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for MsgB(s) and shall transmit the msg3 containing the payload of MsgA PUSCH if the MsgB with a fallbackRAR contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble. The UE shall monitor contention resolution as described in clause 8.2A in TS 38.213 [3].

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if all received MsgB’s contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA and msg3 transmissions shall be within the accuracy specified in Clause 7.1.2.

A.6.3.2.2.4.2.3 No MsgB Reception

To test the UE behavior specified in clause 6.2.2.3.2.3 the System Simulator shall transmit a MsgB containing a successRAR message and a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA transmission power when the backoff time expires if no MsgB is received within the MsgB Response window.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be -22 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

#### **<End of Change 5>**

#### **<Start of Change 6>**

##### A.7.3.2.2.3 2-step RA type contention based random access test in FR2 for NR Standalone

A.7.3.2.2.3.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the 2-step RA type random access procedure is according to the requirements and that the MsgA PRACH, MsgA PUSCH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.3 and Clause 7.1.2 in an AWGN model.

For this test one cell is used, with the configuration of Cell 1 configured as PCell or SCell in FR2. Supported test parameters are shown in Table A.7.3.2.2.3.1-1. UE capable of SA with PCell or SCell in FR2 needs to be tested by using the parameters in Table A.7.3.2.2.3.1-2 and Table A.7.3.2.2.3.1-3.

Table A.7.3.2.2.3.1-1: Supported test configurations for 2-step RA type contention based random access test in FR2 for NR Standalone

|  |  |
| --- | --- |
| Config | Description |
| 1 | NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

Table A.7.3.2.2.3.1-2: General test parameters for 2-step RA type contention based random access test in FR2 for NR Standalone

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| SSB Configuration | Config 1 |  | SSB.1 FR2 | As defined in A.3.10 |
| Duplex Mode for Cell 1 | Config 1 |  | TDD |  |
| TDD Configuration | Config 1 |  | TDDConf.3.1 | As defined in A.3.1.4 |
| BWchannel | Config 1 | MHz | 100: NRB,c = 24 |  |
| OCNG Pattern Note 1 | |  | OCNG pattern 1 | As defined in A.3.2.1. |
| PDSCH Reference Channel Note 2 | Config 1 |  | SR.3.1 TDD | As defined in A.3.1.1. |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD | As defined in A.3.1.2 |
| NR RF Channel Number | |  | 1 |  |
| EPRE ratio of PSS to SSS | | dB | 0 |  |
| EPRE ratio of PBCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | dB |  |  |
| ss-PBCH-BlockPower | | dBm/ SCS | +20 +ΔUL | As defined in TS 38.331 [2].  ΔUL is derived from the uplink calibration process Note 3 |
| Configured UE transmitted power () | | dBm | maximum value configurable for certain power class | As defined in clause 6.2.4 in TS 38.101-2 [19] |
| MsgA Configuration | |  | FR2 MsgA configuration 1 | As defined in A.3.20.3, with exceptions as defined below |
| *msgA-RSRP-ThresholdSSB* | | dBm | RSRP\_69 +ΔDL | RSRP\_69 corresponds to -88dBm. ΔDL is derived from the downlink calibration process Note 4 |
| preambleReceivedTargetPower | | dBm | -100 | As defined in TS 38.331 [2] |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.  Note 3: The ΔUL value is calculated as -ROUND(PMsgA0 -1), where PMsgA0 is the measured first MsgA PRACH power with -80.6dBm/SCS applied, *msgA-PreambleReceivedTargetPower* = -100dBm and *ss-PBCH-BlockPower* = 20dBm. These values are used during the uplink calibration process carried out before the test case is run, with the UE configured to send MsgA.  Note 4: The ΔDL value is calculated as (RSRP\_REP – RSRP\_76), where RSRP\_REP is the SS-RSRP Reported value in Table 10.1.6.1-1 with -80.6dBm/SCS applied. These values are used during the downlink calibration process carried out before the test case is run, with the UE configured to report SS-RSRP. For a Reported value RSRP\_x, x is treated as a positive integer value. | | | | |

Table A.7.3.2.2.3.1-3: OTA-related test parameters for 2-step RA type contention based random access test in FR2 for NR Standalone

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| AoA setup | |  | Setup 2b | As defined in A.3.15.1 |
| Assumption for UE beamsNote 2 | |  | Rough |  |
| SSB with index 0 | Es Note1 | dBm/SCS | -80.6 | Power of SSB with index 0 is set to be above configured *msgA-RSRP-ThresholdSSB* |
|  | SSB\_RP | dBm/SCS | -80.6 |
|  | Es/IotBB | dB | 21.09 |  |
|  | Io | dBm/95.04 MHz | -56.01 | Io in symbols containing SSB index 0 |
| SSB with index 1 | Es Note1 | dBm/SCS | -95.0 | Power of SSB with index 1 is set to be below configured *msgA-RSRP-ThresholdSSB* |
|  | SSB\_RP | dBm/SCS | -95.0 |
|  | Es/IotBB | dB | 6.69 |  |
|  | Io | dBm/95.04 MHz | -70.41 | Io in symbols containing SSB index 1 |
| Propagation Condition | | - | No external noise (Note 3) |  |
| Note 1: No articial noise is applied in this test.  Note 2: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 3: The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects as specified in TS 38.521-2 B.0 [37]. | | | | |

A.7.3.2.2.3.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

A.7.3.2.2.3.2.1 MsgA Transmission

To test the UE behavior specified in Clause 6.2.2.3.1.1 the System Simulator shall receive the MsgA with a preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured *msgA-RSRP-ThresholdSSB*.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first MsgA preamble shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.7.3.2.2.3.2.2 MsgB Reception

To test the UE behavior specified in Clause 6.2.2.3.1.2 the System Simulator shall transmit a MsgB containing a fallbackRAR message and a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 3 preambles have been received by the System Simulator. In response to the first 2 preambles, the System Simulator shall transmit a MsgB *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for MsgB(s) and shall transmit the msg3 if the MsgB with a fallbackRAR contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit MsgA with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if all received MsgB’s contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first MsgA PRACH shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.7.3.2.2.3.2.3 No MsgB Reception

To test the UE behavior specified in clause 6.2.2.3.1.3 the System Simulator shall transmit a MsgB containing a fallbackRAR message and Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 3 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 2 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA PRACH and MsgA PUSCH transmission power when the backoff time expires if no MsgB is received within the MsgB Response window.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first MsgA PRACH shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

##### A.7.3.2.2.4 2-step RA type non-contention based random access test in FR2 for NR Standalone

A.7.3.2.2.4.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the MsgA PRACH, MsgA PUSCH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.3 and Clause 7.1.2 in an AWGN model.

For this test one cell is used, with the configuration of Cell 1 configured as PCell or SCell in FR2. Supported test parameters are shown in Table A.7.3.2.2.4.1-1. UE capable of SA with PCell or SCell in FR2 needs to be tested by using the parameters in Table A.7.3.2.2.4.1-2 and Table A.7.3.2.2.4.1-3.

Table A.7.3.2.2.4.1-1: Supported test configurations for non-contention based random access test for 2-step RA type in FR2 for NR Standalone

|  |  |
| --- | --- |
| Config | Description |
| 1 | NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

Table A.7.3.2.2.4.1-2: General test parameters for non-contention based random access test for 2-step RA type in FR2 for NR Standalone

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| SSB Configuration | Config 1 |  | SSB.1 FR2 | As defined in A.3.10 |
| Duplex Mode for Cell 2 | Config 1 |  | TDD |  |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |  |
| BWchannel | Config 1 | MHz | 100: NRB,c = 24 |  |
| OCNG Pattern Note 1 | |  | OP.3 | As defined in A.3.2.1. |
| PDSCH Reference Channel Note 2 | Config 1 |  | SR3.1 TDD | As defined in A.3.1.1. |
| NR RF Channel Number | |  | 1 |  |
| EPRE ratio of PSS to SSS | | dB | 0 |  |
| EPRE ratio of PBCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PBCH to PBCH\_DMRS | | dB |  |  |
| EPRE ratio of PDCCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDCCH to PDCCH\_DMRS | | dB |  |  |
| EPRE ratio of PDSCH\_DMRS to SSS | | dB |  |  |
| EPRE ratio of PDSCH to PDSCH\_DMRS | | dB |  |  |
| ss-PBCH-BlockPower | | dBm/ SCS | +20 +ΔUL | As defined in TS 38.331 [2].  ΔUL is derived from the uplink calibration process Note 3 |
| Configured UE transmitted power (PCMAX,f,c) | | dBm | maximum value configurable for certain power class | As defined in clause 6.2.4 in TS 38.101-2 [19] |
| MsgA Configuration | |  | FR2 MsgA configuration 2 | As defined in A.3.20.3, with exceptions as defined below. |
| msgA-RSRP-ThresholdSSB | | dBm | RSRP\_69 +ΔDL | RSRP\_69 corresponds to -88dBm. ΔDL is derived from the downlink calibration process Note 4 |
| msgA-PreambleReceivedTargetPower | | dBm | -100 | As defined in TS 38.331 [2] |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.  Note 2: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.  Note 3: The ΔUL value is calculated as -ROUND(PMsgA0 -1), where PMsgA0 is the measured first MsgA PRACH power with -80.6dBm/SCS applied, *msgA-PreambleReceivedTargetPower* = -100dBm and *ss-PBCH-BlockPower* = 20dBm. These values are used during the uplink calibration process carried out before the test case is run, with the UE configured to send MsgA.  Note 4: The ΔDL value is calculated as (RSRP\_REP – RSRP\_76), where RSRP\_REP is the SS-RSRP Reported value in Table 10.1.6.1-1 with -80.6dBm/SCS applied. These values are used during the downlink calibration process carried out before the test case is run, with the UE configured to report SS-RSRP. For a Reported value RSRP\_x, x is treated as a positive integer value. | | | | |

Table A.7.3.2.2.4.1-3: OTA-related test parameters for non-contention based random access test for 2-step RA type in FR2 for NR Standalone

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Test-1 | Comments |
| AoA setup | |  | Setup 1 | As defined in A.3.15.1 |
| Assumption for UE beams Note 2 | |  | Rough |  |
| SSB with index 0 | Es Note1 | dBm/SCS | -80.6 | Power of SSB with index 0 is set to be above configured *msgA-RSRP-ThresholdSSB* |
|  | SSB\_RP | dBm/SCS | -80.6 |
|  | Es/IotBB | dB | 21.09 |  |
|  | Io | dBm/95.04 MHz | -56.01 | Io in symbols containing SSB index 0 |
| SSB with index 1 | Es Note1 | dBm/SCS | -95.0 | Power of SSB with index 1 is set to be below configured *msgA-RSRP-ThresholdSSB* |
|  | SSB\_RP | dBm/SCS | -95.0 |
|  | Es/IotBB | dB | 6.69 |  |
|  | Io | dBm/95.04 MHz | -70.41 | Io in symbols containing SSB index 1 |
| Propagation Condition | | - | No external noise (Note 3) |  |
| Note 1: No artificial noise is applied in this test.  Note 2: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 3: The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects as specified in TS 38.521-2 B.0 [37]. | | | | |

A.7.3.2.2.4.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

A.7.3.2.2.4.2.1 MsgA Transmission

In Test-1, to test the UE behavior specified in Clause 6.2.2.3.2.1 for MsgA transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs configured, the System Simulator shall receive the MsgA which has the Preamble Index associated with the SSB with index 0.

In addition, the System Simulator shall receive the MsgA on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belongs to the PRACH occasions permitted by the restrictions given first by the *msgA-SSB-SharedRO-MaskIndex* if configured, or next by the *ra-ssb-OccasionMaskIndex* if configured.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.7.3.2.2.4.2.2 MsgB Reception

To test the UE behavior specified in Clause 6.2.2.3.2.2 the System Simulator shall transmit a MsgB containing a successRAR MAC subPDU corresponding to the transmitted Random Access Preamble after 3 MsgA transmissions have been received by the System Simulator. In response to the first 2 preambles, the System Simulator shall transmit a MsgB *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for MsgB if the MsgB contains a successRAR MAC subPDU corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA transmission power if all received Random Access Response Reception has not been considered as successful.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

A.7.3.2.2.4.2.3 No MsgB Reception

To test the UE behavior specified in clause 6.2.2.3.2.3 the System Simulator shall transmit a MsgB corresponding to the transmitted Random Access Preamble after 3 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 2 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2a in TS 38.321 [7], and transmit with the calculated MsgA transmission power when the backoff time expires if no MsgB is received within the MsgB Response window configured in *RACH-ConfigGenericTwoStepRA*.

In addition, the power applied to all MsgA transmissions shall be in accordance with what is specified in Clause 6.2.2.3. The power of the first preamble shall be 0.6 dBm to be received at TE with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The power of the first MsgA PUSCH transmission shall be same as the first MsgA PRACH power with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional MsgA transmissions shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all MsgA transmissions shall be within the accuracy specified in Clause 7.1.2.

#### **<End of Change 6>**